STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
AND
Monday, September 20, 2010
10:30 a.m.

1020 South Spring Street
Springfield, Illinois
and via videoconference
James R. Thompson Center – Suite 14-100
Chicago, Illinois

Call State Board of Elections to order.

Recess the State Board of Elections and convene as the State Officers Electoral Board (separate packet).

Adjourn the State Officers Electoral Board and reconvene as the State Board of Elections.

1. **Approval of the minutes from the July 26, August 6, 17 and 27 meetings. (pgs. 1- 3 and August 17 & 27 sent under separate cover)**

2. **Report of the Executive Director**
   - a. **Preparations for the November 2, 2010 General Election;**
     1) **Modification to Dominion voting system; (pg.4)**
     2) **Interstate Voter Registration Data Crosscheck Program; (pgs.5-16)**
     3) **Demonstration of MOVE website; (pg.17)**
     4) **Voter Awareness/Public Information Program; (pgs.18-22)**
     5) **Election judge training schools – informational; (pgs.23-25)**
   - b. **Report on Advisory Committee Meeting; (pgs.26-27)**
   - c. **IVRS update – informational; (pgs.28-31)**
   - d. **Cost Control and Spending Reduction Plan; (pgs.32-46)**
   - e. **Lump Sum update;**
     1) **Judges of election reimbursement; (pgs.47-49)**
     2) **County Clerk's stipend; (pgs.50-59)**
     3) **FY11 allocation; (pgs.60-61)**
   - f. **Fiscal status reports – informational;**
     1) **FY10/FY11 – month ending August 31; (pgs.62-70)**
     2) **Help Illinois Vote Fund; (pgs.71-77)**
     3) **EAC Data Collection Grant; (pgs.78-79)**
   - g. **Two year plan of staff activity for the months of September & October – informational. (pgs.80-86)**

3. **Report of the General Counsel**
   - a. **Campaign Disclosure;**

Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeal be granted

1) *SBE v. Illinois Fair map Amendment Initiative,* S10227, 10AP031; (pgs.87-92)

Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeal be denied

2) *SBE v. Vermilion County Young Republicans,* L13289, 10DS217; (pgs.93-102)
3) *SBE v. Citizens to Elect Tim Brouillet County Recorder,* L14656, 10MA011; (pgs.103-108)
4) *SBE v. Friends of Laura Bertucci Smith,* S8163, 09JS263; (pgs.109-111)
5) *SBE v. Citizens to Elect Darlene Senger,* S9722, 10AP028; (pgs.112-117)
6) *SBE v. Working People's Campaign Committee for Elections of Honest IL Governors,* S9920, 10DS256; (pgs.118-129)

Complaint following public hearing – carryover from July

7) *Gorman v. Mark Thompson Campaign Committee,* 10CD003; (pgs.130-140)

Other campaign disclosure items

8) Motion to settle civil penalty assessments – *SBE v. Citizens for Munoz* & *SBE v. 22nd Ward Democratic Committeeman Fund*; (pgs.141-144)
9) Request for payment plan – Democratic Leadership for the 21st Century; (pgs.145)
10) Request for payment plan – Friends of Robert Steele; (pgs.146)
11) Payment of civil penalties – informational; (pg.147)

4. Other business. (pg.148)

5. Comments from the Chairman and Vice Chairman. (pg.148)

6. Comments from the general public. (pg.148)

7. Next Board meeting, Tuesday, October 19, 2010 at 10:30 a.m. in Springfield. (pg.148)

8. Executive Session. (pgs.149-164)

STATE BOARD OF ELECTIONS
Special Board Meeting Via Videoconference
Monday, July 26, 2010

MINUTES

PRESENT:	Bryan A. Schneider, Chairman Wanda L. Rednour, Vice Chairman Patrick A. Brady, Member John R. Keith, Member William M. McGuffage, Member Jesse R. Smart, Member Robert J. Walters, Member
ABSENT:	Albert S. Porter, Member
ALSO PRESENT:	Daniel W. White, Executive Director Rupert Borgsmiller, Assistant Executive Director Steve Sandvoss, General Counsel Amy Calvin, Administrative Specialist II

The special meeting of the State Board of Elections was called to order via videoconference means at 10:00 a.m. with six Members present. Chairman Schneider and Member McGuffage were present in Chicago, Members Keith and Smart were present in Springfield and Member Walters was present in Godfrey. Vice Chairman Rednour was present via telephone. Member Porter was absent and Member McGuffage held his proxy. Chairman Schneider held Member Brady's proxy until his arrival in Chicago at 10:30 a.m.

Member McGuffage moved to recess the State Board of Elections and reconvene as the State Officers Electoral Board. Member Smart seconded the motion which passed unanimously. The meeting recessed shortly after 10:00 a.m. and reconvened at 11:00 a.m. A second roll call was taken with seven members present at the locations noted above.

With there being no further business before the Board Member Brady moved to adjourn until 9:00 a.m. on August 17, 2010 or until call of the Chairman, whichever occurs first. Member McGuffage seconded the motion which passed unanimously. The meeting adjourned at 11:02 a.m.

Respectfully submitted,

Amy Calvin, Administrative Specialist II

Daniel W. White, Executive Director

STATE BOARD OF ELECTIONS
Special Board Meeting Via Videoconference
Friday, August 6, 2010

MINUTES

PRESENT:	Bryan A. Schneider, Chairman Wanda L. Rednour, Vice Chairman Patrick A. Brady, Member John R. Keith, Member Albert S. Porter, Member Jesse R. Smart, Member Robert J. Walters, Member
ABSENT:	William M. McGuffage, Member
ALSO PRESENT:	Daniel W. White, Executive Director Rupert Borgsmiller, Assistant Executive Director Steve Sandvoss, General Counsel Amy Calvin, Administrative Specialist II

The special meeting of the State Board of Elections was called to order via videoconference means at 2:35 p.m. with seven Members present. Chairman Schneider and Members Brady and Porter were present in Chicago and Members Keith and Smart were present in Springfield. Vice Chairman Rednour and Member Walters were present via telephone. Member McGuffage was absent and Member Porter held his proxy.

Member Brady moved to recess the State Board of Elections and reconvene as the State Officers Electoral Board. Member Porter seconded the motion which passed unanimously. The meeting recessed at 2:36 p.m. and reconvened at 3:40 p.m. A second roll call was taken with seven members present as noted above.

The General Counsel presented an update on the *Judge v. Quinn* matter and indicated a special election will be held to fill the vacancy of the U.S. Senate caused by the resignation of then Senator Barack Obama. The first election would be to elect a full six-year term senator and the special election to fill the vacancy. Those established party candidates who won the primary election, which include the Green Party, the Democratic Party and the Republican Party, and any new party and independent candidates that qualify to appear on the ballot would also be eligible to appear on the special election ballot. The State Board of Elections has five calendar days from receipt of the abstracts from the special election to conduct a canvass as long as those abstracts are submitted by November 19, 2010. However, if the abstracts are not timely filed or errors not resolved, the court has given an additional three days to finish the canvass. The Executive Director indicated he would also look into rescheduling the November meeting or looking at possible special meeting dates as well.

With there being no further business before the Board Member Brady moved to adjourn until August 17, 2010, at 9:00 a.m. or until call of the Chairman whichever occurs first. Member Porter seconded the motion which passed unanimously. The meeting adjourned at 3:45 p.m.

Respectfully submitted,

Amy Calvin, Administrative Specialist II

Daniel W. White, Executive Director

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Voting System Modification

DATE: September 9, 2010

At the last Board meeting Voting Systems Director Dianne Felts asked that this matter be postponed. Since that time all federal testing has been completed and a report is being forwarded to the SBE.

Dianne will complete additional testing early next week and will have a report for the Board to be sent under separate cover.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Interstate Voter Registration Data Crosscheck Program

DATE: September 8, 2010

Please find attached an e-mail and Memorandum of Understanding among several states to utilize statewide voter registration systems to compare and crosscheck voter registrations to improve the accuracy of each state's voter registration list.

The information was forwarded to Chairman Schneider by Champaign County Clerk Mark Sheldon and we are placing it on the September Board Agenda for discussion.

IVRS Director Kyle Thomas has spoken with Clerk Sheldon and Kyle will report to the Board at the meeting.

Attachments

To: Mark Shelden; Rhonda Wrona
Subject: [Fwd: Interstate VR crosscheck]

-------- Original Message --------

Subject: Interstate VR crosscheck

Date: Fri, 20 Aug 2010 09:57:10 -0500
From: Brad Bryant <bradb@kssos.org>
To: <
mark@champaigncountyclerk.com
>

Mark:
Sorry it's taken me so long to get this out to you, but here it is, finally.
Attached are:
(1) The MOU for the 2010 crosscheck. It only shows one signature, but we collected the others on separate pages.
(2) Instructions for processing the results we sent to our county election officers in Kansas. Anything you would use in Illinois would obviously be much different, but it gives you an idea.
(3) A map of the 2010 group of states. It's in MS Paint, I think. Hopefully you can open it. It's nothing fancy.

I pasted below an excerpt from an email I sent to the states in January this year. It shows the data format and the fields we used in the crosscheck.

Let me know if you have questions.

BRAD BRYANT
State Election Director

Kansas Secretary of State
785-296-4559 P | 785-291-3051 F
Memorial Hall, 1st Floor | 120 SW 10th Ave. | Topeka, KS 66612-1594 **www.kssos.org**

Are you registered to vote? www.voteks.org

3. Here's the data format:

Fields
Status ("A"-Active, "I"-Inactive)
Date_Generated (datetime)

MEMORANDUM OF UNDERSTANDING

For Interstate Voter Registration Data Comparison

January, 2010

This Memorandum of Understanding is made between the chief state election officials respectively of the States of Arizona, Arkansas, Colorado, Iowa, Kansas, Kentucky, Louisiana, Minnesota, Mississippi, Missouri, Nebraska, Oklahoma, South Dakota and Tennessee.

WHEREAS, the States through the offices of the chief state election officials and under the authority of the respective state laws have each separately implemented a variety of changes to election processes as required by Public Law 107-252, the Help America Vote Act of 2002, among these changes being the implementation of a centralized, interactive, computerized statewide voter registration list, and;

WHEREAS, the existence of centralized, interactive, computerized statewide voter registration lists facilitates the interoperability of such lists for the purpose of comparison and cross checking of registration records, and;

WHEREAS, the chief state election officials of the respective States desire to enter into this Memorandum of Understanding to set forth the terms of an agreement between the respective officers, on behalf of their respective States, to establish between them and between the States a process for each State to improve the accuracy of each State's voter registration list;

NOW, THEREFORE, the undersigned chief state election officials, in exchange for the mutual promises and commitments contained in this Memorandum of Understanding, do hereby agree as follows:

1. The States agree to share voter registration information for the purposes of cross checking and identifying duplicate registrations and instances of multiple votes by the same individuals.

2. The process of cross checking will be as follows:
   a. Each State will send a file containing voter registration data to the Kansas Secretary of State's office in a format and on a schedule to be determined by mutual agreement, with the intention of conducting a cross check at minimum once per year.
   b. Each participating State's list will be compared to the lists from the other participating States.
   c. The Kansas Secretary of State will return the results of the data cross check to each participating State. The data will include the State possessing the matching record, any information about such voter provided by said State, and the date the voter registered in said State.
   d. Potential duplicate records will be identified when the first names, last names and dates of birth match.
   e. All data will be transferred to and from the Kansas Secretary of State using industry standard encryption technology and passwords.

3. The Kansas Secretary of State shall maintain procedures and controls acceptable to other participating states for the purpose of assuring that information in its possession is not mishandled, misused, released, disclosed, or used in an inappropriate manner by it, its agents, officers, or employees. All parties to this agreement shall take all reasonable steps and precautions to safeguard this information and shall not divulge the information to parties other than those needed for the performance of duties under the agreement. Information transferred under this agreement shall be used only for the purposes identified in the agreement.

4. Notwithstanding aggregate usage statistics used for reporting purposes, and subject to the Kansas Open Records Act, the Kansas Secretary of State shall keep confidential all information concerning individual registrants. The Kansas Secretary of State shall not, under any conditions, resell, transfer or convey information about registrants to any third party.

5. Each chief state election official shall designate such staff from his or her respective office as may be deemed necessary to carry out the terms of the Memorandum of Understanding.

6. This Memorandum of Understanding may be joined by additional States by signature of the chief state election officer in each such State without prior approval of the original participating states.

SIGNED ON BEHALF OF THEIR RESPECTIVE STATES BY:

Hon. Ken Bennett
Arizona Secretary of State

Date

Hon. Charlie Daniels
Arkansas Secretary of State

Date

Hon. Bernie Buescher
Colorado Secretary of State

Date

Hon. Michael Mauro
Iowa Secretary of State

Date

Hon. Ron Thornburgh
Kansas Secretary of State

Date

Hon. Trey Grayson
Kentucky Secretary of State
Chairman, State Board of Elections

Date

Hon. Jay Dardenne
Louisiana Secretary of State

Date

Hon. Mark Ritchie
Minnesota Secretary of State

Date

Hon. C. Delbert Hosemann, Jr.
Mississippi Secretary of State

Date

Hon. Robin Carnahan
Missouri Secretary of State

Date

Hon. John Gale
Nebraska Secretary of State

Date

Mr. Paul Ziriax
Secretary, Oklahoma State Election Board

Date

Hon. Chris Nelson
South Dakota Secretary of State

Date

Mr. Mark Goins
Tennessee Coordinator of Elections

Date

Office of the Kansas Secretary of State

Interstate Voter Registration Data Crosscheck

Instructions for County Election Officers

February, 2010

Program Description

In 2006 an interstate data crosscheck was conducted for the first time in the midwest, based on a Memorandum of Understanding signed by the chief state election officials of the states of Kansas, Nebraska, Iowa, Missouri and Minnesota. In 2007 the crosscheck was repeated, with the addition of South Dakota.

In 2008 Kansas signed a Memorandum of Understanding with a group of south central states initiating a similar but separate crosscheck program beginning in 2009. The states involved were Arizona, Arkansas, Colorado, Kansas, Kentucky, Louisiana and Oklahoma.

In 2010 the two groups of states have merged into one group, the Combined Midwest-Southcentral Crosscheck Group. Mississippi and Tennessee have joined, and Minnesota is no longer participating. The program is hosted by the Kansas Secretary of State's office. The thirteen participating states are: Arizona, Arkansas, Colorado, Iowa, Kansas, Kentucky, Louisiana, Mississippi, Missouri, Nebraska, Oklahoma, South Dakota and Tennessee. See the map at the end of this document.

These instructions should be used by CEOs as they process the results of the data crosschecks. The crosscheck is an optional part of the comprehensive Kansas voter registration list maintenance program. It is similar in some respects to the NCOA program, which each county is required to participate in or, as an alternative, to conduct its own countywide mailings to identify possible changes of address.

Program Design

The program compares voter registration data using the following fields:

- first name
- middle name or initial
- last name
- date of birth
- last four digits of Social Security number (if available)

NOTE: If a voter's record is included on the list provided to the CEO, it means the records *matched exactly* on first name, last name and date of birth. For the middle name or initial, if the records are included it means they were either a match or not a non-match (ex: James in one record, J. in another would not be excluded). Social Security numbers are included for verification; the numbers might or might not match.

The list provided to the CEO shows the Kansas registrant's information (as listed above) plus the following:

- Kansas registrant ID #
- Kansas date of registration
- Kansas county name

- state, county, registrant ID# and date of registration in state where duplicate was found

Data Format

The information is provided in an Excel document on SOSIX. Each CEO must sign on to SOSIX to retrieve the county's file.

Processing the Information

The registration dates are extremely important. If the CEO receives notice through this program that a registrant in the CEO's county has a newer registration date in another jurisdiction, the CEO must mail a forwardable confirmation notice to the registrant according to K.S.A. 25-2316c(e).

See also:

- "CEO Actions" below,
- Kansas Election Standards, page I-10, and
- National Voter Registration Act/National Change of Address Program-Instructions for County Election Officers, January 2010.

CEO Actions

If it appears that a registrant in the CEO's county has moved to another county or state and re-registered, the CEO must use the following procedure:

1. Determine that the registrant's registration date in the other jurisdiction is more recent than the date on the CEO's record. If it is more recent, go on to Step 2.

2. Mail a forwardable confirmation notice to the registrant at the new address. Change the registrant's status in ELVIS to "inactive."

3. If the registrant returns the confirmation notice verifying that the registrant has moved out of the CEO's county, cancel the registration.

4. If the registrant returns the confirmation notice indicating that the registrant has not moved to another jurisdiction and wishes to maintain his/her registration in the CEO's county, change the registrant's status in ELVIS back to "active."

5. If the registrant does not return the confirmation notice, or if the Postal Service returns the confirmation notice as undeliverable, do nothing else. The voter's status in ELVIS remains "inactive." If the voter fails to vote in any election from the date of the confirmation notice through the second succeeding November general election, cancel the registration.

Please note the following:

1. Inactive records—

If the registrant who appears to have moved to another jurisdiction is already "inactive" in ELVIS, there is no need to mail another confirmation notice. The CEO must cancel the inactive registrant's registration if the person fails to vote in any election from the date of the original confirmation notice through the second succeeding November general election.

2. Previous addresses—

If the CEO obtains a copy of a registrant's registration record from another jurisdiction and the registrant listed an address that was on file in the CEO's county as "Previous Address" on the other jurisdiction's voter registration application form, the CEO may cancel the registration. Also, if the CEO receives a notice from a registrar in another jurisdiction indicating that a registrant has re-registered in that registrar's jurisdiction and has indicated a previous address

that was on file in the CEO's county, the CEO may cancel the registration without reviewing the actual voter registration application submitted by the registrant.

3. Local knowledge—

In some cases the CEO may choose not to act on information from this program if the CEO has information that is more current. Example: If the CEO knows the voter moved away, re-registered, then moved back to CEO's jurisdiction and re-re-registered after the registration date listed on the information from the other jurisdiction.

4. The CEO may always act on information provided directly by the voter.

Voter History and Double Votes

The principal purpose of the data cross checking program is to identify duplicate and out of state registrations, but it also provides an opportunity to identify double votes. Most of the states participating in the crosscheck program provide voter history for each voter for the two most recent November general elections.

If two records representing the same individual contain voter history in the same election, research must be conducted to verify a double vote. Records must be exchanged between jurisdictions to verify that the records do in fact represent the same individual, signatures must be compared, and poll book/advance voting records must be consulted to verify that a double vote took place.

The records must then be presented to county, state or federal prosecutors for investigation and possible prosecution. The Secretary of State's office often conducts this research and can help coordinate research with CEOs.

Local Election Officials Contact Information

Here are links to contact information for local election officials in other states. This information is provided to facilitate communication and exchange of records when necessary.

Arizona:
http://www.azsos.gov/election/county.htm

Arkansas
http://www.sos.arkansas.gov/elections/elections_pdfs/county_clerks.pdf

Colorado
http://www.elections.colorado.gov/WWW/default/countyclerk_english.pdf.

Iowa
http://www.sos.state.ia.us/elections/auditors/auditorslist.html

Kansas
http://www.kssos.org/elections/elections_registration_ceo_display.aspx

Kentucky
www.elect.ky.gov, click on "Contact County Clerks" link on the home page.

Louisiana
Our Registrars of Voters are listed here http://www.sos.louisiana.gov/Portals/0/elections/pdf/COC-ROV%20list.pdf along with our Clerks of Court, and Parish officials. All inquiries should be directed to the Registrar of Voters for the specific parish.

Mississippi
http://www.sos.state.ms.us/elections/VoterRegistrars.asp

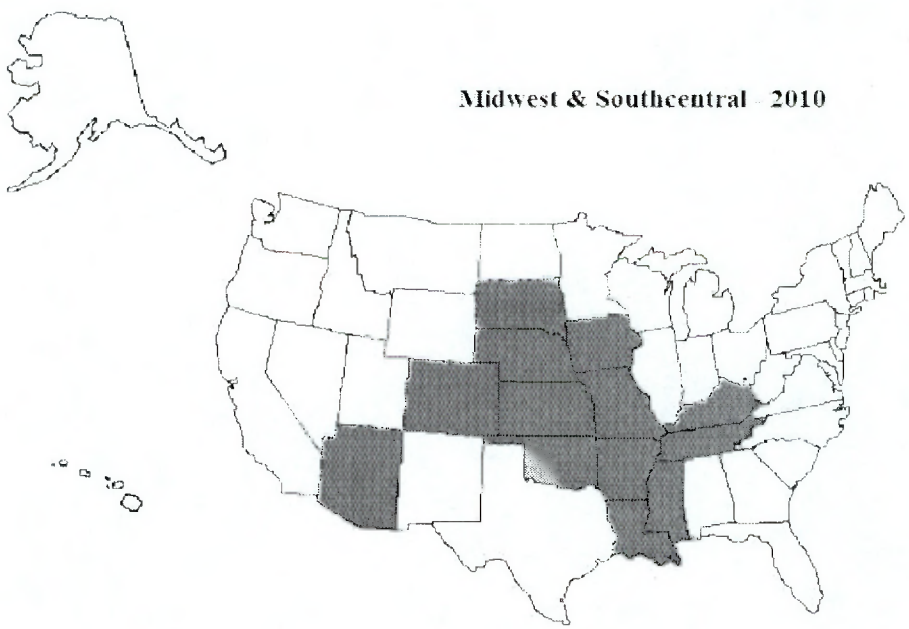
Missouri
http://www.sos.mo.gov/elections/countyclerks.asp

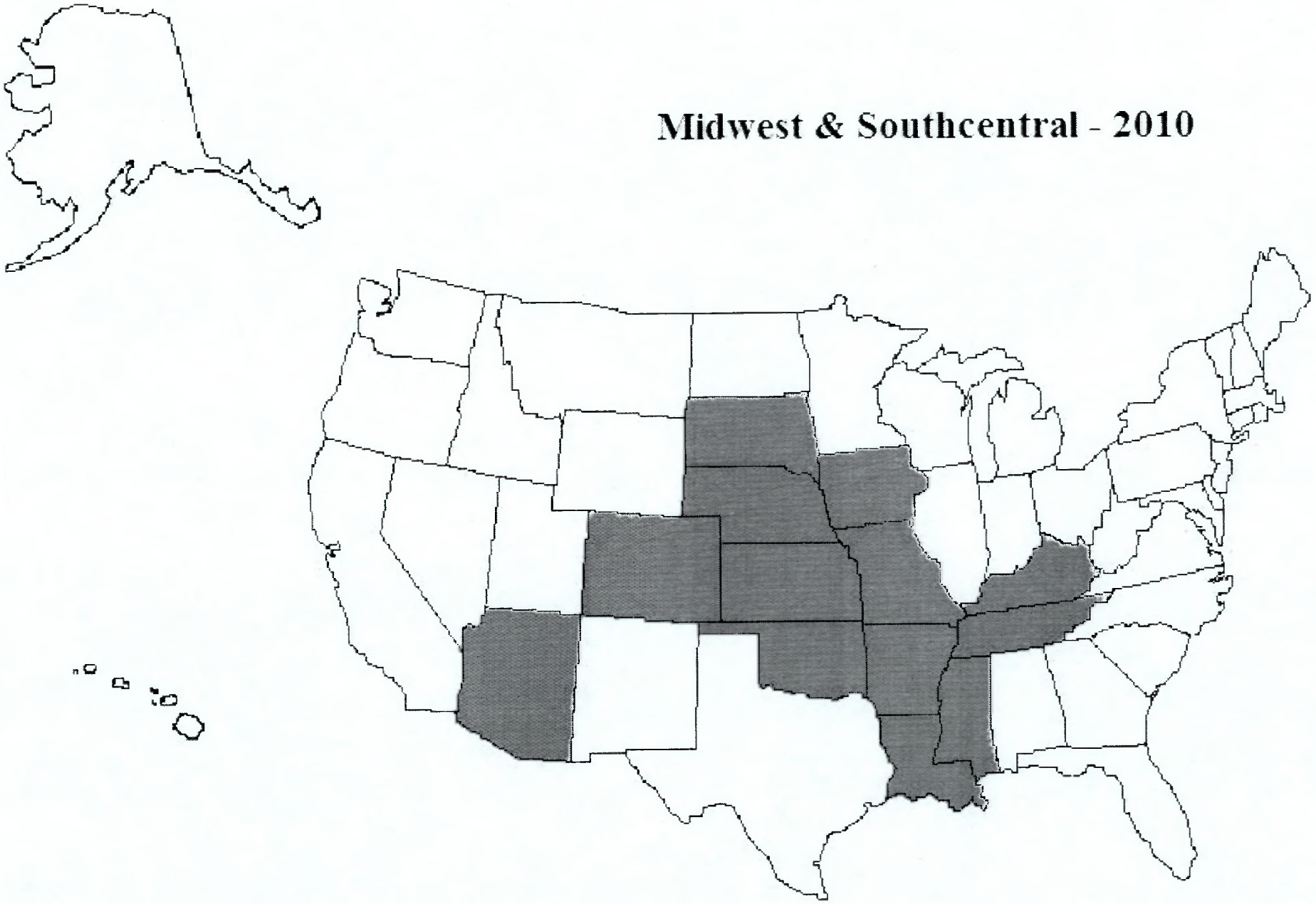
Nebraska
http://www.sos.ne.gov/elec/clerks.html

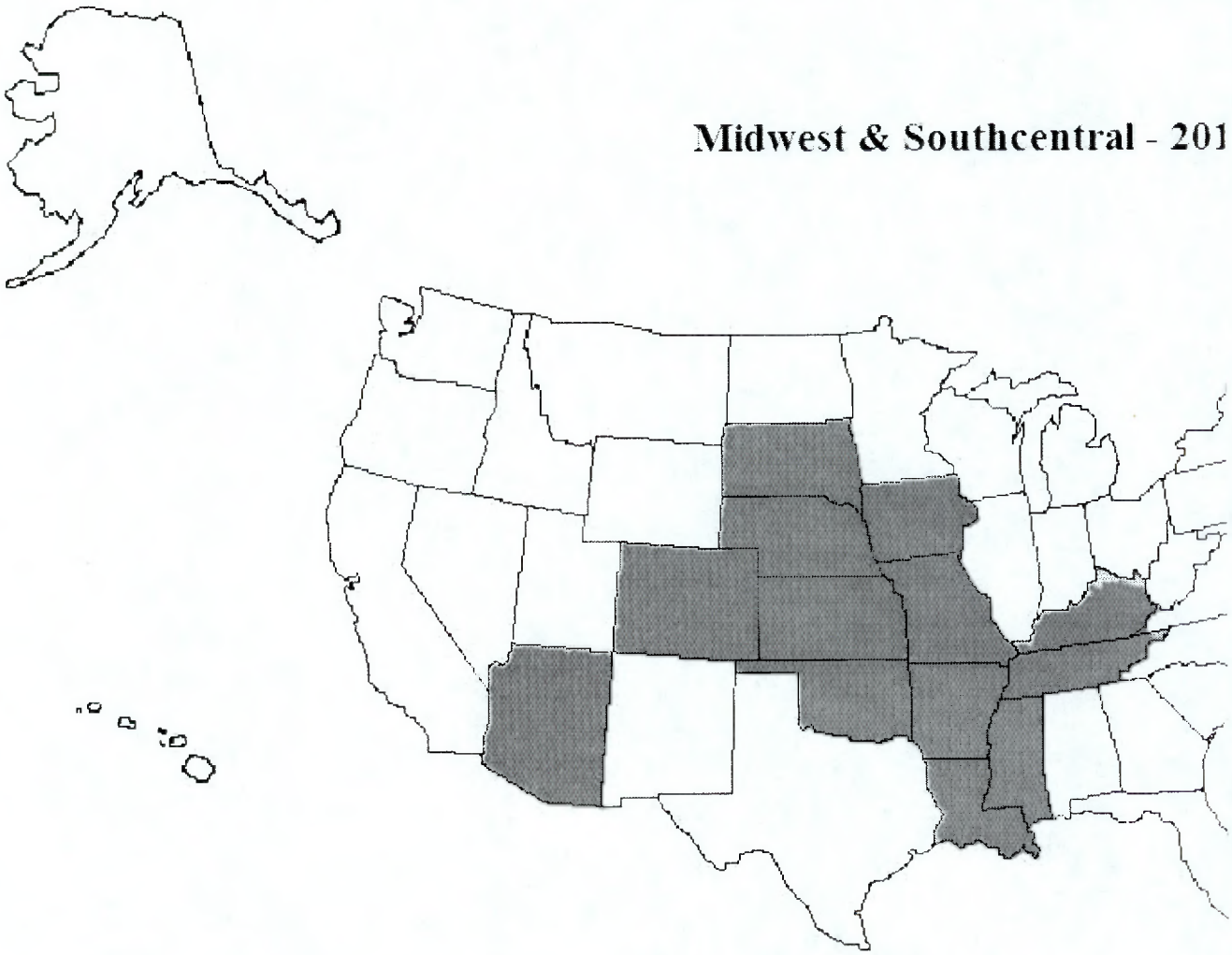
Oklahoma
http://www.elections.state.ok.us/cebinfo.pdf

South Dakota
http://www.sdsos.gov/electionsvoteregistration/auditorcontactinformation.shtm

Tennessee
http://tnsos.org/elections/election_commissions.php




Midwest & Southcentral - 2010


Midwest & Southcentral - 201

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: MOVE

DATE: September 9, 2010

The past several months we have been working very hard to comply with the federal Military and Overseas Voter Empowerment Act (MOVE) which makes it easier for military and overseas persons to register and vote absentee by electronic means. It also provides a mechanism for voters to track the status of their voter registration application and absentee ballot.

