STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

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BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Wednesday, February 19, 2014
10:30 a.m.

James R. Thompson Center – Room 9-040
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Call State Board of Elections to order.

Recess the State Board of Elections and convene as the State Officers Electoral Board to approve the minutes from the January 9 and 16 meetings. (pgs.1-7)

Adjourn the State Officers Electoral Board and reconvene as the State Board of Elections.

1. Approval of the minutes from the January 9 and 16 meetings. (pgs.8-12)

2. Report of the General Counsel

a. Campaign Disclosure;

Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted

1) ***SBE v. Cass County Democratic Central Committee,* 54, 13AJ002; (pgs.13-15)**
2) ***SBE v. Evanston Regular Republican Organization,* 221, 13JQ010; (pgs.16-18)**
3) ***SBE v. Illinois Home Builders Political Education Committee,* 295, 13AJ006; (pgs.19-21)**
4) ***SBE v. Illinois Road Builders PAC,* 372, 13SQ003; (pgs.22-26)**
5) ***SBE v. Boone County Democratic Central Committee,* 610, 13AS002; (pgs.27-29)**
6) ***SBE v. Mercer County Republican Central Committee,* 5166, 13AS009; (pgs.30-34)**
7) ***SBE v. Bremen Township Republican Organization,* 5989, 13SQ019; (pgs.35-37)**
8) ***SBE v. Citizens for Sara Feigenholtz,* 9783, 12AS014; (pgs.38-40)**
9) ***SBE v. Citizens for Thaddeus Jones for 3rd Ward Alderman,* 12697, 13AD025; (pgs.41-44)**
10) ***SBE v. Local Union 792 Political Action Fund,* 23707, 13AJ030; (pgs.45-47)**
11) ***SBE v. Will County Liberty Club,* 23962, 13AM76; (pgs.48-53)**
12) ***SBE v. Friends to Elect Danny Hartline,* 24089, 13SQ146; (pgs.54-57)**
13) ***SBE v. Custom Coating Innovations, Inc/Free Market State PAC,* 24284, 13SQ154; (pgs.58-60)**
14) ***SBE v. Committee to Elect Mike Verbic for Mayor,* 24734, 13AJ064; (pgs.61-64)**
15) ***SBE v. Friends of Stacy Gall,* 24935, 13SQ181; (pgs.65-67)**

16) ***SBE v. Naperville Professional Firefighters PAC,*** **25041, 13SQ186; (pgs.68-70)**
17) ***SBE v. Friends of Ted Mesiacos,*** **25228, 13SQ201; (pgs.71-74)**
18) ***SBE v. Friends for Owens J. Shelby,*** **25568, 13SQ217; (pgs.75-77)**

Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied

19) ***SBE v. Illinois Republican Party,*** **292, 13JQ012 & 13AJ005; (pgs.78-85)**
20) ***SBE v. Jefferson County Democratic Central Committee,*** **674, 13JQ017; (pgs.86-88)**
21) ***SBE v. Communication Workers of America District 4 PEC,*** **763, 13AS006; (pgs.89-92)**
22) ***SBE v. IUOE Local 399 Political Education Fund,*** **1157, 13AS007; (pgs.93-97)**
23) ***SBE v. Morgan County Republican Women's Club,*** **1196, 13SQ012; (pgs.98-101)**
24) ***SBE v. Rockford PAC for Education,*** **5578, 13SQ017; (pgs.102-104)**
25) ***SBE v. Electrical Contractors' Association of City of Chicago, Inc PAC (ECA PAC),*** **6336, 13JQ041; (pgs.105-107)**
26) ***SBE v. Citizens for Moffitt,*** **7982, 13AJ015; (pgs.108-112)**
27) ***SBE v. Citizens to Elect John Jung, Jr.,*** **10328, 13JQ048; (pgs.113-115)**
28) ***SBE v. Bridge Structural & Ornamental Ironworkers Local 111 PAC,*** **11308, 13SQ027; (pgs.116-118)**
29) ***SBE v. Committee to Elect Tom Templeton,*** **13402, 13AS016; (pgs.119-121)**
30) ***SBE v. North Central Democrats,*** **13816, 13JQ057; (pgs.122-124)**
31) ***SBE v. Oakton Community College Faculty Association PAC,*** **14157, 13JQ059; (pgs.125-127)**
32) ***SBE v. Building Owners and Managers Association PAC,*** **14879, 13AM063; (pgs.128-137)**
33) ***SBE v. UA Local 160 Plumbers & Pipefitters Cope Fund,*** **15500, 13SQ041; (pgs.138-140)**
34) ***SBE v. Phoenix PAC,*** **19148, 12AS082; (pgs.141-143)**
35) ***SBE v. Friends of ECC,*** **19379, 13SQ059; (pgs.144-146)**
36) ***SBE v. Friends of Bonnie Hester,*** **19979, 13SQ068; (pgs.147-151)**
37) ***SBE v. Walk Bike Transit,*** **23281, 13JQ134; (pgs.152-154)**
38) ***SBE v. Citizens for Better Education,*** **23710, 13AJ031; (pgs.155-157)**
39) ***SBE v. Northwestern Illinois Building & Construction Trades Council,*** **23781, 13JQ146; (pgs.158-162)**
40) ***SBE v. Worth Township Democratic Party,*** **23929, 13MA054; (pgs.163-166)**
41) ***SBE v. Citizens for Ronald Duebbert,*** **24169, 12AS040; (pgs.167-172)**
42) ***SBE v. Citizens for Juan Ochoa,*** **24244, 13AD088; (pgs.173-176)**
43) ***SBE v. Building on Excellence – Yes for Referendum for Ball-Chatham Schools,*** **24572, 13SQ162; (pgs.177-179)**
44) ***SBE v. Alliance of Illinois Taxpayers NFP,*** **24625, 13AJ035; (pgs.180-182)**
45) ***SBE v. 44th Ward GOP,*** **24717, 13AD104; (pgs.183-185)**
46) ***SBE v. Friends of Rob Hanlon,*** **24742, 13AD107; (pgs.186-189)**
47) ***SBE v. Citizens for Mowris,*** **25057, 13JQ243; (pgs.190-192)**
48) ***SBE v. Disability Party of Illinois,*** **25398, 13SQ205; (pgs.193-198)**

Failure to comply with a board order

49) ***SBE v. Committee to Re-Elect Mike Slape,*** **1068, 13CD009; (pg.199)**
50) ***SBE v. Citizens to Elect Alvin Parks, Jr.,*** **19743, 13CD031; (pg.200)**
51) ***SBE v. Friends of Dick Breckenridge,*** **23617, 13CD069; (pg.201)**
52) ***SBE v. Tammy Baer for Douglas County,*** **24201, 13CD081; (pg.202)**
53) ***SBE v. Friends of Tom Bucher,*** **24290, 13CD084; (pg.203)**

Other campaign disclosure items

54) **Assessments/Board Orders; (pgs.204-210)**
55) **Payment of civil penalties – informational; (pgs.211-212)**

Complaints following closed preliminary hearing (separate packet)

56) ***Skillicorn v. Show You Care Kane,*** **13CD260; (pgs.1-34)**
57) ***Zurek v. Friends of Barrett F. Pederson, et al.,*** **13CD261; (pgs.35-66)**

58) ***Zurek v. Your Village Your Voice Party, et al.,*** **13CD262; (pgs.67-97)**
59) ***Streit v. Sandra Bury for Mayor of Oak Lawn,*** **13CD263; (pgs.98-116)**
60) ***Shearer v. Citizens for Bruce Rauner, Inc., et al.,*** **14CD003; (pgs.117-161)**
61) ***Pickens v. Bellar,*** **14CD004. (pgs.162-165)**

3. Report of the Executive Director
- **a. Preparations for the March 18, 2014 General Primary Election;**
  - **1) Election Day staff assignments; (pg.213)**
  - **2) Provisional balloting rules update; (pgs.214-223)**
  - **3) Voting Systems pre-testing; (pg.224)**
  - **4) ES&S DS200, M100 & M650 voting equipment approval update; (pgs.225-229)**
  - **5) Election judge training schools – informational; (pgs.230-231)**
- **b. Process for Statewide Constitutional Initiatives; (pgs.232-234)**
- **c. Report on Advisory Committee Meeting; (pg.235)**
- **d. Counting of SERS Election ballots – informational; (pg.236)**
- **e. Presidential Commission on Election Administration report – informational; (pgs.237-241)**
- **f. Legislative update; (pgs.242-243)**
- **g. Paperless Online Voter Application update/timeline; (pgs.244-250)**
- **h. IVRS update – informational; (pgs.251-254)**
- **i. Census data versus registered voters; (pg.255)**
- **j. EAC Election Data Collection Grant Audit Report; (pgs.256-277)**
- **k. Fiscal status reports – informational;**
  - **1) FY14 – month ending January 31; (pgs.278-285)**
  - **2) FY14 – Help Illinois Vote Fund; (pgs.286-291)**
- **l. Two year plan of staff activity for the months of February & March – informational. (pgs.292-296)**

4. Follow up. (pg.297)

5. Comments from the general public. (pg.297)

6. Next regular Board meeting at 10:30 a.m. on Monday, March 17, 2014 in Springfield. (pg.297)

7. Executive session. (pgs.298-304)

STATE OFFICERS ELECTORAL BOARD
Special Meeting
Thursday, January 9, 2014

MINUTES

PRESENT:	Jesse R. Smart, Chairman Charles W. Scholz, Vice Chairman Harold D. Byers, Member Betty J. Coffrin, Member Ernest L. Gowen, Member William M. McGuffage, Member Bryan A. Schneider, Member Casandra B. Watson, Member
ALSO PRESENT:	Rupert Borgsmiller, Executive Director James Tenuto, Assistant Executive Director Steve Sandvoss, General Counsel Amy Calvin, Administrative Assistant II

The special meeting of the State Officers Electoral Board was called to order via videoconference at 10:35 a.m. with all Members present. Chairman Smart and Members Coffrin, Gowen, McGuffage, Schneider and Watson were present in Chicago and Vice Chairman Scholz and Member Byers were present in Springfield.

Member Byers moved to approve the minutes from the December 17 meeting as presented. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

Chairman Smart presented for consideration objections to candidate nominating petitions for the March 18, 2014 General Primary Election. Agenda item 2.a, *Kelm v. Jones,* 13SOEBGP101 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained due to the lack of valid signatures to qualify for the ballot. Andrew Raucci was present on behalf of the objection and concurred with the recommendation. No one was present on behalf of the candidate. Vice Chairman Scholz moved to accept the recommendation of the General Counsel and sustain the objection. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.b, *Solomon v. Riley,* 13SOEBGP500 was called and the General Counsel concurred with the hearing officer recommendation that the objection be overruled based on the results of the records examination. The objector, Max Solomon was present and felt errors were contained in the hearing officers report and asked that the Board not accept the recommendation. Courtney Nottage was present on behalf of the candidate and concurred with the recommendation of the hearing officer. Member Schneider moved to accept the recommendation of the General Counsel and overrule the objection. Member Coffrin seconded the motion which passed by roll call of 8-0.

Agenda item 2.c, *Ramsey v. Solomon,* 13SOEBGP522 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained based on the inadequacy of the candidate's Rule 9 motion and the results of the records examination. The candidate, Max Solomon was present and said he filed a Rule 9 motion and also had over one hundred sworn affidavits of voters who had signed his petition. Mr. Solomon

asked the Board to allow an evidentiary hearing and reconsider the recommendation of the hearing officer. Mike Kasper was present on behalf of the objector and said Mr. Solomon misstated what happened regarding the hearing and that he failed to attach evidence to the Rule 9 motion. Mr. Kasper asked that the Board accept the recommendation of the hearing officer. After discussion, Member Schneider moved to accept the recommendation of the General Counsel and sustain the objection. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.d, *Atsaves v. Alvarez,* 13SOEBGP502 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained due to a lack of valid signatures to qualify for the ballot. No one was present on behalf of either party. Member McGuffage moved to accept the recommendation of the General Counsel and sustain the objection. Member Byers seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.e, *Atsaves v. Lee,* 13SOEBGP505 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained due to the lack of valid signatures to qualify for the ballot. No one was present on behalf of either party. Member McGuffage moved to accept the recommendation of the General Counsel and sustain the objection. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.f, *Wright & Cochrane v. Lewis,* 13SOEBGP503 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained based on the results of the records examination. The candidate, Marcus Lewis was present and said the hearing officer did not address the alleged fraud and he felt this was a matter of perjury on behalf of the objectors. Sally Salzburg was present on behalf of the objector and concurred with the recommendation of the hearing officer. Vice Chairman Scholz moved to accept the recommendation of the General Counsel and sustain the objection. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.g, *Couvall v. Hickory,* 13SOEBGP506 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained based on the results of the records examination. Sally Salzburg was present on behalf of the objector and concurred with the recommendation. No one was present on behalf of the candidate. Member Schneider moved to accept the recommendation of the General Counsel and sustain the objection. Member Gowen seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.h, *Gress & Farrar v. Thomas,* 13SOEBGP507 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained based on the results of the records examination. John Fogarty was present on behalf of the objector and Mike Kasper was present on behalf of the candidate. Mr. Fogarty and Mr. Kasper both concurred with the recommendation. Member Gowen moved to accept the recommendation of the General Counsel and sustain the objection. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.i, *Bigger & Shearer v. Zalcman,* 13SOEBGP508 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained based on the results of the records examination. No one was present on behalf of

either party. Member McGuffage moved to accept the recommendation of the General Counsel and sustain the objection. Member Byers seconded the motion which passed by roll call vote of 8-0.

Agenda item, 2.l, *Trigleth v. Ekhoff,* 13SOEBGP515 was called and the General Counsel concurred with the hearing officer recommendation that the objectors request for a Rule 9 evidentiary hearing be denied and the objection be overruled. No one was present on behalf of the objector. John Fogarty was present on behalf of the candidate and concurred with the recommendation of the hearing officer. Member Schneider moved to accept the recommendation of the General Counsel and overrule the objection. Member Gowen seconded the motion which passed by roll call of 8-0.

Agenda item 2.m, *Thompson v. Ekhoff,* 13SOEBGP517 was called and the General Counsel concurred with the hearing officer recommendation that the objection be overruled based on the results of the records examination. Courtney Nottage was present on behalf of the objector and John Fogarty was present on behalf of the candidate. Mr. Nottage and Mr. Fogarty both concurred with the recommendation. Member Schneider moved to accept the recommendation of the General Counsel and overrule the objection. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.n, *Macklin v. Sims, Jr.,* 13SOEBGP516 was called and the General Counsel concurred with the hearing officer recommendation that the candidate's motion to strike and dismiss be granted and the objection be overruled. Laura Jacksack was present on behalf of the objector and Courtney Nottage was present on behalf of the candidate. Ms. Jacksack and Mr. Nottage both concurred with the recommendation. Member McGuffage moved to accept the recommendation of the General Counsel and overrule the objection. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.j, *Wright & Cochrane v. Rayburn,* 13SOEBGP509 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained based on the reasons set forth in her report. The candidate Charles Rayburn was present and said he objected to the hearing officer recommendation and discussion ensued regarding U.S. citizenship of the objectors and other parties involved in the hearing. Sally Salzburg was present on behalf of the objectors and said that the objection was personally delivered to the candidate. She also concurred with the recommendation because the number of valid signatures is 544 short of the required minimum based on the results of the records examination. After discussion, Member McGuffage moved to accept the recommendation of the General Counsel and sustain the objection. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.o, *Imhoff v. Flores,* 13SOEBGP520 was called and the General Counsel concurred with the hearing officer recommendation that the objection be sustained based on the results of the records examination. Courtney Nottage was present on behalf of the objector and concurred with the recommendation. No one was present on behalf of the candidate. Member McGuffage moved to accept the recommendation of the General Counsel and sustain the objection. Member Watson seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.p, *Willard v. Howard,* 13SOEBGP521 was called and the General Counsel concurred with the hearing officer recommendation that the objection be overruled based on the results of the records examination. Mike Kasper was present on behalf of the objector and concurred with the recommendation. No one was present on behalf of the candidate. Member Watson moved to accept the recommendation of the General Counsel and overrule the objection. Member Gowen seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.q, *Kolovitz v. Galhotra,* 13SOEBGP524 was called and the General Counsel concurred with the hearing officer recommendation that the objection be overruled based on the results of the records examination. Mike Kasper was present on behalf of the objector and the candidate, Bob Galhotra was also present. Mr. Kasper and Mr. Galhotra both concurred with the hearing officer recommendation. Member Gowen moved to accept the recommendation of the General Counsel and overrule the objection. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.r, *Franklin v. Jones,* 13SOEBGP525 was called and the General Counsel concurred with the hearing officer recommendation that the objection be overruled based on the findings set forth in his report. Andrew Finko was present on behalf of the objector and disagreed with the recommendation. He felt the candidate was not legally qualified to be on the ballot because of incompatibility issues with the office of Alderman position in which he currently serves. Mike Kasper was present on behalf of the candidate and indicated he concurred with the hearing officer recommendation. He said the Mr. Finko incorrectly interpreted the law of incompatibility and the term for which the candidate filed nominating papers has not yet begun. Mr. Kasper also felt the State Officers Electoral Board did not have statutory authority to consider incompatibility issues in this matter. Discussion ensued regarding the compatibility of the offices and the proper forum for consideration of those issues. Member Schneider moved to accept the recommendation of the General Counsel and overrule the objection. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.k, *Mullen v. Goel,* 13SOEBGP514 was called and the General Counsel concurred with the hearing officer recommendation that the objection be overruled based on the findings set forth in his report. John Fogarty was present on behalf of the objector and said the notary public did not legally affix his handwritten signature on approximately 80% of the petition sheets. Instead the notary used a facsimile signature stamp which Mr. Fogarty felt was a violation of the Election Code and the Illinois Notary Act. Anish Parikh was present on behalf of the candidate and concurred with the recommendation of the hearing officer. According to testimony at the hearing, all of the circulators appeared and signed the petition sheets before the notary public and a master file log was also maintained. After discussion, Member Byers moved to accept the recommendation of the General Counsel and overrule the objection. Vice Chairman Scholz seconded the motion which passed by roll call vote of 5-3 with Members McGuffage and Schneider and Chairman Smart voting in the negative.

Agenda item 2.s, *Hardiman v. Quinn & Vallas,* 13SOEBGP527 was called and the General Counsel concurred with the hearing officer recommendation that the objection be overruled based on the findings set forth in his report. Burt Odelson was present on behalf of the candidates and said he concurred with the recommendation. No one was present on behalf of the objector. Vice Chairman Scholz moved to accept the recommendation of the General

Counsel and overrule the objection. Member Byers seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.t, *Vara v. Harmon,* 13SOEBGP528 was called and the General Counsel concurred with the hearing officer recommendation that the objection be overruled based on the findings set forth in his report. Laura Jacksack was present on behalf of the objector and Courtney Nottage was present on behalf of the candidate. Ms. Jacksack and Mr. Nottage both concurred with the recommendation. Member Schneider moved to accept the recommendation of the General Counsel and overrule the objection. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

A listing of objections and/or candidates withdrawn was presented for informational purposes.

The General Counsel indicated that two objections remain for consideration by the State Officers Electoral Board and said a special meeting will need to be scheduled. It was agreed by all to schedule the meeting for January 16, 2014 at 1:00 p.m. via videoconference in Springfield and Chicago.

With there being no further business before the State Officers Electoral Board, Member Schneider moved to recess until Thursday, January 16, 2014 at 1:00 p.m. Member Coffrin seconded the motion which passed unanimously. The meeting recessed at 12:35 p.m.

Respectfully submitted,

Amy L. Calvin

Amy Calvin, Administrative Assistant II

Rupert Borgsmiller, Executive Director

STATE OFFICERS ELECTORAL BOARD
Thursday, January 16, 2014

MINUTES

PRESENT:	Jesse R. Smart, Chairman Charles W. Scholz, Vice Chairman Harold D. Byers, Member Betty J. Coffrin, Member Ernest L. Gowen, Member William M. McGuffage, Member Bryan A. Schneider, Member Casandra B. Watson, Member
ALSO PRESENT:	Rupert Borgsmiller, Executive Director Jim Tenuto, Assistant Executive Director Steve Sandvoss, General Counsel Rebecca L. Glazier, Assistant to Executive Director

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The meeting convened at 1:00 p.m. with eight Members present. Chairman Smart, Vice Chairman Scholz, and Member Byers were present in the Springfield office. Members Gowen, McGuffage, Schneider and Watson were present in the Chicago office. Member Coffrin was connected via telephone.

Chairman Smart presented the minutes of the December 30, 2014 State Officers Electoral Board meeting. Vice Chairman Scholz moved to approve the December 30 minutes. Member Byers seconded the motion which passed unanimously.

Chairman Smart noted that in the matter of *Wharton, Smith & Wang v. Hardiman & Donald,* the objection was withdrawn and removed from the agenda.

Chairman Smart presented *Gray, Jr. v. Madonia.* Kent Gray was present pro se. John Fogerty was present on behalf of the candidate. The General Counsel reviewed the basis for the objection noting there were numerous counts but two main issues: 1) the designation of the candidate's address and 2) the identification and description of the office the candidate seeks. Mr. Sandvoss agreed with the hearing officer's recommendation to deny the candidate's motion to strike and dismiss and with the exception of the challenge to page 113, the objection be dismissed and the candidate be certified to the ballot.

Mr. Gray noted his disagreement with the recommendations as he believed the petition was flawed in that an incorrect address was listed on the petition as it was actually within the boundaries of Leland Grove and not Springfield and the description of the office sought was incorrect. He asked that the Board not adopt the hearing officer and General Counsel's recommendations and remove the candidate from the ballot.

Mr. Fogerty indicated there were 19 defects listed on the objection that were not legal defects at all. He felt the issues regarding the residency and name of the office were

adequately addressed in the hearing and that technical errors should not keep a candidate off of the ballot. He asked the Board to accept the recommendations as presented.

Discussion ensued on the allegations in the objection with conversation returning to the residency and description of the office sought.

Chairman Smart commended the hearing officer, David Herman, for a very thorough and detailed report. Member McGuffage then moved to accept the recommendation of the hearing officer and General Counsel and deny the objection and certify the candidate John "Mo" Madonia to the ballot. Ms. Watson seconded the motion which passed by roll call vote of 8-0.

General Counsel Sandvoss presented for informational purposes a listing of objections/candidates withdrawn noting that the candidates listed were not included on the January 9 certification.

Member Byers moved to recess as the State Officers Electoral Board and reconvene as the State Board of Elections. Vice Chairman Scholz seconded the motion. The meeting recessed at 1:38 p.m.