Thanks to the hard work of Kyle Thomas, Cheryl Hobson and our IT staff, our MOVE site is currently up, running and featured on the SBE's public website. It appears we will be one of only a handful of states to comply with this federal law for the November election.

Our IVRS staff will provide a demonstration of this new technology at the Board meeting.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Voter Awareness/Public Information Program for the November 2, 2010 General Election

DATE: September 9, 2010

You recall that our Primary Election Voter Awareness/Public Information Program highlighted two new election bills that provided additional time for early voting and grace period registration. One extended the time for grace period registration until the seventh day (formally the fourteenth day) before the election and the second required some permanent early voting places to remain open additional hours on holidays and the final weekend of early voting.

Our 2010 General Election Voter Awareness/Public Information Program will also focus on the above changes as part of our General Election outreach program to fulfill HAVA goals to increase voter participation and educate voters about voting procedures and rights.

I have asked communications professional Al Manning to submit a proposal that utilizes only HAVA funds for the General Election program. For your review and consideration is the HAVA proposal and a copy of Mr. Manning's resume.

Attachments

HELP AMERICA VOTE ACT PROPOSAL
2010 GENERAL ELECTION

For the general election of 2010, Al Manning shall direct a public information campaign on the implementation of the Help America Vote Act of 2002 for the State Board of Elections.

Manning shall utilize the Illinois Voter Registration System database as a resource for the public information campaign.

All work shall be conducted with the understanding that a primary goal of the Board is to help bring about greater understanding and participation in the electoral process and that the Board works closely with election authorities in all regions of the state. All work shall be performed at the direction of Executive Director Dan White or his designee and in conjunction with Board staff.

State election administrators and many of the local election officials should be prepared to explain HAVA implementation and the importance of the new statewide database because maintaining public confidence in the integrity of the state's voting system and its voting equipment is important in our democratic form of government.

Manning shall:

- Write one or more news releases on voter registration that mentions the Illinois Voter Registration System database.
- Write text for a radio Public Service Announcement that provides details on voter registration and early voting.
- Write multiple news releases on early voting and Grace Period Registration and Voting.
- Write a news release on the new Illinois law that will serve as a pilot project on the utilization of the main campus of public universities for the expansion of voter registration and early voting.
- Write templates for county clerks to assist them in communicating with local news media and others interested in election administration.
- Oversee the production of updated materials for posting on the SBE website.
- Work with Board staff to ensure that all appropriate public information is placed on the Board's website in a timely manner.
- Assist executive director in crafting a message on HAVA implementation that can be utilized in discussing HAVA issues with the news media or other target audiences.
- Monitor news coverage of electronic voting equipment and other HAVA issues and discuss regularly with executive director.
- Provide other appropriate communication services regarding HAVA as deemed necessary by the executive director;
- Work a maximum of 45 hours in any given month.

The State Board of Elections, through Executive Director Dan White or his designee, shall:

- Assist, as needed, in obtaining, interpreting and approving HAVA information necessary for a successful public information campaign, particularly information from the Illinois Voter Registration System database.
- Work with vendor to assure that assignments can be completed by working 45 hours or less per month,
- Pay Manning for his communication services and appropriate travel expenses a total of $3,000 for the HAVA campaign. The money shall be paid upon the submission of invoices that detail the work completed at a rate of $45 per hour or a maximum of $275 per day.

Submitted on this 18th day of August, 2010

Al Manning
2407 Thayer St.
Evanston, IL 60201

AL MANNING

2407 Thayer Street
Evanston, Illinois 60201
Residence: 847-328-3242
Cellular: 847-477-0466
al.manning@sbcglobal.net

SUMMARY

An experienced communications professional with a background in a large association and state government. Expertise in communication planning, media relations and public affairs. Strategic thinker with keen judgment who excels at developing goals, crafting a message and targeting key audiences. A self-starter who generates ideas and focuses on achieving results.

PROFESSIONAL EXPERIENCE

PUBLIC RELATIONS CONSULTANT, Evanston, IL **January to February 2005**

Provided superb communications counsel to Institute for Emerging Issues at North Carolina State University in Raleigh for its health care forum chaired by former Governor Jim Hunt and attended by nearly 1,000 leaders in business, government and higher education.

- Secured maximum statewide coverage of forum by arranging interviews of national figures such as former Speaker Newt Gingrich and former Health Secretary Tommy Thompson discussing complex health care policy issues.
- Wrote strategic communication plan by helping small staff craft a message and focus on targeted audiences; led implementation of plan over seven week period.
- Worked with university news service to develop a credentialing system that registered 100 journalists; created media contact lists and systems that will greatly assist institute in its future marketing campaigns.

AMERICAN BAR ASSOCIATION, Chicago, IL **1999 to 2004**

The ABA, the largest professional association in the world, acts as the national voice of the legal profession.

Manager, Media Relations

Worked with the news media on a daily basis to support the ABA president who serves as the spokesperson. Provided public relations counsel to staff and volunteer leaders.

- Raised profile of the association by obtaining news coverage for ABA president unmatched in quantity and quality. Coordinated a record number of editorial board visits. Helped pro-active president deliver a message focused on legal issues and the need for a fair and impartial judiciary. Contributed significantly to the team planning for the president's term of office, then implemented the communication portion of the plan by working on a daily basis with the president and news media.
- Led team effort in strategic communication planning as Media Division strived to improve communication services to vast number of volunteer leaders and staff. Worked with various committees and officers who serve as experts in their particular area of the law, produced improved news coverage and better understanding of mass communication.
- Increased the percentage of membership retained by proposing to Membership and Marketing Division that more emphasis be placed on substantive policy issues. Implemented the marketing plan by writing letter explaining association's advocacy on behalf of the profession. Also served as media liaison to entities such as Business Law and Legal Education.

INDEPENDENT PR PRACTITIONER, Evanston, IL **1995 to 1999**
Provided excellent public relations counsel to variety of businesses and politicians.

- Increased news coverage in five Midwest states for a Fortune 500 company that targeted mid-market companies for more business. Extracted information from numbers in a quarterly opinion survey to craft simplified message that news media found interesting and informative.
- Helped create great first impressions of Navy Pier, now one of Chicago's premier tourist attractions. Proposed and implemented a luncheon for media and public officials at the client's office, with a trolley tour of Navy Pier prior to the official grand opening of the re-developed Pier. The event's success contributed to the initial buzz about the site and the long-term understanding of its architectural details.
- Provided communication counsel and strategic advice to several political campaigns. Played a significant role in helping Dick Durbin develop and implement a successful beginning to his first general election campaign for the U.S. Senate.

STATE OF ILLINOIS, Office of Attorney General, Chicago, IL 1984 to 1987 & 1991 to 1995
The Attorney General is the chief legal officer for the state and employs 700 people in Chicago, Springfield and regional offices.

Director of Communications
Served as office spokesperson and supervised 12-person staff responsible for news releases, media queries, speeches, and photography.

- Contributed significantly to Attorney General Neil Hartigan's ability to maximize news coverage of activities such as environmental regulation and consumer protection.
- Developed communication plan resulting in trial lawyers and public information officers delivering a consistent message on active cases.
- Served as legal spokesperson for Attorney General Roland Burris on death penalty appeals and at the penitentiary during the state's first involuntary execution.

STATE OF ILLINOIS, Office of Comptroller, Chicago, IL **1987 to 1991**
As the chief financial officer for the state, the Comptroller pays the state's bills from a $20 billion annual budget and serves as the fiscal "watchdog" over state expenditures.

Director of Communications
Served as office spokesperson and supervised a diverse, five-person staff responsible for news releases, media queries and speeches.

- Increased profile of Comptroller Burris on tax and spending issues and crafted more easily understood messages on the state's complex financial structure.
- Played a significant volunteer role in helping Burris win election as first African-American Democratic Attorney General in the nation.

STATE JOURNAL-REGISTER, Springfield, IL **1969 to 1984**
A Copley newspaper that serves the capital city and Central Illinois.

Wrote a daily political column, syndicated by *Copley News* to 30 newspapers, that was a must-read for government workers, political activists and persons interested in our democracy. Prior to writing a column served as court reporter and legislative correspondent covering legal affairs and public policy issues.

EDUCATION

B.S., Journalism, Southern Illinois University at Carbondale

Judges Schools GE 2010

Date	Jurisdiction	No. of Schools	Attendance	Zone
Monday, August 23, 2010	Aurora	3	80	Four
Tuesday, August 24, 2010	Aurora	3	99	Four
Wednesday, August 25, 2010	Aurora	3	91	Four
Thursday, August 26, 2010	Aurora	3	96	Four
Friday, August 27, 2010	Aurora	1	36	Four
Wednesday, September 08, 2010	JoDaviess	2	100	Four
Tuesday, September 14, 2010	Woodford	2	0	Three
Wednesday, September 15, 2010	Coles	3	0	Three
Thursday, September 16, 2010	Edgar	2	0	Three
Monday, September 20, 2010	Edwards	2	0	One
Tuesday, September 21, 2010	Bureau	2	0	Four
Tuesday, September 21, 2010	Clay	3	0	One
Tuesday, September 21, 2010	Saline	2	0	One
Wednesday, September 22, 2010	Christian	2	0	Three
Wednesday, September 22, 2010	Washington	2	0	One
Wednesday, September 22, 2010	Whiteside	2	0	Four
Thursday, September 23, 2010	Christian	2	0	Three
Thursday, September 23, 2010	Vermilion	1	0	Three
Friday, September 24, 2010	Morgan	3	0	Two
Monday, September 27, 2010	Massac	2	0	One
Tuesday, September 28, 2010	Union	2	0	One
Tuesday, September 28, 2010	Vermilion	1	0	Three
Wednesday, September 29, 2010	Perry	2	0	One
Wednesday, September 29, 2010	Vermilion	2	0	Three
Thursday, September 30, 2010	Calhoun	2	0	Two
Thursday, September 30, 2010	Perry	2	0	One

Date	Jurisdiction	No. of Schools	Attendance	Zone
Monday, October 04, 2010	Gallatin	2	0	One
Monday, October 04, 2010	Logan	1	0	Three
Monday, October 04, 2010	Marion	1	0	One
Tuesday, October 05, 2010	Brown	2	0	Two
Tuesday, October 05, 2010	Franklin	3	0	One
Tuesday, October 05, 2010	Marion	2	0	One
Wednesday, October 06, 2010	Clinton	2	0	One
Wednesday, October 06, 2010	Galesburg	3	0	Three
Wednesday, October 06, 2010	Montgomery	3	0	Two
Thursday, October 07, 2010	Hardin	1	0	One
Thursday, October 07, 2010	Menard	2	0	Two
Thursday, October 07, 2010	Montgomery	2	0	Two
Thursday, October 07, 2010	Pope	1	0	One
Friday, October 08, 2010	Alexander	1	0	One
Friday, October 08, 2010	Putnam	2	0	Four
Monday, October 11, 2010	Wabash	2	0	One
Tuesday, October 12, 2010	Jefferson	3	0	One
Tuesday, October 12, 2010	Randolph	2	0	One
Tuesday, October 12, 2010	Richland	3	0	One
Wednesday, October 13, 2010	Jefferson	3	0	One
Wednesday, October 13, 2010	Lawrence	2	0	One
Wednesday, October 13, 2010	Macoupin	2	0	Two
Thursday, October 14, 2010	Cass	2	0	Two
Thursday, October 14, 2010	Fayette	2	0	One
Thursday, October 14, 2010	Logan	1	0	Three
Friday, October 15, 2010	Mercer	2	0	Four
Monday, October 18, 2010	Monroe	4	0	One
Monday, October 18, 2010	Shelby	3	0	Three
Tuesday, October 19, 2010	Henderson	2	0	Two

Date	Jurisdiction	No. of Schools	Attendance	Zone
Tuesday, October 19, 2010	Monroe	3	0	One
Tuesday, October 19, 2010	Moultrie	2		Three
Wednesday, October 20, 2010	Effingham	3		Three
Wednesday, October 20, 2010	Hamilton	2	0	One
Thursday, October 21, 2010	Effingham	3	0	One
Thursday, October 21, 2010	Franklin	1	0	One
Thursday, October 21, 2010	Marshall	2	0	Three
Saturday, October 23, 2010	E St Louis	2	0	One
Monday, October 25, 2010	Scott	1	0	Two
Tuesday, October 26, 2010	Greene	2		Two
Tuesday, October 26, 2010	Iroquois	3	0	Three
Tuesday, October 26, 2010	Williamson	4	0	One
Wednesday, October 27, 2010	Iroquois	1	0	Three
Wednesday, October 27, 2010	Pike	2	0	Two

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Members of the SBE Advisory Committee

SUBJECT: Meeting

DATE: September 8, 2010

Attached you will find an agenda for the meeting of the SBE Advisory Committee on Monday, September 20, 2010 scheduled to begin at 9:30 a.m. in our Springfield office. We will have a video connection with our Chicago office if anyone would like to attend in Chicago.

In addition to the agenda items listed feel free to bring anything else to the table, and hopefully we will have time to discuss any and all items of concern to you during the course of the meeting.

We look forward to seeing you on the 20th.

Attachments

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

ADVISORY COMMITTEE
AGENDA

Monday, September 20, 2010
9:30 a.m.
1020 S. Spring Street
Springfield, Illinois

1. November 2, 2010 General Election - preparation.

2. Campaign Disclosure – SB 1466
   a. Disclosure reports – filing responsibility
   b. Ballot forfeiture.

3. Statewide Voter Registration Database
   a. Interstate Voter Registration Data Crosscheck Program
   b. MOVE.

4. SBE Budget – County Clerk Stipends.

5. Legislation.

6. Other business.

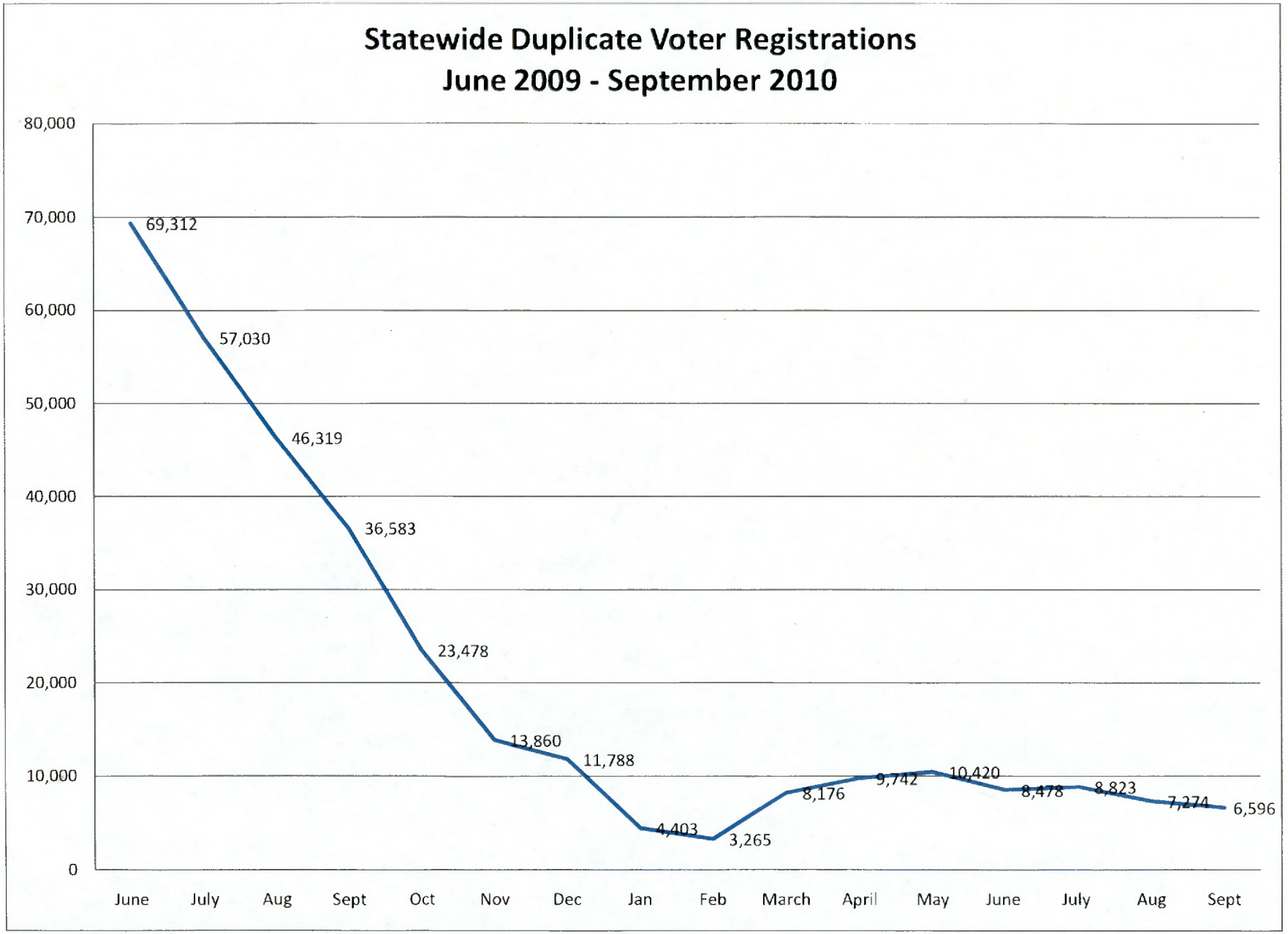
7. Next meeting – Tuesday, January 18, 2011.

The State Board of Elections Regular monthly meeting will begin at 10:30 a.m.

Jurisdiction	July	Aug	Sept	Oldest Match Date
Adams County	0	0	5	8/9/2010
Alexander County	41	41	40	2/6/2009
Bond County	3	3	5	2/6/2009
Boone County	12	11	14	2/6/2009
Brown County	0	1	1	9/3/2010
Bureau County	11	5	3	2/4/2010
Calhoun County	0	0	0	n/a
Carroll County	2	3	2	2/6/2009
Cass County	16	16	19	2/4/2010
Champaign County	39	24	42	8/3/2009
Christian County	0	0	3	2/6/2010
Clark County	6	6	6	2/6/2009
Clay County	69	79	58	2/6/2009
Clinton County	50	50	30	2/6/2009
Coles County	38	34	29	2/6/2009
Cook County	3,231	2,477	2,277	2/6/2009
Crawford County	48	49	43	2/6/2009
Cumberland County	4	4	5	10/23/2009
DeKalb County	66	43	35	2/6/2009
DeWitt County	66	61	2	2/6/2009
Douglas County	1	1	1	9/3/2010
DuPage County	328	135	69	2/6/2009
Edgar County	12	12	1	9/3/2010
Edwards County	3	4	2	9/3/2010
Effingham County	6	6	6	10/23/2009
Fayette County	18	15	15	2/6/2009
Ford County	2	4	8	6/30/2010
Franklin County	69	68	73	2/6/2009
Fulton County	11	11	15	2/6/2009
Gallatin County	0	0	0	n/a
Greene County	7	2	1	8/9/2010
Grundy County	19	15	19	2/6/2009
Hamilton County	0	1	1	9/3/2010
Hancock County	1	1	2	9/3/2010
Hardin County	6	4	0	n/a
Henderson County	0	0	0	n/a
Henry County	27	25	22	2/6/2009
Iroquois County	14	20	24	2/4/2010
Jackson County	26	24	24	2/6/2009
Jasper County	5	6	7	10/23/2009
Jefferson County	6	6	1	2/4/2010
Jersey County	6	6	6	2/6/2009
JoDaviess County	0	0	0	n/a
Johnson County	13	11	8	2/4/2010
Kane County	117	50	37	2/4/2010
Kankakee County	41	44	45	12/4/2009

Kendall County	50	23	18	2/6/2009
Knox County	13	15	16	5/26/2009
Lake County	157	118	152	2/6/2009
LaSalle County	106	82	17	8/3/2009
Lawrence County	2	2	2	3/5/2010
Lee County	4	3	2	9/3/2010
Livingston County	4	8	9	9/3/2010
Logan County	13	13	4	2/6/2009
Macon County	4	5	8	4/30/2010
Macoupin County	4	6	5	2/4/2010
Madison County	30	26	46	2/6/2009
Marion County	53	64	35	2/6/2009
Marshall County	2	2	1	2/12/2010
Mason County	1	0	0	n/a
Massac County	6	6	6	2/6/2009
McDonough County	3	3	6	2/6/2009
McHenry County	31	15	50	11/20/2009
McLean County	39	30	3	2/6/2009
Menard County	38	5	5	2/6/2009
Mercer County	139	141	142	2/6/2009
Monroe County	1	5	5	2/6/2009
Montgomery County	0	4	2	n/a
Morgan County	19	16	16	2/4/2010
Moultrie County	0	0	1	n/a
Ogle County	1	3	0	n/a
Peoria County	7	4	4	2/4/2010
Perry County	0	3	6	9/3/2010
Piatt County	6	2	2	2/6/2009
Pike County	7	7	7	9/3/2009
Pope County	5	1	1	7/16/2010
Pulaski County	20	20	16	2/6/2009
Putnam County	2	2	1	3/19/2010
Randolph County	2	4	3	2/4/2010
Richland County	20	22	15	2/6/2009
Rock Island County	135	138	151	2/6/2009
Saline County	1	0	1	9/3/2010
Sangamon County	60	29	37	2/6/2009
Schuyler County	2	1	4	6/18/2010
Scott County	3	2	6	6/11/2010
Shelby County	1	1	1	6/11/2010
Stark County	0	0	0	n/a
St. Clair County	47	41	46	2/6/2009
Stephenson County	0	1	3	7/30/2010
Tazewell County	17	14	37	2/6/2009
Union County	32	35	30	2/6/2009
Vermilion County	6	6	6	5/26/2009
Wabash County	0	1	1	9/3/2010

Warren County	8	9	9	9/25/2009
Washington County	11	13	14	2/6/2009
Wayne County	34	41	30	2/6/2009
White County	1	2	0	n/a
Whiteside County	6	9	9	2/4/2010
Will County	380	265	357	2/6/2009
Williamson County	93	95	94	2/6/2009
Winnebago County	28	19	14	2/6/2009
Woodford County	1	1	1	10/23/2009
City of Aurora	27	14	19	2/4/2010
City of Bloomington	9	9	9	2/6/2009
City of Chicago	2,620	2,449	2,056	2/6/2009
City of Danville	2	2	3	2/6/2009
City of East St. Louis	45	18	9	2/6/2009
City of Galesburg	5	8	8	2/6/2009
City of Peoria	6	2	13	2/6/2009
City of Rockford	14	11	16	2/6/2009
	8,823	7,274	6,596	


Statewide Duplicate Voter Registrations
June 2009 - September 2010
80,000
70,000
60,000
50,000
40,000
30,000
20,000
10,000
0
69,312
57,030
46,319
36,583
23,478
13,860
11,788
4,403
3,265
8,176
9,742
10,420
8,478
8,823
7,274
6,596
June
July
Aug
Sept
Oct
Nov
Dec
Jan
Feb
March
April
May
June
July
Aug
Sept

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: FY11 Cost Control and Spending Reduction Plan

DATE: September 8, 2010

At the August meeting I submitted the SBE FY11 Cost Control and Spending Reduction Plan (attached). Member Keith supplied some suggestions (attached) and I have forwarded them to the Board and executive staff for review.

Attached are written comments received from SBE staff.

I have asked administrative services and fiscal staff to be present at the Board meeting to review the FY11 Plan and respond to questions.

Attachments

State Board of Elections
FY11 Cost Control and Spending Reduction Plan

I. Sales of Surplus State Property

- All identified surplus State-owned property has been inventoried and forwarded to CMS surplus warehouses for resale or disposal
- Present equipment inventories will be evaluated for surplus potential, and any items identified as surplus will be forwarded to CMS on an accelerated basis.

II. Reductions in Leasing Costs

- Existing office space is being 'compressed' through space management initiatives (cubicle arrangements instead of walled offices, combining employees in existing space areas) to make the most efficient use of currently available office space.
- Current Springfield office lease (rented building) expires February 2012. SBE is presently working with CMS Facilities Management staff to evaluate agency needs and obtain a new office facility that utilizes State-owned facilities, if compatible to the Agency's operating needs.

III. Energy Efficiency & Conservation – SBE activities:

- Replacement of inefficient fluorescent lighting fixtures in Springfield office with new technology fluorescent fixtures that use less energy.
- Replacement of fixed-setting thermostats in the Springfield office with programmable units to minimize energy usage during off-work hours.
- Worked with Springfield building landlord to replace old A/C roof units with high-efficiency A/C units when feasible.
- Moved agency janitorial activities from evening to early morning daytime hours to minimize lighting and climate HVAC energy usage after office hours.
- Worked with Springfield building landlord to start tuckpointing program of building to minimize energy loss.

IV. Travel Restrictions and Reductions

- Employees are encouraged to use public transportation (ex. Amtrak) when traveling to Chicago office to reduce personal vehicle and parking reimbursements.

- Employees needing to travel to specific locations will combine trips with other division staff traveling to the same location or area to reduce travel costs and increase efficiency.

- SBE videoconferencing equipment is used at Board or other meetings when possible to reduce Board and staff travel expenditures.

- Training sessions (such as IVRS training) is done on a regional basis (instead of a county by county) basis in order to maximize attendees at each meeting and minimize travel costs for local training purposes.

- Out-of-state travel is now approved by the Executive Director when related to the Board's ongoing mission or to the implementation or operation of a non-State funded program or initiative (such as HAVA). Approval of in-state travel by division directors is now limited only to those trips necessary for SBE's ongoing mission, or required by statutory mandate or administrative rule.

V. Telecommunications and Information Technology Reductions

- All Information Technology (IT) maintenance and support contracts are reviewed annually to insure they are accurate, necessary and appropriate. Multi-year agreements are established for ongoing technical support needs when they offer more worthwhile long-term savings than one year contracts.

- SBE is in the process of a comprehensive upgrade of the Agency's voice phone system. This system, once in place, will significantly reduce land-based telephone-related phone costs, eliminate toll calling charges for calls between the Chicago and Springfield offices, and allow faster routing of incoming calls to maximize staff productivity and minimize 'hold' time.

- Steps are being taken (via the comprehensive telephone system upgrade above) to move all agency voice activity to Voice over Internet Protocol (VOIP) technology. This action will significantly reduce telecommunications charges related to land-based voice call activity.

- The SBE IT Division is evaluating combination of primary agency computer equipment ('co-location') in a designated area at the CMS Data

Center along with CMS' proprietary equipment. This step (if feasible with both agencies) would eliminate duplicate costs of maintaining IT infrastructure and security structures, maximize CMS's infrastructure investment and allow SBE to create a secondary 'back-up' location necessary for proper system & data security.

- Major agency IT and Telecommunications expenditures are performed using CMS Statewide Master contracts whenever available. These contracts, negotiated by CMS on behalf of all State agencies, leverage purchasing power and obtain lower prices than the SBE could individually obtain.

- The IT Division is currently undergoing a server consolidation/virtualization project, where a single high-efficiency virtual server can replace several old technology servers at a lower total purchase cost. This initiative will not only reduce the consumption of energy needed for server operation, it will also reduce the climate control cost needed to keep the server equipment at proper operating temperatures. When replaced, the old servers will be immediately moved to CMS-Surplus Property control for possible repurposing or resale.

- PC's, printers, scanners and servers retired from front-line Agency functions are repurposed whenever possible to other secondary duties inside the Agency, in order to maximize the useful operating life of agency IT equipment. For example, PC's purchased for candidate filings are subsequently repurposed for voter search, then records examinations, then distributed to staff to replace the oldest PC's in operation. Old PC's retired from staff usage (if functional) are then used for IDIS training or for public viewing purposes.

- The IT Division is currently evaluating the option to delay purchase of certain IT equipment originally scheduled for FY11 to future fiscal years.

- As a cost-cutting measure, the IT Division is intending to forgo attendance at the biannual technical conference scheduled in FY11, therefore saving associated out-of-state travel and lodging costs.

VI. Cancellation of Unnecessary Memberships and Subscriptions

- SBE is presently evaluating all prior membership and subscription expenditures to determine which subscriptions or memberships can be reduced or discontinued for FY11. Applicable staff are asked to justify need for a subscription or membership when a renewal is requested.

- SBE will perform additional evaluation of division director requests for Illinois Consolidated Statutes (ILCS) subscriptions for their staffs, to determine where ILCS statutes subscriptions can be combined with those of other SBE staff to save or eliminate subscription costs.

VII. Reductions in Printing Costs

- SBE is in process of converting print-based voter assistance publications to Internet-based access. Under Internet-based access, those desiring a SBE publication will be able to access, download and print the publication from the SBE website, which saves the Agency both publication and mailing costs.

- SBE will continue to increase utilization of Right Fax and other Net-based mailing methods to distribute memorandums, mailings or other forms to local election jurisdictions and candidate committees. Increased usage of these applications is expected to reduce costs in the printing, paper, and mailing envelope expenditure areas.

VIII. Reductions in Personnel Costs and Overtime

- Cash payment for SBE employee overtime will be discontinued on a general basis for FY2011. Any requests for cash overtime will be reviewed on a case-by-case basis by the Executive Director.

- Compensated overtime hours (either by cash or by comp time) will be closely evaluated by division directors and agency management. Approval of any compensated overtime hours shall be done on a case-by-case basis.

White, Dan

From: White, Dan
Sent: Thursday, August 19, 2010 12:26 PM
To: Bryan Schneider; Patrick Brady; John Keith; William McGuffage ; Albert Porter; Jesse Smart; Robert Walters; Rednour Wanda (Business Fax); List - Division Directors; Roate, Mike
Cc: Calvin, Amy; Glazier, Becky; Gervase, Darlene
Subject: FW: FY 11 Spending Reduction

At Tuesday's meeting we briefly discussed the SBE FY11 Cost Control and Spending Reduction Plan in the Board packet. It was agreed that this matter would be continued until the September Board Meeting at that I would forward Member Keith's comments relative to that proposal.
Accordingly, below for your review are Member Keith's comments and this matter will be on September Agenda.
Division Directors, Mike: Please review below for the September Meeting.
Thanks

From: Jkeithlaw@aol.com [mailto:Jkeithlaw@aol.com]
Sent: Saturday, August 14, 2010 12:33 PM
To: White, Dan
Cc: Bryan.Schneider@walgreens.com; Borgsmiller, Rupert; Withers, Jim
Subject: FY 11 Spending Reduction

Dan

Below are some initial comments from me relative to the proposed FY 11 Cost Control and Spending Reduction Plan contained in the Agenda for next week's meeting. It appears to be an excellent start. Thanks for the effort by you and the rest of the involved staff.

Since the meeting on Tuesday will be long with consideration of the Objections, I propose we consider getting all Members' comments for review and then address this on either the 27th or at the September meeting.

Comments:

1. How often would the surplus property be inventoried and forwarded to CMS?

2. As to the possible new physical facility, I know that Member Brady has some significant ideas about the testing facility needs. I assume that one of the things we are looking for is a more efficient layout . The location of computer related equipment at the CMS site will be an important factor. also we probably need to address any Board policy as to the required location of certain staff, Divisional Directors, IT Staff, etc., in Springfield in determining what we will need in the future.

3. In conjunction with #2, the energy efficiency and conservation activities appear to require up front costs. I assume that most if not all of them will be paid by the landlord. If so, are there any "reimbursement provisions" relative to that? If so or if we have to pay them direct, then we need to do a cost benefit analysis understanding we may leave soon. If no, then we should consider how much money the landlord will put into the building for us knowing that we may leave in February, 20112. At a minimum I think we need to be sure that there are no false impressions given to the Landlord relative to the length of our tenancy in requesting those improvements.

4. On all travel, not just out of state, I think we should consider a policy that any staff, division director, executive staff or Member who attends any meeting, seminar, conference or convention whether in state or out of state, be notified in advance that a report of his/her attendance, activities, recommendations and benefits will be required to be submitted to the Executive Director for distribution to the Board and all other appropriate staff with same to be submitted within 10 calendar days after the end of the meeting, seminar, conference or convention and with no approval of the travel voucher by the agency being made until such satisfactory report has been received. There are benefits to be received from participation in these activities. Such reports would help insure appropriate attendance and also permit a "forced sharing" of the benefits with the rest of the Agency as is appropriate.

5. As to the upgrade of the voice phone system, is there any cost left over for payment in FY 11 for that? If so, how much?

6. I appreciate IT's intention to forgo attendance at the biannual technical conference but question if that is being penny wise and dollar foolish? I don't know how many staff persons have attended that in the past. Maybe the number could be diminished with shared information via the reports referred to in #4 above or a presentation to the entire IT staff upon return. But if there is a benefit to the Agency which I must assume since this has been ongoing for several years, then I recommend reconsideration of that determination with consideration of the maters mentioned herein.

7 .As to the ILCS subscriptions, why is there any need for more than 2, one in Chicago and one in Springfield? most if not all of it can be gathered off of the Internet which has to be reviewed for updates anyway.

8. As to overtime I have a belief that there is "planned overtime" and "unplanned needed now overtime". According, should there be some allocation to each division director to permit the approval of unplanned needed now overtime without the bureaucracy and time constraints of such authorization authority being contained only in the Executive Director but with planned overtime and any additional unplanned needed now overtime requiring advance approval by the Executive Director except for extreme emergency situations? Also do we really benefit from deleting all paid overtime and compensating only by comp overtime? If our staff is at the limit, then there is an increasing diminishment of available people hours with comp overtime only. Also use of the lowest paid person with capacity to perform the overtime can be a savings. Rather than just taking "volunteers", overtime could be directed if that can be a cost saver. Also the requested consideration of swing shifts and at home support services review requested but not yet received will impact these issues.

Thanks.

JRK

White, Dan

From: White, Dan
Sent: Wednesday, September 08, 2010 11:44 AM
To: White, Dan
Subject: FW: FY 11 Spending Reduction

From: Cray, Cris
Sent: Thursday, September 02, 2010 1:31 PM
To: White, Dan
Subject: RE: FY 11 Spending Reduction

4. Currently, we file a report when we return from out of state, so this would simply be extending that report to be included with all travel vouchers whether in-state or out-of-state, correct? Also, there would be policy guidelines in place; for example, Eric's division would not need to include a report each time they went out to do a judges school, correct?

7. While I have no problem with one set of statutes in each office, I would stress that at least one set is needed in each location. While it is possible to look up court cases using Lexis/Nexis, not all staff have subscription rights and these can be costly. In addition, state statutes on the web do not include the court cases and other information that is found following each section in the hard-bound versions of the statutes. (I would like to see the cost of the statutes as I believe they are cheaper than the subscription to Lexis/Nexis.)

8. I think we have to trend every carefully here. Using the "lowest paid person" may be (in the long run) detrimental to the agency. For example, does Steve Sandvoss really want the lowest paid person and not the "volunteer" to help him with the petition reviews? What time of public face does that put on the agency?

Other: please keep in mind the addition of two new unfunded mandates: 1) the new Campaign Finance Task Force and 2) the addition of a new survey to be reported to the GA by March, outlining the success or failure of early voting on college campuses.

White, Dan

To: White, Dan
Subject: FW: FY 11 Spending Reduction

#5. At this point there is very little cost carry over and the system should in fact save us money. However, we have run into a major snag to the tune of $24K where AT&T forgot to include the re-cabling costs for the Chicago office in the latest BOA. The work was included on the Statement of Work, but not in the BOA where the pricing is computed. The cutover has now been delayed (was scheduled for this Friday) with an unknown cut-over date. We are waiting to hear from CMS as to the outcome, as this is a mistake at AT&T's end, however CMS should have caught it. The latest BOA was not received here until after Brent Davis was hired into the Election Information Division.

#8. I agree that strictly Comp Time is generally unworkable as we are already short on staff time and comp time only adds to this problem. Enabling the Division Directors the option of paying Overtime, at an acceptable minimum rate of time and a half would be a valuable option. Another option used by other agencies in the State is flex scheduling. This allows the agency to cover more hours while not overworking existing staff and not having to pay frequent overtime. The concern of the front desk being available during all flex hours will be a mute point as soon as the switch is flipped on the front desk, as all calls will be routed through the new menu system. This option is not the same as Member Keith's mention of "Swing Shifts" as swing shifts require additional compensation for the various shifts.
Flex scheduling refers to what is also known as the nine day work schedule where the individual works nine days per pay schedule having eight of those days be extended work days and one being slightly shortened. The nine day schedule has proven very beneficial to the agencies while being popular with the workers.

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Rupert T. Borgsmiller **Assistant Executive Director**

To: Daniel W. White, Executive Director

Re: Voting Integrity Center

Date: 9/8/10

Dan the State Board of Elections has requested in the past funds for a Voting System Integrity Center. The appropriation is in excess of $1.1m. With the center it is envisioned that the SBE would hire Statisticians and Electrical Engineers to assist the Division when preparing to test voting equipment and to test jurisdictions before any election. Also, the Board would need to expand their current office to create the Center. Could it be possible that the marking of the ballots, the design of the test as well the actual test itself be contracted out instead of the SBE hiring full time staff and operating the Voting System Integrity Center? There are arguments that could be made regarding what is the best way to accomplish this; however it might be advisable to issue a Request for Information to see if this can be accomplished without the agency committing to the Center and the additional staff members.

White, Dan

From: Flowers, Steve
Sent: Thursday, September 09, 2010 10:19 AM
To: Withers, Jim; White, Dan; Borgsmiller, Rupert; Steward, Sharon
Cc: Roate, Mike; Gray, Kelley
Subject: RE: sept meeting/ alternative work hours/shifts
Attachments: OvertimePolicy.docx

The swing shift idea isn't appropriate to this situation. A shift differential salary adjustment applies in shift-work situations; i.e., second and especially third shift rates of pay are higher in order to incentivize staff to accept the disruption of alternative shifts. And while I, as you, have for many years voluntarily worked significantly more than 37 ½ hours per week with no additional compensation, I am not willing to work second or third shift regardless of the rate of pay. I would guess this is also true of many other SBE staff.

My staff are multi-tasking across a number of projects at any given time. Ongoing communication between IT staff, and between IT staff and division staff, is essential and would be stilted were we to go to such a schedule. All other areas of agency activity would suffer by dedicating IT resources exclusively to IDIS support. Too few available IT staff spread across too many projects make this approach unworkable.

IDIS overtime, like all IT overtime, is not temporary, but rather episodic, cyclical, and recurring. A-1s and B-1s run continuously. New committees form daily. Treasurers and record keepers of existing committees change regularly. We will be rolling out major changes to IDIS 2 in order to implement reform. IDIS overtime may also be the byproduct of the demands of other aspects of the election cycle. If we're working overtime to process a statewide initiative petition, for example, IDIS support would serve to increase the required overtime.

My recommendations have not changed. They are obvious and universally applied by all other State of Illinois agencies. The best approach is to adopt fair and effective policies that address this and all other overtime situations, rather than to crutch our way through another period of additional workload bound by ineffective, abusive policies. I have distilled my recommendations into policy manual entries which I provided some time ago; I've attached it here for those who do not have a copy.

From: Withers, Jim
Sent: Tuesday, September 07, 2010 3:50 PM
To: White, Dan; Borgsmiller, Rupert; Flowers, Steve; Steward, Sharon
Cc: Roate, Mike; Gray, Kelley
Subject: RE: sept meeting/ alternative work hours/shifts

Sharon, Steve , Rupert, I would appreciate your thoughts on what you think would best serve your interests. Once that is established we can better address the issues.

Thanks

Jim Withers, Director
Administrative Services
Illinois State Board of Elections
217/782-1595

From: White, Dan
Sent: Tuesday, September 07, 2010 3:13 PM
To: Withers, Jim; Borgsmiller, Rupert; Flowers, Steve; Steward, Sharon
Subject: RE: sept meeting/ alternative work hours/shifts

I think before we get to costs, lets look at logistics of allowing staff to work alternative hours or shifts i.e., from home, on call and or extended flex periods.

As a start, can you try to see what some other agencies that need to available 7/24 do(emergency response teams?). Do they have people on call a and pay them a flat rate if they're not used. Also, how are these people supervised? Do they have to call in periodically? Im sure there are other issues as well.

The other possible option Member Keith mentioned was something like swing shifts for example, were staff work 3 8 hour shifts that cover an entire day.

I think this impacts disclosure and It.

I'm forwarding to Rupert, Sharon and Steve to get their reactions to these suggestions as well.

Lastly would any of the above require a change in personal rules.

After we address resolve the above issues we can address costs, my feeling there is we meet with staff ,determine a best guess amount and allocate it. When its gone its gone.

Also, It my understanding that this is a temporary short term issue.

Thanks

From: Withers, Jim
Sent: Tuesday, September 07, 2010 2:42 PM
To: White, Dan
Subject: RE: sept meeting

Dan, what exactly are you looking for here? Until we receive input from the divisions outlining their needs, ie amount of overtime, security, supervision, equipment, equipment failure, utilities, ect. it is extremely difficult to come up with costs without a plan.

Thanks

Jim Withers, Director
Administrative Services
Illinois State Board of Elections
217/782-1595

From: White, Dan
Sent: Thursday, September 02, 2010 4:48 PM
To: Withers, Jim
Subject: Re: sept meeting

Both offices. mainly Disc and IT but if we set a policy I think it must be Agency wide. Also MIke should be cosulted re overtime pay avialble. Thanks

Sent via BlackBerry by AT&T

From: "Withers, Jim" <JWithers@elections.il.gov>
Date: Thu, 2 Sep 2010 14:47:12 -0500
To: White, Dan<DWhite@elections.il.gov>
Subject: FW: sept meeting

Are we talking Chicago office too?

Jim Withers, Director

Administrative Services
Illinois State Board of Elections
217/782-1595

From: Withers, Jim
Sent: Thursday, September 02, 2010 2:32 PM
To: White, Dan
Subject: RE: sept meeting

Dan, are we talking mainly for campaign disclosure? 7 days a week? Swing shifts at the office or from home?

Jim Withers, Director
Administrative Services
Illinois State Board of Elections
217/782-1595

From: White, Dan
Sent: Thursday, September 02, 2010 2:11 PM
To: Withers, Jim
Subject: sept meeting

One item for the Sept meeting: the possibility of swing shifts(24 hrs round clock) and working from home for staff to support disclosure and perhaps other programs.
Could you research from an admin perspective. Thnaks

5.04.3

Employees who are required to work on a holiday shall accrue overtime as follows:

A. The Executive Director, Assistant Executive Director, and General Counsel shall not accrue overtime.

B. Executive staff shall be eligible for Earned Equivalent Time, compensated on a time-and-one-half basis.

C. All other staff shall be compensated on a double-time basis.

For purposes of calculating overtime, holidays begin at 5:00 PM on the day prior to the holiday and ends at 6:00 AM on the day following the holiday.

5.04.4 In determining which employees may accrue overtime, the following shall apply:

A. The Executive Director, Assistant Executive Director, and General Counsel shall not accrue overtime.

B. Executive staff shall be eligible for Earned Equivalent Time, compensated on an hour-for-hour basis.

C. All other staff shall be compensated at time-and-one-half basis on weekdays and double-time on weekends.

For purposes of calculating overtime, weekends begin at 5:00 PM on Friday and end at 6:00 AM on Monday.

5.04.5 Stand-By Pay

An employee is entitled to stand-by pay if he/she is required to be on stand-by. While on stand-by, the employee may be required to keep the appropriate supervisor advised of his/her whereabouts on off-duty time. The employee may be required to be available to provide technical support within a specified response time. The employee may also be required to go to the Agency office or other work location within a specified period of time. (Employees on stand-by who are required to go to their normal workplace are not eligible for reimbursement of travel expenses.)

An employee entitled to stand-by pay under this section shall receive four (4) hours pay at the applicable rate for each day or portion thereof of stand-by whether required to work or not. An employee who is required to be on stand-by for New Year's Day, Memorial Day, July 4th, Labor Day, Christmas, or Thanksgiving Day is entitled to six (6) hours pay. No employee may receive stand-by pay on General Election Day. If employees on stand-by are required to work, employees accrue overtime according to Sections 5.04.3 or 5.04.4 for hours actually worked. Stand-by pay is not in addition to overtime pay. If hours actually worked calculated at the employee's rate of overtime exceeds the minimum stand-by hours, the employee is compensated at the normal overtime rate under Sections 5.04.3 or 5.04.4, and stand-by pay no longer applies for that day.

Mere use or possession of a mobile communication device does not entitle an employee to stand-by pay.

Employees not required to be on stand-by, but who voluntarily perform authorized work via telephone during their normal off hours, shall be paid a minimum of one hour's pay.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Judges of Election Reimbursement

DATE: September 9, 2010

In response to a Board question regarding judges of election reimbursement, the attached e-mail was sent to all Illinois election jurisdictions inquiring how they address shortfalls in judges of election reimbursement.

Of the 60 jurisdictions that responded, 47 found the funds to pay judges the additional $45 from local funding sources and 13 did not.

Attachment

White, Dan

From: White, Dan
Sent: Tuesday, August 10, 2010 11:19 AM
To: Douglas Co. Clk.; Kane Co. Clk.; Livingston Co. Clk.; Gallatin Co. Clk.; Tazewell Co. Clk.; McLean Co. Clk.; Co. Chief Deputy; Hamilton Co. Clk.; Pike Co. Clk.; Hancock Co. Clk.; Marion Co. Clk.; Greene Co. Clk.; Edwards Co. Clk.; Clay Co. Clk. ; Clark Co. Clk.; Moultrie Co. Clk.; Cass Co. Clerk; McHenry Co. Clk.; LaSalle Co. Clk.; Henderson County Clerk; Kankakee Co. Clk.; Warren Co. Clk.; Christian Co. Clk.; Chief Deputy Clerk; Fayette Co. Clk.; Cook Co. Clk.; Massac Co. Clk.; Kendall Co. Clk.; Chief Deputy Clerk; McDonough Co. Clk.; St. Clair Co. Clk.; JoDaviess Co. Clk.; Franklin Co. Clk.; White Co. Clk.; Hardin Co. Clk.; Endsley Donna (countyclerk@waynecountygovil.com); Vermilion Co. Clk.; Vermillion Deputy Co. Clk.; Alexander Co. Clk.; Knox Co. Clk.; Washington Co. Clk.; Cumberland Co. Clk. ; Pope Co. Clk.; Woodford Co. Clk.; Johnson Co. Clk.; Effingham Co. Clk.; Ogle Co. Clk.; Jasper Co. Clk.; Morgan Co. Clk.; Chief Deputy; Logan Co. Clk; Marshall Co. Clk. ; Scott Co. Clk.; Grundy Co. Clk.; Williamson County Clerk; Brown Co. Clk.; Stark Co. Clk.; Ford Co. Clk.; Perry Co. Clk.; Monroe Co. Clk.; Putnam Co. Clk.; DeKalb Co. Clk.; Wabash Co. Clk.; Wabash Co. Clk.; Lawrence Co. Clk.; Clinton Co. Clk.; Chief Deputry Clerk; Shelby Co. Clk.; Chief Deputy; Randolph Co. Clk.; Rock Island Co. Clk.; Montgomery Co. Clk.; Henry Co. Clk.; Iroquois Co. Clk; Deputy Clerk; Crawford County Clk.; Macon Co. Clk.; Madison Co. Clk.; Saline Co. Clk.; McClusky William (salineelections@gmail.com); Boone Co. Clk.; Kane Co. Elec. Director; Richland Co. Clk.; Winnebago Co. Clk.; Whiteside Co. Clk.; Fulton Co. Clk.; Lee Co. Clk.; Stephenson Co. Clk.; Mercer Co. Clk.; Jersey Co. Clk.; Mason Co. Clk.; Lake Co. Clk.; Lake Co. Deputy Clerk; Edgar Co. Clk.; Jackson Co. Clk.; Bond Co. Clk.; Coles Co. Clk.; Piatt Co. Clk.; Calhoun Co. Clk.; Bureau Co. Clk.; Pulaski Co. Clk.; Will Co. Clk. ; Champaign Co. Clk.; Jefferson Co. Clk.; Peoria Co. Clk.; Sangamon Co. Clk; Sangamon Co.; Union Co. Clk.; Menard Co. Clk; DeWitt Co. Clk.; Adams Co. Clk.; Schuyler Co. Clk.; Carroll Co. Clk. ; Macoupin Co. Clk. ; Danville Board; E. St. Louis Bd; Chicago Board; Frank Bourelle, Deputy Finance Director; Aurora Board; Galesburg Bd.; Rockford Bd.; Bloomington Board; Peoria Bd.; DuPage Co. Bd.; DuPage Co. Bd.
Cc: Gervase, Darlene; List - Division Directors
Subject: judges of election pay

As you may know, in our Fy2011 budget appropriation request, we asked for judges of election reimbursement funding for $45 for all election judges for 3 elections in the next fiscal year--- the November General, and next years' Consolidated Primary and Consolidated Elections.
Our FY 2011 appropriation was reduced to the point that there is sufficient judges funding for the November General Election ONLY.
We intend to ask the legislature for a supplemental appropriation to restore this funding and we would appreciate any support and assistance you organizations can provide.
In the meantime, the Board has asked that I inquire: in the absence of additional funding, how do local jurisdictions address this shortfall?
Is it made up at the local level or is judges compensation reduced?
Please forward your responses to Darlene Gervase at dgervase@elections.il.gov
Thank you

White, Dan

From: Gervase, Darlene
Sent: Thursday, August 26, 2010 11:41 AM
To: White, Dan
Subject: FW: Judges of Election Pay
Attachments: Election Judges Pays.doc

Attached is the list of the EAs that have responded - 51 did not respond including the Chicago and East St. Louis boards.

In Blue type are the 47 EAs that will pay the judges out of their own funds.

Black type are the EAs that are unable to pay the judges. Those 13 are: Cook; Franklin; Greene; Jefferson (Jefferson said they will try, but can't guarantee); Johnson; Kane; Lake; Lee; Logan; Stephenson; Tazewell; Wabash; Williamson; and the Aurora Board.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: County Clerk's Stipend

DATE: September 8, 2010

At the August meeting the Board discussed the County Clerk's stipend and inquired if the stipend was considered part of their salary and if so, what are the legal implications of raising or lowering their compensation during terms of office.

The Board also requested any information that might be available regarding stipends of other government officials.

Regarding the first question the General Counsel is reviewing and will have an oral report at the September meeting.

Regarding the second question, attached is a letter we have obtained from the Department of Revenue that lists salary reimbursements and stipends for Fiscal Year 2011 for the following offices: States Attorneys; Public Defenders; Assessors; Sheriffs; Coroners; Treasurers and Auditors.

As you can see from Revenue's letter, due to budgetary shortfalls these reimbursements/stipends are greatly reduced for FY11.

Also attached is an e-mail from Cris Cray regarding a conversation about the stipend.

Attachment
Cc: Steve Sandvoss

Illinois Department of Revenue
101 West Jefferson Street
Springfield, IL 62702

July 13, 2010

Dear County Treasurer:

As a result of financial problems, the state budget reduces funding for programs that provide payments to local governments and local officials, including

(1) salary reimbursement for state's attorneys and assistant state's attorneys, public defenders, and supervisors of assessment;
(2) assessor's performance bonuses and training stipends; and
(3) stipends paid to county treasurers, coroners, auditors, and sheriffs.

These programs are funded at 40 percent of the statutory level.

Available funds

- State's attorneys – $5,576,000 – 40 percent of statutory level
- Public defenders – $2,680,000 – 40 percent of statutory level
- Assessors and county official stipends – $2,433,800 – 40 percent of statutory levels. (Money included in a $3,830,500 lump sum appropriation along with funds for both operations and grants.)

For your planning purposes, here are the amounts and timetable.

Salary Reimbursements

• State's Attorney	40% of state's 87.5% share plus 40 % of additional payments for assistant state's attorneys
• Public Defenders	40 % of the state's 66.7% share
• Supervisor of Assessments	40% of state's 50% share

We will make full monthly payments for each of the above until the appropriation is exhausted – in November or December.

Stipends

• County Treasurer	$2,600 instead of $6,500
• Sheriff	$2,600 instead of $6,500
• Coroner	$2,600 instead of $6,500
• Auditor	$2,600 instead of $6,500
• Assessor performance compensation	$1,200 instead of $3,000
• Assessor training stipend	$200 instead of $500
• Other training	$100 instead of $250

We will pay each of the above at the reduced amount according to the regular schedule.

Please feel free to contact Mike Klemens or Kara Moretto if you have any questions regarding these amounts.

Sincerely,

Mike Klemens
217.782.3128

Kara Moretto
217.782.3627

White, Dan

From: Cray, Cris
Sent: Wednesday, September 08, 2010 1:10 PM
To: Glazier, Becky; List - Division Directors
Cc: White, Dan
Subject: RE: Advisory Committee Meeting

Just got off the phone with Mike Hoffman. He called, on behalf of the clerks, to see if they could get a discussion of stipends put on the advisory agenda. Even though they know we don't allocate stipends until June, they must notify the retirement board by December 31 if they plan to retire.

(FYI, please be advised that, according to Mike, the Supreme court relented and paid the Circuit Clerks their whole stipend in FY10.)

Thanks!

From: Glazier, Becky
Sent: Wednesday, September 08, 2010 8:57 AM
To: List - Division Directors
Cc: White, Dan
Subject: Advisory Committee Meeting

I will be preparing the Advisory Committee agenda for the September 20 meeting. Please let me know today if there is something you would like to include on the agenda. Attached is what I have put together so far....

Becky Glazier
Assistant to Executive Director
Illinois State Board of Elections
1020 S. Spring Street
Springfield, IL 62704
217/782-1536
217/524-5574 (fax)

replevied, stopped by injunction or paid, or where the property levied upon is not actually sold, the sheriff shall be allowed his fee for levying and mileage, together with half the fee for all money collected by him which he would be entitled to if the same was made by sale to enforce the judgment. In no case shall the fee exceed the amount of money arising from the sale.

The fee requirements of this Section do not apply to police departments or other law enforcement agencies. For the purposes of this Section, "law enforcement agency" means an agency of the State or unit of local government which is vested by law or ordinance with the duty to maintain public order and to enforce criminal laws.

(Source: P.A. 95-331, eff. 8-21-07.)

(55 ILCS 5/Div. 4-6 heading)

Division 4-6. County Officers' Salaries in Counties of Less than 2,000,000 Inhabitants

(55 ILCS 5/4-6001) (from Ch. 34, par. 4-6001)

Sec. 4-6001. Officers in counties of less than 2,000,000.

(a) In all counties of less than 2,000,000 inhabitants, the compensation of Coroners, County Treasurers, County Clerks, Recorders and Auditors shall be determined under this Section. The County Board in those counties shall fix the amount of the necessary clerk hire, stationery, fuel and other expenses of those officers. The compensation of those officers shall be separate from the necessary clerk hire, stationery, fuel and other expenses, and such compensation (except for coroners in those counties with less than 2,000,000 population in which the coroner's compensation is set in accordance with Section 4-6002) shall be fixed within the following limits:

To each such officer in counties containing less than 14,000 inhabitants, not less than $13,500 per annum.

To each such officer in counties containing 14,000 or more inhabitants, but less than 30,000 inhabitants, not less than $14,500 per annum.

To each such officer in counties containing 30,000 or more inhabitants but less than 60,000 inhabitants, not less than $15,000 per annum.

To each such officer in counties containing 60,000 or more inhabitants but less than 100,000 inhabitants, not less than $15,000 per annum.

To each such officer in counties containing 100,000 or more inhabitants but less than 200,000 inhabitants, not less than $16,500 per annum.

To each such officer in counties containing 200,000 or more inhabitants but less than 300,000 inhabitants, not less than $18,000 per annum.

To each such officer in counties containing 300,000 or more inhabitants but less than 2,000,000 inhabitants, not less than $20,000 per annum.

(b) Those officers beginning a term of office before December 1, 1990 shall be compensated at the rate of their base salary. "Base salary" is the compensation paid for each of those offices, respectively, before July 1, 1989.

(c) Those officers beginning a term of office on or after December 1, 1990 shall be compensated as follows:

(1) Beginning December 1, 1990, base salary plus at least 3% of base salary.

(2) Beginning December 1, 1991, base salary plus at least 6% of base salary.

(3) Beginning December 1, 1992, base salary plus at least 9% of base salary.

(4) Beginning December 1, 1993, base salary plus at least 12% of base salary.

(d) In addition to but separate and apart from the compensation provided in this Section, the county clerk of each county, the recorder of each county, and the chief clerk of each county board of election commissioners shall receive an award as follows:

(1) $4,500 per year after January 1, 1998;

(2) $5,500 per year after January 1, 1999; and

(3) $6,500 per year after January 1, 2000.

The total amount required for such awards each year shall be appropriated by the General Assembly to the State Board of Elections which shall distribute the awards in annual lump sum payments to the several county clerks, recorders, and chief election clerks. Beginning December 1, 1990, this annual award, and any other award or stipend paid out of State funds to county officers, shall not affect any other compensation provided by law to be paid to county officers.

(e) Beginning December 1, 1990, no county board may reduce or otherwise impair the compensation payable from county funds to a county officer if the reduction or impairment is the result of the county officer receiving an award or stipend payable from State funds.

(f) The compensation, necessary clerk hire, stationery, fuel and other expenses of the county auditor, as fixed by the county board, shall be paid by the county.

(g) The population of all counties for the purpose of fixing compensation, as herein provided, shall be based upon the last Federal census immediately previous to the election of the officer in question in each county.

(h) With respect to an auditor who takes office on or after the effective date of this amendatory Act of the 95th General Assembly, the auditor shall receive an annual stipend of $6,500 per year. The General Assembly shall appropriate the total amount required for the stipend each year to the Department of Revenue, and the Department of Revenue shall distribute the awards in an annual lump sum payment to each county auditor. The stipend shall be in addition to, but separate and apart from, the compensation provided in this Section. No county board may reduce or otherwise impair the compensation payable from county funds to the auditor if the reduction or impairment is the result of the auditor receiving an award or stipend pursuant to this subsection.