Respectfully submitted,

Rebecca L. Glazier, Asst. to Executive Director

Rupert T. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS
Regular Meeting
Thursday, January 9, 2014

MINUTES

PRESENT:	Jesse R. Smart, Chairman Charles W. Scholz, Vice Chairman Harold D. Byers, Member Betty J. Coffrin, Member Ernest L. Gowen, Member William M. McGuffage, Member Bryan A. Schneider, Member Casandra B. Watson, Member
ALSO PRESENT:	Rupert Borgsmiller, Executive Director James Tenuto, Assistant Executive Director Steve Sandvoss, General Counsel Amy Calvin, Administrative Assistant II

The meeting convened at 10:35 a.m. via videoconference with all Members present. Chairman Smart and Members Coffrin, Gowen, McGuffage, Schneider and Watson were present in Chicago and Vice Chairman Scholz and Member Byers were present in Springfield.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Member Gowen moved to recess the State Board of Elections and convene as the State Officers Electoral Board. Member Coffrin seconded the motion which passed unanimously. The meeting recessed at 10:36 a.m. and, after a short break, reconvened at 12:55 p.m. Another roll call was taken and all Members were present as noted in the initial roll call.

The General Counsel presented a carryover appeal from December for Agenda item 1.a, *SBE v. Friends of Ken Dunkin,* 11436, 12MQ-CL002 where the hearing officer recommended to grant the appeal in part and deny the appeal in part. The General Counsel summarized the matter and explained that the committee reimbursed more funds than were required but there was a question as to the timeliness of the reimbursement. Mike Kasper was present on behalf of the committee and said there was confusion regarding the amount of the second check to be reimbursed but once it was sorted out it was paid immediately. He noted that all reports have been amended and the funds were completely reimbursed. He asked that the appeal be granted. The General Counsel also recommended the appeal be granted. Member McGuffage moved to grant the appeal. Member Watson seconded the motion which passed by roll call vote of 8-0.

The Executive Director reported on potential ballot forfeiture and indicated that all fines have been paid. There are no candidates on the March 18, 2014 Primary Election ballot with unpaid fines.

The certification of the March 18, 2014 General Primary Election ballot was moved to later in the Agenda because staff indicated it was not quite ready yet.

Member Coffrin moved to approve the minutes from the December 17 and 30 meetings as presented. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a motion for reconsideration for Agenda item 4.a.1, *SBE v. New Trier Republican Organization,* 244, 13AM052 and summarized the matter. He recommended the motion be granted because the committee thought they appropriately submitted their change of address and, therefore, never received the appeal forms. No one was present on behalf of the committee. Member Schneider moved to accept the recommendation of the General Counsel. Member Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a request for settlement offer for Agenda items 4.a.2, *SBE v. Friends for Warren L. Dixon,* 24528, 13DQ242 and recommended the offer be granted. He said the committee offered to pay $3,175 which was 50% of the penalty. No one was present on behalf of the committee. Member Coffrin moved to accept the recommendation of the General Counsel. Member Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal where he concurred with the hearing officer recommendation to grant the appeal for Agenda item 4.a.3, *SBE v. Builders Association PAC,* 6830, 13JQ044. No one was present on behalf of the committee. Member Watson moved to accept the recommendation of the General Counsel. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeals where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 4.a.4-5: *SBE v. Batavia PAC for Education,* 20987, 13JQ099 and *SBE v. Creve Coeur Education Association,* 25237, 13JQ225. No one was present on behalf of the committees. Member McGuffage moved to accept the recommendation of the General Counsel. Member Watson seconded the motion which passed by roll call vote of 8-0.

The next category was failure to comply with a board order and the General Counsel noted the following matters were pulled from the Agenda:

7) *SBE v. Committee to Re-Elect Mike Slape,* 1068, 13CD099;
8) *SBE v. Citizens to Elect Alvin Parks, Jr.,* 19743, 13CD031;
11) *SBE v. Friends of Dick Breckenridge,* 23617, 13CD069;
12) *SBE v. Tammy Baer for Douglas County,* 24201, 13CD081;
13) *SBE v. Friends of Tom Bucher,* 24290, 13CD084.

The General Counsel presented the remaining failure to comply with a board order matters for Agenda items 4.a.6, 9, 10 & 14:

6) *SBE v. IL School Psychologists PAC,* 409, 13CD006;
9) *SBE v. Illinois Black Business PAC,* 22756, 12CD081 & 13CD055;
10) *SBE v. Education First Party,* 23516, 13CD068;
14) *SBE v. Taking Back America,* 24494, 13CD090.

He recommended the above committees be assessed a civil penalty not to exceed $5,000 for failure to file the required reports. No one was present on behalf of the respondent committees. Member Coffrin moved to accept the recommendation of the General Counsel in the above noted matters. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

A listing of civil penalty payments was presented for informational purposes.

The Executive Director began his report with an update on the Paperless Online Voter Application (POVA) and asked Kyle Thomas and Kevin Turner to continue. Mr. Thomas summarized his memorandum that was distributed under separate cover and good progress is being made on the system. In preparation for testing with election authorities and outside vendors, some security adjustments were made as well as modifications to the statewide Illinois Voter Registration System (IVRS) for connection with POVA. All vendors have responded to Mr. Thomas' survey and at this time 85 will utilize the real time web service, 23 have opted for the batch download method and 2 will have the capability to utilize the print method. Mr. Turner discussed the master project schedule on pages 37-39 of the board packet and said that progress is on target with that schedule. He noted the release to the pilot jurisdictions for testing of the initial application was pushed back approximately one week. Staff changes have also been implemented and the security of the POVA system was now parallel to other agency public-interface programs. The Executive Director indicated that the POVA status updates have been sent to the various interested parties and it was agreed to forward the master project schedule as well. It was also noted that a report is due to the General Assembly by January 31 and staff will complete the report and deliver it the week of January 27.

Mr. Thomas discussed the IVRS update and said little change has occurred since last month's report and the election authorities are doing a great job of utilizing the tools available to them and IVRS. He noted that Kansas and Arkansas joined the Interstate Duplicate Match Program and a total of 28 states utilize the program. Mr. Thomas reviewed the census data versus registered voters and said that Jersey County is slightly above 100% but expected that number to drop after their purge is completed post-election.

The Executive Director discussed the possibility of the filing of a statewide constitutional amendment and indicated that a random sampling procedure was developed two years ago by statisticians from the University of Illinois at Springfield. Discussion ensued regarding the process and it was noted that the deadline to file the amendment is six months prior to the date of the General Election.

The next item was certification of the March 18, 2014 General Primary Election ballot and the Executive Director explained that certifications for both Cook and Sangamon County would be presented for Board Member signatures in both locations. Certifications for the Green, Republican and Democrat parties in the 5th Congressional District will be circulated as well for signatures. He explained that as soon as the certifications are signed, staff will electronically submit them to all Illinois election authorities. The issue of candidate withdrawals after certification was discussed and Ken Menzel said recent legislation was passed concerning the procedure for handling the withdrawals. He explained that when a candidate withdraws after the certification deadline, then no votes should be cast for that candidate if they remain on the ballot. They should be reported as a zero on the official canvass. Staff will now just inform the affected election authorities that someone has withdrawn after the certification deadline and that they should not process any votes for that particular candidate. The statute does not address notification of voters but staff will advise the election authorities to post notice in a prominent location in the polling place that a candidate has withdrawn. Member Coffrin moved to certify the ballot for the March 18, 2014 General Primary Election. Member Watson seconded the motion which passed by roll call vote of 8-0.

Preparations for the general primary election were discussed and it was noted that no objections were filed against candidates who filed during the special judicial or the regional superintendent of schools districts filing periods. A lottery is scheduled for January 2, 2014 at 2:00 p.m. to determine ballot placement for those who filed petitions at 8:00 a.m. on December 16 for

both filing periods. Jane Gasperin commended the staff and said she was very pleased with how the process was conducted.

The Executive Director presented a listing of election judge training schools for informational purposes and explained that this is a free service that staff provides to election authorities upon request.

The Executive Director presented the fiscal reports and two year plan of staff activity for the months of January and February for informational purposes. He noted that FY14 expenditures are on target and are being closely monitored.

Member Schneider moved to recess to executive session to consider complaints following closed hearing and personnel matters. Member Watson seconded the motion which passed unanimously. The meeting recessed at 1:50 p.m. and reconvened at 2:00 p.m. with all Members present as noted in the initial roll call.

As to Agenda item 4.a.16, *SBE v. Jackson for Committeeman Ind. Democratic Political Org.*, 13CD178, Member Schneider moved to find that the complaint was filed on justifiable grounds and the matter ordered to proceed to a public hearing. Member Watson seconded the motion which passed by roll call vote of 8-0.

With there being no further business before the Board, Member Watson moved to adjourn until Thursday, January 16, 2014 at 1:00 p.m. Vice Chairman Scholz seconded the motion which passed unanimously. The meeting adjourned at 2:05 p.m.

Respectfully submitted,

Amy L. Calvin

Amy Calvin, Administrative Assistant II

Rupert T. Borgsmiller

Rupert T. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS
SPECIAL MEETING
Thursday, January 16, 2014

MINUTES

PRESENT:	Jesse R. Smart, Chairman Charles W. Scholz, Vice Chairman Harold D. Byers, Member Betty J. Coffrin, Member Ernest L. Gowen, Member William M. McGuffage, Member Bryan A. Schneider, Member Casandra B. Watson, Member
ALSO PRESENT:	Rupert Borgsmiller, Executive Director Jim Tenuto, Assistant Executive Director Steve Sandvoss, General Counsel Rebecca L. Glazier, Assistant to Executive Director

---

The meeting convened at 1:00 p.m. with eight Members present. Chairman Smart, Vice Chairman Scholz, and Member Byers were present in the Springfield office. Members Gowen, McGuffage, Schneider and Watson were present in the Chicago office. Member Coffrin was connected via telephone.

Chairman Smart began the meeting with the Pledge of Allegiance.

Member Byers moved to recess to the State Officers Electoral Board. Vice Chairman Scholz seconded the motion which passed unanimously. Meeting recessed at 1:01 p.m. and reconvened at 1:38 p.m.

With no further business pending, Member Byers moved to adjourn until February 19, 2014 or the call of the chair. Vice Chairman Scholz seconded the motion which passed unanimously. The meeting adjourned at 1:38 p.m.

Respectfully submitted,

Rebecca L. Glazier, Asst. to Executive Director

Rupert T. Borgsmiller, Executive Director

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AJ 002**

Cass County Democratic Central Cmte
Cmte ID: 54
Respondent

REPORT OF HEARING OFFICER

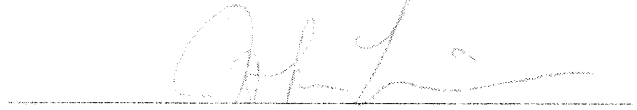
Appeal of Civil Penalty Assessment
For Delinquently Filing Two (2) Schedule A-1 Reports

The Reports were received June 14th, 2013, with respect to receipts dated April 22nd, 2013, and April 23rd, 2013. The first A-1, for a receipt of $1,000 from the UFCW Local #431, was 33 days late, resulting in an assessment of $500; the second A-1, for a $2700 donation from the Mid-Central Illinois District Council of Carpenters, was 32 days late, resulting in an assessment of $1350. The Committee has not previously been fined.

Michael Kirchner, the Treasurer of the Committee, filed an Appeal Affidavit in this matter and testified in person at a hearing at 10am, Tuesday, January 21st, 2014, at the Board's office, 2329 S. MacArthur Blvd., Springfield.

Mr. Kirchner explained in the affidavit and his testimony that he has been filing reports for the Committee since 1990 and has a good deal of experience filing Reports electronically. He stated he believed he had uploaded A-1s to cover both receipts in a timely manner last April and was shocked to learn, as he prepared to file the Committee's June Quarterly Report, that the A-1s had not been received by the Board. Mr. Kirchner said he is perplexed as to why the A-1s didn't go through last April, and he uploaded them promptly upon the realization that they had not been filed.

I recommend the appeal be granted. Section 100.150 of the Rules accompanying the Act states, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." The Committee has a long history of timely filing of Reports and has successfully used the IDIS electronic filing system for more than ten years. The delinquent filings here appear to be an aberration. As of December 31st, 2013, the Committee's cash balance was reported as $20,154.41 with investments of $4,797.63.



John Levin – Hearing Officer
January 21, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013
ID# 54

Cass County Democratic Central Committee
Michael Kirchner
11153 Philidalphia Rd
Chandlerville, IL 62627

7011 2970 0003 6441 8496

Dear Cass County Democratic Central Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Mid-Central Illinois District Council of Carpenters	4/23/2013	$2700	6/14/2013	32	$1350
UFCW Local #431	4/22/2013	$1000	6/14/2013	33	$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $1850.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $185.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of : ~~Cass~~ Sangamon)

STATE BOARD OF ELECTIONS

13 OCT -9 PM 1:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 13A9002

Cass County Democratic Central Committee ID #54
Respondent(s).

APPEAL AFFIDAVIT

I, Michael Kirchner (Name), the Treasurer (Chairman/Treasurer) of the Cass County Democratic Central Committee ID. #54 (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(1) I thought the April 22nd and April 23 contributions had been reported April 23rd to the State Board of Elections and accepted and done. When I was working on the Quarterly Report April-May-June in June I noticed the A-1 amounts did not appear. I frantically looked for confirmation and verification and could find none. I immediately filed A-1's 6/14/2013 on both contributions dated 4/23/2013 and 4/22/2013. I did also file my Quarterly Report. I cannot explain why these two A-1's were not showing. (2) I have been filing Campaign Disclosure Reports for this Committee since 1990 and I have never not filed (an A-1, Quarterly, Pre-Election, Semi-Annual Report) on time. I therefore would respectfully ask that Civil Penalty be waived completely. (3) The contributions were reported on the June Quarterly Report and also on the Schedule A-1 as soon as I realized they were not appearing on any earlier A-1's. (4) No Past Violations in Reporting. (5) I am asking the $185.00 penalty be waived.

Michael Kirchner
Signature of Chairman/Treasurer

217-899-0114 (cell)

[redacted] 217-452-2277 ext 4 (work)

Signed and Sworn to by: Michael Kirchner

before me this 7th Day of Oct., 2013

Notary Public (seal)

OFFICIAL SEAL
MICKI WILSON
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:07/06/15

Either one

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 JQ 010**

Evanston Regular Republican Org ID# 221
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2013 Quarterly Report

The Report was received by the Board on July 19, 2013, 4 days late, resulting in a civil penalty assessment of $100.

Robert E Janes, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Janes states he attempted to electronically file the Report but the software would not allow him to transmit the information. He says he went to the Board's Chicago office for assistance on July 19, and was then able to file the Report.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of $2,125.87.)

Tom Newman – Hearing Officer
January 28, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Evanston Regular Republican Org
PO Box 325
Evanston, IL 60204

ID# 221

Dear Evanston Regular Republican Org:

7011 2970 0003 6441 5075

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/19/2013, 4 days late. As such, this committee has been assessed a fine of $100.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Laura Marbold 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of Cook)

2013 SEP 30 PM 2:42

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 1390010
)
EVANSTON REGULAR REPUBLICAN ORGN)
)
Respondent(s).)

APPEAL AFFIDAVIT

I, ROBERT E JAMES, the TREASURER of the
(Name) (Chairman/Treasurer)

EVANSTON REGULAR REPUBLICAN ORGANIZATION
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was asked to become Treasurer early in 2013 – but did not receive the records until later. I filed a D-1 Form on May 30, 2013 – and the D-2 form for Jan-March was filed on the same date, with the assistance of Dept staff.

I endeavored to file the April-June statement using my computer and the original software – but it didn't allow me to transmit the information. This I came in to your Chicago office on July 19th to process the form and get it filed. I subsequently endeavored to get the revised report onto my computer – and expect to get it filed before the due date of October 15th

Signed and Sworn to by:
Robert James
before me this 30 Day of
September, 2013
Andy Nauman
Notary Public

Robt E James
(Signature of Chairman/Treasurer)

OFFICIAL SEAL
ANDY NAUMAN
Notary Public - State of Illinois
My Commission Expires Dec 12, 2(

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v. **13 AJ 006**

Illinois Home Builders Pol Education Committee ID# 295

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a $1,155 contribution on 6/13/13, and reported it on a Schedule A-1 received by the Board on 6/25/13, 3 days late, resulting in a civil penalty assessment of $578. Additionally, the Committee had previously been assessed a $50 civil penalty (not appealed, stayed) for delinquently filing the March 2012 Quarterly report. The total assessment is $628.

William Ward, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ward states the funds received were from two fundraising events and were inadvertently reported as one deposit. The Quarterly report has been amended to show two separate receipts of $720 and $435.

I agree that the money represents two separate fundraising events and therefore are not subject to the A-1 reporting requirements. I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the earlier $578 civil penalty.

(As of 12/31/13, this Committee reported a funds available balance of $6,470.23.)

Kim Patrick

Kim Patrick – Hearing Officer
January 21, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Illinois Home Builders Pol Education Comm ID# 295
Terry R Ruhland, William A Ward
112 W Edwards St
Springfield, IL 62704-1902

7011 2970 0003 6441 8533

Dear Illinois Home Builders Pol Education Comm:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Home Builders Association of Illinois	6/13/2013	$1155	6/25/2013	3	$578

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of $578.00 for delinquently filing Schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $58.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2012-3/31/2012	Quarterly	$50
TOTAL AMOUNT NOW DUE		**$108**

State of Illinois)
)
County of : Sangamon)

STATE BOARD OF ELECTIONS
13 OCT -9 PM 4:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
Vs. ILLINOIS HOME BUILDERS POLITICAL EDUCATION COMMITTEE Case No. 13AC0016)
)
)
Respondent(s).)

APPEAL AFFIDAVIT

I, William WARD, the Treasurer of the
(Name) (Chairman/Treasurer)

ILLINOIS HOME BUILDERS POLITICAL EDUCATION COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Funds were received on June 13, 2013 from two different fund raising events and were inadvertently recorded as one deposit. A door prize drawing earned $720.00 and a game called "Heads or Tails" earned $435.00 for a combined total of $ 1,155.00. An amended report has been filed showing each amount separately. Therefore we appeal the fine of $ 578.00 and would respectfully request it be waived.

Signature of Chairman/Treasurer

Signed and Sworn to by:
William Ward

before me this 9th Day of October, 2013

Notary Public
(seal)

OFFICIAL SEAL
MICHAEL L. HANSON
Notary Public, State of Illinois
My Commission Expires 08-12-2014

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 003**

Illinois Road Builders PAC ID# 372
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 28, 2013, 9 days late, resulting in a civil penalty assessment of $450.

Michael J Sturino, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sturino states that he has previously filed all reports at least several days before the deadline. For the Report in question, he says he entered all information and validated the Report on 10/4/13, the same date he filed his federal report with the FEC. Mr. Sturino says he hit the upload key and went into a meeting, assuming the Report was successfully filed.

The IDIS electronic filing software will always give a "report filing successful" confirmation message when a report is successfully uploaded. Additionally, an unfiled report will remain showing on the committee's list of due reports in the software, so I am not sure how Mr. Sturino could have thought the Report was filed when in fact it was not. However, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of $247,593.02.)

Tom Newman – Hearing Officer
February 6, 2014

State of Illinois)
)
County of : Cook)

STATE BOARD OF ELECTIONS
14 FEB -4 AM 11:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. ID# 372/13SG003
)
Illinois Road Builders PAC)
Respondent(s).)

APPEAL AFFIDAVIT

I, Michael J Sturino, the Treasurer of the
(Name) (Chairman/Treasurer)
Illinois Road Builders PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As you can see from my filing history that I have filed my reports earlier then the deadline date, usually by four or five days. On the report in question I entered all the information and validated the report on 10/4/13 the same day I filed my federal report. I hit the upload key and went into a meeting, assuming the report was sent.

Michael J Sturino
Signature of Chairman/Treasurer

Signed and Sworn to by:
Frances J Splitgerber
before me this 31st Day of January, 2014

Notary Public
(seal)

NOTARY PUBLIC OFFICIAL SEAL STATE OF ILLINOIS
FRANCES J SPLITGERBER
MY COMMISSION EXPIRES
MAY 18, 2014

IDIS v3.0

Illinois State Board of Elections

Illinois Road Builders PAC
Logged in as: FID849
Logout

File View Actions Help

Previously Filed Reports

Reports Due Now

Future Reports Due

Previously Filed Reports

Report Type	Amendment	Date Filed	Receipt ID	Reporting Period	Filing Period
60305 Quarterly		1/7/2014 10:54:41 AM	519575	10/1/2013 - 12/31/2013	1/1/2014 - 1/15/2014
58472 A-1		12/27/2013 12:53:08 PM	518516	10/1/2013 - 12/31/2013	10/1/2013 - 1/8/2014
54283 A-1		12/2/2013 12:24:23 PM	517580	10/1/2013 - 12/31/2013	10/1/2013 - 1/8/2014
45705 A-1		11/1/2013 10:49:55 AM	516522	10/1/2013 - 12/31/2013	10/1/2013 - 1/8/2014
45706 Quarterly		10/28/2013 9:29:22 AM	516269	7/1/2013 - 9/30/2013	10/1/2013 - 10/15/2013
45707 Quarterly		7/8/2013 1:09:36 PM	504525	4/1/2013 - 6/30/2013	7/1/2013 - 7/15/2013
45708 Quarterly		4/2/2013 12:16:26 PM	495385	1/1/2013 - 3/31/2013	4/1/2013 - 4/15/2013
45709 Quarterly		1/17/2013 12:17:04 PM	491300	10/1/2012 - 12/31/2012	1/1/2013 - 1/15/2013
45710 A-1		1/2/2013 1:03:56 PM	486447	10/1/2012 - 12/31/2012	10/1/2012 - 1/8/2013
45711 Quarterly		10/2/2012 10:17:23 AM	476653	7/1/2012 - 9/30/2012	10/1/2012 - 10/15/2012
45712 Quarterly		7/9/2012 11:28:54 AM	468625	4/1/2012 - 6/30/2012	7/1/2012 - 7/16/2012
45713 Quarterly	Amendment	7/9/2012 11:27:40 AM	468622	1/1/2012 - 3/31/2012	4/1/2012 - 4/16/2012
45714 Quarterly	Amendment	7/9/2012 11:25:09 AM	468619	10/1/2011 - 12/31/2011	1/1/2012 - 1/17/2012
45715 Quarterly	Amendment	6/26/2012 11:11:08 AM	466928	1/1/2012 - 3/31/2012	4/1/2012 - 4/16/2012
45716 Quarterly		4/12/2012 12:06:06 PM	462058	1/1/2012 - 3/31/2012	4/1/2012 - 4/16/2012
45717 Quarterly		1/11/2012 12:31:29 PM	452561	10/1/2011 - 12/31/2011	1/1/2012 - 1/17/2012
45718 Quarterly		10/3/2011 12:52:57 PM	443284	7/1/2011 - 9/30/2011	10/1/2011 - 10/17/2011
45719 Quarterly		7/14/2011 1:50:51 PM	438456	4/1/2011 - 6/30/2011	7/1/2011 - 7/15/2011
45720 Quarterly		4/11/2011 10:40:06 AM	429279	1/1/2011 - 3/31/2011	4/1/2011 - 4/15/2011
45721 Semiannual	Amendment	1/31/2011 12:59:53 PM	423928	7/1/2010 - 12/31/2010	1/3/2011 - 1/20/2011
45722 Semiannual	Amendment	1/31/2011 12:56:46 PM	423927	1/1/2010 - 6/30/2010	7/1/2010 - 7/20/2010
45723 Semiannual	Amendment	1/31/2011 12:46:24 PM	423924	7/1/2009 - 12/31/2009	1/4/2010 - 1/20/2010

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

fec 889800

Office Use Only

1. NAME OF COMMITTEE (in full) — TYPE OR PRINT ▼ — Example: If typing, type over the lines. 12FE4M5

Illinois Road & Transportation Builders Association FED PAC

ADDRESS (number and street) ▼ 500 Park Boulevard Suite 1250

☐ Check if different than previously reported. (ACC)

Itasca | IL | 60143

CITY ▲ | STATE ▲ | ZIP CODE ▲

2. FEC IDENTIFICATION NUMBER ▼ C C00338327

3. IS THIS REPORT ☒ NEW (N) OR ☐ AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- ☐ April 15 Quarterly Report (Q1)
- ☐ July 15 Quarterly Report (Q2)
- ☒ October 15 Quarterly Report (Q3)
- ☐ January 31 Year-End Report (YE)
- ☐ July 31 Mid-Year Report (Non-election Year Only) (MY)
- ☐ Termination Report (TER)

(b) Monthly Report Due On:

- ☐ Feb 20 (M2)
- ☐ Mar 20 (M3)
- ☐ Apr 20 (M4)
- ☐ May 20 (M5)
- ☐ Jun 20 (M6)
- ☐ Jul 20 (M7)
- ☐ Aug 20 (M8)
- ☐ Sep 20 (M9)
- ☐ Oct 20 (M10)
- ☐ Nov 20 (M11) (Non-Election Year Only)
- ☐ Dec 20 (M12) (Non-Election Year Only)
- ☐ Jan 31 (YE)

(c) 12-Day **PRE**-Election Report for the: ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R) ☐ Convention (12C) ☐ Special (12S)

Election on (M M / D D / Y Y Y Y) in the State of

(d) 30-Day **POST**-Election Report for the: ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on (M M / D D / Y Y Y Y) in the State of

5. Covering Period 07 / 01 / 2013 through 09 / 30 / 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Michael Sturino

Signature of Treasurer *Mr. Michael Sturino* Date 10 / 04 / 2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3X
Rev. 12/2004

FE6AN026

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Illinois Road Builders PAC
Michael J Sturino
500 Park Blvd, ste 1250
Itasca, IL 60143

ID# 372

7011 2970 0003 6441 8748

Dear Illinois Road Builders PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2013through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/28/2013, 9 days late. As such, this committee has been assessed a fine of $450.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AS 002**

Boone County Democratic Central Committee ID# 610
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a $4,310.44 contribution on 9/29/13 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of $2,155.

Craig Schultz, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Schultz states that the contribution in question was actually an aggregate of smaller contributions, in the $5 to $7 range, resulting from a breakfast conducted by the Committee at the Boone County Fair. He says the Committee turned in its daily receipts to the county fair association, and they in turn paid the Committee half of the total receipts minus expenses.

I agree that based on the nature of the money in question and the fact that it does not actually represent a single contribution of $1,000 or more, no A-1 Report was required. I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of $10,388.78.)

Tom Newman – Hearing Officer
January 28, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Boone County Democratic Central Cmte
PO Box 1263
Belvidere, IL 61008-1263

ID# 610

7012 3460 0001 3857 0164

Dear Boone County Democratic Central Cmte:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Boone County Fair	9/29/2013	4310.11	*	1	$2155

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $2155.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $216.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of: Boone)

STATE BOARD OF ELECTIONS
14 JAN 27 PM 2:33

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13A 5002
)
Boone County Democratic Central Committee)
Respondent(s). 610)

APPEAL AFFIDAVIT

I, Craig A. Schultz, the Treasurer of the
(Name) (Chairman/Treasurer)
Boone County Democratic Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In regard to the $4,310.11 contribution from the Boone County Fair. This amount is (½) of our total sales for the week at the Fair for the breakfast we, as a committee conduct. It is multiple transactions, averaging in the $5.00 to $7.00 range for individual breakfast orders. We turn our sales receipts in daily to the Fair Association and they, in turn, pay us our (½) of the total receipts, minus our expenses. Any questions in this matter, can be directed to my attention, by calling 815-977-0658. Thank you!

Craig A. Schultz
Signature of Chairman/Treasurer

Signed and Sworn to by:
Dawn Rinaldo
before me this 17 Day of Jan, 2014

Notary Public
(seal)

OFFICIAL SEAL
DAWN RINALDO
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES 12/05/15

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AS 009**

Mercer County Republican Central Committee
Cmte ID: 5166
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The A-1 was filed July 24th, 2013, with respect to a receipt of $1000, dated July 12th, 2013. The A-1 was three days late, resulting in a fine of $500. This is the Committee's first assessment.

Joe W. Vann, III, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter.

On the affidavit, Mr. Vann stated the receipt in question was actually a refund of a raffle payout. The recipient indicated to the Committee he did not wish to keep the money. Mr. Vann stated the raffle winner marked the check "void" and that no actual exchange of funds occurred. Supporting documentation included the Committee's raffle report and the voided check.

I recommend the appeal be granted. It appears the receipt in question could have been listed by the Committee as a Part 4 Other Receipt, and refunds of this nature do not require the filings of A-1s. As of December 31st, 2013, the Committee reported a cash balance of $26,049.65.

John Levin – Hearing Officer
January 22nd, 2014

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

14 JAN 17 PM 1:40

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13AS009
)
Mercer County Republican Central Committee)
Respondent(s).)

APPEAL AFFIDAVIT

I, Joe W. Vann III, the Treasurer of the
(Name) (Chairman/Treasurer)

Mercer County Republican Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

On 7/12/2013, Harvey Bluedorn won $1,000.00 in our authorized cash raffle and a check was issued in his name. However, on 7/22/2013, he returned the check, marked void, as his personal beliefs did not allow him to accept the proceeds from gambling. No actual exchange of funds actually occurred as the funds remained in the account of the Committee. The record of a donation was reported to simply balance the books. Supporting documents attached.

Signature of ~~Chairman~~/Treasurer

Signed and Sworn to by:
Sandra L Bull
before me this 14 Day of JANUARY, 2014

Notary Public
(seal)

OFFICIAL SEAL
SANDRA L. BULL
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES 3-15-2014

OFFICIAL SEAL
SANDRA L. BULL
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES 3-15-2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Mercer County Republican Central Committee ID# 5166
Joe W Vann III
1 801 North St
New Boston, IL 61272

7012 3460 0001 3857 0287

Dear Mercer County Republican Central Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Harvey Bluedorn	7/12/2013	1000	7/24/2013	3	$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $500.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

RAFFLE REPORT

STATE BOARD OF ELECTIONS
14 JAN 17 PM 1:41

Full name and complete mailing address of Political Committee:

Mercer County Republican Party
PO Box 51
Aledo, Fl. 61231

POLITICAL COMMITTEE IDENTIFICATION NO.
5166

☐ CHECK IF ADDRESS CHANGE

Date of Raffle Drawing: 7-12-13
Describe Raffle: Cash Raffle Ticket's drawing
Check here if this is an amended Raffle Report: ☐

All committees Return This Form To:
State Board of Elections
2329 S MacArthur Blvd.
Springfield, Il 62704-4503

SEE PAMPHLET "RULES FOR RAFFLES" FOR GUIDANCE.

Gross Receipts $3000 (1)

minus Expenses 0 (2)

equals Net Proceeds $3000 (3)

See instructions on the reverse side of this form.
Attach additional sheets if necessary.

Distribution of Net Proceeds (Cash):

Payee name and address	Date	Purpose	Amount
Harvey Bluedorn 525 120th Ave New Boston 61272	7-12-13		$1000
Amanda Sossaman 1885 165th Ave Aledo IL 61231	7-12-13		$350
SALLY FRYE 904 SW 10th St. ALEDO 61231	7-12-13		$100
106 NE 3RD AVE Dick Bull ALEDO IL 61231	7-12-13		$50
Mercer County Republican Party - PO Box 51 Aledo, IL 61231	7-12-13		$1500

Net Proceeds Total $3000

Distribution of Net Proceeds (Non-Cash):

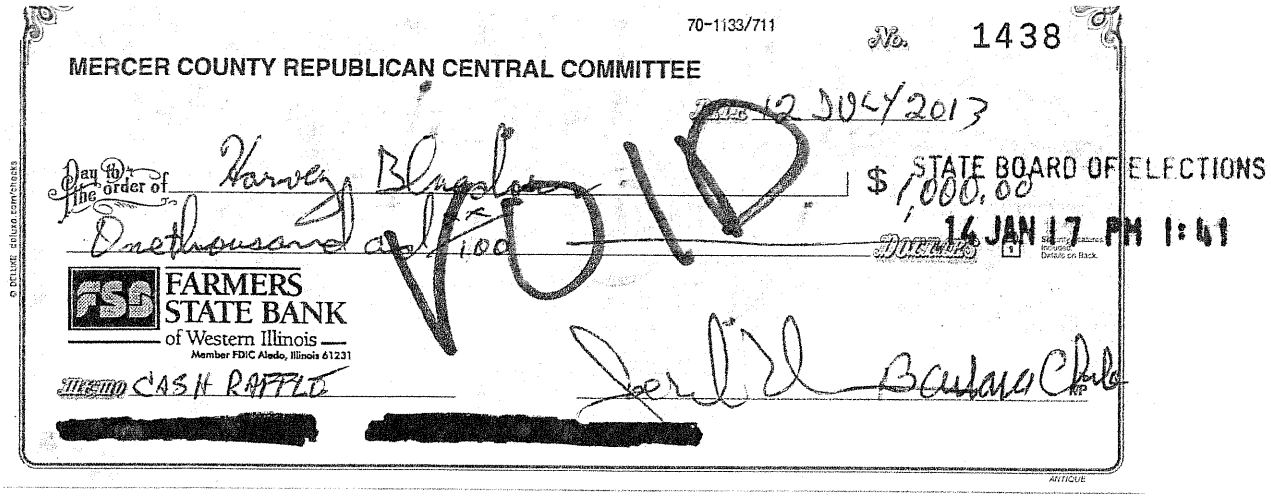
Winner name and address	Date	Item

VERIFICATION

I DECLARE THAT THIS RAFFLE REPORT HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE, IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY 230 ILCS 15/0.1 OF THE RAFFLES ACT. VIOLATION OF ANY PROVISION OF THIS SECTION IS A CLASS C MISDEMEANOR.

SIGNATURE OF TREASURER OR CHAIRMAN

7-12-13
DATE


70-1133/711

No. 1438

MERCER COUNTY REPUBLICAN CENTRAL COMMITTEE

Date 12/04/2013

Pay To The Order of Harvey Blagden

$ 1,000.00

One thousand and 00/100 Dollars

STATE BOARD OF ELECTIONS
14 JAN 17 PM 1:41

VOID

FARMERS STATE BANK of Western Illinois
Member FDIC Aledo, Illinois 61231

Memo CASH RAFFLE

[redacted]

Barbara Clark

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 019**

Bremen Township Republican Organization ID# 5989
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 21, 2013, 4 days late, resulting in a civil penalty assessment of $100. Additionally, the Committee had previously been assessed a $50 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 in the first quarter of 2012. The total assessment is $150.

JoAnn M. Kelly, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Kelly states that the Committee intended to file the Report electronically for the first time, and set up a user name and password to do so. However, she says an issue with the creation date of the Committee prevented her from being able to input information into the system, and she was unable to get assistance from Board staff because it was after 5pm on Friday, October 11. Ms. Kelly says as a result she mailed a paper Report, but the post office was closed on Monday, October 14, for Columbus Day, which may have also delayed the Report. She adds that the Committee's problem has now been resolved and she plans to file electronically in the future.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of $2,391.93.)

Tom Newman – Hearing Officer
February 6, 2014

State of Illinois)
)
County of: Cook)

STATE BOARD OF ELECTIONS
14 FEB -4 AM 8:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13SQ019
)
Bremen Township Republican Organiza-)
Respondent(s). tion)

APPEAL AFFIDAVIT

I, JoAnn M. Kelly (Name), the Treasurer (Chairman/Treasurer) of the Bremen Township Republican Organization (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As the new treasurer, I was trying to set up online reporting. Because the committee was created in 1989, I was unable to enter any information online until I could speak to Jason (in your office) who helped me reset the account. It was necessary to file a paper report which was delayed in the mail and arrived late in Springfield. We are now set up to file electronically in the future.

JoAnn M. Kelly
Signature of Chairman/Treasurer

Signed and Sworn to by;
Joan M Kelly
before me this 25 Day of January, 2014

Notary Public
(seal)

"OFFICIAL SEAL"
JOAN R. KELLY
Notary Public, State of Illinois
My Commission Expires 05/13/2014

Bremen Township Republican Organization
15813 Joann Lane, Oak Forest, Illinois 60452

STATE BOARD OF ELECTIONS

14 FEB -4 AM 8:13

November 2, 2013

Sharon Steward
State Board of Elections
James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, IL 60601

Dear Ms. Steward:

Committee ID: 5989

I am writing in response to your letter of October 21st which said that our committee would be mailed an assessment notice advising us as to the amount of the civil penalty being assessed for a late filing of the quarterly report for July 1, 2013 through September 30, 2013. We do wish to submit an appeal.

I became treasurer of the organization in the summer after the second quarterly report had been filed. Previously, all reports had been filed on paper. I received a notice about the new on-line IDIS reporting system that would be available, and I set up a User name and password for our organization. However, when I went back to actually submit the report, there was a problem. Because the committee had been created in 1989, the system would not allow me to enter the information. It was after 5:00 P.M. and your offices were closed. Also, because of the Columbus Day Holiday, your offices were closed on Monday, October 14th.

I prepared the written report on paper and delivered it to the post office. (The post office was closed on October 14th which may have further delayed receipt.) I spoke with Jason in your office later that week, and he told me how to "reset" the reporting date. I plan to use the online reporting system in the future which should prevent this type of delay in the future.

I request your understanding and patience with a new committee treasurer who was trying to make use of updated IDIS technology. Our committee financial resources are minimal, and any penalty would be very detrimental.

I believe this would be a first time violation for our committee. It is certainly a first time violation for me. Thank you for your consideration.

Very truly yours,

JoAnn M. Kelly

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs. 12 AS 014

Citizens for Sara Feigenholtz (ID 9783)
Respondent

REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment for the Failure to File a Schedule A-1 Report

On August 26, 2012, the Committee received five contributions of $10,000 and reported them on a Schedule A-1 filed on September 3, 2012, 1 day late; resulting in a $2,500 civil penalty.

Michael Kasper, Counsel for the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on February 15, 2013.

Attorney Michael Kasper appeared on behalf of the Committee. Mr. Kasper stated that the contributions were deposited on August 27, 2012. The date of August 26, 2012 that was reported on the Schedule A-1 was a typographical error.

On February 23, 2013, the Committee amended the 2012 September Quarterly Report to reflect the actual date of receipt. I recommend the appeal be granted. (As of 12/31/12, this Committee reported a funds available balance of $344,546.27.)

Tara Molnar – Hearing Officer
March 7, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

December 18, 2012
ID# 9783

Citizens for Sara Feigenholtz
3213 N Wilton Ave, Apt A
Chicago, IL 60657-2280

7012 1010 0002 5104 3045

Dear Citizens for Sara Feigenholtz:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Eli Lilly & Company PAC	8/26/2012	$1000	9/3/2012	1	$500
Conlon Public Strategies	8/26/2012	$1000	9/3/2012	1	$500
Terrance Hunt	8/26/2012	$1000	9/3/2012	1	$500
Medical Staffing Solutions LTD	8/26/2012	$1000	9/3/2012	1	$500
Medimmune	8/26/2012	$1000	9/3/2012	1	$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of $2500 for delinquently filing Schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $250, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

STATE BOARD OF ELECTIONS
13 JAN -4 PM 2:12

State of Illinois)
)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 12 A S014
)
Citizens for Sara Feigenholtz)
Respondent(s).)

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the
(Name) (Chairman/Treasurer)

Citizens for Sara Feigenholtz
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Assessment wrongly applied due to incorrect deposit date.

Signed and Sworn to by:
Michael J. Kasper
before me this 4th Day of
January, 2013
Dorene M Egan
Notary Public

OFFICIAL SEAL
DORENE M EGAN
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:08/16/16

(Signature of Chairman/Treasurer)

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs. 13 AD 025

Citizens for Thaddeus Jones for 3rd Ward Alderman (ID 12697)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

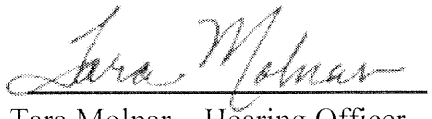
On October 18, 2012, the Committee received a $1,500 contribution and reported it on a Schedule A-1 Report received by the Board on October 24, 2012, two days late, resulting in a $750 civil penalty assessment. Since this is the first Schedule A-1 violation, the fine is reduced to $75 or 10% of the above-referenced amount. Additionally, this Committee was assessed a $500 civil penalty (appealed, denied, paid) for delinquently filing the Pre-Election Report for the 2010 General Election and a $25 civil penalty (not appealed, paid) for delinquently filing the 2012 March Quarterly Report. The total assessment is $75.00

Thaddeus Jones, Chairman and Candidate, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on June 10, 2013.

Attorney and Thaddeus Jones appeared on behalf of the Respondent. Mr. Means stated that Citizens for Thaddus Jones for the 3rd Ward Alderman is a Candidate Political Committee established to support Mr. Jones candidacy for Alderman of Calumet City. Additionally, Mr. Jones operates the Candidate Political Committee Jones for State Representative, political committee ID 23713, to support his candidacy for the 29th Representative District. Mr. Jones was reelected State Representative on the November 6, 2012 election. The contribution assessed was not received to support his candidacy for State Representative. Therefore the two-day reporting requirement for Schedule A-1's for this Committee did not apply at the time the contribution was received. The contribution was received in support of his aldermanic race and it was timely reported within five business days as required by the Act.

I concur with the Respondent's reasoning that the Schedule A-1 Report was received timely. Section 5/9-10(c) of the Act states in pertinent part that a contribution of $1,000 or more must be filed on a Schedule A-1 within five business days, except that the report shall be filed within two business days after receipt if (i) the contribution is received 30 or fewer days before the date of an election and (ii) the political committee supports or opposes a candidate or makes expenditures of $500 on behalf or in

opposition to a candidate on the ballot at that election. The committee assessed is established to support Mr. Jones' candidacy for alderman. Since the office of alderman was not on the ballot during the time the contribution was received, I recommend the appeal be granted. (As of 3/31/13, this Committee reported a funds available balance of $2,424.04).



Tara Molnar – Hearing Officer
September 18, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

March 15, 2013

Citizens for Thaddeus Jones for 3rd Ward Alderman ID# 12697
(Formerly Citizens for Jones)
289 Paxton Ave
Calumet City, IL 60409-1748

7011 2970 0003 6439 1904

Dear Citizens for Thaddeus Jones for 3rd Ward Alderman:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Midwest Laborers / LIUNA	10/18/2012	$1500	10/24/2012	2	$750

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of $750 for delinquently filing Schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $75, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois

County of: Cook

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 13AD025

Citz for Thadd

Respondent(s).

APPEAL AFFIDAVIT

I, Thaddeus Jones, the Chairman of the
(Name) (Chairman/Treasurer)

Citz for Thaddeus Jones - 3rd ward Alder
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

A-1 reports was submitted on
time by treasurer

Signature of Chairman/Treasurer

Signed and Sworn to by:
Steven R. Battles

before me this 8th Day of May, 2013

Notary Public
(seal)

OFFICIAL SEAL
STEVEN R BATTLES
NOTARY PUBLIC STATE OF ILLINOIS
MY COMMISSION EXPIRES 10/03/2016

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v. **13 AJ 030**

Local Union 792 Political Action Fund ID# 23707

Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Committee received a $2,000 contribution on 5/21/13 and failed to report it on a Schedule A-1. Additionally, the Committee had previously been assessed a civil penalty of $3,550 for delinquently filing the D-1 Statement of Organization (appealed, denied, unpaid), a $3,200 civil penalty for delinquently filing the March 2011 Quarterly report (appealed, denied, unpaid) and a $100 civil penalty for delinquently filing the June 2011 Quarterly report (appealed, denied, unpaid). The total assessment is $7,850.

Jaime Sanchez, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sanchez states that the receipt in question represents member dues that is received by Local Union 792 and then transferred to the Political Action Fund. The Local Union simply acts as a conduit for these contributions.

I contacted Mr. Sanchez to advise him in the future to report these contributions in such a way as to make it clear that the contributions represent an aggregate of smaller dues payments from union members. Illinois disclosure law allows a labor organization to act as a conduit to deliver dues payments and to report these normally not-itemized contributions in the aggregate. Therefore, I recommend the appeal be granted. The civil penalty of $6,850 remains due and owing.

(As of 12/31/13, this Committee reported a funds available balance of $1,706.57.)

Kim Patrick

Kim Patrick – Hearing Officer
January 23, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Local Union 792 Political Action Fund ID# 23707
Joseph Dunker, William Buckler
212 S First St
Rockford, IL 61104-2089

7011 2970 0003 6440 6738

Dear Local Union 792 Political Action Fund:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Carpenters Local Union 792	5/21/2013	$2000	*not filed	29	$1000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of $1000.00 for delinquently filing Schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $100.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2011	Multi-Assessment	$3550
2011	Multi-Assessment	$3200
2011	Multi-Assessment	$100
TOTAL AMOUNT NOW DUE		**$6850**

STATE BOARD OF ELECTIONS
13 OCT 15 PM 1:00

State of Illinois)
)
County of : _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13A9080
) 23707
Local Union 792 Political Action Fund)
Respondent(s).)

APPEAL AFFIDAVIT

I, Jaime Sanchez, the Treasurer of the
(Name) (Chairman/Treasurer)

Local Union 792 Political Action Fund
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The contribution amount in question represents members' dues money that is received by Local Union 792 and subsequently transferred to the Local Union 792 Political Action Fund. The Local Union simply acts as a conduit for these contributions which individually would be under the normal itemization threshold.