(Source: P.A. 95-782, eff. 8-5-08.)

(55 ILCS 5/4-6002) (from Ch. 34, par. 4-6002)

Sec. 4-6002. Coroners in counties of less than 2,000,000.

(a) The County Board, in all counties of less than 2,000,000 inhabitants, shall fix the compensation of Coroners within the limitations fixed by this Division, and shall

C

Supreme Court of Illinois.
Ben **HARLAN**, Treasurer of Jefferson County, et al., Appellants,
v.
Roger **SWEET**, Director of Revenue, et al., Appellees.
No. 69305.

Nov. 30, 1990.

County treasurers sued, inter alia, Director of Revenue, seeking a declaration that they were entitled to receive stipends pursuant to an act which became effective after the date that treasurers were elected. The Circuit Court, Sangamon County, Simon L. Friedman, J., granted defendants' motion for summary judgment, and treasurers appealed. The Supreme Court, Ryan, J., held that payments to the treasurers would violate the constitutional prohibition of an increase in the salary of elected local officials that becomes effective during those officials' elected terms of office.

Affirmed.

West Headnotes

[1] Counties 104 ⇐74(3)

104 Counties
104III Officers and Agents
104k68 Compensation
104k74 Particular Officers, Agents and Services
104k74(3) k. Treasurer. Most Cited Cases
Annual stipend for Illinois county treasurers was not available to treasurers elected before effective date of act providing for that stipend, as Illinois Constitution prohibits increases in salaries of elected local officials that become effective during those officials' elected terms of office; it was irrelevant that stipend was intended to compensate treasurers for additional duties mandated by state law. Ill.Rev.Stat.1987, ch. 36, ¶ 4.6; S.H.A. Const. Art. 7, § 9(b).

[2] Counties 104 ⇐69.1

104 Counties
104III Officers and Agents
104k68 Compensation
104k69.1 k. Constitutional and Statutory Provisions. Most Cited Cases
(Formerly 104k69(2))
Constitution absolutely prohibits increasing pay of county officers during their elected terms even when additional duties are imposed by law. S.H.A. Const. Art. 7, § 9(b).

****1192*391***530** Thomas W. Kelty,John M. Myers and Robert V. Shuff, of Pfeifer & Kelty, P.C., Springfield, for appellants.

Neil F. Hartigan, Atty. Gen., Springfield (Robert J. Ruiz, Sol. Gen., and Rosalyn B. Kaplan, Asst. Atty. Gen., Chicago, of counsel), for appellees.

Justice RYAN delivered the opinion of the court:

Plaintiffs, Ben Harlan, Treasurer of Jefferson County, Illinois, and 60 other treasurers of various Illinois counties, appeal the ruling of the circuit court of Sangamon County, which granted defendants' motion for summary judgment. The circuit court held that the Illinois Constitution prohibits payment of the annual stipend created by Public Act 84-1432, effective December 3, 1986 (the Act) (Ill.Rev.Stat.1987, ch. 36, par. 4.6). This court granted plaintiffs' motion for direct appeal (107 Ill.2d R. 302(b)). We affirm.

The Act provides as follows:

"§ 4.6. In addition to all other compensation provided by law, every elected county treasurer, for additional duties mandated by State law, shall receive an annual stipend***392** of $3,500, to be annu-

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ally appropriated from the General Revenue Fund by the General Assembly to the Department of Revenue which shall distribute the awards in annual lump sum payments to every elected county treasurer.

This Section does not apply to any county which is a home rule unit." (Ill.Rev.Stat.1987, ch. 36, par. 4.6.)

The Act further provided that it would take effect July 1, 1986. Following legislative override of Governor Thompson's veto, the Act ultimately became effective December 3, 1986. The terms of office of the plaintiffs commenced December 1, 1986. The legislature has appropriated funds pursuant to the Act. Defendants, however, have refused to make payments as the Act provides, claiming that it violates section 9(b) of article VII of the Illinois Constitution.

On March 22, 1989, plaintiffs filed a complaint, styled as a class action, in the circuit court of Jefferson County, seeking an order**1193 ***531 from that court declaring that they are entitled to the funds that the legislature has appropriated pursuant to the Act. With consent of the parties, venue was later changed to Sangamon County. The circuit court there denied plaintiffs' "Motion for Determination of Class," although it allowed the various treasurers to be included as plaintiffs and ruled on the parties' cross-motions for summary judgment. It granted summary judgment in favor of defendants and against plaintiffs. Plaintiffs filed a notice of appeal and later filed a motion for direct appeal, which this court granted (107 Ill.2d R. 302(b)).

In its order granting defendants' motion for summary judgment, the circuit court found that, because the county treasurers were elected to terms beginning December 1, 1986, and the Act became effective on December 3, 1986, payment to the treasurers pursuant to the *393 Act is prohibited by section 9(b) of article VII of the Illinois Constitution, which provides as follows:

"(b) An increase or decrease in the salary of an elected officer of any unit of local government shall not take effect during the term for which that officer is elected." Ill. Const.1970, art. VII, § 9(b).

[1] The sole issue before this court is whether the constitutional prohibition of an increase in the salary of elected local officials that becomes effective during those officials' elected terms of office precludes the legislature from conferring upon the treasurers what the legislature has termed a "stipend." We must conclude that, regardless of how the legislature has phrased it, the Act, if it were effectuated, would serve to increase the treasurers' salaries during their elected terms of office, which the constitution forbids.

The treasurers argue that the "stipend" created by the Act is not "salary." We cannot agree. This court has described the term "salary" as follows:

"A salary is a fixed, annual, periodical amount payable for services and depending upon the time of employment and not the amount of services rendered. In *Benedict v. United States,* 176 U.S. 357 [20 S.Ct. 458, 44 L.Ed. 503], it was said concerning the salary of Federal judges: 'Such salary is an annual stipend, payable in sickness as well as in health, for duties much more onerous in some districts than in others and regardless of the fact whether such duties are performed by the judge in person or by the judge of another district called in to take his place. It is compensation which cannot be diminished during the continuance of the incumbent in office and of which he cannot be deprived except by death, resignation or impeachment.' " (*In re Information to Discipline Certain Attorneys of the Sanitary District* (1932), 351 Ill. 206, 274, 184 N.E. 332.)

(See also 77 C.J.S. *Salary* 554 (1952) ("the word [salary] implies the receipt of a fixed stipend").) Thus, it appears *394 that the term "stipend" is used as being synonymous with the term "salary."

Plaintiffs, nevertheless, urge this court to look past

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the customary definitions of the terms and impart significance to the decision of the drafters of the 1970 Constitution to delete the term "compensation" from section 9(b) of article VII. The entire section 9 of article VII of the 1970 Constitution states the following with regard to the pay of local elected officials:

"§ 9. Salaries and Fees

(a) Compensation of officers and employees and the office expenses of units of local government shall not be paid from fees collected. Fees may be collected as provided by law and by ordinance and shall be deposited upon receipt with the treasurer of the unit. Fees shall not be based upon funds disbursed or collected, nor upon the levy or extension of taxes.

(b) An increase or decrease in the salary of an elected officer of any unit of local government shall not take effect during the term for which that officer is elected." (Ill. Const.1970, art. VII, § 9.)

The provision in the 1870 Illinois Constitution similar to section 9(b) states as follows:
"The fees, salary or compensation of no municipal officer who is elected or appointed for a definite term of office, shall ****1194 ***532** be increased or diminished during such term." Ill. Const.1870, art. IX, § 11.

We do not read into the omission from section 9(b) of the word "compensation," which was contained in that section's counterpart in the 1870 Constitution, the meaning for which plaintiffs contend. We do not accept plaintiffs' argument that this difference indicates that only salaries of public officials cannot be increased during their terms of office and that this restriction does not apply to other forms of compensation such as a stipend. Even if there was a significant difference in the meanings of the terms in this context, the same two principles ***395** which prohibit increasing salaries would prohibit the payment of other forms of compensation, that is: (1) the power to increase one's salary (compensation) should not be used to influence the performance of an officeholder, and (2) a person ought not to be able to increase his or her own salary (compensation). (See G. Braden & R. Cohn, The Illinois Constitution: An Annotated & Comparative Analysis 476-77 (1969).) We also note that section 11 of article IX of the 1870 Constitution referred to "the fees, salary and compensation," whereas section 9(b) of article VII of the 1970 Constitution only refers to "salary." Thus, both "fees" and "compensation" were eliminated from the proscription by the drafters of the 1970 Constitution. This omission is, at least in part, attributable to the elimination of fee offices in the 1970 Constitution. The word "salaries" in section 9(b), we hold, encompasses all forms of compensation paid to the public official for performing the duties of the office.

The word "compensation" has also been deleted from the pay increase proscription found in another article of the constitution. With regard to the legislative branch, the constitution now states that a "member shall receive a salary and allowances as provided by law, but changes in the salary of a member shall not take effect during the term for which he has been elected." (Ill. Const.1970, art. IV, § 11.) It formerly stated that "no change shall be made in the compensation of members of the general assembly during the term for which they may have been elected." Ill. Const.1870, art. IV, § 21.

A similar provision is found in the judicial article:

"Judges shall receive salaries provided by law which shall not be diminished to take effect during their terms of office." (Ill. Const.1970, art. VI, § 14.)

This provision, however, varies little from the 1870 Constitution. (Ill. Const.1870, art. VI, § 17.) Likewise, the pay increase prohibition for the executive branch was ***396** changed little by the drafters of the 1970 Constitution. Ill. Const.1970, art. V, § 21; *cf.* Ill. Const.1870, art. V, § 23.

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Plaintiffs place considerable emphasis on the language of this section of the executive article:

"Officers of the Executive Branch shall be paid salaries established by law and shall receive no other compensation for their services. Changes in the salaries of these officers elected or appointed for stated terms shall not take effect during the stated terms." (Ill. Const.1970, art. V, § 21.)

Plaintiffs argue that the absence in the local government article of the same provision prohibiting compensation other than salary allows an increase in compensation other than salary for local government officials. We are not persuaded. We note first that the "stipend" that the legislature has created is not compensation other than salary. We also note that the terms "salary" and "compensation" are virtually synonymous (*Cummings v. Smith* (1937), 368 Ill. 94, 99, 13 N.E.2d 69) and are used interchangeably in these provisions of the constitution. The term "salary" is merely more commonly used to describe the payment that elected officials receive for their services, just as the term "wages" is more commonly used to describe the payment that some laborers receive and "commission" is the term used to describe the payment that some salespeople receive. It is simply hard to envision how these elected officials, who are paid by way of salary, can be given more money for the performance of their duties and have it be termed ****1195 ***533** something other than salary. In fact, the "no other compensation for their service" provision in the executive article is merely designed for the same purpose as the provision in the local government article that eliminates the fee system, although stated differently. (Ill.Ann.Stat., 1970 Const., art. V, § 21, Constitutional Commentary, at 367-68***397** (Smith-Hurd 1971).) As such, we find plaintiffs' argument unpersuasive.

[2] Plaintiffs' final argument is that the pay increase is justified by the "additional duties mandated by State law." The Act, however, fails to identify what these additional duties might be. Furthermore, this court has stated that "the constitution absolutely prohibits increasing the pay of county officers even when additional duties are imposed by law." (*Fergus v. Russel* (1915), 270 Ill. 626, 632, 110 N.E. 887. See also *Dalton v. City of Moline* (1977), 48 Ill.App.3d 494, 3 Ill.Dec. 861, 359 N.E.2d 500; *Lee v. City of Venice* (1917), 206 Ill.App. 376.) Plaintiffs find their only support for this argument in *Lemaster v. City of Green Rock* (1983), 114 Ill.App.3d 163, 69 Ill.Dec. 899, 448 N.E.2d 617, in which the court held that the imposition of duties that are separate from the inherent function of one's elected office can justify a mid-term pay raise.

We do not here pass on the validity of the holding in *Lemaster*. We do, however, note that there is a substantial factual difference between *Lemaster* and the case now before us. In *Lemaster,* the office of city clerk was a part-time position, with a fixed monthly salary. By ordinance, the city clerk was designated as city collector, but, by tradition, the functions of city collector were delegated to a deputy clerk, who received a salary for those duties from a separate appropriation. The deputy clerk resigned and the city clerk assumed the duties of city collector and received the amount that had previously been paid to the deputy clerk for performing the duties of city collector. The appellate court found that the duties of city collector were not part of the office of city clerk and that receiving monies formerly paid to the deputy clerk, who acted as city collector, did not constitute an increase in salary.

In the case before us, plaintiffs contend, and section 4.6 of "An Act to revise the law in relation to county ***398** treasurer" provides, that the treasurers are being paid additional compensation for additional duties mandated by State law. These additional duties are, nonetheless, duties of the office of county treasurer and the payment of additional compensation increases the salaries of the county treasurers for performing the functions of their offices, even though these functions may be in addition to those previously provided by statute. Section 4(c) of article VII of the Illinois Constitution of 1970 provides that each county shall elect a sheriff,

county clerk and treasurer. Section 4(d) of article VII of the Illinois Constitution of 1970 provides that county officers shall have those duties, powers and functions provided by law and those provided by county ordinance. Thus, a function of the office of treasurer is to perform the duties imposed upon that office by the legislature. The legislature has provided, by statute, for the functions, powers and duties of the office. (See Ill.Rev.Stat.1987, ch. 36, par. 4 *et seq.*) There can be no justification for the payment of additional compensation for the performance of "additional duties mandated by State law." (Ill.Rev.Stat.1987, ch. 36, par. 4.6.) These additional duties may be justification for an increase in salary, but not for an increase in salary during the term of office in violation of section 9(b) of article VII of the Illinois Constitution of 1970. Thus, the Act which took effect on December 3, 1986, cannot increase the compensation of those officers whose terms of office began December 1, 1986, during that term.

For these reasons, we affirm the judgment of the circuit court of Sangamon County.

Judgment affirmed.

Ill.,1990.
Harlan v. Sweet
139 Ill.2d 390, 564 N.E.2d 1192, 151 Ill.Dec. 530

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STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: FY11 Lump Sum Allocation

DATE: September 9, 2010

Attached is the proposed FY11 Lump Sum Allocation.

OPERATIONS LUMP SUM

- Reflects reduced personal services allocation pursuant to August Board action
- Restores contractual and equipment allocations to original FY11 requests

AWARDS/GRANTS LUMP SUM

- Remains at reduced levels consistent with FY11staff proposal – pending Board action

Attachment

STATE BOARD OF ELECTIONS
General Revenue Fund - FY11 Budget Revised per Board Action 8/2010

SUMMARY	FY-2010 APPROPRIATION	FY-2011 REQUEST	INCREASE/ DECREASE	PERCENT CHANGE
PERSONAL SERVICES	$4,023,000	$4,223,600	$200,600	5.0%
STATE PAID RETIREMENT	$161,100	$169,100	$8,000	5.0%
EMPLOYEE PAID RETIREMENT	$0	$0	$0	100.0%
SOCIAL SECURITY	$307,900	$323,300	$15,400	5.0%
CONTRACTUAL SERVICE	$1,100,600	$1,095,500	($5,100)	-0.5%
TRAVEL	$121,900	$128,500	$6,600	5.4%
COMMODITIES	$37,600	$36,900	($700)	-1.9%
PRINTING	$44,500	$57,300	$12,800	28.8%
EQUIPMENT	$261,500	$228,850	($32,650)	-12.5%
TELECOMMUNICATIONS	$142,100	$139,600	($2,500)	-1.8%
OPER. OF AUTO. EQUIPMENT	$4,400	$4,400	$0	0.0%
ELECTION CODES	$15,000	$15,000	$0	0.0%
MAINTENANCE OF EFFORT	$550,000	$550,000	$0	0.0%
DECENNIAL REDISTRICTING	$100,000	$90,000	($10,000)	-10.0%
IVRS SYSTEM MAINT (INT. OPERATIONS)	$1,587,300	$328,500	($1,258,800)	-79.3%
SUB-TOTAL (OPERATIONS)	$8,456,900	$7,390,550	($1,066,350)	-12.6%
COUNTY CLERK & RECORDER STIPEND	$806,000	$403,000	($403,000)	-50.0%
ELECTION JUDGES - ELECTION DAY	$1,350,000	$2,700,000	$1,350,000	100.0%
ELECTION JUDGES - EARLY VOTING	$650,000	$1,300,000	$650,000	100.0%
VOTER REGISTRATION TAPES	$0	$0	$0	0.0%
VOTING SYSTEM INTEGRITY CENTER	$350,000	$250,800	($99,200)	-28.3%
ELECTRONIC VOTE CANVASSING PROJ.	$150,000	$125,000	($25,000)	-16.7%
ADDITIONAL STATE MATCH/INTEREST	$220,700	$0	($220,700)	-100.0%
IVRS SYSTEM MAINT (LOCAL GRANTS)	$0	$1,251,900		
IDIS SYSTEM REPLACEMENT PROJECT	$366,700	$100,000	($266,700)	-72.7%
SUB-TOTAL (GRANTS & PROJECT LUMP-SUMS)	$3,893,400	$6,130,700	$2,237,300	57.5%
TOTAL	$12,350,300	$13,521,250	$1,170,950	9.5%

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: FY10 Final Fiscal Status Report/FY11 Fiscal Report

DATE: September 9, 2010

Attached is the final FY10 fiscal report. We have met all fiscal obligations and will be returning $788,252.49 in operational funds to the State Treasury.

FY11 expenditures have been few. Once lump sum allocations have been finalized, an FY11 report will be generated and forwarded.

Attachment

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$4,023,000.00	$3,531,212.96	$0.00	$491,787.04	87.78%
STATE PAID RETIREMENT	$161,100.00	$135,359.97	$0.00	$25,740.03	84.02%
RETIREMENT (inc. supplemental)	$0.00	$0.00	$0.00	$0.00	0.00%
SOCIAL SECURITY	$307,900.00	$258,893.97	$0.00	$49,006.03	84.08%
CONTRACTUAL SERVICE	$1,100,500.00	$987,575.27	$0.00	$112,924.73	89.74%
TRAVEL	$114,600.00	$77,939.52	$0.00	$36,660.48	68.01%
PRINTING	$40,700.00	$27,230.61	$0.00	$13,469.39	66.91%
COMMODITIES	$47,200.00	$46,848.10	$0.00	$351.90	99.25%
EQUIPMENT	$232,500.00	$218,697.44	$0.00	$13,802.56	94.06%
TELECOMMUNICATIONS	$152,100.00	$109,427.68	$0.00	$42,672.32	71.94%
OPERATION OF AUTO EQUIPMENT	$4,400.00	$4,009.23	$0.00	$390.77	91.12%
HAVA MAINTENANCE OF EFFORT	$550,000.00	$550,000.00	$0.00	$0.00	100.00%
ELECTION CODE BOOKS	$15,000.00	$13,800.00	$0.00	$1,200.00	0.00%
INTEREST PAYMENTS	$20,600.00	$20,352.76	$0.00	$247.24	0.00%
SUB-TOTAL (OPERATIONS)	$6,769,600.00	$5,981,347.51	$0.00	$788,252.49	88.36%
CO CLERK & RECORDER STIPENDS	$804,895.00	$804,895.00	$0.00	$0.00	100.00%
ELECTION JUDGE REIMBURSEMENT	$2,152,260.00	$2,152,260.00	$0.00	$0.00	100.00%
ELECTION JUDGES/EARLY VOTING	$520,627.00	$520,627.00	$0.00	$0.00	100.00%
IVRS LUMP SUM	$1,642,860.00	$1,632,854.70	$0.00	$10,005.30	99.39%
REDISTRICTING	$100,000.00	$100,000.00	$0.00	$0.00	100.00%
ADDITIONAL STATE MATCH	$299,718.00	$299,718.00	$0.00	$0.00	100.00%
VOTING SYSTEMS INTEGRITY CENTER	$0.00	$0.00	$0.00	$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	$0.00	$0.00	$0.00	$0.00	0.00%
IDIS SYSTEM REPLACEMENT	$60,340.00	$59,282.00	$1,058.00	$0.00	98.25%
SUB-TOTAL (GRANTS)	$5,580,700.00	$5,569,636.70	$1,058.00	$10,005.30	99.80%
TOTAL APPROPRIATION	$12,350,300.00	$11,550,984.21	$1,058.00	$798,257.79	93.53%

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	$2,450.00	$1,690.58	$759.42	69.00%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	$1,000.00	$1,000.00	$0.00	100.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	$15,800.00	$13,575.60	$2,224.40	85.92%
1274 Reg. Fees & Conf. Expenses (Vendor)	$500.00	$480.00	$20.00	96.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	$100.00	$0.00	$100.00	0.00%
1277 Association Dues	$800.00	$800.00	$0.00	100.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	$200.00	$187.67	$12.33	93.84%
1286 Travel, Non-State Employee			$0.00	0.00%
1289 Contractual Services, NEC	$250.00	$209.00	$41.00	83.60%
TRAVEL	$19,000.00	$14,531.57	$4,468.43	76.48%
EQUIPMENT				
1510 Office Furniture & Equipment	$800.00	$795.05	$4.95	99.38%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	$0.00	$0.00	$0.00	0.00%

BOARD GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	**$21,100.00**	**$17,942.85**		**$3,157.15**
TRAVEL	**$19,000.00**	**$14,531.57**		**$4,468.43**
EQUIPMENT	**$800.00**	**$795.05**		**$4.95**
TOTAL	**$40,900.00**	**$33,269.47**	**$0.00**	**$7,630.53**

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$699,600.00	$656,347.86	$43,252.14	93.82%
1129 State Paid Retirement	$28,100.00	$25,827.54	$2,272.46	91.91%
1161 Retirement	$0.00	$0.00	$0.00	0.00%
1161-008 Compt. Supplemental Retirement	$0.00	$0.00	$0.00	0.00%
1170 Social Security	$53,600.00	$47,088.97	$6,511.03	87.85%
CONTRACTUAL SERVICE				
1201 Petty Cash	$200.00	$122.13	$77.87	61.07%
1205 Freight Express & Drayage	$700.00	$537.00	$163.00	76.71%
1221 Repair/Maint. Furn./Office Equipment	$12,500.00	$12,001.17	$498.83	96.01%
1223 Repair/Maint. Real Property	$4,500.00	$0.00	$4,500.00	0.00%
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	$23,700.00	$10,156.67	$13,543.33	42.86%
1232 Rental, Motor Vehicles	$4,600.00	$4,600.00	$0.00	100.00%
1233 Rental, Real Property	$206,300.00	$206,216.05	$83.95	99.96%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	$1,400.00	$410.00	$990.00	29.29%
1240 Statistical & Tabulation Services	$12,500.00	$12,463.79	$36.21	99.71%
1245 Professional & Artistic	$5,000.00	$2,777.50	$2,222.50	55.55%
1248 Building & Grounds Maintenance	$9,500.00	$2,525.10	$6,974.90	26.58%
1251 Gas	$9,500.00	$6,962.42	$2,537.58	73.29%
1252 Electricity	$54,400.00	$54,376.46	$23.54	99.96%
1253 Water	$1,000.00	$901.22	$98.78	90.12%
1255 Utilities, NEC	$700.00	$539.42	$160.58	77.06%
1261 Postage	$66,500.00	$66,404.10	$95.90	99.86%
1266 Court Reporting	$800.00	$0.00	$800.00	0.00%
1274 Reg Fees & Conf. Expenses (Vendor)				
1275 Subscription & Information Services	$2,500.00	$1,936.98	$563.02	77.48%
1276 Reg.Fees & Conf. Expenses (Employee)	$1,000.00	$0.00	$1,000.00	0.00%
1277 Association Dues	$2,200.00	$2,145.00	$55.00	97.50%
1279 Tuition - Employee	$1,100.00	$1,065.00	$35.00	96.82%
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	$100.00	$0.00	$100.00	0.00%
1289 Contractual Services, NEC	$2,700.00	$2,617.50	$82.50	96.94%
TRAVEL				
1291 In-State	$18,000.00	$11,703.56	$6,296.44	65.02%
1292 Out-of-State	$1,800.00	$0.00	$1,800.00	0.00%
PRINTING	$7,800.00	$6,726.54	$1,073.46	86.24%
COMMODITIES				
1304 Office/Library Supplies	$13,500.00	$13,402.47	$97.53	99.28%
1391 Household & Cleaning Supplies	$2,600.00	$2,577.88	$22.12	99.15%
1394 Office/Library Equip., Not exc. $100	$400.00	$386.66	$13.34	96.67%
1398 Equipment, NEC	$500.00	$486.69	$13.31	97.34%
1399 Commodities, NEC	$600.00	$596.22	$3.78	99.37%
EQUIPMENT				
1510 Office Furniture & Equipment	$2,400.00	$1,915.13	$484.87	79.80%
1515 EDP Equipment	$700.00	$642.52	$57.48	91.79%
1599 Equipment NEC	$0.00	$0.00	$0.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	$46,900.00	$41,986.57	$4,913.43	89.52%
1722 Rental, Data Comm. Serv. & Equip.	$82,500.00	$47,028.58	$35,471.42	57.00%
1728 Videoconferencing	$6,400.00	$6,207.65	$192.35	96.99%
1729 Rental, Other Comm. Serv. & Equip.	$3,700.00	$1,728.00	$1,972.00	46.70%
1730 Parts & Supplies for Telephone	$500.00	$411.88	$88.12	82.38%
1750 Telephone, Data, Radio & Other Equipmer	$2,100.00	$2,065.00	$35.00	98.33%
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	$1,300.00	$1,292.29	$7.71	99.41%
1894 Parts & Fittings, Auto Equipment	$900.00	$889.61	$10.39	98.85%
1896 Gasoline, Oil & Antifreeze	$2,200.00	$1,827.33	$372.67	83.06%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	$3,400.00	$3,331.15	$68.85	97.98%

ADMINISTRATION GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	**$699,600.00**	**$656,347.86**		**$43,252.14**	**93.82%**
STATE PAID RETIREMENT	**$28,100.00**	**$25,827.54**		**$2,272.46**	**91.91%**
RETIREMENT (incl. supplemental funding)	**$0.00**	**$0.00**		**$0.00**	**0.00%**
SOCIAL SECURITY	**$53,600.00**	**$47,088.97**		**$6,511.03**	**87.85%**
CONTRACTUAL SERVICE	**$423,400.00**	**$388,757.51**		**$34,642.49**	**91.82%**
TRAVEL	**$19,800.00**	**$11,703.56**		**$8,096.44**	**59.11%**
PRINTING	**$7,800.00**	**$6,726.54**		**$1,073.46**	**86.24%**
COMMODITIES	**$17,600.00**	**$17,449.92**		**$150.08**	**99.15%**
EQUIPMENT	**$3,100.00**	**$2,557.65**		**$542.35**	**82.50%**
TELECOMMUNICATIONS	**$142,100.00**	**$99,427.68**		**$42,672.32**	**69.97%**
OPERATION OF AUTO EQUIPMENT	**$4,400.00**	**$4,009.23**		**$390.77**	**91.12%**
INTEREST PAYMENTS	**$3,400.00**	**$3,331.15**		**$68.85**	**97.98%**
TOTAL	**$1,402,900.00**	**$1,263,227.61**	**$0.00**	**$139,672.39**	**90.04%**

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$1,567,000.00	$1,407,783.97	$159,216.03	89.84%
1129 State Paid Retirement	$62,700.00	$55,292.85	$7,407.15	88.19%
1161 Retirement	$0.00	$0.00	$0.00	0.00%
1161-008 Compt. Supplemental Retirement	$0.00	$0.00	$0.00	0.00%
1170 Social Security	$119,800.00	$103,743.15	$16,056.85	86.60%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee	$100.00	$65.00	$35.00	65.00%
1205 Freight Express & Drayage	$4,300.00	$203.71	$4,096.29	4.74%
1221 Repair/Maint. Furn./Office Equipment	$300.00	$250.00	$50.00	83.33%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	$100.00	$0.00	$100.00	0.00%
1239 Rental, NEC	$2,100.00	$720.00	$1,380.00	34.29%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	$20,700.00	$0.00	$20,700.00	0.00%
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	$2,300.00	$855.00	$1,445.00	37.17%
1275 Subscription & Information Services	$2,500.00	$0.00	$2,500.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	$1,600.00	$60.00	$1,540.00	3.75%
1277 Association Dues	$1,900.00	$770.00	$1,130.00	40.53%
1279 Employee Tuition & Fees	$2,500.00	$175.00	$2,325.00	7.00%
1280 Copying, Photographic & Printing Services	$2,300.00	$0.00	$2,300.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1289 Contractual Services, NEC	$16,400.00	$6,824.34	$9,575.66	41.61%
TRAVEL	$48,400.00	$31,178.20	$17,221.80	64.42%
PRINTING	$23,700.00	$13,041.60	$10,658.40	55.03%
EQUIPMENT				
1510 Office Furniture & Equipment	$8,500.00	$8,406.73	$93.27	98.90%
LUMP SUMS & OTHER PURPOSES				
1994 Interest Payments	$10,100.00	$10,021.81	$78.19	99.23%
HAVA - Maintenance of Effort	$550,000.00	$550,000.00	$0.00	100.00%
ELECTION CODE BOOKS	$15,000.00	$13,800.00	$1,200.00	92.00%
Redistricting	$100,000.00	$100,000.00	$0.00	100.00%
Election Judge Reimbursements	$2,152,260.00	$2,152,260.00	$0.00	100.00%
Stipends	$804,895.00	$804,895.00	$0.00	100.00%
Early Voting Election Judges	$520,627.00	$520,627.00	$0.00	0.00%
State HAVA Match	$299,718.00	$299,718.00	$0.00	100.00%
Voting System Integrity Center	$0.00	$0.00	$0.00	0.00%
Electronic Canvassing Implementation	$0.00	$0.00	$0.00	0.00%
IDIS Replacement System	$60,340.00	$59,282.00	$1,058.00	98.25%

ELECTIONS GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	**$1,567,000.00**	**$1,407,783.97**		**$159,216.03**	89.84%
STATE PAID RETIREMENT	**$62,700.00**	**$55,292.85**		**$7,407.15**	88.19%
RETIREMENT (incl. supplemental funding)	**$0.00**	**$0.00**		**$0.00**	0.00%
SOCIAL SECURITY	**$119,800.00**	**$103,743.15**		**$16,056.85**	86.60%
CONTRACTUAL SERVICE	**$57,100.00**	**$9,923.05**		**$47,176.95**	17.38%
TRAVEL	**$48,400.00**	**$31,178.20**		**$17,221.80**	64.42%
PRINTING	**$23,700.00**	**$13,041.60**		**$10,658.40**	55.03%
EQUIPMENT	**$8,500.00**	**$8,406.73**		**$93.27**	98.90%
HAVA - Maintenance of Effort	**$550,000.00**	**$550,000.00**		**$0.00**	100.00%
ELECTION CODE BOOKS	**$15,000.00**	**$13,800.00**		**$1,200.00**	92.00%
Redistricting	**$100,000.00**	**$100,000.00**		**$0.00**	100.00%
Election Judge Reimbursements	**$2,152,260.00**	**$2,152,260.00**		**$0.00**	100.00%
Stipends	**$804,895.00**	**$804,895.00**		**$0.00**	100.00%
Early Voting Election Judges	**$520,627.00**	**$520,627.00**		**$0.00**	100.00%
State HAVA Match	**$299,718.00**	**$299,718.00**		**$0.00**	100.00%
Voting System Integrity Center	**$0.00**	**$0.00**		**$0.00**	0.00%
Electronic Canvassing Implementation	**$0.00**	**$0.00**		**$0.00**	0.00%
IDIS Replacement System	**$60,340.00**	**$59,282.00**	**$1,058.00**	**$0.00**	98.25%
INTEREST PAYMENTS	**$10,100.00**	**$10,021.81**		**$78.19**	99.23%
TOTAL	**$6,400,140.00**	**$6,139,973.36**	**$1,058.00**	**$259,108.64**	95.93%

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$284,600.00	$259,183.95	$25,416.05	91.07%
1129 State Paid Retirement	$11,400.00	$9,870.63	$1,529.37	86.58%
1161 Retirement	$0.00	$0.00	$0.00	0.00%
1170 Social Security	$21,800.00	$19,074.21	$2,725.79	87.50%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage	$600.00	$0.00	$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	$101,900.00	$91,494.98	$10,405.02	89.79%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	$15,000.00	$14,246.40	$753.60	94.98%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	$1,500.00	$1,110.00	$390.00	74.00%
1275 Subscription & Information Services	$1,000.00	$513.36	$486.64	51.34%
1276 Reg. Fees & Conf. Expenses (Employee)	$500.00	$0.00	$500.00	0.00%
1277 Association Dues	$1,100.00	$1,015.00	$85.00	92.27%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	$0.00	$0.00	$0.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	$5,200.00	$5,170.84	$29.16	99.44%
TRAVEL	$8,700.00	$5,620.12	$3,079.88	64.60%
EQUIPMENT				
1510 Office Furniture & Equipment	$500.00	$0.00	$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	$400.00	$356.56	$43.44	89.14%

GENERAL COUNSEL GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$284,600.00	$259,183.95		$25,416.05	91.07%
STATE PAID RETIREMENT	$11,400.00	$9,870.63		$1,529.37	86.58%
RETIREMENT	$0.00	$0.00		$0.00	0.00%
SOCIAL SECURITY	$21,800.00	$19,074.21		$2,725.79	87.50%
CONTRACTUAL SERVICE	$126,800.00	$113,550.58		$13,249.42	89.55%
TRAVEL	$8,700.00	$5,620.12		$3,079.88	64.60%
EQUIPMENT	$500.00	$0.00		$500.00	0.00%
INTEREST PAYMENTS	$400.00	$356.56		$43.44	89.14%
TOTAL	$454,200.00	$407,656.05	$0.00	$46,543.95	89.75%

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$799,800.00	$656,177.11	$143,622.89	82.04%
1129 State Paid Retirement	$32,000.00	$23,135.70	$8,864.30	72.30%
1161 Retirement	$0.00	$0.00	$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	$0.00	$0.00	$0.00	0.00%
1170 Social Security	$61,200.00	$47,665.28	$13,534.72	77.88%
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	$100.00	$0.00	$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment	$1,700.00	$1,607.58	$92.42	94.56%
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC	$3,500.00	$1,846.50	$1,653.50	52.76%
1245 Professional & Artistic Services, NEC	$1,700.00	$0.00	$1,700.00	0.00%
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services	$600.00	$170.00	$430.00	28.33%
1274 Reg Fees & Conf. Expenses (Vendor)	$900.00	$0.00	$900.00	0.00%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	$500.00	$0.00	$500.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	$2,000.00	$1,934.88	$65.12	96.74%
TRAVEL				
1291 In-State	$8,000.00	$5,903.18	$2,096.82	73.79%
1292 Out-of-State	$3,300.00	$1,644.38	$1,655.62	49.83%
PRINTING	$9,200.00	$7,462.47	$1,737.53	81.11%
EQUIPMENT				
1510 Office Furniture & Equipment	$9,300.00	$8,648.21	$651.79	92.99%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	$100.00	$91.39	$8.61	91.39%

CAMPAIGN DISCLOSURE GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$799,800.00	$656,177.11		$143,622.89	82.04%
STATE PAID RETIREMENT	$32,000.00	$23,135.70		$8,864.30	72.30%
RETIREMENT (incl. supplemental funding)	$0.00	$0.00		$0.00	0.00%
SOCIAL SECURITY	$61,200.00	$47,665.28		$13,534.72	77.88%
CONTRACTUAL SERVICE	$11,000.00	$5,558.96		$5,441.04	50.54%
TRAVEL	$11,300.00	$7,547.56		$3,752.44	66.79%
PRINTING	$9,200.00	$7,462.47		$1,737.53	81.11%
EQUIPMENT	$9,300.00	$8,648.21		$651.79	92.99%
INTEREST PAYMENTS	$100.00	$91.39		$8.61	91.39%
TOTAL	$933,900.00	$756,286.68	$0.00	$177,613.32	80.98%

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$672,000.00	$551,720.07	$120,279.93	82.10%
1129 State Paid Retirement	$26,900.00	$21,233.25	$5,666.75	78.93%
1161 Retirement	$0.00	$0.00	$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	$0.00	$0.00	$0.00	0.00%
1170 Social Security	$51,500.00	$41,322.36	$10,177.64	80.24%
CONTRACTUAL SERVICE				
1145 Contractual Payroll	$8,200.00	$8,041.46	$158.54	98.07%
1205 Freight Express & Drayage	$300.00	$256.14	$43.86	85.38%
1221 Repair/Maint. Furn./Office Equipment	$0.00	$0.00	$0.00	0.00%
1223 Repair/Maint. Real Property	$800.00	$748.50	$51.50	93.56%
1225 Repair/Maint. EDP Equipment	$24,400.00	$24,371.25	$28.75	99.88%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC				
1242 Auditing & Management Services	$247,200.00	$240,544.55	$6,655.45	97.31%
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	$20,000.00	$20,000.00	$0.00	100.00%
1271 Surety Bond & Ins. Prem.	$600.00	$350.00	$250.00	58.33%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	$300.00	$0.00	$300.00	0.00%
1275 Subscription & Information Services	$2,600.00	$1,160.97	$1,439.03	44.65%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	$15,300.00	$15,244.50	$55.50	99.64%
1284 Computer Software	$140,600.00	$140,596.09	$3.91	100.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	$800.00	$528.86	$271.14	66.11%
TRAVEL				
1291 In-State	$7,400.00	$7,358.51	$41.49	99.44%
1292 Out-of-State	$0.00	$0.00	$0.00	0.00%
PRINTING	$0.00	$0.00	$0.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	$24,100.00	$24,012.22	$87.78	99.64%
1332 Industrial & Shop Materials				
1394 Office/Library Equip. under $100	$100.00	$54.00	$46.00	54.00%
1398 Equipment, NEC	$4,800.00	$4,788.81	$11.19	99.77%
1399 Commodities, NEC	$600.00	$543.15	$56.85	90.53%
EQUIPMENT				
1510 Office Furniture & Equipment	$38,800.00	$38,750.00	$50.00	99.87%
1515 EDP Equipment	$171,500.00	$159,539.80	$11,960.20	93.03%
TELECOMMUNICATIONS				
1750 Communication/Telephone Equipment	$10,000.00	$10,000.00	$0.00	100.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	$6,600.00	$6,551.85	$48.15	99.27%

INFORMATION TECHNOLOGY GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	**$672,000.00**	**$551,720.07**		**$120,279.93**	**82.10%**
STATE PAID RETIREMENT	**$26,900.00**	**$21,233.25**		**$5,666.75**	**78.93%**
RETIREMENT	**$0.00**	**$0.00**		**$0.00**	**0.00%**
SOCIAL SECURITY	**$51,500.00**	**$41,322.36**		**$10,177.64**	**80.24%**
CONTRACTUAL SERVICE	**$461,100.00**	**$451,842.32**		**$9,257.68**	**97.99%**
TRAVEL	**$7,400.00**	**$7,358.51**		**$41.49**	**99.44%**
PRINTING	**$0.00**	**$0.00**		**$0.00**	**0.00%**
COMMODITIES	**$29,600.00**	**$29,398.18**		**$201.82**	**99.32%**
EQUIPMENT	**$210,300.00**	**$198,289.80**		**$12,010.20**	**94.29%**
TELECOMMUNICATIONS	**$10,000.00**	**$10,000.00**		**$0.00**	**100.00%**
INTEREST PAYMENTS	**$6,600.00**	**$6,551.85**		**$48.15**	**99.27%**
TOTAL	**$1,475,400.00**	**$1,317,716.34**	**$0.00**	**$157,683.66**	**89.31%**

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM MONTH ENDING: Aug. 31, 2010	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE	$209,243.97
1129 State Paid Retirement	$7,675.65
1161 Retirement	$0.00
1170 Social Security	$15,210.48
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	$87.00
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than $100	
EQUIPMENT	
1510 Office Furniture & Equipment	
TELECOMMUNICATIONS	
1750 Telephone, Data, Radio & Other Equipment	$152,804.83
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	$1,247,832.77
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	

LUMP SUM APPROPRIATION FOR YEAR	**$1,642,860.00**
TOTAL LUMP SUM EXPENDITURES	**$1,632,854.70**
REMAINING LUMP SUM APPROPRIATION	**$10,005.30**

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: FY10 HAVA Fiscal Status Reports

DATE: September 9, 2010

Attached for your information are HAVA reports. Most expenditures of HAVA funds are for upgrades to voting equipment to comply with HAVA requirements.

Attachments

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

AGENCY TOTALS MONTH ENDING: Aug. 31, 2010	SECTION 101 Discretionary Funds	SECTION 102 Entitlement Payments	SECTION 261 EAID Disbursements	SECTION 251 Requirements	SECTION 251 Additional Requirements	TOTAL Fund Activity
CASH BALANCE AT BEGINNING OF YR	**$2,962,330.15**	**$136,049.00**	**$0.00**	**$11,029,475.50**	**$0.00**	**$14,127,854.65**
Program Revenues from Federal Govt	$0.00	$0.00	$167,821.56	$0.00	$4,822,248.00	$4,990,069.56
Miscellaneous Revenues						$0.00
Interest Earned on IOC Balances	$19,943.62	$0.00	$0.00	$66,759.93	$14,618.45	$101,322.00
Interest Penalties Received						$0.00
State Match Receipts					$253,803.00	$253,803.00
TOTAL CASH REVENUES	**$19,943.62**	**$0.00**	**$167,821.56**	**$66,759.93**	**$5,090,669.45**	**$5,345,194.56**
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	$79,522.50	$0.00	$0.00	$0.00	$0.00	$79,522.50
STATE-PAID RETIREMENT	$3,181.62	$0.00	$0.00	$0.00	$0.00	$3,181.62
RETIREMENT	$22,571.25	$0.00	$0.00	$0.00	$0.00	$22,571.25
SOCIAL SECURITY	$5,569.04	$0.00	$0.00	$0.00	$0.00	$5,569.04
GROUP INSURANCE	$20,219.97	$0.00	$0.00	$0.00	$0.00	$20,219.97
CONTRACTUAL SERVICE	$22,531.67	$0.00	$0.00	$785,799.95	$0.00	$808,331.62
TRAVEL	$16,124.42	$0.00	$0.00	$0.00	$0.00	$16,124.42
PRINTING	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
COMMODITIES	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
EQUIPMENT	$13,518.50	$0.00	$0.00	$0.00	$0.00	$13,518.50
TELECOMMUNICATIONS	$1,376.38	$0.00	$0.00	$0.00	$0.00	$1,376.38
OPERATION OF AUTO. EQUIPMENT	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
INDIRECT COST REFUNDS	$102,761.58	$0.00	$0.00	$1,172,972.49	$0.00	$1,275,734.07
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	**$287,376.93**	**$0.00**	**$0.00**	**$1,958,772.44**	**$0.00**	**$2,246,149.37**
AWARDS & GRANTS	$0.00	$0.00	$192,875.56	$365,690.00	$0.00	$558,565.56
TOTAL CASH EXPENDITURES	**$287,376.93**	**$0.00**	**$192,875.56**	**$2,324,462.44**	**$0.00**	**$2,804,714.93**
CASH BALANCE AT END OF MONTH	**$2,694,896.84**	**$136,049.00**	**-$25,054.00**	**$8,771,772.99**	**$5,090,669.45**	**$16,668,334.28**

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE	$79,522.50	$79,522.50
1129 State Paid Retirement	$3,181.62	$3,181.62
1161 Retirement	$22,571.25	$22,571.25
1170 Social Security	$5,569.04	$5,569.04
1180 Group Insurance	$20,219.97	$20,219.97
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage	$171.78	$171.78
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services	$13,375.00	$13,375.00
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC	$4,946.90	$4,946.90
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)	$3,072.00	$3,072.00
1276 Registration Fees & Conf. Exp. (Employee)	$399.00	$399.00
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software	$566.99	$566.99
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State	$8,070.45	$8,070.45
1292 Out-of-State	$8,053.97	$8,053.97
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment	$13,518.50	$13,518.50
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.	$442.32	$442.32
1722 Rental, Data Comm. Serv. & Equip.	$934.06	$934.06
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund	$102,761.58	$102,761.58
GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments (PPA)		
4470 Grants to Local Governments (EAI)		
4470 Grants to Local Governments (AAG)		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

SECTION 101 GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	$79,522.50	$79,522.50	
STATE PAID RETIREMENT	$3,181.62	$3,181.62	
RETIREMENT	$22,571.25	$22,571.25	
SOCIAL SECURITY	$5,569.04	$5,569.04	
GROUP INSURANCE	$20,219.97	$20,219.97	
CONTRACTUAL SERVICE	$22,531.67	$22,531.67	$2,003.10
TRAVEL	$16,124.42	$16,124.42	
PRINTING	$0.00	$0.00	
COMMODITIES	$0.00	$0.00	
EQUIPMENT	$13,518.50	$13,518.50	
TELECOMMUNICATIONS	$1,376.38	$1,376.38	
OPERATION OF AUTO EQUIPMENT	$0.00	$0.00	
INDIRECT COST REFUNDS	$102,761.58	$102,761.58	
GRANTS	$0.00	$0.00	
TOTAL	$287,376.93	$287,376.93	$2,003.10

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1394 Office/Library Equip., Not Exceed. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1599 Equipment, NEC		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments, NEC		

SECTION 102 GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	$0.00	$0.00	
STATE PAID RETIREMENT	$0.00	$0.00	
RETIREMENT	$0.00	$0.00	
SOCIAL SECURITY	$0.00	$0.00	
CONTRACTUAL SERVICE	$0.00	$0.00	
TRAVEL	$0.00	$0.00	
PRINTING	$0.00	$0.00	
COMMODITIES	$0.00	$0.00	
EQUIPMENT	$0.00	$0.00	
TELECOMMUNICATIONS	$0.00	$0.00	
OPERATION OF AUTO EQUIPMENT	$0.00	$0.00	
GRANTS	$0.00	$0.00	
TOTAL	$0.00	$0.00	$0.00

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1394 Office/Library Equip., Not exc. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1599 Equipment, NEC		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
GRANTS		
4453 Reimbursement to Governmental Units		
4470 Grants to Local Governments (VAID II)		
4470 Grants to Local Governments (VAID III)	$192,875.56	$192,875.56

SECTION 261 GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	$0.00	$0.00	
STATE PAID RETIREMENT	$0.00	$0.00	
RETIREMENT	$0.00	$0.00	
SOCIAL SECURITY	$0.00	$0.00	
CONTRACTUAL SERVICE	$0.00	$0.00	
TRAVEL	$0.00	$0.00	
PRINTING	$0.00	$0.00	
COMMODITIES	$0.00	$0.00	
EQUIPMENT	$0.00	$0.00	
TELECOMMUNICATIONS	$0.00	$0.00	
OPERATION OF AUTO EQUIPMENT	$0.00	$0.00	
GRANTS	$192,875.56	$192,875.56	
TOTAL	$192,875.56	$192,875.56	$0.00

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services	$583,311.39	$583,311.39
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC	$13,807.84	$13,807.84
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software	$188,680.72	$188,680.72
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund	$1,172,972.49	$1,172,972.49
GRANTS		
4453 Reimbursement to Govt Units (AVE)		
4453 Reimbursement to Govt Units (Phase II)		
4453 Reimbursement to Govt Units (ALA)		
4453 Reimbursement to Govt Units (EDG)	$365,690.00	$365,690.00
4470 Grants to Local Governments (VRS)		
4479 Payments to Other State Agencies		

SECTION 101 GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	$0.00	$0.00	
STATE PAID RETIREMENT	$0.00	$0.00	
RETIREMENT	$0.00	$0.00	
SOCIAL SECURITY	$0.00	$0.00	
GROUP INSURANCE	$0.00	$0.00	
CONTRACTUAL SERVICE	$785,799.95	$785,799.95	$24,100.00
TRAVEL	$0.00	$0.00	
PRINTING	$0.00	$0.00	
COMMODITIES	$0.00	$0.00	
EQUIPMENT	$0.00	$0.00	
TELECOMMUNICATIONS	$0.00	$0.00	
OPERATION OF AUTO EQUIPMENT	$0.00	$0.00	
INDIRECT COST REFUNDS	$1,172,972.49	$1,172,972.49	
GRANTS	$365,690.00	$365,690.00	
TOTAL	$2,324,462.44	$2,324,462.44	$24,100.00

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund		
GRANTS		
4453 Reimbursement to Govt Units (AVE)		
4453 Reimbursement to Govt Units (Phase II)		
4453 Reimbursement to Govt Units (ALA)		
4453 Reimbursement to Govt Units (EDG)		
4470 Grants to Local Governments (VRS)		
4479 Payments to Other State Agencies		

SECTION 101 GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	$0.00	$0.00	
STATE PAID RETIREMENT	$0.00	$0.00	
RETIREMENT	$0.00	$0.00	
SOCIAL SECURITY	$0.00	$0.00	
GROUP INSURANCE	$0.00	$0.00	
CONTRACTUAL SERVICE	$0.00	$0.00	
TRAVEL	$0.00	$0.00	
PRINTING	$0.00	$0.00	
COMMODITIES	$0.00	$0.00	
EQUIPMENT	$0.00	$0.00	
TELECOMMUNICATIONS	$0.00	$0.00	
OPERATION OF AUTO EQUIPMENT	$0.00	$0.00	
INDIRECT COST REFUNDS	$0.00	$0.00	
GRANTS	$0.00	$0.00	
TOTAL	$0.00	$0.00	$0.00

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
EAC Data Collection Grant

AGENCY TOTALS MONTH ENDING: Aug. 31, 2010	TOTAL Fund Activity
CASH BALANCE FROM PREVIOUS FISCAL YEARS	**$97,344.50**
Program Revenues from Federal Govt	$921,187.00
Miscellaneous Revenues	$0.00
Interest Earned on Comptroller Balances	$4,531.00
Interest Penalties Received	$0.00
TOTAL CASH REVENUES	**$925,718.00**
YEAR TO DATE CASH EXPENDITURES	
PERSONAL SERVICE	$0.00
STATE-PAID RETIREMENT	$0.00
RETIREMENT	$0.00
SOCIAL SECURITY	$0.00
GROUP INSURANCE	$0.00
CONTRACTUAL SERVICE	$756,242.89
TRAVEL	$0.00
PRINTING	$0.00
COMMODITIES	$0.00
EQUIPMENT	$0.00
TELECOMMUNICATIONS	$0.00
OPERATION OF AUTO. EQUIPMENT	$0.00
INDIRECT COST REFUNDS	$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	**$756,242.89**
AWARDS & GRANTS	$0.00
TOTAL CASH EXPENDITURES	**$756,242.89**
CASH BALANCE BEFORE OBLIGATED FUNDS	**$266,819.61**
OUTSTANDING CONTRACTUAL OBLIGATIONS	**$0.00**
AVAILABLE CASH BALANCE AT END OF MONTH	**$266,819.61**

STATE BOARD OF ELECTIONS
FY10 MONTHLY FISCAL REPORT
EAC DATA COLLECTION GRANT

MONTH ENDING: Aug. 31, 2010	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services	$756,242.89	$756,242.89
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund		
GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

GRAND TOTAL	FY10 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	$0.00	$0.00	
STATE PAID RETIREMENT	$0.00	$0.00	
RETIREMENT	$0.00	$0.00	
SOCIAL SECURITY	$0.00	$0.00	
GROUP INSURANCE	$0.00	$0.00	
CONTRACTUAL SERVICE	$756,242.89	$756,242.89	
TRAVEL	$0.00	$0.00	
PRINTING	$0.00	$0.00	
COMMODITIES	$0.00	$0.00	
EQUIPMENT	$0.00	$0.00	
TELECOMMUNICATIONS	$0.00	$0.00	
OPERATION OF AUTO EQUIPMENT	$0.00	$0.00	
INDIRECT COST REFUNDS	$0.00	$0.00	
GRANTS	$0.00	$0.00	
TOTAL	$756,242.89	$756,242.89	$0.00

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Daniel W. White, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Two Year Plan of Activity

DATE: September 9, 2010

Attached is the two-year plan of staff activity for the months of September and October for your review and information.

Attachment

Start Date	End Date	Activity	Division
8 /2 /2010		Last day for representative and legislative committees to meet and organize. 10 ILCS	EL INFO
8 /4 /2010		Civic Organization certification cutoff date prior to the November 2010 General Election. 10 ILCS 5/1A-8 (1, 2, 12) (Board Rule)	ET&RD
8 /8 /2010		Begin preparation of packet material for pre-election report for the November 2, 2010 General Election. (Packets to be mailed September 3, 2010.) 10 ILCS 5/9-15	CAMP DISC
8 /12/2010		Begin plans for sending a letter to county clerks asking for the totals number of registered voters and precincts. (Completion date: October 26, 2010) 10 ILCS 5/1A-8 (1, 2, 6)	EL INFO
8 /17/2010		BOARD MEETING. Certification of the November General Election ballot. 10 ILCS 5/1A-7, 5/7-60, 10-14	EXEC DIR
8 /18/2010		Deadline for exemption request. (Rules and Regulations)	VOSS
8 /18/2010		Complete ELECTION JUDGES TRAINING PROGRAM update. 10 ILCS 5/1A-8 (1, 2, 6,	ET&RD
8 /22/2010		The date that a second letter goes out to all delinquent committees advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file a SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations	CAMP DISC
8 /26/2010		Last day for established political parties to fill vacancies in nomination (which occurred after the Primary Election) for congressional, legislative, and representative offices. 10 ILCS 5/7-6	EL INFO
8 /26/2010		Last day for new parties to fill vacancies in nomination (occurring prior to certification) for offices that file with the State Board of Elections. 10 ILCS 5/7-61	EL INFO
8 /27/2010		Certification of established parties, new parties and independent candidates for the General Election ballot to the county clerks. 10 ILCS 5/10-14	EL INFO
9 /2 /2010		Notify election authorities to send referenda information. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS

Start Date	End Date	Activity	Division
9 /2 /2010		Complete updating the GUIDE FOR POLLWATCHERS. (Project began: July 1, 2010) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /3 /2010		The last date to notify political committees that have filed a Statement of Organization of their obligations to file a PRE-ELECTION REPORT OF CAMPAIGN CONTRIBUTIONS or in lieu thereof a Statement of Nonparticipation for the November 2, 2010 General Election. 10 ILCS	CAMP DISC
9 /3 /2010		Send notice (form) to election authorities in order to obtain voting system information to be used for the General Election. (Rules and	VOSS
9 /3 /2010		Arrange to have the LIST OF CANDIDATES FOR THE GENERAL ELECTION booklet available for distribution from both offices. (Certification of the ballot is Sept 2, 2009.) 10 ILCS 5/1A-8 (1, 2, 5, 11)	ET&RD
9 /6 /2010		Last day to transmit objections and call for electoral board hearings. 10 ILCS 5/10-8	EL INFO
9 /6 /2010		Send campaign financing notice of obligation to judges seeking retention. 10 ILCS 5/9-16(7)	CAMP DISC
9 /7 /2010		Last day for US Senator, US Representative, Constitutional officers candidates, and candidates for Supreme and Appellate Court judgeships to submit personal statements and photographs for the internet voters' guide to the State Board of Elections. 10 ILCS 5/12A-	LEG
9 /8 /2010		Send notification to election authorities re: Voting Equipment Test. 10 ILCS 24B-9, 24C-9	VOSS
9 /13/2010		Election authorities must submit to SBE a copy of the specimen ballot that is to be tested for General Election. 10 ILCS 5/24B-9	VOSS
9 /17/2010		Last day for the State Board of Elections to publish the Internet Voters' Guide on the Board's website. 10 ILCS 5/12A-5	LEG
9 /17/2010		Complete pamphlet update. (Project began July 1, 2010.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /17/2010		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the General Election.	VOSS
9 /20/2010		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR

Start Date	End Date	Activity	Division
9 /29/2010		Notify election authorities of their need to give public test notification and re-tabulation notification for General Election.	VOSS
10/1 /2010		Begin testing of automatic tabulating equipment. 10 ILCS 5/24B-9. 24C-9	VOSS
10/1 /2010		Notify Illinois Department of Public Health to obtain listing of facilities licensed or certified under the NURSING HOME CARE REFORM ACT OF 1979. Send appropriate sections to election authorities by October 25, 2010. (10 ILCS 5/19-12.2)	ET&RD
10/1 /2010		Make certain that local election officials have a sufficient inventory of Notice of Obligations for petition filing for the Consolidated Primary (February 22, 2011) and the Consolidated Election (April 5, 2011). 10 ILCS 5/9-15	CAMP DISC
10/1 /2010		Begin planning for the printing and distribution of campaign financing material to political committees for the December 31, 2010 Semi-Annual Report. (Packets to be mailed December 3, 2010.) 10 ILCS 5/9-10	CAMP DISC
10/1 /2010		Notify election authorities of requirement to submit computerized voter registration information for General Election 2010 submission in a format prescribed by the SBE	VRS
10/4 /2010		Begin to develop SBE LEGISLATIVE PROGRAM for the upcoming legislative session, beginning January 2011. Recommendations will be solicited from	LEG
10/4 /2010		First day that a political committee shall file its PRE-ELECTION REPORT OF CAMPAIGN CONTRIBUTIONS or in lieu thereof a Statement of Nonparticipation in the election for the November 2, 2010 General Election with the Board or the Board and county clerk. 10 ILCS 5/9-10	CAMP DISC
10/4 /2010		Complete draft of Board's field and office plan for Election Day.	EL INFO
10/4 /2010		Begin planning for the printing and distribution of campaign financing material to political committees for the Pre-election Report for the February 22, 2011 Consolidated Primary (Packets to be mailed December 23, 2010.) 10	CAMP DISC

Start Date	End Date	Activity	Division
10/4 /2010		First day of period during which any receipt by a political committee of a contribution in an aggregate of more than $500 must be reported within two business days following receipt. 10 ILCS 5/9-10	CAMP DISC
10/5 /2010		Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VOSS
10/5 /2010		Last day for voter registration or transfer registration prior to November 2nd General Election. 10 ILCS 4-6,4-6.2, 4-16, 5/5-5, 5-16.2, 5-23, 6-29,6-50.2	EL INFO
10/6 /2010		First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
10/11/2010		First day for early voting for counties who have permanent polling places. 10 ILCS 5/19A-	EL INFO
10/12/2010		First day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
10/12/2010		Complete profile of pre-election referenda. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS
10/12/2010		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in election. 10 ILCS 24B-15, 24C-15	VOSS
10/15/2010		First day for election authority to submit computerized voter registration file for the General Election 2010 submission. 10 ILCS	VRS
10/15/2010		Complete development of 2011 ELECTION and CAMPAIGN FINANCE CALENDAR. (Project began September 15, 2010) 10 ILCS 5/1A-8 (1 thru 12)	ET&RD
10/18/2010		Last day political committees shall file their PRE-ELECTION REPORT OF CAMPAIGN CONTRIBUTIONS or in lieu thereof a Statement of Nonparticipation in the election for the November 2, 2010 General Election with the Board or the Board and county clerk. 10 ILCS 5/9.10	CAMP DISC

Start Date	End Date	Activity	Division
10/19/2010		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/22/2010		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15	VOSS
10/22/2010		Date those jurisdictions utilizing in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15	VOSS
10/25/2010		Last day for SBE to send list of facilities licensed or certified under the NURSING HOME CARE REFORM ACT to the proper election authorities. 10 ILCS 19-12.2	ET&RD
10/25/2010		Last day for election authority to submit computerized voter registration file for the General Election 2010 submission. 10 ILCS	VRS
10/25/2010		Last day for election authorities to submit request for extension to file computerized voter registration information for the General Election 2010 submission. Rules and Regulations	VRS
10/26/2010		Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% retabulation. 10 ILCS 5/24B-15, 24C-15	VOSS
10/26/2010		Complete the final list of the total number of registered voters and precincts in each county. 10 ILCS 5/1A-8 (1, 2, 6, 11)	EL INFO
10/26/2010		Last day for election authority to notify public of time, date, and place of public test for General Election. 10 ILCS 5/24B-9	VOSS
10/26/2010		Last day of grace period registration, change of address and voting. 10 ILCS 5/4-50, 5-50, 6-	EL INFO
10/26/2010		Date upon which the State Board of Elections shall notify political committees that have failed to file a PRE-ELECTION REPORT OF CAMPAIGN CONTRIBUTIONS or in lieu thereof a Statement of Nonparticipation for the November 2, 2010 General Election that an assessment will be levied against the committee for failure to file the report or statement in a timely fashion. Rules and	CAMP DISC

Start Date	End Date	Activity	Division
10/28/2010		Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VOSS
10/28/2010		Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
11/1 /2010		Last day of period during which any receipt by a political committee of a contribution in an aggregate of more than $500 must be reported within 2 business days following its receipt. 10 ILCS 5/9-10	CAMP DISC
11/1 /2010		Last day for election authoritities to send a sealed copy of each tested porgram for the upcoming election to the State Board of Elections. The SBE secures the programs until the next General Election, and if no contest or appeal is pending - destroys them	VOSS
11/1 /2010		Begin planning for the preparation of packet material for political committees for Pre-Election Report for February 22, 2011 Consolidated General Primary Election. (Packets to be mailed December 23, 2010.) 10	CAMP DISC
11/1 /2010		Begin preparation of packet material for Semi-Annual Reports. Packets to be mailed December 3, 2010. 10 ILCS 5/9-10, 9-16(7)	CAMP DISC
11/2 /2010		GENERAL ELECTION	EL INFO
11/3 /2010		Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15	VOSS
11/8 /2010		The date that a second letter goes out to all delinquent committees advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file a Pre-Election Report or in lieu thereof a Statement Of Nonparticipation for the Nov 2, 2010 General Election. Rules and Regulations	CAMP DISC
11/9 /2010		Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the General Election. (Rules and Regulations)	VOSS

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **10 AP 031**

Illinois Fair Map Amendment Initiative S 10227
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment
For Failure to timely File two Schedule A-1s for the 2010 Consolidated Primary Election

The Committee received two $5000 contributions, one on 1/8/10 and the other on 1/10/10, but did not report them on a Schedule A-1 until 1/27/10, 14 days late, resulting in a civil penalty assessment of $10,000. In addition, the Committee had previously been assessed a $25 civil penalty (not appealed, stayed) for delinquent filing of the 2009 December Semi-Annual Report. The total assessment is $10,025.