Jaime Sanchez
Signature of Chairman/Treasurer

Signed and Sworn to by:
Elisa Sanchez

before me this 8 Day of October, 2013

Notary Public
(seal)

OFFICIAL SEAL
ELISA SANCHEZ
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 8-7-2016

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AM 76**

Will County Liberty Club
Cmte ID: 23962
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Committee filed the A-1 on May 17th, 2013, with respect to a donation originally dated May 3rd, 2013. The A-1 was five days late, resulting in an assessment of $1000. The Committee has not previously been fined.

Tony Fremarek, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

Mr. Fremarek stated the donation in question was received by a Committee official on May 17th, 2013, and forwarded to him. He filed the A-1 on the same date, listing the date on the check as the date of receipt. Mr. Fremarek said the Committee has filed an amended June 2013 Quarterly Report identifying May 17th, 2013, as the actual date of receipt for the donation. The appeal also included documentation indicating the check was deposited on May 23rd, 2013.

I recommend the appeal be granted. The Committee actually could have reported the donation as being received on May 23rd, 2013, the date it was deposited in the bank. Mr. Fremarek acknowledged his error with the initial A-1 filing and said he now understands that dates of deposit should be used for noting dates of receipt. The Committee actually filed the A-1 in question well in advance of the date it was due. As of December 31st, 2013, the Committee reported a cash balance of $-3.85 (an issue that will need to be separately addressed).

John Levin – Hearing Officer
January 24th, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Will County Liberty Club
Tony Fremark
14535 Colonial Pky
Plainfield, IL 60544

ID# 23962

7011 2970 0003 6441 7765

Dear Will County Liberty Club:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
John Roeser	5/3/2013	$2000	5/17/2013	5	$1000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $1000.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $100.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of: Will)

STATE BOARD OF ELECTIONS
13 OCT 15 PM 12:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13AM0076
) 23962
Will County Liberty Club)
Respondent(s).)

APPEAL AFFIDAVIT

I, Tony Frewarek (Name), the Treasurer (Chairman/Treasurer) of the Will County Liberty Club (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The check from Jonh Roeser was dated May 3rd, and received by the committee on May 17. When the check was recorded in IDIS the check date was used in error. That has been corrected and an amended D-2 filed for Q2. The A-1 was properly filed within the 5 day time period. I have attached the deposit detail from our bank.

Signature of Chairman/Treasurer

Signed and Sworn to by:
Anita Gerardy
before me this 9 Day of October, 2013

Notary Public
(seal)

"OFFICIAL SEAL"
Anita Gerardy
Notary Public, State of Illinois
My Commission Expires 3/31/2017

CHECKING DEPOSIT

BMO Harris Bank

Name	Date
Will County Liberty Club	5/17/13
Address	
14535 Colonial Pkwy Plainfield IL 60544	
Signature Required for Cash Received	

G30243 B R5/12 9JKK9541 BMO Harris Bank N.A. 029-04742

Currency/Coin

List Check 2

Total From Other Side

Sub-Total

Less Cash Received

STATE BOARD OF ELECTIONS

13 OCT 15 PM 12:58

2100

2100

2100.

ACCOUNT NUMBER

[redacted]

$

⑆5101⑈0029⑆

20

100

150

2000

2250

DEPOSITDDA 0029 $2,100.00

0029 03003 4810141616 chanlin

06310 08:37:17 A 05/23/2013 D 17

300300008 44203 - 052313 $0.00

BMO Harris Bank N.A. >071000288<

311 W Monroe

Chicago IL 60606

Media	M--
Posting Date	20130523
CPCS Seq #	2400573572
Account #	4810141616
Amount	210000
Ck/Serial #	0
Dep CPCS Seq #	2400573572
Dep Account	4810141616
R/T Routing Transit	51010029
Bank #	29
TranCode	20
Exception	0

Morgan Stanley
Active Assets Account®

DEBRA H. HOLSCHER
2708 MODAFF ROAD
NAPERVILLE, IL 60565

STATE BOARD OF ELECTIO881
Date 5-6 2013 PM 12: 58 80/440

Pay to the Order of Illi County Liberty Club $100.00

One Hundred and no/100 Dollars

Morgan Stanley DW Inc.
Bank One, Columbus, NA
Columbus, Ohio 43271

Expense Analyzer

For Liberty Club

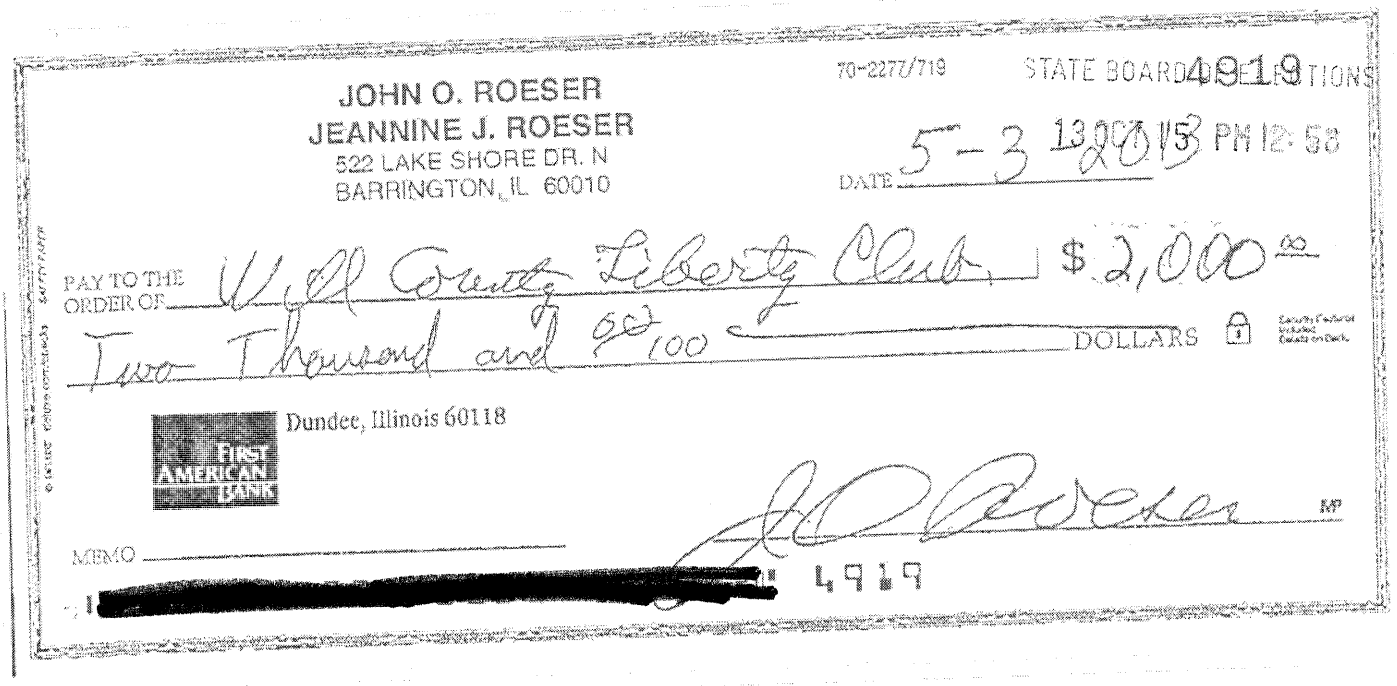
Debra H. Holscher

[redacted]

Credit to the account of the within named payee.

300300008442O4 - 052313
BMO Harris Bank N.A. >071000288<
311 W Monroe
Chicago IL 60606

Media	M--
Posting Date	20130523
CPCS Seq #	2400573573
Account #	8901079105503
Amount	10000
Ck/Serial #	881
Dep CPCS Seq #	2400573572
Dep Account	4810141616
R/T Routing Transit	04400080
Bank #	28
TranCode	0
Exception	0



JOHN O. ROESER
JEANNINE J. ROESER
522 LAKE SHORE DR. N
BARRINGTON, IL 60010

70-2277/719

STATE BOARD 4919 ELECTIONS

DATE 5-3 2013 PM 12:58

PAY TO THE ORDER OF Will County Liberty Club $ 2,000 00

Two Thousand and 00/100 DOLLARS

FIRST AMERICAN BANK
Dundee, Illinois 60118

MEMO

[redacted] 4919

Credit to the account of the within named payee.

30030000844205 - 052313
BMO Harris Bank N.A. >071000288<
311 W Monroe
Chicago IL 60606

Media	M--
Posting Date	20130523
CPCS Seq #	2400573574
Account #	6032929002
Amount	200000
Ck/Serial #	4919
Dep CPCS Seq #	2400573572
Dep Account	4810141616
R/T Routing Transit	07192277
Bank #	28
TranCode	0
Exception	0

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 146**

Friends to Elect Danny Hartline ID# 24089
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 18, 2013, 3 days late, resulting in a civil penalty assessment of $150. Additionally, the Committee had previously been assessed a $50 civil penalty (not appealed, stayed) for delinquent filing of the March 2012 Quarterly Report. The total assessment is $200.

Charlotte Hartline, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Hartline states that her computer with the Committee's data on it crashed, resulting in a loss of records. Additionally, she says she was out of town and could not access other records, nor could she work on the Report since the Committee had not yet converted to the IDIS 3.0 program that would allow them to access their data from any computer. Ms. Hartline says she filed the Report as soon as she could after returning home.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous $50 civil penalty. (As of 12/31/13, this Committee reported a funds available balance of $2,002.89.)

Tom Newman – Hearing Officer
February 6, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Friends to Elect Danny Hartline
Charlotte Hartline, Danny Hartline
PO Box 195
430 Baker Hill Rd
Cobden, IL 62920-0195

ID# 24089

7011 2970 0003 6442 0086

Dear Friends to Elect Danny Hartline:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/18/2013, 3 day(s) late. As such, this committee has been assessed a fine of $150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31, 2012	Quarterly	$50
TOTAL AMOUNT NOW DUE		**$200**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

State of Illinois)
)
County of: Union)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 14 FEB -4 AM 8:13
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13SQ146
)
Friends to Elect Danny Hartline)
Respondent(s).)

APPEAL AFFIDAVIT

I, Charlotte Hartline the Treasurer of the
(Name) (Chairman/Treasurer)

Friends to Elect Danny Hartline
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our computer completely crashed which had all the "old version 2.0" program on it. All my records were kept on that computer. I was out of town and could not access other records so I took care of it when returning and now with the new system and can access from any computer or "version 3", we should not have problem again.

Charlotte Hartline
Signature of Chairman/Treasurer

Signed and Sworn to by:
Charlotte Hartline

before me this 17 Day of Jan, 2014

Notary Public Karen M Winzenburger
(seal)

OFFICIAL SEAL
KAREN M WINZENBURGER
NOTARY PUBLIC - STATE OF ILLINOIS
MISSION EXPIRES 05/13/16

To whom it may concern,

STATE BOARD OF ELECTIONS
14FEB -4 AM 8:18

Due to some computer issues I did not file the reports by the proper filing dates.

I had a computer to crash completely and at that time the State of Illinois system had not been transferred over to be able to use any computer. This had also been a problem for me before but with the changes that have been made, it should not be a problem in the future.

I will do my very best to always have the reports in the proper time period from now on. I feel with the State reporting system changes to the system it will make it much easier to file it where ever you are and not have to be on a specific computer. Thanks so much for your upgrade to the system.

I am also asking that this penalty not be assessed to this committee due to the computer problems.

It should not happen again since the upgrade to the reporting system.

Thanks for your consideration of this request.

Charlotte Hartline / Treasurer

Committee Number 24089

Friends to Elect Danny Hartline

PO Box 195

Cobden , IL 62920

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 154**

Custom Coating Innovations Inc
Free Market State PAC
Cmte ID: 24284
Respondent

REPORT OF HEARING OFFICER

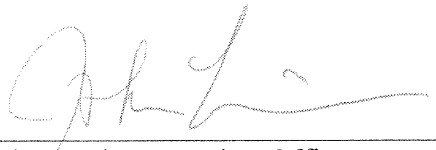
Appeal of Civil Penalty Assessment
For Delinquently Filing the September 2013 Quarterly Report

The Report was received on October 18th, 2013, 3 days late, resulting in a civil penalty assessment of $300. The Committee was previously assessed $25 (not appealed, stayed) for delinquently filing the September 2012 Quarterly Report.

Joe Behnken, the Chairman and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Behnken stated the Committee's late filing was the product of challenges associated with the transition from the IDIS 2 Version of electronic filing to the web-based IDIS Version 3. In a follow up phone conversation, Sue Doncarlos, who assisted in the filing, indicated issues with her Internet provider led to the system freezing up on her and kicking her out a number of times before she was able to file the Report successfully.

Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." The Committee appears to have made a good faith effort to promptly resolve the matter once it was able to migrate its database into the latest version of IDIS. In keeping with past Board practice, I recommend the appeal be granted as a one-time waiver of the assessment because of electronic filing issues. The Committee's reported balance as of December 31st, 2013, was $7,277.46.



John Levin – Hearing Officer
January 22nd, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Custom Coating Innovations Inc
Free Market State PAC/Joe Behnken
40 Commerce Dr
Lebanon, IL 62254-2541

ID# 24284

7012 3460 0001 3856 9120

Dear Custom Coating Innovations Inc:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/18/2013, 3 day(s) late. As such, this committee has been assessed a fine of $300.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through September 30, 2012	Quarterly	$25
TOTAL AMOUNT NOW DUE		**$325**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of : St Clair)

STATE BOARD OF ELECTIONS
14 JAN 16 AM 11:57

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs. CUSTOM COATING INNOVATIONS INC) Case No. 13SQ154
FREE MARKET STATE PAC)
Respondent(s).)

APPEAL AFFIDAVIT

I, Joe Behnken, the _____ of the
(Name) (Chairman/Treasurer)

CUSTOM COATING INNOVATIONS INC. FREE MARKET STATE PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The switch from desktop to web was a stumbling block. Struggled with the transfer several times and by the time we got it filed, we were late.

Joe Behnken
Signature of Chairman/Treasurer

Signed and Sworn to by:
Susan L Doncarlos
before me this 14th Day of JAN., 2014
Notary Public
(seal)

OFFICIAL SEAL
SUSAN L DONCARLOS
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:07/27/16

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AJ 064**

Committee to Elect Mike Verbic for Mayor ID# 24734
Respondent

REPORT OF HEARING OFFICER

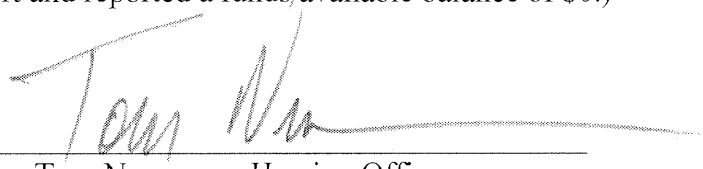
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a $2,500 contribution on 4/5/13 and reported it on a Schedule A-1 received by the Board on 4/15/13, 4 days late, resulting in a civil penalty assessment of $1,250. Additionally, the Committee had previously been assessed a $387 civil penalty (not appealed, paid) for delinquent filing of 3 A-1s from the first quarter of 2013. The total assessment is $1,250.

Mike Verbic, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Verbic states he had problems with the IDIS electronic filing software that prevented him from filing the Report on time. He says he was eventually able to resolve those problems with the assistance of Board staff, but not until the A-1 was already late.

A review of Board records indicates the Committee did have an electronic filing problem that required technical assistance to correct. As a result and in order to be consistent with previous Board decisions where an electronic filing issue is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 4/15/13, this Committee filed a Final Report and reported a funds available balance of $0.)



Tom Newman – Hearing Officer
January 28, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Committee to Elect Mike Verbic for Mayor ID# 24734
Mike Verbic
688 Magnolia St
DeKalb, IL 60115-5957

7012 3460 0001 3857 0508

Dear Committee to Elect Mike Verbic for Mayor:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Laborers' International Union of America	4/5/2013	2500	4/15/2013	4	$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $1250.00 for delinquently filing schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $625.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet
* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of: DEKALB)

STATE BOARD OF ELECTIONS
14 JAN 28 AM 11:48

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs. COMMITTEE TO ELECT) Case No. 13RA0104
)
MIKE VERBIC MAYOR)
Respondent(s).)

APPEAL AFFIDAVIT

I, MIKE VERBIC, the CHAIRMAN/TREASURER of the
(Name) (Chairman/Treasurer)

COMMITTEE TO ELECT MIKE VERBIC MAYOR
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE PROBLEMS WITH IDIS ELECTRONIC FILING. I WORKED WITH YOUR PHONE SUPPORT TO RESOLVE BUT I DID NOT HAVE A REMEDY IN TIME FOR FILING. I AM NOT SURE IF THE PROBLEM WAS WITH MY COMPUTER BUT I WAS EVENTUALLY ABLE TO GET THE IDIS TO WORK BUT LATE FOR THIS FILING

Michael Verbic
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 25 Day of January, 2014

Notary Public
(seal)

"OFFICIAL SEAL"
BRIAN WISKARI
Notary Public, State of Illinois
My Commission Expires 01-11-2016

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 181**

Friends of Stacy Gall ID# 24935
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 17, 1013, 2 days late, resulting in a civil penalty assessment of $50.

Michael O'Brien, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. O'Brien states that he attempted to file the Report electronically, but after having difficulty he mailed in a paper filing. He adds that he had similar problems with the fourth quarter report, but after speaking to the Board's IT staff he was able to get set up for future electronic filings.

Although Mr. O'Brien does not offer specifics about what problems he had with electronic filing, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of $1,526.28.)

Tom Newman – Hearing Officer
January 29, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Friends of Stacy Gall
Michael O'Brien
895 Cobb Blvd
Kankakee, IL 60901

ID# 24935

7011 2970 0003 6441 9660

Dear Friends of Stacy Gall:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2013through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/17/2013, 2 days late. As such, this committee has been assessed a fine of $50.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: Kankakee)

STATE BOARD OF ELECTIONS
14 JAN 24 PM 2:27

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13SQ181
)
Friends of Stacy Gall)
Respondent(s))

APPEAL AFFIDAVIT

I, Michael O'Brien, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Stacy Gall
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This filing was 2 days late, as I mailed the paper filing after having difficulty with the IDIS website. I also had difficulty with the Q4 2013 filing but spoke on Wednesday January 22, 2014 with Kalpana Krishnamurphi of the SBOE's IT Division. She helped me use the IDIS system so Friends of Stacy Gall can file electronically in the future. I apologize for these late filing and assure compliance in the future.

Michael P. O'Brien
Signature of Chairman/Treasurer

Signed and Sworn to by:
Suzanne M. Werner
before me this 22 Day of January, 2014

Notary Public
(seal)

"OFFICIAL SEAL"
Suzanne M. Werner
Notary Public, State of Illinois
My Commission Expires 3-6-2014

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 186**

Naperville Professional Firefighters PAC ID# 25041
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 24, 2013, 7 days late, resulting in a civil penalty assessment of $350.

James Leslie, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Leslie states that the Board's electronic filing software was incompatible with the Committee's computer. He says after receiving assistance from Board staff, and by using the beta version of the new IDIS 3.0 software he was able to file the Report, albeit late.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of $20,595.22.)

Tom Newman – Hearing Officer
January 28, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Naperville Professional Firefighters PAC
Dan Donahoe/Jim Leslie
10 W. Chicago Ave
Naperville, IL 60540

ID# 25041

7011 2970 0003 6441 9714

Dear Naperville Professional Firefighters PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2013through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/24/2013, 7 days late. As such, this committee has been assessed a fine of $350.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: Dupage)

STATE BOARD OF ELECTIONS
14 JAN 21 AM 12:08

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13SG186
)
Naperville Professional Firefighters)
Political Action Comm. Respondent(s).)

APPEAL AFFIDAVIT

I, James Leslie, the Treasurer of the
(Name) (Chairman/Treasurer)

Naperville Professional Firefighters Political Action Comm.
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The computer system used by the State of IL was incompatible with my computer. I sent a hard copy of our D-2, which was unacceptable. After some technical assistance from the IL State Board of Elections, I was able to file the D2 electronically with a Beta version of the Software. These complications caused the report to be late. Please reverse our fine. Thank you.

Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 17 Day of January, 2014

Notary Public
(seal)

OFFICIAL SEAL
DAVID SERANELLA
Notary Public - State of Illinois
My Commission Expires Oct 16, 2016

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 201**

Friends for Ted Mesiacos ID# 25228
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 25, 2013, 8 days late, resulting in a civil penalty assessment of $400.

Michael Poulakidas, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Poulakidas states that the Committee was under the mistaken belief that the Report did not have to be filed because the Committee had no activity during the quarter in question. He says when they realized this was incorrect, it was the last day to file. Mr. Poulakidas says he was then unable to file the Report because the upgraded IDIS 3.0 filing software would not download properly to his computer, and the issue was not able to be resolved until October 25, when the Report was filed.

The IDIS 3.0 system does not require any downloads to operate, so I am unsure what installation problem the Committee could have had that would have prevented the filing of the Report. Additionally, the Committee had used the previous IDIS software to file reports, and could have done so again for the Report in question. However, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of $5,518.96.)

Tom Newman – Hearing Officer
February 6, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Friends for Ted Mesiacos
Theodoros Mesiascos
466 S. Jackson Street
Aurora, IL 60505

ID# 25228

7011 2970 0003 6441 9820

Dear Friends for Ted Mesiacos:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2013through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/25/2013, 8 days late. As such, this committee has been assessed a fine of $400.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of Kane)

STATE BOARD OF ELECTIONS
14 FEB -5 PM 3:51

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant) ID # 25228 / 13SQ201
)
Vs.)
)
FRIENDS FOR TED MESIACOS)
Respondent(s).)

APPEAL AFFIDAVIT

I, MICHAEL POULAKIDAS, the CHAIRMAN, of the FRIENDS FOR TED MESIACOS Committee, first being duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We are filing this appeal in hopes the Board will dismiss the pending violation due to the misunderstanding of the filing requirements on the part of the Chairman of the Committee and the inability to download the updated version of the electronic filing program on the necessary computer in a timely manner due to a computer malfunction.

Friends for Ted Mesiacos was created on March 11, 2013 for the purpose of supporting the candidacy of Theodoros "Ted" Mesiacos for Third Ward Alderman of the City of Aurora, Illinois. The members of the Committee did not have any prior experience with campaigns. When the Committee was formed, all of the proper forms were completed and filed and all of the disclosures were made in a timely manner. For the first and second filing periods of 2013 all of the disclosures were properly made and timely. In the third filing period, the committee had absolutely no activity and I, as the Chairperson, was under the mistaken belief that if there was no activity then no report needed to be filed. By the time this mistaken belief was realized, it was the last day of the filing deadline for the third filing period (October 15, 2013). At that time, I had not yet downloaded the updated IDIS v3 electronic filing program (web based). When I attempted to file the report, I was unable to due to the upgrade not downloading properly

onto my computer. It was not until October 25, 2013 that I was able to have the company that works on my computer system figure out the reasons for the complications in downloading the upgraded electronic filing program. At that time the report was able to be filed.