Mary Schaafsma, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Schaafsma states that the Committee did not file the Schedule A-1 reports on time because it believed it was not required to file them since the Committee did not support or oppose any candidate or public question on the ballot at the February 2, 2010 General Primary Election.

A review of the Committee's June 2010 Semi-Annual Report shows it did not in fact make expenditures related to the General Primary Election, so it was not required to file the Schedule A-1 reports in question. I therefore recommend the appeal be granted. If this recommendation is accepted by the Board the original $25 civil penalty assessment would again be stayed.

Tom Newman – Hearing Examiner
August 20, 2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

June 17, 2010

IL Fair Map Amendment Initiative
Mary Schaafsma
332 S Michigan Ave, ste 1150
Chicago, IL 60604

S10227

Dear IL Fair Map Amendment Initiative;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than $500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Fine Assessed	Amount of Contribution	Date A-1 Received	Days Late
Citizens for Christine Radogno	1/10/10	5000.00	5000.00	1/27/10	14
Citizens to Elect Tom Cross	1/8/10	5000.00	5000.00	1/27/10	14

The committee is fined a **total** of $10000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total ***does not*** reflect any previously assessed fines.

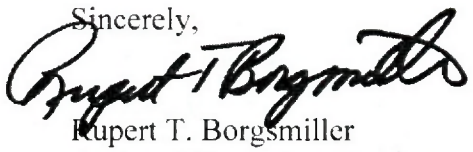
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $1000.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-1543.

Sincerely,



Rupert T. Borgsmiller
Assistant Executive Director

RTB: sk
cc: Officer(s), Candidate(s)

* This contribution was reported on the December 2009 Semi-annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than $500.00, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than $500.00" threshold and was used as the basis for the fine assessment.

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:	)	
	)	
ILLINOIS STATE BOARD OF ELECTIONS,	)	
	)	
Complainant,	)	NO. 10AP031
	)	
vs.	)	
	)	
ILLINOIS FAIR MAP AMENDMENT INITIATIVE,	)	
	)	
	)	
Respondent.	)	

CHICAGO
JUL 14 AM 10:46
STATE
BOARD OF ELECTIONS

APPEAL AFFIDAVIT OF MARY H. SCHAAFSMA

I, the Affiant, MARY H. SCHAAFSMA, having been first duly sworn upon oath, do state and depose that I have personal knowledge of all facts which follow and, if called, I could competently testify that:

1. I am the Treasurer of Illinois Fair Map Amendment Initiative (hereafter the "Committee") and was the Treasurer of the Committee between January 1, 2010 and February 2, 2010.

2. The Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, as set forth herein.

3. On January 10, 2010, the Committee received a $5,000 contribution from Citizens for Christine Radogno (the "Radogno Contribution").

4. As Treasurer, I reported the Radogno Contribution on a Schedule A-1 which was received by the State Board of Elections on January 27, 2010.

5. On January 8, 2010, the Committee received a $5,000 contribution from Citizens to Elect Tom Cross (the "Cross Contribution").

6. As Treasurer, I reported the Cross Contribution on a Schedule A-1 which was received by the State Board of Elections on January 27, 2010.

7. As Treasurer, I failed to report the Radogno Contribution and the Cross Contribution within two (2) business days of receipt of each respective contribution due to inadvertence and a misunderstanding of the filing requirements.

8. In particular, at the time the Committee received the Radogno Contribution and the Cross Contribution, the Committee did not support or oppose any candidate or public question on the ballot at the general primary election held on February 2, 2010.

9. Because the Committee did not support or oppose any candidate or public question on the ballot at the general primary election on February 2, 2010, I mistakenly believed that the Committee was not required to file Schedule A-1 reports for receipt of contributions of more than $500 within two (2) business days.

10. The Committee's receipt of the Radogno Contribution and the Cross Contribution was reported such that the reports were filed only fourteen (14) days late.

11. The reports disclosing receipt of the Radogno Contribution and the Cross Contribution were filed on January 27, 2010, six (6) days before the general primary election held on February 2, 2010.

12. As evidenced by the above-described facts, it was not my intention to fail to timely file a report regarding receipt of the Radogno Contribution and the Cross Contribution, and my failure to timely file the required reports was inadvertent and solely as a result of my

misunderstanding of the filing requirements of a committee which did not support or oppose a candidate or public question on the ballot at the February 2, 2010 general primary election.

Further Affiant saith not.

MARY H. SCHAAFMSA

SUBSCRIBED and SWORN to before me this 8 day of July, 2010.

Notary Public

"OFFICIAL SEAL"
WILFREDO NUNEZ
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 06/13/2012

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **10 DS 217**

Vermilion County Young Republicans L13289
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment
For Delinquently Filing the 2009 December Semi-Annual Report

The Semi-Annual Report was received by the Board on March 31, 2010, 48 days late, resulting in a civil penalty assessment of $2400. In addition, the Committee had previously been assessed a $75 civil penalty (not appealed, stayed) for delinquently filing the 2008 December Semi-Annual Report. The total assessment is $2475.

Dennis Miller, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Miller states that the Committee's current Treasurer, Doug Kirk, was responsible for the failure to timely file the report. Mr. Miller adds that when he became aware of the problem he began a prolonged effort to obtain access to the Committee's financial records and was eventually able to get the report filed. He adds that there has been no financial activity for the Committee in the past two years, and they are in the process of relieving Mr. Kirk of his title and responsibilities.

I recommend the appeal be denied for lack of an adequate defense. It is the Committee's responsibility to ensure its officers are carrying out their duties and to make sure disclosure reports are being filed on time. If this recommendation is accepted by the Board, the total assessment of $2475 will be due and owing.

Tom Newman – Hearing Examiner
April 30, 2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

April 6, 2010

Vermilion County Young Republicans
Dennis Miller
24 Dodge Ave
Danville, IL 61832

L13289

Dear Vermilion County Young Republicans;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2009 through December 31, 2009
Filing Period:	January 4, 2010 through January 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on March 31, 2010, 48 day(s) late. As such, this committee has been assessed a fine of $2400.00.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
7/1/2008 – 12/31/2008	Semi-annual	$75.00
TOTAL AMOUNT NOW DUE		**$2475.00**

If you have any questions regarding the appeal process, please call Sue Klos at 217/782-1543.

Sincerely,

Rupert T. Borgsmiller
Assistant Executive Director

RTB: sk
Enclosure(s) appeal documents

State of Illinois)
)
County of Vermilion)

STATE BOARD OF ELECTIONS
10 APR 19 AM 9:22

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 10DS217
)
Vermilion County Young Republicans)
Respondent(s).)

APPEAL AFFIDAVIT

I, Dennis Miller, the President of the
(Name) (Chairman/Treasurer)

Vermilion County Young Republicans
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached

Signed and Sworn to by:
Dennis Miller
before me this 14th Day of
April, 2010

Notary Public

(Signature of Chairman/Treasurer)

"OFFICIAL SEAL"
JASON HOLDREN
Notary Public, State of Illinois
My commission expires 01/26/13

To: Hearing Examiner, Illinois State Board of Elections

From: Dennis Miller, President, Vermilion County Young Republicans

Re: Appeal of Civil Penalty Assessment

Date: April 12, 2010

On behalf of the Vermilion County Young Republicans, I am writing to appeal the assessment of a civil penalty in the amount of $2,400 assessed to the Vermilion County Young Republicans, a local political committee, for failure to file a Semi-Annual Report of Campaign Contributions and Expenditures for the reporting period of July 1, 2009 to December 31, 2009.

This penalty is being appealed on the following bases:

- The amount of the penalty exceeds the available funds of said committee.
- The committee has been fiscally dormant and had no receipts, expenditures, or other financial transactions in the last two years.
- The report was filed immediately upon the current President of said committee receiving the fiscal documents required to do so. The committee is in the process of relieving the treasurer of his title and responsibilities as an executive member of the committee.

Available Funds of the Committee

At the time the above mentioned penalty was assessed and as of today's date, the value of all resources available to the Vermilion County Young Republicans totals the sum of $1,711.92. This includes a checking account with a current balance of $1,686.92 and a savings account with a current balance of $25. These two accounts held at the Education Personnel Federal Credit Union located at 1102 N. Walnut, Danville, IL 61832 represent the total assets of the committee.

Dormant Fiscal State of the Committee

This committee has been fiscally dormant for a period exceeding two years. According the attached bank records, the last financial transaction for the committee occurred on October 8, 2008. This date is prior to the current executive committee taking office. During the filing period ending December 31, 2009, there were no receipts, expenditures, or other financial transactions for the committee, nor were there any transactions during the preceding filing period.

Executive Committee Transition/Record Availability

The executive committee of the Vermilion County Young Republicans is in the process of transitioning to a new treasurer. The current treasurer, Doug Kirk, was the sole record keeper of the committee's financial records during the filing period of January 4, 2010 through January 20, 2010. Upon receiving notification from the Board that the reports had not been filed prior to the

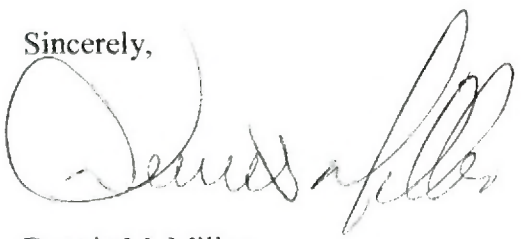
deadline of January 20, 2010, the president (Dennis Miller) contacted the treasurer of the committee to determine if the reports had been filed. The treasurer indicated at that time that all necessary reports would be filed before the deadline of January 20, 2010. Immediately upon receiving notification that the Semi-Annual Report had not been filed by the deadline of January 20, 2010, the president of the committee began attempting to contact the treasurer to obtain access to the banking records required to file the report. The president made repeated and regular attempts to contact the treasurer. Upon receiving notice from the State Board of Elections that a pre-complaint hearing had been scheduled, the president, Dennis Miller, contacted the Education Personnel Federal Credit Union on March 24, 2010 to request copies of the committee's historical statements. The financial institution indicated that the records were available, however in order for the financial institution to release the records to the president to obtain the records, the treasurer had to first contact the credit union to add the president as an authorized user. The attached banking records indicate that the records were printed by the financial institution on March 24, 2010. On March 29, 2010, the president was notified by the treasurer via voicemail that he was now an authorized user on the checking and savings accounts, and that the historical records were available to be picked up from the Education Personnel Credit Union. The delinquent report was completed on March 29, 2010 and mailed overnight to the Illinois State Board of Elections along with a current D-1 Statement of Organization on March 30, 2010. The receipt from the State Board of Elections indicates that the reports were postmarked on March 30, 2010 and received by the Board on March 31, 2010.

Per the attached documentation, the committee is waiving our right to a hearing, however I am requesting that the Hearing Examiner assigned to this case contact me to discuss this in further detail. Below is my contact information:

- Home phone: (217) 431 – 5589
- Cell phone: (217) 260-9697
- E-mail: mille107@gmail.com

Please accept my sincerest apologies on behalf of the Vermilion County Young Republicans for the delinquent filing of the Semi-Annual Report. I request that you take into consideration the above situations when reviewing this case for appeal. I am requesting that the Board either waive the assessed penalty or reduce the penalty to an amount less than or equal to the funds available to the committee.

Sincerely,



Dennis M. Miller
President, Vermilion County Young Republicans

Checking

DOUGLAS YOUNG REPUBLICANS KIRK ACCT: [redacted] 503 PAGE 1
24MAR2010 - 01JAN2005 TRAN ( ) SRC ( )

ENTRY	TRANCODE	AMOUNT	ITEM #	BALANCE	EFF	EMP.NO SUPV
08OCT2008 946652	WDL	600.00- CC63127		1,686.92		1 TIME 10:5
14JUN2006 646	WDRC 1038674	250.00-	526	2,286.92		974 TIME 21:3
01MAR2006 562	WDRC 1115146	40.00-	525	2,536.92		706 TIME 21:3
07JAN2006 622695	WDL	45.35-		2,576.92		3 TIME 09:5
07JAN2006 622694	DEP	280.00		2,622.27		3 TIME 09:5
10DEC2005 417847	DEP	216.29		2,342.27		2 TIME 10:1
01DEC2005 618964	DEP	250.00		2,125.98		3 TIME 12:4
16NOV2005 416397	WDL	3.00-		1,875.98		2 TIME 13:4
16NOV2005 416396	WDL	575.00- CC54823		1,878.98		2 TIME 13:4
16NOV2005 416395	DEP	2,140.00		2,453.98		2 TIME 13:4
08OCT2005 613273	DEP	48.98		313.98		3 TIME 11:2
17SEP2005 771961	DEP	5.00		265.00		1 TIME 09:4
10SEP2005 770970	DEP	260.00		260.00		1 TIME 11:0

BEGINNING BALANCE 0.00

HARDCOPY PRINTED ON 24MAR2010 AT 12:46 BY TELLER NUMBER 1

EDUCATION PERSONNEL
FEDERAL CREDIT UNION
1102 N. WALNUT
DANVILLE, IL 61832

3242010

ings

S00 PAGE 1
SRC ( )

DOUGLAS YOUNG REPUBLICANS KIRK ACCT: [redacted] TRAN ( )
24MAR2010 - 01JAN2005

EMP.NO SUPV
1
TIME 11:01

ENTRY	TRANCODE	AMOUNT	ITEM #	BALANCE	EFF
10SEP2005	DEP	25.00		25.00	
770970					

BEGINNING BALANCE 0.00

HARDCOPY PRINTED ON 24MAR2010 AT 12:46 BY TELLER NUMBER 1

EDUCATION PERSONNEL
FEDERAL CREDIT UNION
1102 N. WALNUT
DANVILLE, IL 61832

HB 3-24-2010

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **09 JS 261**

Vermilion County Young Republicans L13289
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2009 Semi-Annual Report

The Report was received on May 7, 2010, 199 days late, resulting in a civil penalty assessment of $5000. The Committee was previously assessed $75 (not appealed) for delinquently filing the December 2008 Semi Annual Report and $2400 (appeal pending) for delinquently filing the December 2009 Semi Annual Report.

Dennis Miller, the Chairman of the Committee, filed an Appeal Affidavit in this matter. He did not appear at a hearing scheduled for August 10, 2010, at 10am, in the Board's Springfield office. Mr. Miller called the Springfield office the following day and indicated he had missed the hearing because of a doctor's appointment. In a follow-up phone conversation, he said he understands the importance of resolving the matter at hand.

On the Affidavit, Mr. Miller did not specify a reason for the delinquent filing. He noted in the August 11th phone call with the hearing examiner that he did not become chairman of the Committee until this year. Mr. Miller said he had great difficulty contacting a past Treasurer in an effort to determine why the Report had not been filed but ultimately was able to acquire enough information to file the report in May. He requested a reduced penalty of $1000 as a settlement of all outstanding assessments owed by the Committee.

I recommend that the appeal be denied. It is outside the authority of the hearing examiner to recommend adjudication of this matter in the manner sought by the respondent. If the Board accepts this recommendation, the stay on the $75 assessment would be lifted, and a total of $5075 would be due and owing.

John Levin – Hearing Examiner
August 10, 2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

June 17, 2010

Vermilion County Young Republicans
Doug Kirk
703 N Webster
Catlin, IL 61817

L13289

Dear Vermilion County Young Republicans;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2009 through June 30, 2009
Filing Period:	July 1, 2009 through July 20, 2009

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 7, 2010, 199 day(s) late. As such, this committee has been assessed a fine of $5000.00.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
7/1/2008 – 12/31/2008	Semi-annual	$75.00
7/1/2009 – 12/31/2009	Semi-annual	Pending appeal
TOTAL AMOUNT NOW DUE		**$5075.00**

If you have any questions regarding the appeal process, please call Sue Klos at 217/782-1543.

Sincerely,

Rupert T. Borgsmiller
Assistant Executive Director

RTB: sk
Enclosure(s) appeal documents

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS
10 JUL 14 AM 11:52

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 09 JS 261
)
Vermilion County Young Republicans)
Respondent(s).)

APPEAL AFFIDAVIT

I, Dennis Miller, the Chairman/President of the
(Name) (Chairman/Treasurer)

Vermilion County Young Republicans
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Committee is requesting a reduced penalty. Committee is proposing a penalty of $1,000 as a settlement of all outstanding penalties and fines related to Delinquent Filings.

Signed and Sworn to by:
DENNIS MILLER
before me this 13th Day of
July ~~2009~~2010
Teresa A. Fries
Notary Public

Dennis Miller
(Signature of Chairman/Treasurer)

OFFICIAL SEAL
TERESA A FRIES
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:02/01/11

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

vs. **10 MA 011**

Citizens to Elect Tim Brouillet County Recorder L 14656
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment
For Delinquently Filing the 2009 June Semi-Annual Report and
2009 December Semi-Annual Report

A Final Report was received by the Board on April 19, 2010, making the 2009 June Semi-Annual Report 185 days late, resulting in a civil penalty assessment of $4625, and the December 2009 Semi-Annual Report 61 days late, resulting in a civil penalty assessment of $3050. The total assessment is $7675.

Tim Brouillet, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Brouillet states that immediately after the 2008 General Election the Committee tried to electronically file its Final Report, but it was rejected. He says each time the committee received a notice to file another Semi-Annual Report they would again try to file electronically, and the report was again rejected. Mr. Brouillet says after finally receiving help over the phone, he learned his committee was not required to file its reports electronically, so the Final Report was filed on paper through the mail.

Section 100.150(c)(5) of the Board's Rules and Regulations states, *"If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal"*. Since this Committee was not required to file its reports electronically, an electronic filing defense is not applicable. In any case, whatever difficulties the Committee may have faced with electronic filing, it had a responsibility to make sure its reports were successfully filed. Mr. Brouillet knew the Committee's attempts to file electronically were unsuccessful, but he apparently did not feel the need to continue trying or to contact Board staff for assistance. When he finally did call for help, the matter was quickly resolved. This could and should have been done when the Committee first attempt to file a Final Report was unsuccessful. For this reason I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment is $7675. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.

Tom Newman – Hearing Examiner
August 20, 2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

June 17, 2010

Citizens to Elect Tim Brouillet County Recorder
Tim Brouillet
962 S Spruce Ln
Saint Anne, IL 60964

L14656

Dear Citizens to Elect Tim Brouillet County Recorder;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2009 through June 30, 2009
Filing Period:	July 1, 2009 through July 20, 2009

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on April 19, 2010, 185 day(s) late. As such, this committee has been assessed a fine of $4625.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2009 through December 31, 2009
Filing Period:	January 4, 2010 through January 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 19, 2010, 61 day(s) late. As such, this committee has been assessed a fine of $3050.00.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

If you have questions regarding the appeal process, please call Sue Klos at 217/782-1543.

Sincerely,

Rupert T. Borgsmiller
Assistant Executive Director

RTB:sk
Enclosure(s): appeal forms

State of Illinois)
)
County of KANKAKEE)

STATE BOARD OF ELECTIONS
10 JUL 16 PM 12:11

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 10 MA 011
)
CITIZENS to ELECT TIM BROUILLET)
Respondent(s). COUNTY RECORDER)

APPEAL AFFIDAVIT

I, TIM BROUILLET (Name), the CHAIRMAN (Chairman/Treasurer) of the

CITIZENS to ELECT TIM BROUILLET COUNTY RECORDER
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

IMMEDIATELY AFTER ELECTION, WE TRIED TO FILE ELECTRONICALLY OUR FINAL REPORT. IT WAS ALWAYS REJECTED, EACH TIME AFTER RECEIVING NOTICES FOR SEMI ANNUAL REPORTS, WE WOULD TRY AGAIN ELECTRONICALLY & REQUEST WAS ALWAYS REJECTED, AFTER RECEIVING HELP OVER THE PHONE, WE WERE INFORMED THAT WE DIDN'T EVEN NEED TO FILE ELECTRONICALLY,
continued.

Signed and Sworn to by:
TIMOTHY J. BROUILLET
before me this 13 Day of
July, 2010
Stephanie Bohlen
Notary Public

OFFICIAL SEAL
STEPHANIE BOHLEN
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:03/15/11

Timothy J. Brouillet
(Signature of Chairman/Treasurer)

continuation.

Appeal Affidavit

Our Committee Never Had Expenditures/Contributions Equalling $10,000.00. I Then Followed Up By Filing Our D-2 By Mail & Received The Receipt Shortly Afterward. At This Time, We Felt Our Committee Had Been Closed & All Necessary Information & Forms Received By State. We Wish For All Assessment of Penalties To Be Forgiven.

Citizens to Elect Tim Brouillet County Recorder
962 S Spruce Ln
Saint Anne, IL 60964-5334

State of Illinois
State Board of Elections
Campaign Disclosure Division

1020 South Spring St.
PO Box 4187
Springfield, Illinois 62708

100 West Randolph St.
Suite 14-100
Chicago, Illinois 60601

L 14656
Citizens to Elect Tim Brouillet County Recorder

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee.
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

4/19/2010 9:29:08AM Received in Springfield D-2 Final Report

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

vs. **09 JS 263**

Friends of Laura Bertucci Smith S 8163
Respondent

REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment
For Delinquently Filing the 2009 June Semi-Annual Report

The Report was received by the Board on May 17, 2010, 205 days late, resulting in a civil penalty assessment of $5000. In addition, the Committee has previously been assessed a $25 civil penalty (not appealed, unpaid) for delinquently filing the 2006 December Semi-Annual Report, and a $1050 civil penalty (not appealed, unpaid) for delinquent filings of two Schedule A-1s for the 2008 General Election. The total assessment is $6075.

William Smith, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Smith states that he believed he had previously filed the Final Report. When he learned the report had not been filed, he no longer had access to the computer file needed to upload the report. He says he finally received assistance from Board staff and was able to file the Final Report.

I find it difficult to believe Mr. Smith's statement that he believed he had filed the Final Report. The Committee had filed a Semi-Annual Report on 1/16/09 that had a problem with a negative balance, resulting in the need for an amended filing. The Committee was contacted about this need and was also sent packets and reminder letters for subsequent reporting periods, but did not respond until over a year after its last filing. It should have been clear to the Committee that the Board did not consider the Committee to be closed. For this reason I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment is $6075. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.

Tom Newman – Hearing Examiner
August 20, 2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

June 17, 2010

Friends of Laura Bertucci Smith
William Smith, Laura Bertucci Smith
1440 N Bell
Chicago, IL 60622

S8163

Dear Friends of Laura Bertucci Smith;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2009 through June 30, 2009
Filing Period:	July 1, 2009 through July 20, 2009

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 17, 2010, 205 day(s) late. As such, this committee has been assessed a fine of $5000.00.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
7/1/2006 – 12/31/2006	Semi-annual	$25.00
10/6/2008 – 11/3/2008	Schedule A-1	$1050
TOTAL AMOUNT NOW DUE		**$6075.00**

If you have any questions regarding the appeal process, please call Sue Klos at 217/782-1543.

Sincerely,

Rupert T. Borgsmiller
Assistant Executive Director

RTB: sk
Enclosure(s) appeal documents

STATE BOARD OF ELECTIONS
10 JUL 19 AM 8:16

State of Illinois)
)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 09 TS 263
)
friends of Lum Bertucci Smith)
Respondent(s).)

APPEAL AFFIDAVIT

I, William Smith (Name), the Chairman friends of Lum Bertucci Smith (Chairman/Treasurer) of the friends of Lum Bertucci Smith (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I believed I had filed our final report. Only I learned we had not. I no longer had the computer with the file to make an electronic filing. Finally this time I spoke with someone at the Board of Elections who explained how to make such an emailed filing and did so.

Signed and Sworn to by:

before me this 15th Day of July, 2009 2010

Kelly Hales
Notary Public

"OFFICIAL SEAL"
Kelly D Hales
Notary Public, State of Illinois
My Commission Expires 4/2/2013

(Signature of Chairman/Treasurer)

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v. **10 AP 028**

Citizens to Elect Darlene Senger S 9722

Respondent

REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment
For Failure to File a Schedule A-1 for the 2010 Consolidated Primary Election

The Committee received a $1000 contribution on 1/14/10 but did not report it electronically as required until 3/25/10, 10 days late, resulting in a civil penalty assessment of $1000.

Darlene Senger, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Senger states that the Committee filed the A-1 in question by facsimile on 1/15/10 but was then informed by the Board that the donation had to be filed electronically. She says the electronic filing program used by the Committee (E.L.E.C.T.) would not allow her to file the A-1 electronically because the January 16 deadline had passed. She then came into the Board's Springfield office where she was able to successfully file the A-1 electronically.

Section 100.150 of the Board's Rules and Regulations states:

> *c) Once a committee is required to file its reports electronically under Section 9-28 of the Election Code, it must continue to file all reports (semiannual, amended semiannual, pre-election, amended pre-election, final, amended final, Schedule A-1) electronically, except as follows:*
>
> *1) A paper report shall be considered a timely filing if it is received by the Board on or before the filing deadline, provided that it covers the initial reporting period during which the mandatory electronic filing threshold is exceeded and that the report is filed electronically within 30 days after receipt of notice from the Board that this report was required to have been filed electronically. If the report is not filed electronically within this 30 day period, it shall be considered as never having been filed and the civil*

penalties mandated by 26 Ill. Adm. Code 125.425 will accrue from the date of the filing deadline.