The committee is requesting that given the unintentional nature of the violation and that it was in no way willful or wanton, that the violation be dismissed.

Signature of Chairman

Signed and Sworn to by Michael Poulakidas
Before me this 4th Day of February, 2014

OFFICIAL SEAL
EMILIO SOLIS
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:04/09/14

Notary Public
(seal)

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 217**

Friends for Owens J Shelby ID# 25568
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on November 13, 2013, 20 days late, resulting in a civil penalty assessment of $500.

Owens Shelby, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Shelby states that his Committee was formed in late September and had no activity to show on the Report. He says he wanted to submit reports electronically, but had some trouble setting up the electronic filing account with the Board, including playing "phone tag" with staff. Mr. Shelby adds that once the account was ready, he submitted the Report.

Although the Committee could easily have filed its Report on paper, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of $856.43.)

Tom Newman – Hearing Officer
January 28, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Friends for Owens J Shelby
Owens J Shelby/Cary Martin
PO Box 5411
River Forest, IL 60305

ID# 25568

7011 2970 0003 6441 9950

Dear Friends for Owens J Shelby:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2013through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 11/13/2013, 20 days late. As such, this committee has been assessed a fine of $500.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: Cook)

STATE BOARD OF ELECTIONS
16 JAN 21 PM 12:08

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13SQ217
)
Friends for Owens J. Shelby)
Respondent(s).)

APPEAL AFFIDAVIT

I, Owens Shelby (Name), the Chairman (Chairman/Treasurer) of the Friends for Owens J. Shelby (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committee was started I believe on September 30, 2013 and no activity had taken place. It took some time to receive my information about the committee. We wanted to submitt everything online but we had trouble setting up the account. We played phone tag with your office in getting the account set up online. Once the account was together, we submitted our $0 in activity. I am asking that we be excused from any penalty due to the later start of forming the committee, and the none activity of the committee during the period, and the online account issues

Owens Shelby
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 15th Day of January, 2013

"OFFICIAL SEAL"
JOSE G RICO
Notary Public - State of Illinois
My Commission Expires June 14, 2016

Notary Public
(seal)

Jose G. Rico 1/15/14

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

13 JQ ~~013~~ 012
13 AJ 005

Illinois Republican Party 292
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing the June 2013 Quarterly Report and Failing to File a Schedule A-1 in the 2rd Quarter of 2013

The June 2013 Quarterly Report was received by the Board on 7/16/13, 1 day late, resulting in a civil penalty of $100. This committee received two $5,000 contributions on 5/15/13, and 5/22/13 and reported these contributions to the Board 37 and 32 business days late, resulting in a civil penalty assessment of $5,000. In addition, the Committee has previously been assessed a $800 civil penalty (appealed, denied, paid) for delinquently filing a Schedule A-1 for the 2000 General Election; a $400 civil penalty (appealed, denied, paid) for delinquently filing a Schedule A-1 for the 2000 General Election; six $100 civil penalties (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2002 General Primary; a $50 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2002 General Primary; a $500 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2002 General Primary; a $200 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2002 General Primary; a $800 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2004 General Election; a $800 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2004 General Election; a $50 civil penalty (not appealed, paid) for delinquently filing the December 2011 Quarterly Report; a $500 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 in the 4th Quarter of 2011; a $50 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 in the 4th Quarter of 2011; a $136 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 in the 4th Quarter of 2011. The total assessment is $5,100.

The Respondent was represented by attorney John Fogarty, Jr.

Mr. Fogarty stated that the June 2013 Quarterly Report was delayed because of difficulties associated with a change in leadership and administration of the Committee that took place shortly before the reporting deadline. As a result of the changes, which included an inability to recover the IDIS file from its prior compliance reporting staff the report was delinquent despite their best efforts to get the report filed timely. Once it became apparent that the Committee would not be able to meet the reporting deadline, the Committee's counsel sent a letter of correspondence to the State Board of Elections explaining the circumstances. The Committee filed the June 2013 Quarterly Report the next morning.

In relation to the two Schedule A-1 violations Mr. Fogarty stated that these violations also took place while the change in leadership was being undertaken. However, the prior officers of the Committee would

have still been serving at the time the contributions were received and these contributions were received prior to the books being turned over to the current officers. Once the current officers discovered the contributions had not been reported they immediately attempted to rectify the situation. Mr. Fogarty stated that the delinquent quarterly report and the Schedule A-1 violations were inadvertent and without any intent to violate the Campaign Finance Law.

Mr. Fogarty stated that the delinquent filing of the Quarterly Report and the failure to file a Schedule A-1 was due to difficulties associated with a change in leadership and administration of the Committee. Therefore, the hearing officer believes that the difficulties the Committee faced were an internal matter and as such would not change the Committee's responsibility to timely file reports. Therefore, I recommend the appeal be denied for lack of an adequate defense in both matters. Furthermore, in relation to the issue that Mr. Fogarty made note of in that the prior compliance reporting staff did not give the new compliance reporting staff the IDIS file the hearing officer believes this was an internal matter that would not be subject to an electronic filing defense. If this recommendation is accepted by the Board the assessment for the June 2013 Quarterly Report will be $100 and the assessment for the two Schedule A-1 violations will be $5,000. However, since there is no indication that the Schedule A-1 violations were anything other than inadvertent and unintentional, and since the Schedule A-1 violations are considered a second violation, I also recommend that the civil penalty be reduced to 50% of the original assessment, or $2,500. Therefore, the total amount that will be due and owing is $2,600. (As of 12/31/13, this Committee reported a funds available balance of $172,664.07.)

Andy Nauman – Hearing Officer
January 28, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013
ID# 292

Illinois Republican Party
Jack Dorgan
55 W. Monroe, Ste 940
Chicago, IL 60603

Dear Illinois Republican Party:

7011 2970 0003 6441 5099

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/16/2013, 1 day(s) late. As such, this committee has been assessed a fine of $100.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS
13 OCT 15 PM 12:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

In The Matter Of
Illinois State Board Of Elections,
Complainant

v.

Illinois Republican Party,

Respondent.

No. 13GP-21 1390012

APPEAL AFFIDAVIT

I, Jack Dorgan, the Chairman of the Illinois Republican Party ("the Committee"), first being duly sworn, depose and state that I represent that the Committee can offer good reasons or defenses to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. The alleged violation for the late filing of the Committee's Quarterly Report for the second quarter of 2013 is the result of difficulties associated with a change in the leadership and administration of the Committee. The Committee had very recently undergone a change in leadership, as set forth in the Committee's D-1 Amendment of June 4, 2013, and had likewise undergone a complete change in staff and compliance reporting. As a result of this change, which included an inability to recover the IDES file for the Committee from its prior compliance reporting staff, the Committee and its new compliance reporting personnel were unable to timely file its Quarterly Report for the Second Quarter of 2013, despite best efforts. When it became apparent that the Committee would not be able to meet the reporting deadline, the Committee's counsel sent correspondence to the State Board explaining the circumstances. The Committee was able to file its Quarterly Report, but not until the morning after it was due.

2. With regard to the alleged violations for late filings of A-1s for two contributions in May of 2013, the Committee was undergoing a change in leadership, and the filings unfortunately were not timely made. The contributions in question were received by the Committee prior to the date I was chosen to serve as Chairman of the Committee, and when my staff and I discovered that these contributions were not properly reported, we immediately attempted to rectify this.

3. To the extent that these circumstances resulted in any technical violation of the Campaign Finance Law, these errors were inadvertent, and without any intent whatsoever to violate the Campaign Finance Law.

4. By signing my name below I certify, on my oath, under the felony penalties of perjury as provided by section 1-109 of the Illinois Code of Civil Procedure, that I have personal knowledge of the facts stated above, that the facts set forth in this affidavit are true and correct and that I believe that they are true.

Affiant further sayeth not.

Chairman
Illinois Republican Party

STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Illinois Republican Party
Jack Dorgan
55 W. Monroe, Ste 940
Chicago, IL 60603

ID# 292

Dear Illinois Republican Party:

7011 2970 0003 6441 8526

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Citizens for Roger C. Claar	5/22/2013	$5000	*not filed	32	$2500
Stark County Republicans	5/15/2013	$5000	*not filed	37	$2500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $5000.00 for delinquently filing schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $2500.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

STATE BOARD OF ELECTIONS
13 OCT 15 PM 12:58

State of Illinois)
)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

In The Matter Of Illinois State Board Of Elections, Complainant	)	
v.	)	No. 13~~ED~~ 13A9005
Illinois Republican Party,	)	
Respondent.	)	

APPEAL AFFIDAVIT

I, Jack Dorgan, the Chairman of the Illinois Republican Party ("the Committee"), first being duly sworn, depose and state that I represent that the Committee can offer good reasons or defenses to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. The alleged violation for the late filing of the Committee's Quarterly Report for the second quarter of 2013 is the result of difficulties associated with a change in the leadership and administration of the Committee. The Committee had very recently undergone a change in leadership, as set forth in the Committee's D-1 Amendment of June 4, 2013, and had likewise undergone a complete change in staff and compliance reporting. As a result of this change, which included an inability to recover the IDES file for the Committee from its prior compliance reporting staff, the Committee and its new compliance reporting personnel were unable to timely file its Quarterly Report for the Second Quarter of 2013, despite best efforts. When it became apparent that the Committee would not be able to meet the reporting deadline, the Committee's counsel sent correspondence to the State Board explaining the circumstances. The Committee was able to file its Quarterly Report, but not until the morning after it was due.

2. With regard to the alleged violations for late filings of A-1s for two contributions in May of 2013, the Committee was undergoing a change in leadership, and the filings unfortunately were not timely made. The contributions in question were received by the Committee prior to the date I was chosen to serve as Chairman of the Committee, and when my staff and I discovered that these contributions were not properly reported, we immediately attempted to rectify this.

3. To the extent that these circumstances resulted in any technical violation of the Campaign Finance Law, these errors were inadvertent, and without any intent whatsoever to violate the Campaign Finance Law.

4. By signing my name below I certify, on my oath, under the felony penalties of perjury as provided by section 1-109 of the Illinois Code of Civil Procedure, that I have personal knowledge of the facts stated above, that the facts set forth in this affidavit are true and correct and that I believe that they are true.

Affiant further sayeth not.

Chairman
Illinois Republican Party

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 JQ 017**

Jefferson County Democratic Central Committee ID# 674

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the June 2013 Quarterly Report

The Quarterly Report was received by the Board on 7/16/13, 1 day late, resulting in a civil penalty assessment of $50. Additionally, the Committee had previously been assessed a civil penalty of $175 (not appealed, stayed) for delinquently filing the June 2012 Quarterly report. The total assessment is $225.

Jason Hulbert, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hulbert states the violation was inadvertent but the former treasurer had difficulty meeting deadlines due to excessive work hours. She has since stepped down and a new treasurer has been chosen therefore future violations will be avoided.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous $175 assessment and the $225 civil penalty will be due and owing.

(As of 12/31/13, this Committee reported a funds available balance of $4,322.10.)

Kim Patrick

Kim Patrick – Hearing Officer
January 23, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
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Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Jefferson County Democratic Central Comm ID# 674
Paula Routt
4 Evergreen Dr
Mt Vernon, IL 62864-2449

Dear Jefferson County Democratic Central Comm:

7011 2970 0003 6441 5143

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/16/2013, 1 day(s) late. As such, this committee has been assessed a fine of $50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
4/1/2012-6/30/2012	Quarterly	$175
TOTAL AMOUNT NOW DUE		**$225**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS
13 OCT 15 PM 12:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs. Jefferson County Democratic) Case No. 13QQ017
Central Committee)
)
Respondent(s).)

APPEAL AFFIDAVIT

I, Jason K. Hulbert, the Treasurer of the
(Name) (Chairman/Treasurer)

Jefferson County Democratic Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Violation was inadvertent. Former treasurer had [redacted] difficulty meeting deadlines due to excessive hours at work. She has since stepped down as of 10-3-13. New treasurer has been chosen and future violations will be avoided.

Jason K. Hulbert
Signature of Chairman/Treasurer

Signed and Sworn to by:
Jason K. Hulbert
before me this 9th Day of October, 2013

Notary Public Lee Ann Crunk
(seal)

OFFICIAL SEAL
LEE ANN CRUNK
Notary Public - State of Illinois
My Commission Expires Jan 24, 2016

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AS 006**

Communication Workers of America Dist 4 PEC ID# 763
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a $10,000 contribution on 9/16/13 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of $5,000. Additionally, the Committee had previously been assessed a $1,500 civil penalty (not appealed, paid) for delinquent filing of a Schedule A-1 in the 2nd quarter of 2012, and a $547 civil penalty (appealed, denied, paid) for delinquent filing of two A-1s in the 4th quarter of 2011. The total assessment is $5,000.

Frank Mathews, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Mathews states that he is certain the A-1 was filed electronically in a timely manner. He says during the time period in question the Committee's data was transferred from IDIS version 2.0 to IDIS version 3.0 and believes that the missing A-1 Report was lost in the transference of data.

I cannot accept this explanation for several reasons. First, I am unaware of any other instances where data has been lost during the transfer to IDIS 3.0. Second, even if data had been lost, if the A-1 had already been filed as the Committee claims, the A-1 filing would show up in the Board's filing database – which it does not. Finally, it appears the Committee did not actually make the switch to IDIS 3.0 until well after the time period in question. The Committee used IDIS 2.0 to file a Quarterly Report on 10/14/13 and an A-1 on 10/15/13 and did not make its first IDIS 3.0 filing until 12/3/13. For these reasons, I recommend the appeal be denied. While there is no indication the violation was anything other than inadvertent and unintentional, since this is the 3rd A-1 violation for this Committee, I further recommend the penalty remain at 100% of the original assessment, or $5,000. If these recommendations are accepted by the Board, the $5,000 civil penalty will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $1,100.00.)

Tom Newman – Hearing Officer
January 28, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Springfield, Illinois 62704
217/782-4141
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James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Communication Workers of America Dist 4 PEC ID# 763
Jeffrey A Rechenbach, Karen Rechenbach, Linda L Hinton
20525 Center Ridge Rd, Ste 700
Cleveland, OH, IL 44116-3497

7012 3460 0001 3857 0348

Dear Communication Workers of America Dist 4 PEC:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
CWA COPE PCC	9/16/2013	10000	*	15	$5000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $5000.00 for delinquently filing schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $5000.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

STATE BOARD OF ELECTIONS
14 JAN 14 AM 11:09

State of Illinois)
)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13A5006
)
CWA District 4 PEC)
Respondent(s).)

APPEAL AFFIDAVIT

I, Frank Mathews (Name), the Treasurer (Chairman/Treasurer) of the Communications Workers of America District 4 PEC (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Committee is certain that Schedule A-1 report was filed electronically in a timely manner. During the time period in question, committee's data was transferred from IDIS v2.0 to IDIS v3.0. Committee believes that missing Schedule A-1 report was lost in the transference of data.

Signed and Sworn to by:
Frank Mathews
before me this 10th Day of
January, 2014
Notary Public Steenstra

LISA R. STEENSTRA
Notary Public-State of Ohio
Recorded in Lorain County
My Commission Expires February 13, 2017

(Signature of Chairman/Treasurer)

Frank Mathews, Administrative Director
Communications Workers of America
20525 Center Ridge Road, Suite 700
Rocky River, OH 44116
92 5363 fmathews@cwa-union.org

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AS 007**

IUOE Local 399 Political Education Fund ID# 1157
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received two $1,000 contributions and a $2,000 contribution on 8/12/13, and reported them on a Schedule A-1 received by the Board on 8/23/13, 4 days late, resulting in a total civil penalty assessment of $2,000. The Committee also received twenty-five $1,000 contributions on 9/25/14 and reported these on a Schedule A-1 received by the Board on 10/4/13, 2 days late, resulting in a total civil penalty assessment of $12,500. Finally, the Committee received one $1,000 contribution, four $1,200 contributions, one $2,000 contribution, one $2,400 contribution and one $3,000 contribution on 9/25/13, and reported all eight of these on a Schedule A-1 received by the Board on 10/5/13, 3 days late, resulting in a total civil penalty assessment of $6,600. The total assessment for all A-1 violations is $21,100 (although the assessment letter sent to the Committee mistakenly listed this as $21,600.)

Neil Masterson, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Masterson states that the administrative assistant that deposited the contributions was unaware that the assistant who inputs the contributions into the Committee's database was on vacation. As a result, the data entry was not completed in time for the affected A-1's to be timely filed.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or $2,110. If these recommendations are accepted by the Board, the $2,110 civil penalty will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $392,063.74.)

Tom Newman – Hearing Officer
February 6, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

IUOE Local 399 Political Education Fund
2260 S Grove St
Chicago, IL 60616-1823

ID# 1157

7012 3460 0001 3857 0065

Dear IUOE Local 399 Political Education Fund:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Short & Smith PC	8/12/2013	1000	8/23/2013	4	$500
The Healy Law Firm	8/12/2013	2000	8/23/2013	4	$1000
Brandon Phelps for State Representative	8/12/2013	1000	8/23/2013	4	$500
Able Engineering Services	9/25/2013	1200	10/5/2013	3	$600
ABM Facility Services	9/25/2013	1200	10/5/2013	3	$600
Anchor Mechanical Inc.	9/25/2013	2000	10/5/2013	3	$1000
Anchor Mechanical Inc.	9/25/2013	3000	10/5/2013	3	$1500
Hill Mechanical Corporation	9/25/2013	1000	10/5/2013	3	$1000
Hill Mechanical Corporation	9/25/2013	2400	10/5/2013	3	$1200
Sheraton Chicago Hotel & Towers	9/25/2013	1200	10/5/2013	3	$600
Terrance Electric & Technology	9/25/2013	1200	10/5/2013	3	$600
13th Ward Democratic Organization	9/25/2013	1000	10/4/2013	2	$500
Citizens for Al Riley	9/25/2013	1000	10/4/2013	2	$500
Citizens for Cassidy	9/25/2013	1000	10/4/2013	2	$500
Citizens for Elaine Nekritz	9/25/2013	1000	10/4/2013	2	$500
Citizens for Esther Golar	9/25/2013	1000	10/4/2013	2	$500

Citizens for Fred Crespo	9/25/2013	1000	10/4/2013	2	$500
Citizens for Gregory Harris	9/25/2013	1000	10/4/2013	2	$500
Citizens for Sara Feigenholtz	9/25/2013	1000	10/4/2013	2	$500
Citizens for Steve Landek	9/25/2013	1000	10/4/2013	2	$500
Citizens for Viverito	9/25/2013	1000	10/4/2013	2	$500
Citizens to Elect Keith Farnham	9/25/2013	1000	10/4/2013	2	$500
Currie for State Representative	9/25/2013	1000	10/4/2013	2	$500
Friends for State Rep. Anthony DeKuca	9/25/2013	1000	10/4/2013	2	$500
Friends of Ann Williams	9/25/2013	1000	10/4/2013	2	$500
Friends of Camille Lilly	9/25/2013	1000	10/4/2013	2	$500
Friends of Elgie Sims	9/25/2013	1000	10/4/2013	2	$500
Friends of Emily Klunk-McAsey	9/25/2013	1000	10/4/2013	2	$500
Friends of Linda Chapa LaVia	9/25/2013	1000	10/4/2013	2	$500
Friends of Mary E. Flowers	9/23/2013	1000	10/4/2013	2	$500
Friends of Mary E. Flowers	9/25/2013	1000	10/4/2013	2	$500
Friends of Rita Mayfield	9/25/2013	1000	10/4/2013	2	$500
Friends of Robert Martwick	9/25/2013	1000	10/4/2013	2	$500
Supporters of Jack D. Franks	9/25/2013	1000	10/4/2013	2	$500
Voters for Kevin McCarthy	9/25/2013	1000	10/4/2013	2	$500
William Davis for State Representative	9/25/2013	1000	10/4/2013	2	$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $21600.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $2160.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of: COOK)

STATE BOARD OF ELECTIONS
14 FEB -5 AM 10:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13AS007
)
IUOE Local 399 Political Education Committee)
Respondent(s).)

APPEAL AFFIDAVIT

I, William Neil Masterson, the Chairman of the
(Name) (Chairman/Treasurer)

IUOE Local 399 Political Education Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In regards to the contributions received on 9/25/13 - the administrative assistant that deposited the contributions was unaware that the administrative assistant who inputs the contributions into the IDIS database was on vacation. Therefore, the data entry into the IDIS database was not done in a timely manner to report and file an A-1 correctly.

Signature of Chairman/Treasurer

Signed and Sworn to by:
Eileen T Hoey

before me this 3 Day of FEB, 2014

Notary Public
(seal)

OFFICIAL SEAL
EILEEN T HOEY
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:11/18/14

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 012**

Morgan County Republican Women's Club ID# 1196
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 18, 2013, 3 days late, resulting in a civil penalty assessment of $75.

Prudy Ballard, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Ballard states that she mailed the Report on 10/8/13.

The envelope containing the Committee's Report had no postmark. While this might normally qualify the Committee to use a postmark defense under Section 100.125 of the Board's Rules and Regulations, I do not believe that would be appropriate in this instance. The Committee was sent a reminder letter by Board staff on 10/16/13, informing it that the Quarterly Report had not yet been received. On 10/18/13, the same day the Committee filed the Report, it also returned the letter with a handwritten note from Ms. Ballard stating, "Sorry – I'm new at this and thought the due date was 30th - & I have been away". This note and the fact that it was received by the Board on the same day as the Report indicates to me that the Report was not actually mailed until the reminder letter was received by the Committee. I therefore recommend the appeal be denied. As a first violation, the penalty is stayed. (As of 12/31/13, this Committee reported a funds available balance of $2,794.40.)

Tom Newman – Hearing Officer
January 28, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Morgan County Republican Women's Club ID# 1196
Prudy Ballard
20 Terry Drive
Jacksonville, IL 62650

7011 2970 0003 6441 8809

Dear Morgan County Republican Women's Club:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2013through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 10/18/2013, 3 days late. As such, this committee has been assessed a fine of $75.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of : Morgan)

STATE BOARD OF ELECTIONS
14 JAN 14 AM 11:41

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13SA012
)
Morgan County Republican Women)
Respondent(s). Club)

APPEAL AFFIDAVIT

I, Prudy Ballard (Name), the Treasurer (Chairman/Treasurer) of the Morgan County Republican Women's Club (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Mailed report dated 10/15/13 on 10/8/13

Prudy Ballard
Signature of Chairman/Treasurer

Signed and Sworn to by:
Donald E. Middendorf
before me this 10 Day of January, 2014

Notary Public
(seal)

"OFFICIAL SEAL"
DONALD E. MIDDENDORF
Notary Public, State of Illinois
My Commission Expires Dec. 16, 2017

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485

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Betty J. Coffrin
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William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

1196-13

October 16, 2013

Morgan County Republican Women's Club
20 Terry Drive
Jacksonville, IL 62650

Re: Committee 1196-13

Dear Committee Official:

We have not received the required Quarterly Report of campaign receipts and expenditures for the period ending September 30, 2013. The report was due by October 15, 2013. Potential fines for late filings accrue on a daily basis. Please file the report at your earliest convenience.

Respectfully,

John Levin
State Board of Elections
(217) 782-1561

Sorry - I'm new at this and thought the due date was 30th - & I have been away

Prudy Bullard

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 017**

Rockford PAC for Education ID# 5578
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 24, 1013, 7 days late, resulting in a civil penalty assessment of $350. Additionally, the Committee had previously been assessed a $350 civil penalty (not appealed, stayed) for delinquent filing of the September 2011 Quarterly Report. The total assessment is $700.

Cyndy Janssen, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Janssen states the Committee moved its offices in mid-September. As a result, she says they forgot to change the mailing address of the Committee and misplaced the filing calendar, resulting in the late Report.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty and the total assessment of $700 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $1,401.63.)

Tom Newman – Hearing Officer
January 29, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Rockford PAC for Education
7029 Rote Rd
Rockford, IL 61107

ID# 5578

7011 2970 0003 6442 0444

Dear Rockford PAC for Education:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/24/2013, 7 day(s) late. As such, this committee has been assessed a fine of $350.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1,2011 through September 30,2011	Quarterly	$350
TOTAL AMOUNT NOW DUE		**$700**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: Winnebago)

STATE BOARD OF ELECTIONS
14 JAN 27 PM 2:38

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13SG017
)
Rockford Political Action Comm.)
Respondent(s).)
for Education

APPEAL AFFIDAVIT

I, Cyndy Janssen (Name), the Treasurer (Chairman/Treasurer) of the Rockford PAC for Education (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We moved offices September 17th and didn't remember to change the address of the committee. Also, because of the move, the calendar reminding us to file was misplaced

Signature of Chairman/Treasurer

Signed and Sworn to by:
Desheanda S Ingram
before me this 21 Day of 2014, 20__

Notary Public
(seal)

OFFICIAL SEAL
DESHEANDA S INGRAM
NOTARY PUBLIC, STATE OF ILLINOIS
WINNEBAGO COUNTY
MY COMMISSION EXPIRES 9/27/2015

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 JQ 041**

Electrical Contractors' Assn of City of Chicago, Inc PAC (ECA PAC)
Cmte ID: 6336
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2013 Quarterly Report

The Report was received July 22nd, 2013, 3 days late, resulting in an assessment of $500. The Committee was previously fined $50 (not appealed, stayed) for delinquently filing the December 2011 Quarterly Report.

James McGlynn, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. McGlynn stated the person responsible for filing the Report was "physically unavailable" on the date the Report was due. The Report was filed upon his return to the office.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the stay on the $50 fine for the late filing of the December 2011 Quarterly Report would be lifted, and a total of $550 would be due and owing. As of December 31st, 2013, the Committee reported a cash balance of $3,355.08.

John Levin – Hearing Officer
January 24th, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Electrical Contractors' Assn of City of Chicago, Inc PAC (ECA PAC) ID# 6336
James J McGlynn
1401 Rodenburg Rd
Schaumburg, IL 60193

7011 2970 0003 6441 5716

Dear Electrical Contractors' Assn of City of Chicago, Inc PAC (ECA PAC):

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/22/2013, 5 day(s) late. As such, this committee has been assessed a fine of $500.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
10/1/2011-12/31/2011	Quarterly	$50
TOTAL AMOUNT NOW DUE		**$550**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of : Cook)

STATE BOARD OF ELECTIONS
13 OCT -8 AM 8:10

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 1390041
Electrical Contractors Association of)
City of Chicago, Inc. PAC (ECA PAC))
Respondent(s).)

APPEAL AFFIDAVIT

I, James McGlynn, the Chairman of the
(Name) (Chairman/Treasurer)

Electrical Contractors' Association of City of Chicago, Inc. PAC (ECA PAC)

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The person responsible for filing this report (Frank Peters, ECA Chicago) on behalf of the ECA PAC was physically unavailable on the date the report was to be filed, July 15, 2013. Upon his return to the office the report was filed immediately. We are asking your consideration to abate the amount due as stated in the State Board of Elections letter signed by Sharon Steward, Direction, Capaign Disclosure Division, dated September 11, 2013. We appreciate your consideration in this matter.

James J. McGlynn
Signature of Chairman/Treasurer

Signed and Sworn to by:
Mary Floro

before me this 2 Day of Oct., 2013

Notary Public
(seal)

OFFICIAL SEAL
MARY FLORO
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:12/14/14

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs. 13 AJ 015

Citizens for Moffitt 7982
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 3rd Quarter of 2013

This committee received a $2,000 and a $3,000 contribution on 6/7/13, and seven $1,000 contributions between 6/4/13 – 6/18/13, and reported these contributions to the Board between 2 and 14 business days late, resulting in a civil penalty assessment of $6,000. Additionally, the Committee had previously been assessed a $100 civil penalty (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 2011 General Election; a $50 civil penalty (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 2011 General Election; and a $150 civil penalty (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 2011 General Election. The total assessment is $6,000.

The Respondent was represented by attorney John Fogarty, Jr.

Mr. Fogarty stated that Carolyn Moffitt is a very kind, older lady who is very conscious and serious about her campaign disclosure filing responsibilities. However, she became very busy handling personal matters with her husband as well as with her friends in addition to handling multiple campaigns and a Schedule A-1 was not timely filed. As soon as Ms. Moffitt realized that the contributions were not reported she immediately filed a Schedule A-1. Mr. Fogarty stated that the delinquent filings were committed inadvertently, and without any intent to violate the Campaign Finance Law. He stated that the Committee has been around for years and they have not had many violations. The Respondent believes that the fine amount is rather large considering that the alleged violations were inadvertent however they understand the law. The Respondent asks the Board for leniency and consideration in this matter.

I understand that Carolyn Moffitt takes her filing requirements very seriously and it is unfortunate that she was going through a very busy and troubling time in her life when these contributions were received. However, in the opinion of the hearing officer this would have no bearing on the Committee's responsibility to timely report contributions. I recommend the appeal be denied for lack of an adequate defense. However, since the Schedule A-1 violations are considered to be the second for this Committee, I also recommend that the penalty for the delinquent Schedule A-1's be reduced to 50% of the original assessment or $3,000. If this recommendation is accepted by the Board the civil penalty totaling $3,000 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $47,391.74.)

Andy Nauman

Andy Nauman – Hearing Officer
February 3, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Cassandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Citizens for Moffitt
Carolyn Moffitt
1006 Knox, Highway 17
Gilson
Gilson, IL 61436-9554

ID# 7982

7011 2970 0003 6441 8625

Dear Citizens for Moffitt:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Alexis fire Equipment	6/7/2013	$3000	6/27/2013	9	$1500
BNSF Railway Co	6/7/2013	$2000	6/27/2013	9	$1000
RMFS Inc.	6/14/2013	$1000	6/27/2013	4	$500
IBEW Local #34	6/18/2013	$1000	6/27/2013	2	$500
IBEW Local #145 Pac	6/14/2013	$1000	6/27/2013	4	$500
Illinois Prof. Firefighters Assn. PEC	6/14/2013	$1000	6/27/2013	4	$500
Illinois State Med. Soc	6/18/2013	$1000	6/27/2013	2	$500
Plumbers & Pipefitters Local 25 PAC	6/4/2013	$1000	6/27/2013	12	$500
WES-PAC (W.C.bldg Trades)	6/14/2013	$1000	6/27/2013	4	$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $6000.00 for delinquently filing schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $3000.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30***

days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

STATE BOARD OF ELECTIONS
13 OCT 15 PM 12:58

State of Illinois)
)
County of Knox)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

In The Matter Of Illinois State Board Of Elections, Complainant	)	
v.	)	No. ~~13-CD~~ 13A9015
Citizens for Moffitt,	)	
Respondent.	)	

APPEAL AFFIDAVIT

I, Carolyn Moffitt, the Treasurer of Citizens for Moffitt ("the Committee"), first being duly sworn, depose and state that I represent that the Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. I am the only person responsible for the Committee's campaign and fundraising activities.

2. At the time of these alleged violations, I was handling multiple campaign and personal matters, and the month of June was particularly busy for the Committee and for me personally.

3. At the time I entered the campaign deposits into our reporting software, I immediately realized that A-1s had not been filed for these deposits, and proceeded to file these A-1s immediately.

4. I take the Committee's campaign finance responsibilities seriously, and any of the actions that are alleged to be violations referred to herein were committed inadvertently, and without any intent whatsoever to violate the Campaign Finance Law.

5. By signing my name below I certify, on my oath, under the felony penalties of perjury as provided by section 1-109 of the Illinois Code of Civil Procedure, that I have personal knowledge of the facts stated above, that the facts set forth in this affidavit are true and correct and that I believe that they are true.

6. Affiant further sayeth not.

Carolyn Moffitt

Treasurer
Citizens for Moffitt

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 JQ 048**

Citizens to Elect John Jung, Jr
Cmte ID: 10328
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2013 Quarterly Report

The Report was received July 19th, 2013, 4 days late, resulting in an assessment of $200. The Committee was previously fined $50 (not appealed, stayed) for delinquently filing the March 2012 Quarterly Report.

John Jung, Jr., the Candidate, as well as Treasurer and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Jung stated the basement in which he kept the Committee's records flooded on or about June 26th, 2013. He said he dried out the records and prepared and filed the Report thereafter.

I recommend the appeal be denied for lack of an adequate defense. There was no activity during the three months covered by the Report in question. If Mr. Jung needed to know the Committee's starting balance for the Report, he could have accessed the Board's web site or called Board staff. If the Board accepts this recommendation, the stay on the $50 fine for the delinquent March 2012 Quarterly Report would be lifted, and a total of $250 would be due and owing. As of December 31st, 2013, the Committee's cash balance was reported as $2,104.04.

John Levin – Hearing Officer
January 21st, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013
ID# 10328

Citizens to Elect John Jung Jr
John Jung Jr
8902 Robin Hill Dr
Woodstock, IL 60098-7802

7011 2970 0003 6441 5433

Dear Citizens to Elect John Jung Jr:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/19/2013, 4 day(s) late. As such, this committee has been assessed a fine of $200.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2012-3/31/2012	Quarterly	$50
TOTAL AMOUNT NOW DUE		**$250**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
)
Vs. Citizens To Elect John Jung JR) Case No. 15QQ048
Respondent(s).)

WAIVER OF APPEARANCE

I, John Jung JR (Name), the Chairman/Treasurer (Chairman/Treasurer) of the Citizens To Elect John Jung JR (Name of Committee)

Committee, appeals on behalf of the said committee the assessment of civil penalty proposed in this matter and submits in support of that appeal the accompanying affidavit. The said committee waives personal appearance before the State Board of Elections for hearing on this appeal and agrees that the State Board of Elections may enter its order with respect to this appeal in the absence of a representative of the committee.

(Signature of Chairman/Treasurer)

OVER

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 027**

Bridge Structural & Ornamental Ironworkers Local 111 PAC ID# 11308
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the September 2013 Quarterly Report

The Quarterly Report was received by the Board on 10/24/13, 7 days late, resulting in a civil penalty assessment of $700. Additionally, the Committee had previously been assessed a civil penalty of $150 (not appealed, stayed) for delinquently filing the December 2011 Quarterly report. The total assessment is $850.

John Wieland, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Wieland states the Committee submitted the report but then received notification from the Board of the report being late. He says he filed the report again and then called to confirm receipt and it was received on 10/24/13.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous $700 assessment and the $850 civil penalty will be due and owing.

(As of 12/31/13, this Committee reported a funds available balance of $14,041.39.)

Kim Patrick

Kim Patrick – Hearing Officer
January 22, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Bridge Structural & Ornamental Ironworkers Local 111 PAC ID# 11308
8000 29th St W
Rock Island, IL 61201-7671

7011 2970 0003 6442 0499

Dear Bridge Structural & Ornamental Ironworkers Local 111 PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/24/2013, 7 day(s) late. As such, this committee has been assessed a fine of $700.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1, 2011 through December 31,2011	Quarterly	$150
TOTAL AMOUNT NOW DUE		**$850**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of : Rock Island)

STATE BOARD OF ELECTIONS
14 JAN 21 PM 12:08

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
Bridge Structural & Ornamental Ironworkers Local 111 PAC)
Respondent(s).)

Case No. 13SQ027
#11308

APPEAL AFFIDAVIT

I, John Weiland (Name), the Chairman (Chairman/Treasurer) of the Bridge Structural & Ornamental Ironworkers Local 111 PAC (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I had submitted the report. Then received notification of late report. I filed report again and then called. Person I called could not find report. Then said he had it. We both were looking at it online. I called back later that day and spoke with Kim. She could not find report ~~because~~ I was still filing in the old version - NOT 3.0. Kim walked me through the road and then she could find the report. Kim figured out that

John W Weiland
Signature of Chairman/Treasurer

OFFICIAL SEAL
AMY POSATERI
Notary Public - State of Illinois
Expires Sep 03, 2014

Signed and Sworn to by:

before me this 17th Day of January 2014

Notary Public
(seal)

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AS 016**

Committee to Elect Tom Templeton ID# 13402
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a $1,000 contribution on 7/16/13 but failed to report this on a Schedule A-1 resulting in a civil penalty of $500. Additionally, the Committee had previously been assessed a civil penalty of $50 (not appealed, stayed) for delinquently filing a 3rd Quarterly 2012 A-1 report. The total assessment is $550.

Philip Devermann, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Devermann states his wife was diagnosed with breast cancer in late June of 2013. His failure to file the Schedule A-1 was a direct result of his thoughts being focused on his wife. He adds that the oversight was not a flippant disregard of the law and was unintentional.

While I am very sympathetic to the Treasurer's wife's condition, I must recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional but since this is the second A-1 violation for the Committee, I recommend the penalty be reduced to 50% of the original assessment or $250. If these recommendations are accepted by the Board, the stay would be lifted from the previous $50 assessment and the total $300 civil penalty will be due and owing.

(As of 12/31/13, this Committee reported a funds available balance of $22,539.76.)

Kim Patrick

Kim Patrick – Hearing Officer
January 23, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Committee to Elect Tom Templeton
Philip Devermann
2107 Fields Pl
Ottawa, IL 61350-1119

ID# 13402

7012 3460 0001 3857 0027

Dear Committee to Elect Tom Templeton:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Anthony Raccuglia & Associates	7/16/2013	1000	*	53	$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $500.00 for delinquently filing schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $250.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2012	Quarterly	$50
TOTAL AMOUNT NOW DUE		**$300**

State of Illinois)
)
County of : LASALLE)

STATE BOARD OF ELECTIONS
14 JAN 16 AM 11:57

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs. COMMITTEE TO ELECT TOM TEMPLETON) Case No. 13A SO116
ID# 13402)
)
Respondent(s).)

APPEAL AFFIDAVIT

I, PHILIP B. DEVERMANN, the TREASURER of the
(Name) (Chairman/Treasurer)

COMMITTEE TO ELECT TOM TEMPLETON
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

IN LATE JUNE OF 2013, THE TREASURER'S WIFE WAS FOUND TO HAVE BREAST CANCER. THIS CAUSED GREAT ANXIETY FOR THE TREASURER. HE THOUGHT THAT HE WAS IN CONTROL OF HIS THOUGHT PROCESSES AN EMOTIONS DURING THESE ENSUING 6 PLUS MONTHS BUT THE REPORTS FILED BY HIM ON BEHALF OF THE COMMITTEE SHOW OTHERWISE. THE FAILURE TO IMMEDIATELY REPORT THE $1,000 RACCUGLIA CONTRIBUTION WAS ADIRECT RESULT OF THE TREASURER'S THOUGHTS BEING FOCUSED ON THE CHOICES FACED BY HIS WIFE HIM BEGINNING IN JUNE 2013. THIS IS WRITTEN TO SHOW THAT THE ERROR WAS NOT A FLIPPANT DISREGARD OF THE LAW BUT AN UNINTENTIONAL ERROR ON THE TREASURER'S PART

Philip B. Devermann
Signature of Chairman/Treasurer

Signed and Sworn to by:
Jule Ann Leamy

before me this 14TH Day of JANUARY, 2014

Notary Public
(seal)

"OFFICIAL SEAL"
JULE ANN LEAMY
NOTARY PUBLIC, STATE OF ILLINOIS
ISSION EXPIRES 05-29-2014

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 JQ 057**

North Central Democrats ID# 13816
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently Filing
the June 2013 Quarterly Report

The Quarterly Report was received by the Board on 7/31/13, 12 days late, resulting in a civil penalty assessment of $600. Additionally, the Committee had previously been assessed a civil penalty of $50 (not appealed, stayed) for delinquently filing the September 2012 Quarterly report. The total assessment is $650.

Charles Yancey, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Yancey states the Committee is a small group and had very little activity and no meetings. The $650 fine imposed would cost them over one third of their assets. They request the fine be reduced.

I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous $50 assessment and the $650 civil penalty will be due and owing.

(As of 12/31/13, this Committee reported a funds available balance of $2,641.86.)

Kim Patrick

Kim Patrick – Hearing Officer
January 23, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013
ID# 13816

North Central Democrats
Charles Yancey
4166 Waggoner Ln
Bethalto, IL 62010

7011 2970 0003 6441 5693

Dear North Central Democrats:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/31/2013, 12 day(s) late. As such, this committee has been assessed a fine of $600.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
7/1/2012-9/30/2012	Quarterly	$50
TOTAL AMOUNT NOW DUE		**$650**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: MADISON)

STATE BOARD OF ELECTIONS

13 OCT -9 PM 2:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13816 13900057
)
NORTH CENTRAL DEMOCRATS)
Respondent(s).)

APPEAL AFFIDAVIT

I, CHARLES A. YANCEY, the TREASURER of the
(Name) (Chairman/Treasurer)

NORTH CENTRAL DEMOCRATS
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

WE ARE A SMALL GROUP OF PRECINCT COMMITTEEMEN IN UNINCORPORATED MADISON COUNTY. WE HAD NO MEETINGS AND ONLY ONE TRANSACTION FOR $100.00 DURING THE PERIOD. THE $650.00 FINE IMPOSED WILL COST US OVER ONE THIRD OF OUR ASSETS OF $1966.00. I RESPECTFULLY REQUEST THAT THE FINE BE REDUCED.

Charles A. Yancey, Treasurer
Signature of Chairman/Treasurer

Signed and Sworn to by:
Christine E. Boren
before me this 7th Day of October, 2013

OFFICIAL SEAL
CHRISTINE E. BOREN
Notary Public - State of Illinois
My Commission Expires Mar 11, 2015

Notary Public
(seal)

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 JQ 059**

Oakton Community College Faculty Assn PAC ID# 14157
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently Filing
the June 2013 Quarterly Report

The Quarterly Report was received by the Board on 8/6/13, 16 days late, resulting in a civil penalty assessment of $800.

John D'Anca, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. D'Anca states he was granted a fellowship this past summer and was absent from the College at the time the Quarterly report was due. He adds he did not have availability to mail but the report was filed immediately upon his return.

I must recommend the appeal be denied for lack of an adequate defense. As a first violation the penalty is stayed.

(As of 12/31/13, this Committee reported a funds available balance of $5,808.50.)

Kim Patrick

Kim Patrick – Hearing Officer
January 23, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Oakton Community College Faculty Assn PAC ID# 14157
John D'Anca/Kathleen Carot
1600 East Golf Road
Des Plaines, IL 60016

7011 2970 0003 6441 5525

Dear Oakton Community College Faculty Assn PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 8/6/2013, 16 days late. As such, this committee has been assessed a fine of $800.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

If you have questions regarding the appeal process, please call Laura Marbold 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 13 OCT 11 AM 9:31
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs. Oakton Community College)
Faculty Association PAC)
Respondent(s). (John D'Amen))

Case No. 13PP059

APPEAL AFFIDAVIT

I, John D'Amen (Name), the Treasurer (Chairman/Treasurer) of the Oakton Community College Faculty Association PAC (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I The Treasurer who Files The Board of Elections Reports, was granted A fellowship This PAST Summer And Absent from The College (working on a book) at The Time The April - June Report was due. I did not have Availability to MAIL delivered to The College AT The Time, The Report was Filed Immediately Upon My return.

John D'Amen
Signature of Chairman/Treasurer
(847) 635-1964
johnd@oakton.edu

Signed and Sworn to by: Patricia Tadda

before me this 10th Day of October, 2013

Notary Public
(seal)

"OFFICIAL SEAL"
PATRICIA B. TADDA
NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 05-07-2014

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs. 13 AM 063

Building Owners and Managers Assn PAC 14879
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Failure to File
A Schedule A-1 for the 1st Quarter of 2013

This Committee received 19contributions for amounts ranging from $1,120 to $1,982 between 1/5/13 and 3/1/13, but failed to report them on a Schedule A-1, resulting in a civil penalty assessment of $17,552. Additionally, the Committee had previously been assessed two $96.50 civil penalties (not appealed, reduced, not paid) for failing to file a Schedule A-1 in the second quarter of 2011; a $263.25 and three $495.75 civil penalties (not appealed, reduced, not paid) for failing to file a Schedule A-1 in the fourth quarter of 2011; a $515, $608.50, $830.50, $863, $919.50, and eleven $991.50 civil penalties (not appealed, not paid) for failing to file a Schedule A-1 in the first quarter of 2012; a $50 civil penalty (not appealed, not paid) for delinquently filing the June 2012 Quarterly Report; and a $969 civil penalty (not appealed, not paid) for failing to file a Schedule A-1 in the second quarter of 2012. The total assessment is $35,157.50.

The Respondent was represented by attorney Stephen S. Morrill at the January 8, 2014 appeal hearing. Also in attendance was Ron Tabaczynski, the chairman of the Committee, and Paul Jost, the treasurer of the Committee.

Stephen Morrill requests that the Board consider the 2011, 2012, and 2013 civil penalties as a single violation and that they be waived or at least reduced. Mr. Morrill stated that the Building Owners and Managers Assn PAC (hereinafter BOMA) moved in 2008 and updated their address in the IDIS program. Mr. Morrill went on to say that the State Board of Elections never notified the Committee of the law change in relation to the new Schedule A-1 filing requirements and that they never received any of the prior civil penalty violation notices that the State Board of Elections was sending to the wrong address. The Respondent could not explain why the 9/11/13 notice was forwarded to their new address when none of the other notices were forwarded to their new address. Once the Committee received the 9/11/13 notice they quickly looked into the situation and filed an amended Statement of Organization changing the Committee address. The Committee also decided to more formalize the PAC structure because of the assessments. The PAC now has permanent salary staff as officers of the Committee rather than using the officers of the organization because the officers of the organization had turnover when terms expired. Mr. Tabaczynski stated that funds are only raised as part of their dues collection process by a check off contribution box. He said the dues are based on square footage and the contributions are based off of a suggested percentage of dues that are paid. Mr. Tabaczynski went on to say that they have never received more than the suggested amount and only members have contributed to the PAC. Mr. Morrill stated that the BOMA is on the modest side in relation to their political activity and that they are not a robust player as they take in between $60,000 to $70,000 in receipts a year. The Respondent questions how the State Board of Elections could have gone this long in not figuring out that the Committee address was not

correct and at least tried contacting them because they believe the civil penalty notices would have all come back as undelivered. The Committee moved in 2008 and they thought by changing the address in the IDIS program that the address would have automatically been changed with the State Board of Elections not realizing that they had to mark a box on the upload screen to actually change the address. The Respondent asks the Board to use their discretion when considering any civil penalty as the violations were inadvertent and if the Committee would have received notice earlier corrective action would have been taken.