The Committee was sent the required electronic filing notice on 1/28/10, giving it until March 1 to re-file the Schedule A-1 electronically. While I cannot speak to any difficulties the Committee may have had using the E.L.E.C.T. software it did have a full month to address those problems or find another way to file the report, which it eventually did by coming into the Board's office. I therefore recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and that this is the first election for which the Committee failed to file a Schedule A-1, I also recommend that the penalty be reduced to 10% of the original assessment, or $100. If this recommendation is accepted by the Board, the $100 civil penalty will be stayed.

Tom Newman – Hearing Examiner
August 20, 2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

June 17, 2010

Ctizens to Elect Darlene Senger
Darlene Senger
598 S Whispering Hills Dr
Naperville, IL 60540

S9722

Dear Ctizens to Elect Darlene Senger;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than $500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Fine Assessed	Amount of Contribution	Date A-1 Received	Days Late
Associated Beer Distributors of IL	1/14/10	1000.00	1000.00	3/25/10	10

The committee is fined a **total** of $1000.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total ***does not*** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $100.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-1543.

Sincerely,

Rupert T. Borgsmiller
Assistant Executive Director

RTB: sk
cc: Officer(s), Candidate(s)

* This contribution was reported on the December 2009 Semi-annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than $500.00, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than $500.00" threshold and was used as the basis for the fine assessment.

State of Illinois)
)
County of DUPAGE)

STATE BOARD OF ELECTIONS
10 JUN 23 AM 10:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 10 AP 038
)
CITIZENS TO ELECT DARLENE SENGER)
Respondent(s).)

APPEAL AFFIDAVIT

I, Darlene Senger (Name), the Chairman/Treasurer (Chairman/Treasurer) of the CITIZENS TO ELECT DARLENE SENGER (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See ATTACHMENT

Signed and Sworn to by:
RANDY KANTNER
before me this 24th Day of
JUNE, ~~2009~~ 2010
Randy Kantner
Notary Public

Darlene Senger
(Signature of Chairman/Treasurer)

OFFICIAL SEAL
Randy Kantner
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 1-04-2

June 23, 2010

State Board of Elections
1020 S. Spring St.
Springfield, IL 62704

To Whom It May Concern,

In order to clear up any misconceptions in my Schedule A-1 filing, I would like to explain the series of events in January of 2010.

I received a donation in the amount of $1,000 on January 14, 2010 from the Associated Beer Distributors PAC. I did not realize that the donation had to be filed electronically by January 16, 2010, and instead I filed by facsimile, the donation on January 15, 2010.

I subsequently received a letter from the State Board of Elections requesting that I file my donation electronically. However, the campaign program that I have (E.L.E.C.T.) would not allow me to file electronically because the January 16, 2010 deadline had passed.

I then filed my donation electronically in Springfield at the State Board of Elections on March 26, 2010.

Please let me know if you have any further questions I trust that my actions are explained thoroughly. I remain,

Yours in Service,

Darlene J. Senger

Darlene Senger
Illinois State Representative 96th District

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs. 10 DS 256

Working People's Campaign Committee for
Elections of Honest IL Governors S9920
Respondent

REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment for Delinquently Filing
The December 2009 Semi-Annual Report

The Report was received by the Board on 5/24/10, 85 days late, resulting in a civil penalty assessment of $2,125. The total assessment is $2,125.

Dr. Israel Garth Richardson, the candidate of the committee, appeared on August 10, 2010 for the appeal hearing.

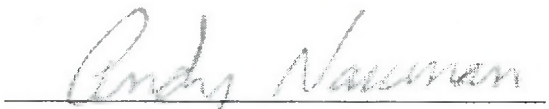
In the hearing Dr. Richardson read part of 5/9-10 (b) "However, a continuing political committee that does not make an expenditure or expenditures in an aggregate amount of more than $500 on behalf of or in opposition to any (i) candidate or candidates, (ii) public question or questions, or (iii) candidate or candidates and public question or questions on the ballot at an election shall not be required to file the reports prescribed in this subsection (b) and subsection (b-5) but may file in lieu thereof a Statement of Nonparticipation in the Election with the Board or the Board and the county clerk; except that if the political committee, by the terms of its statement of organization filed in accordance with this Article, is organized to support or oppose a candidate or public question on the ballot at the next election or primary, that committee must file reports required by this subsection (b) and by subsection (b-5)." It is my understanding that Dr. Richardson believes since he was not participating in the election it was his interpretation that he was not required to file the 2009 December Semi-Annual Report. Dr. Richardson also read part of Section 125.70 "Any party involved in any proceeding conducted pursuant to this Part shall have the right to the presence and participation of additional persons, in addition to, or instead of an attorney, in order to provide technical assistance and consultation." With this Dr. Richardson persisted that Executive Director, Daniel W. White, Ms. Sue Klos, General Counsel Steve Sandvoss and Governor Patrick Quinn must be present and called as witnesses for their testimony regarding application of the statutes. Dr. Richardson then questioned if any of these individuals were given notice by the State Board of Elections that they should be present as per his appeal affidavit. I informed Dr. Richardson that Sue Klos, Steve Sandvoss and Dan White could have potentially seen the appeal affidavit with the positions they hold at the State Board of Elections but I can only speculate. As for Patrick Quinn I informed him that to my knowledge he would not have been given notice by the State Board of Elections. I requested Dr. Richardson to put in writing the basis why he believes these individuals must be present and to what they are going to specifically testify on regarding this appeal hearing and its relevance. Dr. Richardson then responded by reading from his appeal affidavit attachments, Under, and Pursuant to:..... Article IV

of the Constitution, Article VI of the Constitution, Amendment IV of the Constitution and Amendment VII of the Constitution in attempt to explain why these individuals need to be present. He then went into Due process and stated that Dan White, Sue Klos, Steve Sandvoss need to be called because they know the statute perfectly and they will be able to explain the statute to him. As for Mr. Quinn he would like all documents and records from his campaign committee so he can submit them as evidence so he can further evaluate how they may pertain to this matter. I informed Dr. Richardson that I would like to move forward with the appeal hearing and I could attempt to explain any questions he may have in regards to the statute or I could possibly get a person on the State Board of Elections staff to try to explain the statute to him. Dr. Richardson apparently did not like the options I gave him so I gave him the option of having a continuance on this matter until August 24, 2010 at 11:00 A.M. so he could reach out to those individuals and he could ask for their presence. Dr. Richardson then prepared and submitted a Notice for a Request for a Continuance.

On August 24, 2010, Dr. Richardson called around 9:45 A.M. and left a message asking if any of the witnesses were going to appear. I returned his call at 9:58 A.M., unable to reach him, I left a message to call me back prior to the scheduled continuance or I would see him at 11:00 A.M.

Dr. Richardson did not appear at the agreed upon continuance time and as of the date of this recommendation, I have not heard from Dr. Richardson. Therefore, I am basing my recommendation on the information he supplied on the appeal affidavit and the portion of the appeal hearing that was conducted on August 10, 2010.

Dr. Richardson quoted 5/9-10 (b) in the appeal hearing and the appeal affidavit but the section he referenced was for Nonparticipation Reports and not Semi-Annual Report for which he was assessed a fine. It is unfortunate that Dr. Richardson interpreted this to mean that he was not required to file the Semi-Annual Report; if he would have continued to read 5/9-10 (c) he could have seen that the Semi-Annual Report was required. In regards to the non appearance issue of those individuals Dr. Richardson deemed necessary at the appeal hearing, he is free to present this issue to the Board and to the Appellate Court if he so chooses. Therefore, I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed.



Andy Nauman – Hearing Examiner
August 26, 2010

TO: THE STATE BOARD OF ELECTIONS FOR THE STATE OF ILLIINOIS:

ATTENTION: EXECUTIVE DIRECTOR DANIEL W. WHITE;

ATTENTION: ATTORNEY STEVEN S. SANDVOSS, GENERAL COUNSEL,

IN RE: NOTICE F APPEAL HEARING REQUEST FOR CONTINUANCE IN CASE NUMBER: 10 DS 256;

IN THE CASE OF: WORKING PEOPLE'S ELECTION CAMPAIGN COMMITTEE FOR HONEST GOVERNORS, HONEST SENATORS; COMMITTEE NUMBER: S9920;

IN ACCORDANCE WITH 125.34, AND (10 I.L.C.S. 5/9-1 ET. SEQU.,) , AND THE PROVISIONS THAT

"THE PARTIES HAVE THE RIGHT TO THE PRESENCE AND PARTICIPATION OF ADDITIONAL PERSONS, IN ADDITION TO, OR INSTEAD OF , AN ATTORNEY, IN ORDER TO PROVIDE TECHNICAL ASSISTANCE AND CONSULTATION, "

THE ABOVE NAMED COMMITTEE, IN ORDER TO PROVE OUR CASE AND TO PRESENT EVIDENCE OTHERWISE PROHIBITED TO US, HAVE REQUESTED A CONTINUANCE OF THIS HEARING SCHEDULED THIS DAY, AUGUST 10, 2010, BEING HELD, HERE, THIS MORNING, AT THE OFFICES OF THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS, CHICAGO OFFICES, IN ORDER TO REQUEST THE PRESENCE OF THE FOLLOWING PERSONS AND DOCUMENTS TO BE PRESENT AT THE RESCHEDULED APPEALS HEARING TO BE HELD ON AUGUST 24, 2010:

(1.) DANIEL W. WHITE; EXECUTIVE DIRECTOR OF THE STATE BOARD OF ELECTIONS FOR THE STATE OF ILLINOIS;
(2.) SUE KLOS; SECRETARY AND PERSON WHO FILED THE COMPLAINT;
(3.) STEVEN S. SANDVOSS, GENERAL COUNSEL FOR THE STATE BOARD OF ELECTIONS FOR THE STATE OF ILLINOIS;
(4.) DOCUMENTS AND RECORDS FROM THE TREASURER OF THE CAMPAIGN COMMITTEE FOR THE GOVERNOR OF THE STATE OF ILLINOIS, GOV. PATRICK QUINN.

SIGNED: _____ DR. I.K.R.

DR. ISRAEL K. GARTH RICHARDSON

DATE: AUGUST 10, 2010

RECEIVED

AUG 10 2010

State Board of Elections

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

EXECUTIVE DIRECTOR
Daniel W. White

June 17, 2010

Working People's Campaign Committee for Honest IL Governors S9920
Dr Israel Garth Richardson
POB 803241
Chicago, IL 60680

Dear Working People's Campaign Committee for Honest IL Governors;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2009 through December 31, 2009
Filing Period:	January 4, 2010 through January 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 24, 2010, 85 day(s) late. As such, this committee has been assessed a fine of $2125.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Sue Klos at 217/782-1543.

Sincerely,

Rupert T. Borgsmiller
Assistant Executive Director

RTB: sk
Enclosure(s): appeal forms

CHICAGO

2010 JUL 16 PM 2:49

STATE
BOARD OF ELECTIONS

State of Illinois)
)
County of COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No._____
WORKING PEOPLE'S ELECTION)
CAMPAIGN COMM. FOR HONEST GOVERNORS...)
Respondent(s).)

$9920

APPEAL AFFIDAVIT

I, DR. I. K. GARTH RICHARDSON, the CANDIDATE A ND TREASURER of the
(Name) (Chairman/Treasurer)

WORKING PEOPLE'S ELECTION CAMPAIGN COMM. FOR HONEST GOVERNORS, SENATORS,...
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE ILLINOIS STATE BOARD OF ELECTIONS HAS THE "DISCRETION" TO CONSIDER "IN-ADVERTANT" SUCH A "MIS-INTERPRETATION" OF STATUTE (10 ILCS 5/9-14), AND (10 ILCS 5/9-10), ET AL, AS REASON ENOUGH TO "FOREGO" IMPOSITION OF A FINE!! OTHERWISE, MR. A. NAUMANN, EXECUTIVE DIRECTOR DANIEL W. WHITE, MS. SUE KLOS, AND GOVERNOR PATRICK QUINN WILL BE CALLED AS WITNESSES AND FOR THEIR TESTIMONY REGARDING THE APPLICATION OF THE STATUTES!!

Signed and Sworn to by:

before me this 16 Day of July, ~~2009~~ 2010

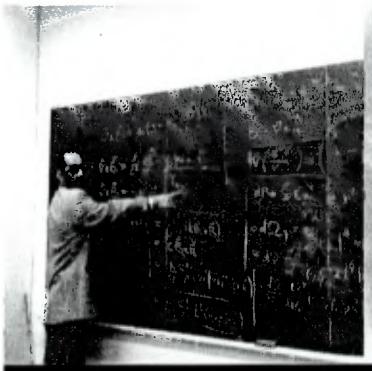
Notary Public

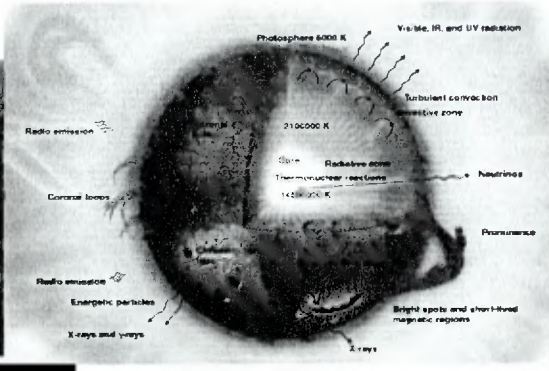
"OFFICIAL SEAL"
PATRICIA A. MARTIN
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 09/23/2013

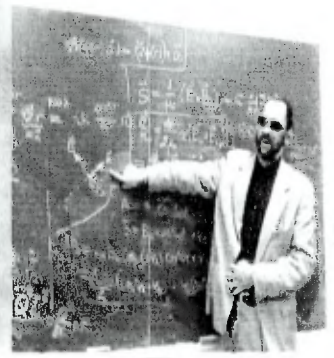
(Signature of Chairman/Treasurer)

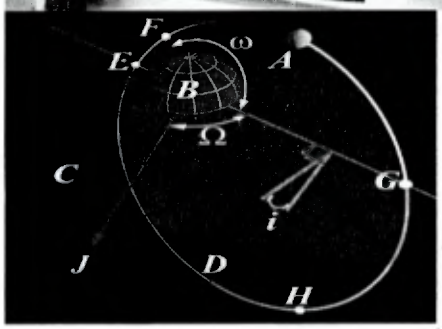
SEE ATTACHMENTS:
PAGE 1-7.

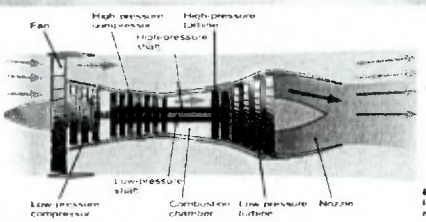
ORIGINAL:

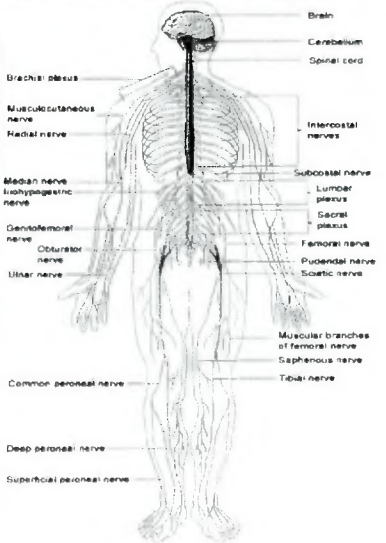












DR. ISRAEL K. GARTH RICHARDSON,
BIOCHEMICAL ENDOCRINOLOGY,
MOLECULAR, & AGRICULTURAL,
GENETICS, U.S.A., INC.,

NUCLEAR FUEL CYCLES APLICATIONS,
U.S.A., INC.,
NUCLEAR MEDICINE, U.S.A., INC.,
P.O. BOX 803241,
CHICAGO, ILL., 60680~3241.
TELEPHONE: (773)~469~8207.

FROM: WORKING PEOPLE'S ELECTION CAMPAIGN COMMITTEE FOR HONEST ILLINOIS GOVERNORS, SENATORS, AND IN SUPPRT OF SMALL~BUSINESSES AND LOWER TAXES;

TO: THE ILLINOIS STATE BOARD OF ELECTIONS;

IN~RE: COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT;

CASE~NUMBER: 10~CD~066;

COMPLAINANT: SUE A. KLOS;

SCHEDULED FOR CLOSED~PRELIMINARY~HEARING: MAY 19, 2010: AT 1:30 P.M.;

LOCATION: 100 W. RANDOLPH STREET, SUITE 14-100, CHICAGO, ILLINOIS.

MEMBERS OF THE ILLINOIS STATE BOARD OF ELECTIONS, I WISH TO BE AS RESPECTFUL TO YOUR PERSONS, AND TO YOUR OFFICES, AND AS BRIEF IN THE REGULATION'S REQUIREMENTS FOR CONSUMPTION OF YOUR TIME, AND YOUR ATTENTION, AS POSSIBLE!!!

THE CAMPAIGN DISCLOSURE ACT "10 IL.C.S. 5/9-10" AS APPLICABLE TO THIS ELECTION~CAMPAIGN~COMMITTEE, STATES; "HOWEVER, A CONTINUING POLITICAL COMMITTEE THAT DOES NOT MAKE AN EXPENDITURE OR EXPENDITURES IN AN AGGREGATE AMOUNT OF MORE THAN $500 "

1.

"ON BEHALF OF OR IN OPPOSITION TO ANY (I) CANDIDATE OR CANDIDATES, (II) PUBLIC QUESTION OR QUESTIONS, OR (III) CANDIDATE OR CANDIDATES AND PUBLIC QUESTION OR QUESTIONS ON THE BALLOT AT AN ELECTION SHALL NOT BE REQUIRED TO FILE THE REPORTS PRESCRIBED IN THIS SUBSECTION (B) AND SUBSECTION (B-5) BUT MAY FILE IN LIEU THEREOF A STATEMENT OF NONPARTICIPATION IN THE ELECTION WITH THE BOARD OR THE BOARD AND THE COUNTY CLERK ; EXCEPT THAT IF THE POLITICAL COMMITTEE, BY THE TERMS OF ITS STATEMENT OF ORGANIZATION FILED IN ACCORDANCE WITH THIS ARTICLE, IS ORGANIZED TO SUPPORT OR OPPOSE A CANDIDATE OR PUBLIC QUESTION ON THE BALLOT AT THE NEXT ELECTION OR PRIMARY, THAT COMMITTEE MUST FILE REPORTS REQUIRED BY THIS SUBSECTION (B) AND BY SUBSECTION (B-5)."

UNDER, AND PURSUANT TO: WE THE PEOPLE OF THE UNITED STATES, IN ORDER TO FORM A MORE PERFECT UNION, ESTABLISH JUSTICE, INSURE DOMESTIC TRANQUILITY, PROVIDE FOR THE COMMON DEFENSE, PROMOTE THE GENERAL WELFARE, AND SECURE THE BLESSINGS OF LIBERTY TO OURSELVES AND OUR POSTERITY, DO ORDAIN AND ESTABLISH THIS CONSTITUTION FOR THE UNITED STATES OF AMERICA.

Article IV

SECTION 1.

FULL FAITH AND CREDIT SHALL BE GIVEN IN EACH STATE TO THE PUBLIC ACTS, RECORDS, AND JUDICIAL PROCEEDINGS OF EVERY OTHER STATE. AND THE CONGRESS MAY BY GENERAL LAWS PRESCRIBE THE MANNER IN WHICH SUCH ACTS, RECORDS, AND PROCEEDINGS SHALL BE PROVED, AND THE EFFECT THEREOF.

SECTION 2.

THE CITIZENS OF EACH STATE SHALL BE ENTITLED TO ALL PRIVILEGES AND IMMUNITIES OF CITIZENS IN THE SEVERAL STATES.

2.

Article VI: This Constitution, and the laws of the United States which shall be made in pursuance thereof; and all treaties made, or which shall be made, under the authority of the United States, shall be the supreme law of the land; and the judges in every state shall be bound thereby, anything in the Constitution or laws of any State to the contrary notwithstanding.

The Senators and Representatives before mentioned, and the members of the several state legislatures, and all executive and judicial officers, both of the United States and of the several states, shall be bound by oath or affirmation, to support this Constitution; but no religious test shall ever be required as a qualification to any office or public trust under the United States.

Amendment IV (1791)

The right of the people to be secure in their persons, houses, papers, and effects, against unreasonable searches and seizures, shall not be violated, and no warrants shall issue, but upon probable cause, supported by oath or affirmation, and particularly describing the place to be searched, and the persons or things to be seized.

Amendment V (1791)

No person shall be held to answer for a capital, or otherwise infamous crime, unless on a presentment or indictment of a grand jury, except in cases arising in the land or naval forces, or in the militia, when in actual service in time of war or public danger; nor shall any person be subject for the same offense to be twice put in jeopardy of life or limb; nor shall be compelled in any criminal case to be a witness against himself, nor be deprived of life, liberty, or property, without due process of law; nor shall private property be taken for public use, without just compensation.

3.

Amendment VI (1791)

In all criminal prosecutions, the accused shall enjoy the right to a speedy and public trial, by an impartial jury of the state and district wherein the crime shall have been committed, which district shall have been previously ascertained by law, and to be informed of the nature and cause of the accusation; to be confronted with the witnesses against him; to have compulsory process for obtaining witnesses in his favor, and to have the assistance of counsel for his defense.

Amendment VII (1791)

In suits at common law, where the value in controversy shall exceed twenty dollars, the right of trial by jury shall be preserved, and no fact tried by a jury, shall be otherwise reexamined in any court of the United States, than according to the rules of the common law.

FROM: WORKING PEOPLE'S ELECTION CAMPAIGN COMMITTEE FOR HONEST ILLINOIS GOVERNORS, SENATORS, AND IN SUPPRT OF SMALL~BUSINESSES AND LOWER TAXES;

(1.) IF YOU ARE A PREGNANT~WOMAN, AND YOUR FAMILY'S PRIMARY RESIDENCE IS IN THE STATE OF ILLINOIS, THEN YOU WILL BE ALLOWED TO PURCHASE ALL OF THE SUPPLIES THAT YOU NEED FOR YOURSELF AND FOR YOUR NEW~BABY, WITHOUT PAYING ANY STATE~OF~ILLINOIS~TAXES!!

(2.) IF YOU ARE A POLICE~OFFICER, AND YOU RISK YOUR LIFE, EVERYDAY, ON YOUR JOB, TO PROTECT AND TO SAVE, MY LIFE, THEN YOU WILL NOT BE REQUIRED TO PAY ANY INCOME TAXES TO THE STATE OF ILLINOIS!!!

(3.) IF YOU ARE A "FIREMAN," OR, IF YOU ARE A "FIRE~WOMAN," AND YOUR FAMILY'S PRIMARY RESIDENCE IS IN THE STATE OF ILLINOIS, AND YOU RISK YOUR LIFE, EVERYDAY, ON YOUR JOB, TO SAVE, AND TO PROTECT MY LIFE, THEN YOU DO NOT HAVE TO PAY ANY INCOME TAXES IN THE STATE OF ILLINOIS!!!

(4.) IF YOU ARE A SCHOOL~TEACHER IN THE STATE OF ILLINOIS, AND YOUR PRIMARY RESIDENCE IS IN THE STATE OF ILLINOIS, THEN THIS OFFICE OF THE GOVERNOR OF THE STATE OF ILLINOIS, WILL, IMMEDIATELY GUARANTEE THAT YOUR "SCHOOL~TEACHER'S~PENSION~FUND" WILL BE "RE-ESTABLISHED" AND MADE "IMMEDIATELY" ACCESSIBLE TO YOU!!!

(5.) AND, THIS OFFICE OF THE GOVERNOR OF THE STATE OF ILLINOIS, WILL DISPATCH 100 OF THIS STATE'S MOST CAPABLE AND INTELLIGENT ATTORNEYS~AT~LAW TO WASHINGTON, D.C., TO BEGIN LITIGATION, ON YOUR BEHALF, WITH THE PURPOSE BEING TO OBTAIN FOR YOU ALL OF YOUR SOCIAL SECURITY BENEFITS, THROUGH THE FEDERAL COURT'S~SYSTEMS!!!

(6.) IF YOU OPERATE A "SMALL~FAMILY~FARM," OR, IF YOU OPERATE A "SMALL~FAMILY~BUSINESS," IN THE STATE OF ILLINOIS, AND, YOUR PRIMARY RESIDENCE IS IN THE STATE OF ILLINOIS, AND, YOU EXPEND MORE THAT 52% OF YOUR BUSINESS' GROSS, AND, NET, INCOME TO EMPLOY RESIDENTS OF THE STATE OF ILLINOIS, THEN YOU WILL NOT BE REQUIRED TO PAY INCOME TAXES TO THE STATE OF ILLINOIS!!!

(10 ILCS 5/9-14) (from Ch. 46, par. 9-14)

(Section scheduled to be repealed on January 1, 2011)

Sec. 9-14. Each semi-annual report of campaign contributions and expenditures required by Section 9-10 of this Article to be filed with the Board or the Board and the county clerk shall be verified, dated, and signed by either the treasurer of the political committee making the report or the candidate on whose behalf the report is made, and shall contain substantially the following:

SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES

(1) name and address of the political committee:

.....

(2) the date of the beginning of the reporting period, and the amount of funds on hand at the beginning of the reporting period;

.....

(3) the full name and mailing address of each person who has made one or more contributions to or for the committee within the reporting period in an aggregate amount or value in excess of $150, together with the amount and date of such contributions, and if a contributor is an individual who contributed more than $500, the occupation and employer of each contributor or, if the occupation and employer of the contributor are unknown, a statement that the committee has made a good faith effort to ascertain this information:

NAME	ADDRESS	AMOUNT	DATE	OCCUPATION	EMPLOYER
....	.....	.....	....	.....	.....
....	.....	.....	....	.....	.....
....	.....	.....	....	.....	.....
....	.....	.....	....	.....	.....
....	.....	.....	....	.....	.....

(4) the total sum of individual contributions made to or for the committee during the reporting period and not reported under item-(3):

.....

(5) the name and address of each political committee from which the reporting committee received, or to which that committee made, any transfer of funds, in an aggregate amount or value in excess of $150, together

Part b.

WITH THE AMOUNTS AND DATES OF ALL TRANSFERS:

NAME	ADDRESS	AMOUNT	DATE
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....

(6) THE TOTAL SUM OF TRANSFERS MADE TO OR FROM SUCH COMMITTEE DURING THE REPORTING PERIOD AND NOT REPORTED UNDER ITEM (5);

(7) EACH LOAN TO OR FROM ANY PERSON WITHIN THE REPORTING PERIOD BY OR TO THE COMMITTEE IN AN AGGREGATE AMOUNT OR VALUE IN EXCESS OF $150, TOGETHER WITH THE FULL NAMES AND MAILING ADDRESSES OF THE LENDER AND ENDORSERS, IF ANY, AND THE DATE AND AMOUNT OF SUCH LOANS, AND IF A LENDER OR ENDORSER IS AN INDIVIDUAL WHO LOANED OR ENDORSED A LOAN OF MORE THAN $500, THE OCCUPATION AND EMPLOYER OF EACH PERSON MAKING THE LOAN, OR IF THE OCCUPATION AND EMPLOYER OF THE INDIVIDUAL ARE UNKNOWN, A STATEMENT THAT THE COMMITTEE HAS MADE A GOOD FAITH EFFORT TO ASCERTAIN THIS INFORMATION:

NAME	ADDRESS	AMOUNT	DATE	ENDORSERS	OCCUPATION	EMPLOYER
....	.....	.....	....	.....	.....	.....
....	.....	.....	....	.....	.....	.....
....	.....	.....	....	.....	.....	.....

(8) THE TOTAL AMOUNT OF PROCEEDS RECEIVED BY THE COMMITTEE FROM (A) THE SALE OF TICKETS FOR EACH DINNER, LUNCHEON, COCKTAIL PARTY, RALLY, AND OTHER FUND-RAISING EVENTS; (B) MASS COLLECTIONS MADE AT SUCH EVENTS; AND (C) SALES OF ITEMS SUCH AS POLITICAL CAMPAIGN PINS, BUTTONS, BADGES, FLAGS, EMBLEMS, HATS, BANNERS, LITERATURE, AND SIMILAR MATERIALS:

(A).....

(B).....

(C).....

(9) EACH CONTRIBUTION, REBATE, REFUND, OR OTHER RECEIPT IN EXCESS OF $150 RECEIVED BY THE COMMITTEE NOT OTHERWISE LISTED UNDER ITEMS (3) THROUGH (8), AND IF A CONTRIBUTOR IS AN INDIVIDUAL WHO CONTRIBUTED MORE THAN $500, THE OCCUPATION AND EMPLOYER OF EACH CONTRIBUTOR OR, IF THE OCCUPATION AND EMPLOYER OF THE CONTRIBUTOR ARE UNKNOWN, A STATEMENT THAT THE COMMITTEE HAS MADE A GOOD FAITH EFFORT TO ASCERTAIN THIS INFORMATION:

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE ILLINOIS STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

Elizabeth Gorman
Complainant

Vs. **CASE NO. 10 CD 003**

Mark Thompson Campaign Committee
Respondent

REPORT OF HEARING EXAMINER
Public Hearing

PROCEDURAL HISTORY

On January 22, 2010 the above referenced Complainant filed a form D-4, Complaint for violation of the Campaign Disclosure Act wherein it is specifically alleged that pursuant to 10 ILCS 5/9-10, 11, 12, and 13 as well as 5/9-9.5 of the Act, the Respondent failed to report certain campaign expenditures and contributions. It is also alleged that certain campaign literature failed to identify Respondent as having paid for it.

On February 3, 2010, a Closed Preliminary Hearing was convened. The Complainant was represented by her attorney, Burton Odelson. The Respondent was represented by attorney Stephen Boulton. After due consideration, the Hearing Examiner made a recommendation to the Board that the Complaint was filed on justifiable grounds, and therefore further recommended that a Public Hearing be conducted.

On March 8, 2009, the Board agreed with the Hearing Examiner and found that the Complaint was filed on justifiable grounds and therefore entered an Order that a Public Hearing be conducted.

RESPONDENT'S MOTION

Subsequent to the Board Order for a Public Hearing, and prior to the Public Hearing itself, on March 1, 2010, attorney Richard Means filed a Motion on behalf of the Respondent, wherein the Respondent acknowledged certain 5/9-9.5 violations for some of the above mentioned literature. However Respondent also argued that the D-2 filed for the period ending December 31, 2009 had a balance of $11,036.50 and that at the Closed Hearing, the Complainant presented no evidence as to the cost of any of the election communication/literature in evidence, and that there was no evidence that suggested that none of the cost exceeded the amount of funds available to the Committee. Respondent also argues that there was no evidence that there were any contributions received by the Committee in excess of $500 thereby requiring the Committee to file a Form A- 1 accounting for such contributions. No substantive action on the motion was taken.

PUBLIC HEARING

After receipt of Proof of Service upon the above mentioned parties, a Public Hearing was convened on April 28, 2010. Attorney Burton Odelson appeared on behalf of the Complainant and attorney Richard Means appeared on behalf of the Respondent. At the conclusion of this hearing and at the request of the Complainant, subpoenas were issued to US bank for the purpose of obtaining additional bank records relevant to the proceeding. The

matter was continued until June 9, 2010 whereupon further evidence was submitted, and closing arguments were presented by both parties.

Upon convening the April 28, 2010 hearing, the *Respondent acknowledged that there were 5/9-9.5 violations* for a failure to identify the Respondent/Committee as the source of payment for certain election communications identified as items 1A, B, and C respectively. In light of such acknowledgment, the Complainant rested as to this issue.

SUBSTANTIVE MATTERS AT ISSUE

Deviating from conventional practice of allowing the Complainant and Respondent to present their cases sequentially, and for the purpose of administrative convenience, this Hearing Examiner allowed the Complainant to present certain items at issue, and then allowed the Respondent to the opportunity to respond as to the cost for each item.

Witness Tom Swiss

The Complainant called Mr. Tom Swiss as a hostile witness to testify as to the value of all items and describe the method of payment. Mr. Swiss worked as a volunteer for the Thompson campaign, but he also owned a political consulting company known as GOP Media. The Thompson campaign, retained to GOP Media to produce material and perform other activities related to the Thompson campaign.

It is very important to note, that a central theme to Mr. Swiss's testimony was that the Respondent's/Committee (Thompson campaign) "reimbursed" GOP Media for the expenses GOP incurred related to the Thompson campaign. These reimbursements came in the form of checks (contributions) that were payable to the Respondent/Thompson's Committee, and then tendered to GOP media for Mr. Swiss to deposit into the GOP Media account.

Exhibit A-"Robocalls"
During the months of December 2009 and January 2010, GOP Media incurred approximately $3200 in costs for Robocalls that were used in Mark Thompson's campaign for Cook County Board. Swiss charged these calls to his credit card. Swiss claimed that these expenses were paid out of the $11,200 dollar balance that was on hand at the close of the December 2009 filing period, however he offered no evidence to support said claim.

Exhibit # 1. Campaign literature that both parties stipulated were not related to the County Board campaign. Complainant withdrew this item. **Exhibit # 2.** This was a certain letter that went to "Hard Republicans" in January 2010. All costs (production, and mailing) associated with this document totaled $375. Paid for by the GOP Media, but reimbursed by the Respondent/Thompson Committee in the manner described above. **Exhibit # 3.** Email designed by Swiss (value not identified) and emailed to approximately 700 people at a cost that was not elicited. **Exhibit # 4.** Election communication that witness asserted was not related to his work for the County Board campaign. Complainant withdrew this item. **Exhibit # 5.** This is the literature that was associated with the envelope known as exhibit 2 discussed above. Again, the total cost for both exhibits 2 and 5 were $375. **Exhibit #6.** A billboard truck. Swiss contends that the truck was from "volunteers" and therefore the cost was "nothing". He later admitted it was "donated" by volunteers. He stated it was used during January of 2010 and that the owner was someone named Jim Brookman. He claimed that the estimated expenses (400-500 dollars) for the art work was paid for previously and was listed on a previous report. He also testified that gas was paid for by Jim Bookman himself, but he did not know how much the gas cost. No A-1 was filed in relationship to this truck. **Exhibit # 7.** More election communication with a production cost of $668 and postage of $1476. This was sent in January 2010 to about 7000 homes. Again, Swiss contends it was paid for by GOP Media, and the Respondent/Committee reimbursed GOP. **Exhibit # 8.** Another 7000 piece mailing in which GOP Media paid $1411 in postage, and $550.00 in production costs. There was approximately an additional $79 cost associated with a Mark Thompson web site.

Witness Mark Thompson

Complainant questioned witness Mark Thompson claimed he did not handle the finances, and he could not explain why his contributions went directly to GOP Media and were not deposited directly into his Committee. In fact, when asked (at least twice) about who paid for certain election communications, he claimed he did not know and that the campaign manager had the information. He furthermore admitted that his previous legal representation at the close Preliminary Hearing was handled by Steve Bolton, a "friend." He furthermore testified that he had "no knowledge of the details" regarding contributions that were originally made to his campaign, but then went to GOP Media as opposed to being deposited to his campaign committee account.

June 9, 2010 Hearing

On June 9, 2010, this hearing resumed for the purpose of examining the evidence sent by US Bank, following a subpoena submitted to them commanding that they produce the fronts and backs of any check as well as deposit slips involving the GOP Media account from the period beginning January 1, 2010 through February 2, 2010.

Mr. Tom Swiss testified that he was in control of the financial affairs of the Respondent Committee which included accounting for both contributions and expenditures. He furthermore testified that there were certain contributions that were reported as contributions in the Semi Annual Report for the period ending December 2009, but were in fact not received until after January 1, 2010. Again he reiterated that several of said contributions were deposited into the GOP Media accounting(s) for the purpose of the Respondent/Mark Thompson Committee.

Of particular interest were two contributions. Regarding the first one, Swiss testified that one of the checks for the Mark Thompson committee was deposited into his personal account as opposed to the GOP Media account. This check was a contribution from Stephen Bolton, which was reported on the Respondent's December 2009 Semi Annual Report as a contribution for $2000, even though $1000 of this contribution was not received until after January 1, 2010. The second item was a *check dated January 20, 2010* from Citizens for Peraica payable to GOP Media in the amount of $1000. Mr. Swiss testified that this check was given to GOP Media for the benefit of the Respondent/Committee as part of a $2000 "Transfer In" that was *previously* reported as received by the Respondent/Committee on December 15, 2009.

ANALYSYS

At the onset of this hearing, this Hearing Examiner was aware of the fact that at the close of the December 2009 reporting period the Respondent/Committee had approximately $11,300 at its disposal to spend for costs (expenditures) incurred by the Thompson campaign for the office of Cook County Board of Commissioners. I was also cognizant of the fact that while there are strict reporting requirements for contributions received prior to an election, expenditures need not be accounted for until the Semi Annual Report is filed for the period in which those expenditures are incurred.

Based on the testimony given by both Tom Swift, a sometimes volunteer for the Respondent, and sometimes Independent Contractor for the Respondent in the name of GOP Media, the amount of money spent on the various forms of election communications spent in Mr. Thompson's campaign could have easily been paid for by the available funds as reported in the Respondent/Committee's December 2009 Semi Annual Report. The costs never approached the $11,300 the Committee had available for such expenses. However, at hearing the Respondent stated that some of these cost were in fact paid for by some rather unconventional means. Contributions given to the Respondent were never deposited and accounted for by the Respondent but in fact routinely given to Mr. Swiss of GOP Media to pay for expenses that were related to the campaign. This included some of the expenses outlined above.

IRREGULAR AND NON REPORTING

The reporting methods utilized by the Respondent/Committee were cavalier and informal. I find this particularly problematic in light of the fact that Mr. Thompson has had Committee on file with the SBE for approximately 15 years, and he should have therefore been familiar with basic reporting practices. In both the April 28, 2010 and June 9, 2010 hearing, Mr. Swift made it clear that as the person primarily responsible for the financial affairs of the Committee (albeit not the Treasurer), it was a routine practice for the Committee to receive contributions from third parties, only for the Committee to tender said checks to GOP Media to reimburse (pay) GOP Media for said expenses related to Mr. Thompson's campaign. This practice apparently began before the filing of the December 2009 Semi Annual Report. This is problematic for several reasons. *Sections 9-1.4 and 9-1.5* define what is both a contribution and expenditure respectively. The conduct as described above clearly falls outside of the statutory scheme for the reporting of contributions and expenditures. In fact, the type of conduct described above makes it difficult to determine whether both contributions and expenditures were properly reported for the reporting period ending December 2009 as well as during the A1 reporting period prior to the February 2010 Primary Election. *Section 9-11* specifically sets forth the manner in which contributions in aggregate amounts or value exceeding $150 must be reported. The name, address, and dates of said contributions must be reported. Mr. Swift's practice of directing these contributions in excess of $150 to his GOP Media account, and in one case his own personal bank account makes it impossible to determine if the Respondent/Committee's December 2009 Semi Annual Report is an accurate depiction of the financial affairs of this committee. It should be noted that while there is no evidence to suggest that the reports as filed are not accurate, the reporting practices engaged in by this committee were out of the ordinary and seemingly not consistent with the type of transparency that the above mentioned statutes seek to achieve. Also, Section 100.70 of the Board Rules, specifies that in instances where a particular expense incurred by a committee results in a particular payee being merely a conduit for the payment of a particular item or service, this cost is still to be considered an **"expense"** within the meaning of the act, *and not a reimbursement for that particular cost*. When the Respondent/Committee passed on checks payable to the Thompson campaign to pay GOP Media, the Respondent appears to have done two things. First, there was a failure to properly account for the contributions received by the Committee, and second it appears that the Committee was "reimbursing" GOP Media for expenses incurred on behalf of the Committee, and not recognizing them as an actual expense incurred by the Committee.

Consistent with the above statutory requirements, the Respondent's reporting of contributions on the December 2009 Semi Annual Report that were not actually received until January of 2010 were also violations. I know of no instance where a committee was allowed to report contributions as received merely based upon merely on a " promise" to submit a contribution at some future point in time. There is no statute or Board Rule that allows for said conduct. Therefore, in this instance the contributions by Mr. Peraica and Mr. Bolton that were reported on the December 2009 Semi Annual Report, but were in fact received either in whole or in part violated the above cited sections in that they were not actually received when the Respondent/Committee claimed to have received them.

Of particular note is the Respondent's reporting the receipt of a $2000 "Transfer In"(Exhibit #1) Contribution on December 15, 2009 from Citizens for Peraica in which part of this contribution was received in the form of a $1000 check payable to GOP Media (not the Respondent/Committee) in January 2010. (Exhibit # 2). Oddly enough, a review of the Semi Annual Report for Citizens for Peraica for the period ending December 2009, does not even show a December 15th Transfer Out to the Respondent's Committee. This is a major discrepancy that requires further explanation on behalf of the Respondent. However, this very act amounts to violations of the Campaign Disclosure Act. Section 9-25 of the Act specifically states that no person shall make a contribution in the name of another and no committee can knowingly accept a contribution made by one person in the name of another person. Clearly the $1000 check from Citizens for Peraica to GOP Media was made for the benefit of Mark Thompson and therefore violates this statute. At the same time, because this check was given and accepted by the Respondent/Committee Mark Thompson in January of 2010, a Form A-1 should have been filed. Additionally, the same can be said of Stephen Bolton's $1000 contribution in January. While it was reported as part of a $2000 contribution on the Respondent's December 2009 Semi Annual Report (Exhibit # 3), Mr. Swiss

testified that $1000 of this money was received in January of 2010 and as a result, a second Form A-1 should have been filed for this contribution.

It should also be noted that even the cost of the Billboard truck could not be ascertained. Mr. Swiss claimed it was donated by a friend (Jim Bookman). Surely the rental cost of such an item that was used during the month of January (time unknown) conceivably had a value in excess of $500. Again, no attempt was made to account for the value for the time it was used, or the fuel consumed. This was clearly an In-Kind contribution of some sort.

Lastly, the Respondent stipulated to the fact that there was a 9-9.5 violation for a failure to provide the source of payment for certain election communications. This being the case, and now being aware of said violation and promising not to violate this section in the future, it is my opinion that no further action be taken in this regards.

RECCOMENDATIONS

In light of the above this Hearing Examiner recommends the following:

1. That the Board find that the Respondent failed to file two form A-1s in a timely manner because Respondent failed to report two contributions in excess of $500 that were received by the Respondent/Committee in January 2010. (Peraica and Bolton contributions)
2. That the Board find that the Respondent violated section 9-25 of the Campaign Disclosure Act when the Respondent accepted a contribution in the name of another. (Peraica contribution to GOP Media on behalf of Thompson)
3. That based on the irregular practices of accounting for both contributions and expenditures as described above, the Board order that appropriate Campaign Disclosure staff to audit the committee to insure that all contributions and expenses have been properly accounted for.
4. That the Board order the Respondent to only report contributions in instances when money is actually received and services have actually been rendered and not report contributions of any form where the Respondent Committee in fact has not received them.
5. That the Board issue any other order that they deem appropriate to insure proper compliance with all of the provisions of the Campaign Disclosure Act and that a failure to abide by said order(s) will result in a possible fine for each violation.

Mark D Greben, Hearing Examiner

Dated: July 7, 2010

Exhibit #1

Mark Thompson Campaign Committee

D-2 Semiannual Report

10/15/2009 to 12/31/2009

This report has 1 itemized Transfers In totaling $2,000.00

Contributed By	Address	Amount	Received By	Description	Vendor Name	Vendor Address
Citizens for Peralta	328 Scottswood Road Riverside, IL 60546	$2,000.00 12/15/2009	Transfer In Mark Thompson Campaign Committee			

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[Prev Previous Next Last] Records 1 to 1 of 1

Return to Previous Page

Jun. 8. 2010 1:10PM US BANK No. [illegible]

Exhibit #2

Citizens For Peraica
5130 S. ARCHER AVENUE
CHICAGO, IL 60632

JPMORGAN CHASE BANK, NA
CHICAGO, ILLINOIS 60670
2-1/710

1826

1/20/2010

PAY TO THE ORDER OF GOP Media, LLC $ **1,000.00

One Thousand and 00/100********** DOLLARS

GOP Media, LLC
1054 W Fry Street
Chicago, IL 60622

MEMO Re/ Volunteer list Inv # 103

⑈001826⑈ ⑆071000013⑆ 2416271⑈ ⑈0000100000⑈

DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE
RESERVED FOR FINANCIAL INSTITUTION USE

0039 8342

JUN 25 10

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Christina
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20100602209240 12345678

Christina Lohse
BC-MN-H21P

Exhibit #3

Mark Thompson Campaign Committee
D-2 Semiannual Report
10/15/2009 to 12/31/2009

This report has 7 itemized Individual Contributions totaling $9,250.00

Contributed By	Address	Amount	Received By	Description	Vendor Name	Vendor Address
Boulton, Steve F Occupation: Attorney Employer: Cantwell & Cantwell	1251 W Fletcher Street Unit C Chicago, IL 60657-3276	$2,000.00 11/15/2009	Individual Contribution Mark Thompson Campaign Committee			
Meyer, A. H.	770 Pearson Street Unit 804 Des Plaines, IL 60016-9219	$200.00 11/25/2009	Individual Contribution Mark Thompson Campaign Committee			
Meyer, A. H.	770 Pearson Street Unit 804 Des Plaines, IL 60016-9219	$50.00 12/1/2009	Individual Contribution Mark Thompson Campaign Committee			
Pomaca, Anthony J	315 Scottswood Road Riverside, IL 60546	$250.00 12/1/2009	Individual Contribution Mark Thompson Campaign Committee			
Shaw, Tom Occupation: Principal Employer: GOP Media	1050 West Fry Street Chicago, IL 60622	$6,000.00 11/15/2009	Individual Contribution Mark Thompson Campaign Committee			
Thompson, Jr., Harry E	1938 N Kendal Court Arlington Heights, IL 60004	$250.00 11/25/2009	Individual Contribution Mark Thompson Campaign Committee			
Williams & Grace Jr. & Co	1603 E Thacker Street Des Plaines, IL 60016	$500.00 12/1/2009	Individual Contribution Mark Thompson Campaign Committee			

[First Previous Next Last] Records 1 to 7 of 7

Return to Previous Page

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:	)	
	)	
Elizabeth Gorman,	)	
	)	
Complainant(s),	)	
vs.	)	10 CD 003
	)	
Mark Thompson Campaign Committee,	)	
	)	
Respondent(s).	)	

ORDER

TO: Elizabeth Gorman
10834 Carolyn Court
Orland Park, IL 60467

Mark Thompson Campaign Committee
1168 Margret Street
Des Plaines, IL 60016

This matter coming to be heard this 5th day of March, 2010, following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-9.5, 5/9-10, 5/9-11, 5/9-12 and 5/9-13 in that the Respondent committee failed to report certain campaign contributions and expenditures and failed to identify attribution of source on campaign literature; and the State Board of Elections having read the report of the Hearing Officer and hearing the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds.

IT IS HEREBY ORDERED:

1. That a Public Hearing be conducted in this matter; and
2. The effective date of this Order is March 8, 2010.

DATED: 3/8/2009

Bryan A. Schneider, Chairman

FORM D-4

COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

Elizabeth Gorman
10834 Carolyn Court
Orland Park, IL 60467
(708) 752-0177

ATTORNEY S. ODELSON
(708) 424-5678
attybart@aol.com

vs.

No. 10 CD 003

NAME AND ADDRESS OF RESPONDENT:

Mark Thompson Campaign Committee
P.O. Box 2252
Des Plaines, IL 60017
1168 Margret Street
Des Plaines, IL 60016

STATE BOARD OF ELECTIONS
2010 JAN 22 PM 12:20
CHICAGO

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE [✓] STATE BOARD OF ELECTIONS, [ ] COUNTY CLERK, OR [ ] NONE. IF FILED WITH THE COUNTY CLERK IDENTIFY COUNTY;

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)
10 ILCS 5/9-10, 11, 12 and 13 and also 5/9-9.5

SECTION 3. STATE THE NATURE OF THE OFFENSE(s) OR VIOLATION(s), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

The Committee has issued numerous e-mails from GOP Media without disclosing contributions or in-kind donations; the campaign has not disclosed expenditures made for the purchase of lawn signs in excess of $500 during the 30-day reporting period; the campaign printed and distributed lawn signs without the disclosure required in 5/9-9.5.

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED $500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT

1-22-2010
DATE

Elizabeth Gorman
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

I, Elizabeth Gorman HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

(Also being served in person on 1-22-2010)

Mark Thompson

a) BY PERSONALLY DELIVERING THE SAME ON THE _____ DAY OF _____, 20__ AT _____ O'CLOCK ___ M.

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 22nd DAY OF Jan 2010 AT THE MAIL BOX OR POSTAL STATION LOCATED AT: 9359 S. Kedzie

SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 22nd DAY OF Jan, 2010

Burton Odelson
NOTARY PUBLIC

OFFICIAL SEAL
BURTON S. ODELSON
Notary Public - State of Illinois
My Commission Expires May 25, 2013

INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS REVISED STATUTES, CHAPTER 46, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.

2. THE FILING AND HEARING OF COMPLAINTS ARE GOVERNED BY RULES AND REGULATIONS ADOPTED BY THE BOARD. IF A COMPLAINT IS FILED WITHIN 60 DAYS PRIOR TO THE DATE OF AN ELECTION IN REFERENCE TO WHICH THE COMPLAINT IS FILED, THE COMPLAINANT MUST SERVE A COPY OF THE COMPLAINT UPON ALL RESPONDENTS PRIOR TO THE TIME OF FILING. COPIES OF THE RULES AND REGULATIONS ARE AVAILABLE UPON REQUEST.

3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS
1020 S SPRING ST
SPRINGFIELD, ILLINOIS 62704-2924

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

BEFORE THE STATE BOARD OF ELECTIONS

Illinois State Board of Elections Complainant,	)	
vs.	)	S 5774, L 5238, Com Id 9487
Citizens For Muñoz Respondent.	)	
Illinois State Board of Elections Complainant,	)	
vs.	)	S 6626, L 7026, Com Id 11832
22nd Ward Democratic Committeeman Fund Respondent.	)	

STATE BOARD OF ELECTIONS
10 SEP -8 PM 4:12

Motion To Settle Civil Penalty Assessments

Now Comes Respondent committees, by and through their attorney Richard K. Means and they move to settle the outstanding civil penalty assessments against both committees which originally totaled to $30, 400. In support thereof, the Respondent committees state as follows:

1. After many years of near-perfect campaign finance disclosure compliance, Alderman Muñoz and the committees lapsed into non-reporting and were subject to complaints before the Board in that regard. In response to the complaints, the committees came into full compliance in 2009 and have filed completely and timely for the semi-annual reports due in January and July of 2010.

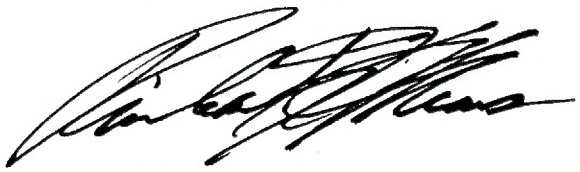
2. As a result of the late-filed reports Alderman Muñoz's committees were assessed a total of $30,400 in civil penalties for which the committees paid the full assessed amount of $5,200 in December, 2009 and for which $25,200 remains unpaid.

3. A month ago, the reason for Alderman Muñoz's lapses became clear when he publicly announced that he had become an alcoholic and had entered a rehabilitation program to resolve his condition. Alderman Muñoz has successfully taken control of his drinking problem, has resolved to stand for re-election and has directed his attorney to settle all civil penalties pending against him.

4. The committees have no dispute that adverse findings are appropriate and merely seek to settle the final and total penalty amount as this Board did in the Calvin Giles and Todd Stroger cases. The Board determined that the series of missing and late reports from these two committees warranted total penalties of $30,400. The committees have thus far paid $5,200 and propose to pay an additional $4,000 bringing the proportion of the total settlement to a level higher than in either the Giles or Stroger cases.

5. Upon the Board's determination of the appropriate final settlement amount, the committees will promptly raise and tender final payment of such amount.

Respectfully submitted,



Richard K. Means
Attorney for Respondent Committees

September 3, 2010

Richard K. Means
ARDC Attorney #01874098

24 hour 7 day contact information:

Email: Rmeans@RichardMeans.com
Web site: www.RichardMeans.com

806 Fair Oaks Avenue
Oak Park, Illinois 60302
Telephone: (708) 386-1122
Facsimile: (708) 383-2987
Cellular (312) 391-8808

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:	)	
	)	
State Board of Elections	)	
Complainant(s),	)	
	)	
Vs.	)	10 MA 001
	)	
Citizens for Munoz	)	
Respondent(s).	)	

FINAL ORDER

TO: Citizens for Munoz S5774
2500 S St Louis Ave.
Chicago, IL 60623

This matter coming to be heard this 14th day of April, 2010, as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 10 MA 001, a $5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2008 Semi-annual Report; and
2. In case number 10 MA 001, a $5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2009 Semi-annual Report, and
3. In case number 10 MA 001, a $200.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2009 Semi-annual Report
4. An appeal of the civil penalties was not submitted by the committee, and
5. The committee was previously assessed a penalty of $25000.00 for failing to file Schedule A-1 reports in conjunction with the February 2007 election (07 AC 043), a penalty of $200.00 for the delinquent filing of the December 2007 Semi-annual report (08 DS 150), and a penalty of $5000.00 for violation of a Board Order (09 CD 042). The appeal of the Schedule A-1 assessment was denied and the amount was reduced to $2500 by the Board. The December 2007 Semi-annual assessment not appealed. All previously assessed penalties have been paid in full.

IT IS ORDERED:

1. A civil penalty in the amount of $10200.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is April 16, 2010, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/16/2010

Bryan A. Schneider, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:	)	
	)	
State Board of Elections	)	
Complainant(s),	)	
	)	
Vs.	)	10 MA 002
	)	
22nd Ward Democratic	)	
Committeeman Fund	)	
Respondent(s).	)	

FINAL ORDER

TO: 22nd Ward Democratic Committeeman Fund S6626
2500 S Saint Louis Ave
Chicago, IL 60623

This matter coming to be heard this 14th day of April, 2010, as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 10 MA 002, a $5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2008 Semi-annual Report; and
2. In case number 10 MA 002, a $5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2009 Semi-annual Report, and
3. An appeal of the civil penalties was not submitted by the committee, and
4. The committee was previously assessed a penalty of $5000.00 for violation of a Board Order (09 CD 039).

IT IS ORDERED:

1. A civil penalty in the amount of $1000.00 is imposed and the previously assessed penalty of $5000.00 remains unpaid. The total amount of $15000.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is April 16, 2010, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/16/2010

Bryan A. Schneider, Chairman

DEMOCRATIC LEADERSHIP for the 21st CENTURY

DL21C

ALEX ARMOUR
President
847.372.4382
armoura@gmail.com

Sue Klos
Campaign Disclosure Division
Illinois State Board of Elections
1020 S. Spring St.
Springfield, IL 62704

Committee ID 14863/S7479

August 20, 2010

Dear Ms. Klos:

On behalf Democratic Leadership for the 21st Century (DL21C), Committee ID 14863, please find the enclosed payment of $200 towards the fine levied against the organization. It is our hope to contribute a minimum of $100 a month until the fine is settled, but we will make every effort to pay the total amount of the fine as soon as we are able. We look forward to resolving this issue.

Sincerely,

Alexander W. Armour
President
Democratic Leadership for the 21st Century

STATE BOARD OF ELECTIONS
10 AUG 23 AM 10:39

FRIENDS OF ROBERT STEELE
2101 S. Springfield Ave
Chicago, IL 60623

August 24, 2010

STATE BOARD OF ELECTIONS
10 SEP -2 PM 2:51

State Board of Elections
State of Illinois
Campaign Disclosure Office
1020 South Spring Street, PO Box 4187
Springfield, IL 62708

20139/L13830

To the Board of Elections Committee:

I am asking you to consider a payment plan for the outstanding Civil Penalty received by our election organization. We have tried to stay within your parameters of the law in responding to your request. We have an outstanding balance of $2100 that I ask you consider three (3) timely payments to resolve.

As requested, I have enclosed the first payment of $700 to my good faith in meeting this obligation.

Thanks in advance for your consideration of this request.

Robert Steele
Candidate for Public Office

STATE BOARD OF ELECTIONS

1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Rupert T. Borgsmiller **Assistant Executive Director**

To: Daniel W. White, Executive Director, Members of the Board

Re: Payment of Civil Penalties - Informational

Date: September 9, 2010

The following committees have made payment of outstanding civil penalties for the period of 8/5/2010 – 9/9/2010:

- 34th Ward Regular Democratic Organization - $1000.00
- Citizens to Elect Robert Eastern III - $1450.00
- Fair Illinois Committee - $5750.00
- Friends of John Pope - $200.00
- United Association of Political Action Committee - $2550.00
- Democratic Leadership for the 21st Century - $200.00
- Citizens to Elect Norman Bolden Alderman of the 4th Ward - $135.00
- Friends of Robert Steele - $700.00
- Citizens for Miller - $150.00
- IL Coroners & Medical Examiners Assn. PAC - $150.00
- Citizens for David Webb - $5000.00

Total Amount Paid for this Period- $17,285.00

Year to Date Totals:
1/4/10 – 2/4/10 - $1350.00
2/5/10 – 2/24/10 - $4019.50
2/25/10 – 3/26/10 - $3175.00
3/27/10 – 5/5/10 - $7676.00
5/6/10 – 6/3/10 - $5110.00
6/4/10 – 7/7/10 - $8482.00
7/8/10 – 8/4/10 - $7555.15
8/5/10 – 9/9/10 - $17285.00

Payment Plan update
Citz to Elect Norman Bolden – up to date
34th Ward Regular Democrats - up to date

4. Other business.

5. Comments from the Chairman and Vice Chairman.

6. Comments from the general public.

7. Next Board meeting Tuesday, October 19, 2010 at 10:30 a.m. in Chicago.

8. Executive Session.