The Hearing Officer believes that the Respondent should have known of the new Schedule A-1 reporting requirements; the director of Campaign Disclosure sent out an e-mail to all committees that receive report notifications through an e-mail address that participating committee's give to the State Board of Elections and additionally the State Board of Elections posted information on the Board website to notify and educate committees about the changes of the law. I realize this e-mail could have potentially gone to a former officer however it is the responsibility of the Committee to notify the State Board of Elections of such changes. I checked in the State Board of Elections internal program and I could not find a notice that the e-mails were undeliverable. Furthermore, I realize that the Committee may have updated the address within the IDIS program however they did not check the box on the upload screen to actually submit the change of address to the State Board of Elections. The Committee actually has to check the box otherwise the address change never gets submitted to the State Board of Elections. Again, the hearing officer believes it is the Committee's responsibility to notify the State Board of Elections of any changes and thought I understand that the Committee thought they corrected the address a quick check on our website or a call to the Board could have helped identify this issue sooner. In relation to the State Board of Elections not realizing that the Committee had an address on file that was not correct the Act changed on 7/6/12 so that written notices of any fine or penalty assessed or imposed were to be sent by certified mail therefore the State Board of Elections would not have had multiple sets of mailings come back to them. I recommend the appeal be denied for lack of an adequate defense in relation to the 19 contributions that were received during the first quarter of 2013. This appeal was only for those 19 contributions and if the Board were to consider the Committee's appeal as a Motion For Reconsideration than I would like to inform the Board that the Committee failed to timely file such a Motion. I recommend the Board to deny such a request. Furthermore, the Respondent requested that all of the Schedule A-1 violations be considered as one violation and stayed as a first offense. I believe the Statute is clear in relation to this request in that Section 5/9-10 (b-5) states that a "*failure to report each contribution is a separate violation.*" Therefore, I recommend the Board to deny this request. If this recommendation is accepted by the Board the total amount of $35,157.50 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $107,995.72).

Andy Nauman – Hearing Officer
February 6, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
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Charles W. Scholz, Vice Chairman
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Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Building Owners and Managers Assn PAC ID# 14879
Ronald Vukas, Harvey B Camins
120 S La Salle St, Ste 1400
Chicago, IL 60603-3403

7011 2970 0003 6441 7635

Dear Building Owners and Managers Assn PAC:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
CB Richard Ellis	2/14/2013	$1217	4/8/2013	30	$609
CB Richard Ellis	2/22/2013	$1982	4/8/2013	25	$991
Golub & Company	1/5/2013	$1982	4/8/2013	57	$991
Golub & Company	1/10/2013	$1982	4/8/2013	53	$991
Jones Lang Lasalle	1/18/2013	$1982	4/8/2013	47	$991
Jones Lang Lasalle	1/18/2013	$1982	4/8/2013	47	$991
Jones Lang Lasalle	2/14/2013	$1982	4/8/2013	30	$991
Jones Lang Lasalle	2/22/2013	$1982	4/8/2013	25	$991
Jones Lang Lasalle Americas, Inc	1/5/2013	$1982	4/8/2013	57	$991
M & J Wilkow, LTD	1/5/2013	$1120	4/8/2013	57	$560
M & J Wilkow	2/22/2013	$1879	4/8/2013	25	$940

Manulife Financial	2/22/2013	$1982	4/8/2013	25	$991
Reit Management & Research	1/10/2013	$1982	4/8/2013	53	$991
The Montauk Company	2/5/2013	$1979	4/8/2013	36	$990
Tishman Speyer	1/5/2013	$1982	4/8/2013	57	$991
Tishman Speyer	2/7/2013	$1982	4/8/2013	34	$991
Trans Union Corp	1/5/2013	$1416	4/8/2013	57	$708
US Equities Asset Management	3/1/2013	$1982	4/8/2013	20	$991
US Equities Reality	1/5/2013	$1726	4/8/2013	57	$863

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $17552.00 for delinquently filing schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be $17552.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2011	A-1	$963
2011	A-1	$96.50
2012	A-1	$263.25
2012	A-1	$495.75
2012	A-1	$495.75
2012	A-1	$495.75
2012	Multi-Assessment	$991.50
2012	Multi-Assessment	$919.50
2012	Multi-Assessment	$608.50
2012	Multi-Assessment	$991.50
2012	Multi-Assessment	$991.50

2012	Multi-Assessment	$991.50
2012	Multi-Assessment	$50
2012	Multi Assessment	$991.50
2012	Multi Assessment	$991.50
2012	Multi Assessment	$991.50
2012	Multi Assessment	$991.50
2012	Multi Assessment	$991.50
2012	Multi-Assessment	$515
2012	Multi-Assessment	$991.50
2012	Multi-Assessment	$991.50
2012	Multi-Assessment	$863
2012	Multi-Assessment	$830.50
2012	A-1	$969
TOTAL AMOUNT NOW DUE		**$36,024**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of Cook)

STATE BOARD OF ELECTIONS
13 OCT 10 PM 3:28

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant) Case No. 13AM063
Vs.)
)
BUILDING OWNERS AND MANAGERS)
ASS'N PAC (ID # 14879).)

APPEAL AFFIDAVIT

I, RON TABACZNSKI, the Chairman of the BUILDING OWNERS AND MANAGERS ASS'N PAC Committee (ID # 14879), hereafter "BOMA PAC," first being duly sworn, depose and state that I represent that said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

GENERAL STATEMENT OF REASONS/DEFENSES

1. The failure of BOMA PAC to file Schedule A-1 reports was an unintentional oversight due to the committee being unaware of the law change that required contributions of $1,000.00 or more to be reported within 5 business days, or 2 business days if within 30 days of an election. The first time BOMA PAC learned of this requirement and the Illinois State Board of Elections ("ISBE") assessments against BOMA PAC in connection therewith – covering periods in 2011, 2012 and 2013 – was through its receipt of the ISBE notice dated September 11, 2013. All prior ISBE notices had been sent to a prior BOMA PAC address, rather than the current address BOMA PAC has had since 2008; only the September 11, 2013 notice was forwarded to the current BOMA PAC address. Upon learning of this situation, BOMA PAC took immediate corrective actions, including the updating of its D-1 Statement of Organization with the correct address. All involved contributions were fully disclosed by BOMA PAC in its timely submitted quarterly and semi-annual reports in 2011, 2012 and 2013, consistent with what the committee believed were its reporting obligations. There was no intention by BOMA PAC to conceal the involved contributions, and the amounts and timing of that limited number of contributions reflects such lack of willfulness. BOMA PAC has had no history of any ISBE violation, other than this inadvertent failure to file Schedule A-1 reports.

REQUEST TO ALLOW BOMA PAC TO APPEAL
THE EARLIER 2011 AND 2012 ISBE ASSESSMENTS
AS PART OF THIS 2013 ASSESSMENT APPEAL

2. BOMA PAC respectfully requests that ISBE allow the committee to appeal the earlier 2011 and 2012 ISBE assessments as part of this 2013 assessment appeal, and in support of that request states:

   a. The very first time BOMA PAC learned about any of these assessments was upon receiving the September 11, 2013 notice, through forwarded mail as described above.

   b. The earlier assessments from 2011 and 2012 stem from the same set of facts, and the same inadvertent error by BOMA PAC as the 2013 assessment.

   c. BOMA PAC never received any ISBE notices related to the 2011 and 2012 assessments until it obtained them via email after contacting ISBE following receipt of the September 11, 2013 notice. Therefore, this is the first opportunity BOMA PAC has had to appeal the earlier assessments.

   d. BOMA PAC has no other history of ISBE violations, and upon receiving the September 11, 2013 notice the committee took immediate corrective action as described below.

   e. None of the subject violations involve any intentional, willful or wanton misconduct by BOMA PAC.

   f. All of the subject contributions were fully disclosed by BOMA PAC in its timely submitted quarterly and semi-annual reports consistent with what the committee believed were its reporting obligations.

3. Because BOMA PAC only learned about the 2011 and 2012 violations after receiving the September 11, 2013 notice, the committee could not timely file an appeal within the 30 day window. This resulted in the unintended forfeiture of BOMA PAC's right to contest the resulting civil penalties, and the possibility that those earlier violations might be deemed "past violations" for purposes of this 2013 appeal. BOMA PAC respectfully suggests that, under the circumstances presented, that would be an unduly harsh outcome which ISBE should reasonably act to avoid.

4. In short, BOMA PAC respectfully requests that the 2011, 2012 and 2013 violations be treated as a single current violation for purposes of this appeal. Specifically, the committee respectfully asks that all violations related to Schedule A-1 reporting be viewed and considered by ISBE as an inadvertent first offense.

MORE SPECIFIC STATEMENT OF REASONS/DEFENSES

5. To elaborate on the general statement contained in paragraph 1 above, BOMA PAC states as follows:

   a. BOMA PAC asserts that its failure to file Schedule A-1 reports was an unintentional oversight due to the committee being unaware of the law change that required contributions of $1,000.00 or more to be reported within 5 business days, or 2 days if within 30 days of an election. BOMA PAC fully and timely filed all required quarterly and semi-annual reports in 2011, 2012 and 2013, satisfying what the committee believed were its ISBE disclosure requirements. Through recent research, BOMA PAC has come to understand that the Schedule A-1 reporting requirements first became applicable to political action committees on January 1, 2011, by operation of campaign contribution limitation legislation enacted in 2010 – namely, SB 1466 (Harmon/Currie), now P.A. 96-832, in section 9-10 on page 37.

   b. There was no intentional or willful and wanton effort by BOMA PAC to avoid its disclosure responsibilities or to violate any ISBE reporting requirements.

   c. All ISBE violation notices were sent to BOMA PAC's previous address, namely, 120 South LaSalle Street, Suite 1400, Chicago, IL 60603. BOMA PAC moved from that address in 2008, and its correct current address is 115 South LaSalle Street, Suite 2300, Chicago, IL 60603. Only the ISBE notice dated September 11, 2013 was forwarded to the correct current address; receipt of that notice was the first time BOMA PAC learned about its obligation to file Schedule A-1 reports or its past failure to meet such obligations. Upon first learning of its inadvertent deficiencies, BOMA PAC took immediate corrective action, described below.

   d. BOMA PAC did err by inadvertently failing to update via hard copy its D-1 Statement of Organization in 2010 to reflect its change of address. However, the committee has used and relied upon the electronic filing system for interaction and reporting with ISBE since 2005 and has consistently used its correct current address in its electronic filings. Immediately after receiving the September 11, 2013 notice, BOMA PAC updated its D-1 Statement of Organization to reflect its correct current address.

   e. To reduce the likelihood of future filing errors, another corrective step was taken by BOMA PAC immediately after receipt of the September 11, 2013 notice. Specifically, the committee adopted a policy of designating permanent, full-time staff of the Building Owners and Managers Association of Chicago ("BOMA/Chicago"), the sponsor of BOMA PAC, as the Chairman and Treasurer of the committee rather than volunteer members of BOMA/Chicago whose terms expire from time to time, as had been its past practice. Accordingly, on October 9, 2013, Ron Tabaczynski became Chairman and Paul Jost became Treasurer of BOMA PAC, both of whom

are full-time employees of BOMA/Chicago. These new officers have undertaken a review of the applicable laws outlining ISBE requirements.

f. A review of the subject contributions to BOMA PAC – including the limited number of them, the modest amounts of the donations, and the timing of the donations – reflects the unintentional nature of any BOMA PAC disclosure deficiencies. The subject receipts were limited in number: 11 in 2013, 17 in 2012, and 6 in 2011. All were from BOMA/Chicago members and not from any "outside third parties" that might suggest some motivation for temporary concealment. All of these contributions were under $2,000 in amount, and all were received either at the very end of the calendar year or in the first quarter of the calendar year, consistent with the timing of the BOMA PAC annual solicitations (which are sent out concurrent with annual BOMA/Chicago association dues requests). Nothing about these donations suggests any intention to evade disclosure; indeed, all contributions were fully disclosed on the applicable quarterly and semi-annual reports.

g. BOMA PAC respectfully asserts that the violation reflected in the 2011, 2012 and 2013 notices was in large measure due to a clerical error involving an incorrect address on file with ISBE and the clerical assumption that the committee was in compliance because all Schedule A-1 contributions were reported and accepted via timely electronic filing of quarterly and semi-annual reports. In short, BOMA PAC acted with all good intentions as it had always done, timely filing quarterly and semi-annual reports and unaware of any problem until it received the September 11, 2013 ISBE notice, which prompted the committee to take immediate corrective actions.

REQUESTED RELIEF

6. In light of all the factual circumstances as outlined above, BOMA PAC respectfully requests that ISBE exercise its lawful discretion to waive entirely or substantially reduce the civil penalties associated with the 2011, 2012 and 2013 notices to the committee.

Respectfully submitted,

Ron Tabaczynski
Chairman
Building Owners and Managers
Ass'n PAC (ID # 14879)

Signed and Sworn by:

Before me this 10th day of *October, 2013*
Notary Public
(Seal)

OFFICIAL SEAL
DIANA ZAMORA
Notary Public - State of Illinois
My Commission Expires Aug 14, 2016

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 041**

UA Local 160 Plumbers & Pipefitters Cope Fund ID# 15500
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 21, 2013, 4 days late, resulting in a civil penalty assessment of $200. Additionally, the Committee had previously been assessed a $450 civil penalty (not appealed, stayed) for delinquent filing of the March 2012 Quarterly Report. The total assessment is $650.

William P Weinhoffer, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Weinhoffer states that in order to make sure reports are filed accurately and on time, the Committee uses the services of an accounting firm. He says although that firm was given the necessary information and materials in a timely manner, the Report was filed late. Mr. Weinhoffer includes a letter from the accounting firm in which it accepts full responsibility for the late filing.

It is ultimately the Committee's responsibility to ensure that all reports are timely filed. The failure to file the Report on time is solely an issue between the Committee and their accounting firm. I therefore recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous penalty and the total assessment of $650 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $2,380.63.)

Tom Newman – Hearing Officer
January 28, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

U A Local 160 Plumbers & Pipefitters Cope Fund ID# 15500
Wm P Weinhoffer
PO Box 187
Murphysboro, IL 62966-0187

7011 2970 0003 6442 0567

Dear U A Local 160 Plumbers & Pipefitters Cope Fund:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/21/2013, 4 day(s) late. As such, this committee has been assessed a fine of $200.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1, 2012 through March 31,2012	Quarterly	$200
TOTAL AMOUNT NOW DUE		**$650**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: Jackson)

STATE BOARD OF ELECTIONS
14 JAN 21 PM 12:08

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13SQ041
)
UA Local 160 Plumbers & Pipefitters Cope Fd)
Respondent(s).)

APPEAL AFFIDAVIT

I, William P Weinhoffer, the Treasurer of the
(Name) (Chairman/Treasurer)

UA Local 160 Plumbers & Pipefitters Cope Fund
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

In order to ensure that we complete our Form D-2 Quarterly Reports on time and in an accurate manner, we have used the outside services of a local accounting firm, Clarke CPA & Consulting, Ltd. It was by no means the intention of the Committee to file a late report, as we get the appropriate information to Clarke CPA in a timely manner prior to the due date of the return. See attached note.

Signature of Chairman/Treasurer

Signed and Sworn to by: Marilyn Perry
before me this 15th Day of Jan, 2014

Notary Public
(seal)

OFFICIAL SEAL
MARILYN PERRY
NOTARY PUBLIC, STATE OF ILLINOIS
JACKSON COUNTY
MY COMMISSION EXPIRES 08/09/2015

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **12 AS 082**

Phoenix PAC ID# 19148
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received an $11,000 contribution on 7/30/13 but failed to report this on a Schedule A-1 resulting in a civil penalty of $5,500. Additionally, the Committee had previously been assessed a civil penalty of $25 (not appealed, stayed) for delinquently filing the December 2012 Quarterly report. The total assessment is $575.

James Nalepa, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Nalepa states this is the first & only error for being delinquent in filing a Schedule A-1. He says this was changed in 2012 and was not put into IDIS until 2013. The Committee asks the fine to be stayed.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional and since this is the first A-1 violation for the Committee, I recommend the penalty be reduced to 10% of the original assessment or $550. If these recommendations are accepted by the Board, the stay would be lifted from the previous $25 assessment and the total $575 civil penalty will be due and owing.

(As of 12/31/13, this Committee reported a funds available balance of $694.10.)

Kim Patrick

Kim Patrick – Hearing Officer
January 23, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Phoenix Pac
POB 391
Hinsdale, IL 60522

ID# 19148

7011 2970 0003 6441 8489

Dear Phoenix Pac:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Citizens for Kirk Dillard	7/30/2012	$11000	*not filed	42	$5500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of $5500.00 for delinquently filing Schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $550.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
10/1/2012-12/31/2012	Quarterly	$25
TOTAL AMOUNT NOW DUE		**$575**

State of Illinois)
)
County of: DUPAGE)

STATE BOARD OF ELECTIONS
13 OCT 15 PM 1:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. ID # 12AS082 19148
)
PHOENIX PAC)
Respondent(s).)

APPEAL AFFIDAVIT

I, JAMES VALEPA, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

PHOENIX PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THIS IS THE FIRST AND ONLY ~~2~~ ERROR FOR BEING DELINQUENT IN FILING A SCHEDULE A-1. THIS WAS CHANGED IN 2012 AND WAS NOT PUT INTO THE ELECTRONIC FILING SYSTEM – IDIS – UNTIL 2013. SINCE THE ELECTRONIC UPDATE HAS BEEN IMPLEMENTED MY COMMITTEE HAS HAD A FLAWLESS REPORTING RECORD AND ALL A-1'S WERE FILED ON TIME. I ASK THAT THIS FINE FOR ONE ONE AND ONLY DELINQUENCY BE STAYED.

James J Valepa
Signature of Chairman/Treasurer

Signed and Sworn to by:
Beverly Keehn
before me this 11th Day of Oct., 2013

Notary Public
(seal)

OFFICIAL SEAL
BEVERLY KEEHN
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:08/31/14

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 059**

Friends of ECC ID# 19379
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 25, 2013, 8 days late, resulting in a civil penalty assessment of $800. Additionally, the Committee had previously been assessed a $250 civil penalty (not appealed, stayed) for delinquent filing of the September 2012 Quarterly Report. The total assessment is $1,050.

Sharon Konny, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Konny states that the woman who prepares and files the Committee's reports, Robin Arnold, faced several months of family issues in 2013, including the death of her oldest son on October 14. This prevented the filing of the Report in a timely manner.

While Ms. Arnold has my sympathy for the loss of her son, I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous penalty, and the total assessment of $1,050 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $26,005.22.)

Tom Newman – Hearing Officer
February 6, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014
ID# 19379

Friends of ECC
PO Box 5177
Elgin, IL 60121-5177

7011 2970 0003 6442 0659

Dear Friends of ECC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/25/2013, 8 day(s) late. As such, this committee has been assessed a fine of $800.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1, 2012 through September 30, 2012	Quarterly	$250
TOTAL AMOUNT NOW DUE		**$1050**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS

14 FEB -5 PM 3:52

State of Illinois)
)
County of Kane)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
)
Vs.) Case No. 13SQ059
)
Friends of ECC)

APPEAL AFFIDAVIT

I, Sharon Konny, the Treasurer of the Friends of ECC Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Robin Arnold prepares and submits reports for Friends of ECC. Robin faced family issues for several months that resulted in the death of her oldest son on October 14, 2013. She fully intended to submit the report due between October 1 and October 14, 2013 but due to the death of her son and circumstances surrounding his death she was unable to submit the aforementioned report in a timely manner.

Your consideration to waive or reduce the fine due to the unfortunate situation would be appreciated.

Sharon Konny, Treasurer
Signature of Chairman/Treasurer

Signed and Sworn to by:

Sharon M. Konny
Before me this 3rd Day of February 2014

Notary Public Robin S Arnold
(Seal)

OFFICIAL SEAL
ROBIN S ARNOLD
Notary Public - State of Illinois
My Commission Expires Feb 3, 2016

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 068**

Friends of Bonnie Hester ID# 19979
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 21, 2013, 4 days late, resulting in a civil penalty assessment of $200. Additionally, the Committee had previously been assessed a $525 civil penalty (not appealed, paid) for delinquently filing the March 2013 Quarterly Report. The total assessment is $200.

Bonnie Hester, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Hester states that on October 11 she went to the post office, purchased postage with her debit card and mailed the form. She suggests looking at the postmark on the envelope to verify this.

Section 100.125 of the Board's Rules and Regulations states that when a mailed report is received late, it is considered a timely filing if the envelope containing the report is postmarked at least 72 hours prior to the filing deadline. A review of the envelope containing the Committee's Report does not show a postmark. However, rather than traditional postage stamps, the envelope was mailed using a Postal Service print-on-demand postage service, which indicates a purchase date of October 13. Since this indicates the Report was mailed less than 72 hours before the deadline, I recommend the appeal be denied. If this recommendation is accepted by the Board, the $200 civil penalty will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $0.)

Tom Newman – Hearing Officer
January 31, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014
ID# 19979

Friends of Bonnie Hester
Bonnie Hester
2215 W Butler St
Peoria, IL 61605-1504

7011 2970 0003 6442 0307

Dear Friends of Bonnie Hester:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/21/2013, 4 day(s) late. As such, this committee has been assessed a fine of $200.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation for delinquent filing it is required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: Peoria)

STATE BOARD OF ELECTIONS
14 JAN 30 AM 10: 57

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 19979 13SA068
)
Friends of Bonnie Hester)
Respondent(s).)

APPEAL AFFIDAVIT

I, Bonnie J Hester, the Chairman of the
(Name) (Chairman/Treasurer)

friends of Bonnie Hester
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

please see attached document.

Bonnie J Hester
Signature of Chairman/Treasurer

Signed and Sworn to by:
Evonne K. Fleming
before me this 28th Day of January, 2014
Notary Public
(seal)

OFFICIAL SEAL
EVONNE K FLEMING
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:02/10/14

STATE BOARD OF ELECTIONS

14 JAN 30 AM 10:51

On October 11, 2013, I mailed the D-2 form. I went to the post office purchased stamps with my debit card and mailed the form. I suggest you look at the postmark on the outside of the envelope, as I even put extra postage on it.

I Bonnie Hester am asking The State Board of Elections not penalize this committee, I will not be seeking political office in the future, and would like to donated my remaining funds to a not profit org.

19979

)M

Bonnie J. Hester
2215 W. Butler St.
Peoria, IL 61605-1504

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FOREVER
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REQUIRES ADDITIONAL POSTAGE

151

STATE BOARD OF ELECTIONS

PO Box 4187

Springfield, Illinois 62708-4187

RECEIVED

OCT 21 2013

State Board of Elections
SPRINGFIELD OFFICE

RST CLASS MAIL

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 JQ 134**

Walk Bike Transit ID# 23281
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently Filing
the June 2013 Quarterly Report

The Quarterly Report was received by the Board on 7/28/13, 9 days late, resulting in a civil penalty assessment of $450. Additionally, the Committee had previously been assessed a civil penalty of $50 (not appealed, stayed) for delinquently filing the September 2011 Quarterly report. The total assessment is $500.

Randy Neufeld, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Neufeld states the Committee had problems with the software and then forgot to file because he was preparing for international travel. When he returned from his trip he saw the failure to file notice and filed immediately after fixing the software problem.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous $450 assessment and the $500 civil penalty will be due and owing.

(As of 12/31/13, this Committee reported a funds available balance of $4,275.80.)

Kim Patrick

Kim Patrick – Hearing Officer
January 22, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013
ID# 23281

Walk Bike Transit
Randy Neufeld
4868 N Hermitage Ave
Chicago, IL 60640-4159

7011 2970 0003 6441 6270

Dear Walk Bike Transit:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/28/2013, 9 day(s) late. As such, this committee has been assessed a fine of $450.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
7/1/2011-9/30/2011	Quarterly	$50
TOTAL AMOUNT NOW DUE		**$500**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS
13 OCT 15 PM 12:59

State of Illinois)
)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13 9 Q 134
)
Walk Bike Transit)
Respondent(s).)

APPEAL AFFIDAVIT

I, Randy Neufeld, the Chairman of the
(Name) (Chairman/Treasurer)

Walk Bike Transit
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I deeply regret failing to file our quarterly report due in July 2013. This failure was inadvertent and unintentional. There were no contributions during the late reported quarter, only small bank charges. I had problems with the IDIS software when I originally responded to my reminders and then I completely forgot to file as I was engaged in preparation for international travel. I saw the failure notice upon my return and then filed immediately after fixing my software problems. The new web based software will make it much easier for me to comply on time in the future. I ask that you waive or reduce the fine. My involvement in this committee is as a volunteer. Thank you for your consideration.

Signed and Sworn to by:
Randy Neufeld
before me this 9th Day of
October, 2013

_____
Notary Public

_____
(Signature of Chairman/Treasurer)

"OFFICIAL SEAL"
WOL JU MENDOZA
Notary Public, State o
My Commission Expires Oc
Commission No 28

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AJ 031**

Citizens for Better Education ID# 23710
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a $1,500 contribution on 4/19/13, a $6,000 contribution on 5/6/13, a $3,000 contribution on 5/6/13 and a $1,000 contribution on 5/6/13 and reported all four on a Schedule A-1 received by the Board on 7/14/13, 54 and 49 days late, respectively, resulting in a civil penalty assessment of $5,750.

Jill Manning, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Manning states it was a mistake on her part as she overlooked the reporting of these contributions. She adds it is the first time the Committee was delinquent and hopes the fine can be waived.

I must recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or $575. If these recommendations are accepted by the Board, the $575 civil penalty will be due and owing.

(As of 12/31/13, this Committee reported a funds available balance of $623.99.)

Kim Patrick

Kim Patrick – Hearing Officer
January 23, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Citizens for Better Education
Donald Manning/Jill Manning
547 E. 166th Pl
South Holland, IL 60473

ID# 23710

7011 2970 0003 6440 6745

Dear Citizens for Better Education:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Cook County College Teachers Union	4/19/2013	$1500	7/14/2013	54	$750
Friends of Frank Zuccarelli	5/6/2013	$6000	7/14/2013	49	$3000
Thornton Township Z Team	5/6/2013	$3000	7/14/2013	49	$1500
Norm Abbott	5/6/2013	$1000	7/14/2013	49	$1000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $5750.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $575.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS
13 OCT 15 PM 2:50

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13AA031
) 23710
Jill Manning)
Respondent(s).)

APPEAL AFFIDAVIT

I, Jill Manning, the Treasurer of the
(Name) (Chairman/Treasurer)

Citizens for Better Education
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Honestly a mistake on my part. I am also the treasurer on two of the four contirbutors not reported in this notice. (Friends of Frank Zuccarelli & Thornton Township Z Team) The tranfer out on those committess were reported. Citizens for Better Education is not a very active committee and I over look the reporting of these contributions timely. It is the first time this committee has had an issue of this type. I hope this could be waived. Thank you for the consideration.

Signed and Sworn to by:

_____
(Signature of Chairman/Treasurer)

before me this 7 Day of
OCTOBER, ~~2011~~ 2013

_____
Notary Public

OFFICIAL SEAL
MARK A MYERS
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:10/0

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs. 13 JQ 146

Northwestern Illinois Building & Construction Trades Council 23781
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for the Delinquent Filing of the
June 2013 Quarterly Report

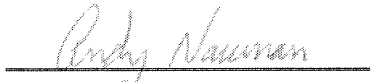
The June 2013 Quarterly Report was received by the Board on 7/17/13, 2 days late, resulting in a $400 civil penalty. Additionally, the Committee had previously been a $5,000 civil penalty (appealed, denied, stayed) for delinquently filing the Statement of Organization; a $5,000 civil penalty (appealed, denied, stayed) for delinquently filing the March 2011 Quarterly Report; and a $5,000 civil penalty (appealed, denied, stayed) for delinquently filing the June 2011 Quarterly Report. The total civil penalty assessment is $15,400.

The Respondent was represented by attorney John Nelson at the January 8th appeal hearing. Also attending was Tom Sink, the treasurer of the Committee and Robin Perez an employee that works in a local IBEW office.

John Nelson stated that the $400 that has been assessed on the Committee is not the issue because the Committee was delinquent in filing the June 2013 Quarterly Report. The issue he said was that the previous stay on the prior fines being lifted. Ms. Perez stated that she had completed most of the data entry prior to the filing deadline and on the last day to file she was preparing the report when she was interrupted. It was not until 2 days later that Ms. Perez realized that the report had not been filed. She immediately contacted the State Board of Elections and was informed to file the report and that after the report was filed the Committee would be assessed a fine for delinquently filing the report. The Committee was expecting a fine but a civil penalty in the amount of $15,400 for a report that was two days late was an eye opener that they believe is not fitting of the "crime". Mr. Nelson went on and stated that the $15,000 amount was stayed by the Board because the previous failure to comply was not deliberate but was a result of limited oversight, failure to have systems in place to comply, and inexperience and ignorance with campaign disclosure laws, and they promised to do better. The Respondent believes that they have been better however they realized that they made a clerical error by inadvertently filing the June 2013 Quarterly Report two days late. The organization used to have an executive director and a secretary but due to the poor economy they can no longer have those positions and they have been doing more with less. The Committee believes that they have had a good record of compliance since the original stay, minus this one infraction and they would be forever grateful if the Board were to extend the stay of $15,000 instead of giving them what would essentially be a death penalty

I understand the situation the Committee would be in if the $15,000 stay was lifted from the previous civil penalties however in the opinion of the hearing officer the Respondent has not provided a sufficient reason to grant the appeal. I believe the delinquent filing was inadvertent however this would have no bearing on the Committee's responsibility to timely file a quarterly report. I recommend the appeal be denied for lack of an adequate defense. Furthermore, in relation to the request for the previously assessed stay of the $15,000 civil penalty to not be lifted I recommend the request be denied. If this

recommendation is accepted by the Board the civil penalty totaling $15,400 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $22,395.32.)



Andy Nauman – Hearing Officer
February 4, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
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Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Northwestern Illinois Building & Construction Trades Council ID# 23781
Brad Long
212 S 1st St, Ste 106
Rockford, IL 61104-2076

7011 2970 0003 6441 6348

Dear Northwestern Illinois Building & Construction Trades Council:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/17/2013, 200 day(s) late. As such, this committee has been assessed a fine of $400.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2011	Multi-Assessment	$5000
2011	Multi-Assessment	$5000
2011	Multi-Assessment	$5000
TOTAL AMOUNT NOW DUE		**$15400**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS
13 OCT 15 PM 12:59

STATE OF ILLINOIS)
) SS
WINNEBAGO COUNTY)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:	)	
	)	
ILLINOIS STATE BOARD OF ELECTIONS	)	
	)	
Complainant	)	
	)	
Vs.	)	Case No. 13GQ146
	)	
NORTHWESTERN ILLINOIS BUILDING AND CONSTRUCTION TRADES COUNCIL,	)	
	)	
	)	APPEAL AFFIDAVIT
Respondent	)	

I, Tom Sink, the Treasurer of the Northwestern Illinois Building & Construction Trades Council, Committee, first being duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committees defenses are as follows: First, whether a typographical error, or not, the July 17, 2013 filing of the June quarterly report was not 400 days late, but two days late. I am the newly elected Secretary/Treasurer of the Committee, and I am also the new Business Agent for IBEW Local 364. I have assigned an employee in our IBEW local office, first name, Robin to do our actual filing. She is ordinarily very efficient, and well organized. She reported to me that she had missed the July 15th deadline, but had filed the report on July 17th as she had caught the mistake. She told me there would be a fine because we were two days late. I advised her to take extra measures to make sure any future reports were filed on time. In interviewing her for

this affidavit she indicated she was interrupted in her preparation of the quarterly report on July 15, 2013 and completed it as soon as she got to it. I indicated, she reported the error immediately.

Quite frankly, a $15,400 fine seems excessive for a report that was filed inadvertently late by two days. There was never any intent but to comply with the law, and the special circumstances relating to the prior problems with the Northern Illinois Building & Constructions Trades Council have not been repeated. The reason for the late filing in this case is clerical error. A $400.00 fine is arguably unfair, but understandable.

We would argue, the election law substantial compliance with the election law in that while the Committee's July 15, 2013 due date was not met the report was submitted voluntarily within a very short period of time thereafter. Any stayed fines from prior cases should remain stayed as a result. The Committee is in so much better shape in terms of its reporting obligations. We seek relief from the September 11, 2013 assessment of civil penalty

Further affiant sayeth not.

Tom Sink

Tom Sink - Treasurer

Subscribed and sworn to before me this
11th day of October, 2013.

Judy Rupprecht

Notary Public

OFFICIAL SEAL
JUDY RUPPRECHT
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:08/22/16

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs. 13 MA ~~073~~ 054

Worth Township Democratic Party 23929
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for
Failing to file a Schedule A-1 in the 1st Quarter of 2013 &
Delinquently Filing a Schedule A-1 in the 2nd Quarter of 2013

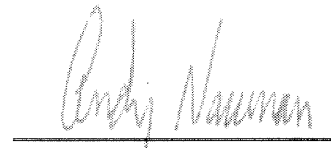
This committee received one $2,000 contribution on 3/26/13 and failed to report the contribution on a Schedule A-1, resulting in a civil penalty assessment of $1,000. This committee also received a $8,800 contribution on 4/9/13, and reported it on a Schedule A-1 received by the Board on 7/15/13, 62 days late, resulting in a civil penalty assessment of $4,400. The total assessment is $5,400.

The Respondent was represented by attorney James P. Nally at the January 8th appeal hearing. Edward Lowery participated in the hearing over the telephone.

Mr. Lowery stated that he was using IDIS 2.0 when he attempted to report the $2,000 contribution from DAK 4 LLC. He said that he went through all of the steps to file a Schedule A-1 but didn't realize at the time of the filing that his internet was not working. Mr. Lowery was then under the assumption that he had filed the report because he went through all of the steps and it was not until he was working on the quarterly report that he discovered the contribution was not reported. The contribution was reported on their quarterly report. In relation to the Fred Moody $8,800 contribution Mr. Lowery stated that he thought a Schedule A-1 was filed because he thought he had gotten to the confirmation screen in the upload process when the computer crashed. Mr. Lowery stated that he had to build a new computer after his system crashed and because his computer failed the contribution was not reported in a timely manner. The Committee has hired a CPA to make sure reports are filed timely in the future.

In relation to the DAK 4 LLC contribution I find it rather troubling that the Respondent would have thought the report was filed if they knew their internet connection was down. I would have thought that the Respondent would have realized that a report could not have been filed if the program had no way in connecting to the State Board of Elections. Furthermore, the IDIS program would have shown on the upload screen that the Report filing FAILED and in addition to that an Error screen would have popped up that read "Unexpected error: Failed to access reporting web service." With these two warnings I find it hard to understand how the Respondent would have thought the report was filed. I recommend that the appeal be denied for lack of an adequate defense. In addition, since there is no indication that this violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment as a first violation, or $100. In relation to the Fred Moody contribution I find it rather troubling that the Committee didn't realize that a time sensitive report was not reported to the State Board of Elections until 3 months after the Respondent's computer crashed. I understand that the information that was on the computer was not recoverable and a new computer had to be built but the time that lapsed between the computer crashing and the Committee realizing the report

was not filed in the opinion of the hearing officer was too long. Furthermore, since the Respondent knew their computer crashed the hearing officer questions why the Respondent thought the report was filed. If the computer crashed after a report was filed it would have no effect on the report that was filed. I recommend that the appeal be denied for lack of an adequate defense. In addition, since there is no indication that this violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment as a second violation, or $2,200. If this recommendation is accepted by the Board the total amount of $2,300 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $346.51.)



Andy Nauman – Hearing Officer
February 5, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
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Charles W. Scholz, Vice Chairman
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Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Worth Township Democratic Party
John O'Sullivan
10912 S. Kilbourn Ave
Oak Lawn, IL 60453

ID# 23929

7011 2970 0003 6440 6769

Dear Worth Township Democratic Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Fred Moody	4/9/2013	$8800	7/15/2013	62	$4400

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $4400.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $440.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of : Cook)

STATE BOARD OF ELECTIONS
13 OCT 16 PM 2:19

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13MA054
)
WORTH TOWNSHIP DEMOCRATIC PARTY)
Respondent(s).)

ID # 23929

APPEAL AFFIDAVIT

I, EDWARD H. LOWERY, the TREASURER of the
(Name) (Chairman/Treasurer)

WORTH TOWNSHIP DEMOCRATIC PARTY
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE FAILURE TO FILE THE REQUIRED DISCLOSURE WAS DUE TO COMPUTER ERROR. COMMITTEE BELIEVED REPORT WAS TRANSMITTED ELECTRONICALLY HOWEVER COMPUTER USED TO TRANSMIT FAILED. UPON DISCOVERY REPORT WAS FILED AS SOON AS POSSIBLE.

Edward H. Lowery
Signature of Chairman/Treasurer

Signed and Sworn to by:
Barbara Kupiec
before me this 11th Day of October, 2013

Notary Public
(seal)

BARBARA KUPIEC
OFFICIAL SEAL
Notary Public, State of Illinois
My Commission Expires
June 19, 2017

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **12 AS 040**

Citizens for Ronald Duebbert ID# 24169
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a $2,500 contribution on 8/9/12 and four additional $2,500 contributions on 8/11/12 and reported all five on a Schedule A-1 received by the Board on 9/13/12, 19 and 18 days late respectively, resulting in a civil penalty assessment of $6,250. Additionally, the Committee had previously been assessed a $100 civil penalty (appealed, denied, paid) for delinquent filing of two Schedule A-1's in the second quarter of 2012. The total assessment is $6,250.

Maria Duebbert, the Treasurer of the Committee, filed a Request for Hearing, and submitted on the Appeal Affidavit the following:

On the Affidavit and at the hearing, held via telephone, Ms. Duebbert stated that the late A-1 filings were unintentional and the fault of the Committee's previous Treasurer. She says after the Committee was assessed its first penalty for late A-1 filings, a new Treasurer was appointed but unfortunately by that time the second set of A-1 filings was already late.

I recommend the appeal be denied for lack of an adequate defense. However, as a result of the timing of the violations and the notice of said violations sent by the Board, I agree that the Committee was unaware of the need to take corrective action after the first violation to prevent a recurrence of the late filings. I therefore recommend that the current violations be considered as part of the first set of A-1 violations for this Committee and the assessed penalty be reduced accordingly. Since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for the Committee, I recommend the penalty be reduced to 10% of the original assessment, or $625. If these recommendations are accepted by the Board, the $625 penalty will be due and owing. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years from the date of the Board order imposing the fine, the fine be abated. (As of 5/8/13, this Committee reported a funds available balance of $0.)

Tom Newman – Hearing Officer
January 27, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

December 18, 2012
ID# 24169

Citizens for Ronald Duebbert
23 Public Sq, Ste 210
Belleville, IL 62220-1627

7012 1010 0002 5104 3304

Dear Citizens for Ronald Duebbert:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Eric Bloch	8/9/2012	$2500	9/13/2012	19	$1250
Albert Duebbert	8/11/2012	$2500	9/13/2012	18	$1250
Monica Duebbert	8/11/2012	$2500	9/13/2012	18	$1250
IVRM2	8/11/2012	$2500	9/13/2012	18	$1250
Novesium	8/11/2012	$2500	9/13/2012	18	$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $6250 for delinquently filing schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $3125, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

H

State of Illinois)
County of : St. Clair)

RECEIVED

JAN 17 2013

State Board of Elections

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.) Case No. 12 AS 040
Citizens for Ronald Duebbert)
Respondent(s).)

APPEAL AFFIDAVIT

I, Maria M. Duebbert, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Ronald Duebbert
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

When our Committee received the notice from the State Board of Elections, dated September 4, 2012, and received in our office September 7, 2012, it was already too late to not be delinquent again, on the enumerated contributions of $1000 or more as required to be disclosed by the IL Campaign Disclosure Act. As identified in the original Appeal Affidavit and Supplemental letter from our Committee to SBE, dated October 3, 2012, we acknowledge we would be, again, unwittingly + unintentionally, in violation. Please see Supplemental letter

"OFFICIAL SEAL"
SANDRA WULLER
NOTARY PUBLIC—STATE OF ILLINOIS
MY COMMISSION EXPIRES NOV. 17, 2014

Maria Duebbert
Signature of Chairman/Treasurer

Signed and Sworn to by:
Sandra Wuller
before me this 15 Day of Jan, 2013

Notary Public
(seal)

Ron DUEBBERT for CIRCUIT JUDGE

January 15, 2013

State Board of Elections
State of Illinois
2329 S. MacArthur Blvd.
Springfield, Illinois 62704
217/782-5959

Re: Citizens for Ronald Duebbert, ID# 24169
Notice of Appeal

Dear Sirs and/or Madames:

Your original letter, informing our Committee that we had "failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act", dated September 4, 2012, was received by the CITIZENS FOR RONALD DUEBBERT in the afternoon of Friday, September 7, 2012, and opened Monday, September 10, 2012. Upon learning from said correspondence that the original Treasurer had erred, the Committee immediately appointed a new Treasurer, filed an amended *D-1, Statement of Organization*, which was faxed to the Illinois State Board of Elections on Monday, September 10, 2012, and researched and corrected all the previous Treasurer's mistakes.

Unfortunately, as we informed you in our first appeal letter, your initial notice arrived to the Committee too late to avoid an inadvertent second delinquent filing of schedule A-1 reports, covering the donations enumerated in your second notice, dated December 18, 2012. Since the time of your initial notice, the CITIZENS FOR RONALD DUEBBERT has not made another error in reporting or filing of any reports or amendments, or reporting of expenditures, transfers out, etc.

As stated in our first Appeal Letter, **at no time** did the CITIZENS TO ELECT RONALD DUEBBERT intend to **not** file in a timely fashion, nor attempt to **not** disclose donations in a timely manner. The Committee was under the impression the original Treasurer was fulfilling her duties in compliance with the Illinois State Board of Elections guidelines for Campaign Disclosure. When your letter arrived, and we became aware that errors had been made, in good faith and with all urgency we corrected all omissions and/or mistakes.

Because the CITIZENS FOR RONALD DUEBBERT was not aware that our reporting to the

HP Officejet Pro 8600 N911n Series

Fax Log for
Duebbert Law Office, P.C.
618-235-3346
Jan 15 2013 5:34PM

Last Transaction

Date	Time	Type	Station ID	Duration	Pages	Result
				Digital Fax		
Jan 15	5:33PM	Fax Sent	12177825929	0:00 N/A	0	No answer

Illinois State Board of Elections was being done incorrectly until after we received your correspondence dated September 4, 2012, unfortunately, errors continued to be made in our reporting until the new Treasurer took over on September 10, 2012. Again, corrections were immediately made, and amended reports were immediately filed/sent. While I am surely aware that ignorance is not bliss, and this Committee did utilize the original Treasurer, trusting that she, indeed, would do a conscientious job (which she assuredly did not), it seems unfair that the Candidate, who himself could not, in compliance with Judicial Canons, have anything whatsoever to do with money or financial matters, be punished for the misdeeds of the original Treasurer, who has two (2) Master's Degrees, and worked for the Candidate's law business in a similar capacity as that of Treasurer of the Committee (a position she unsolicited volunteered to fill). Again, please note that when the Committee was made aware of the mistakes and omissions of the original Treasurer, all steps necessary were immediately taken to correct said errors, and ensure no further errors were committed.

If you have any further questions, or if I can be of any further assistance with this matter, please do not hesitate to contact me. Awaiting your positive reply, I remain

Sincerely,

Maria M. Duebbert
Treasurer, CITIZENS FOR RONALD DUEBBERT

MMD/
SBE notice of appeal 2

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs. 13 AD 088

Citizens for Juan Ochoa (ID 24244)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

On December 31, 2012, the Committee received 6 contributions of $1,000 or more and reported them on the 2012 December Quarterly Report, one day late, resulting in a $10,875 civil penalty assessment. Additionally, this Committee was assessed a $500 civil penalty (not appealed, stayed, not paid) for delinquently filing a Schedule A-1 Report during the 2nd Quarter of 2012. Since this is the second Schedule A-1 violation, the fine is reduced to $5,437 or 50% of the above-referenced amount. The total assessment is $5,937.00.

Peter Romero, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 7, 2013.

There was no appearance by the Respondent. The following recommendation is based upon the submitted written Appeal Affidavit. In the written Affidavit, it is the Respondent's contention that the Schedule A-1 contributions were filed timely.

The contributions received on 12/31/12 were not filed on a Schedule A-1; rather they were reported on the 2012 December Quarterly Report, filed on 1/9/13, six business days after the date of receipt. Therefore, these contributions were received by the Board one business day late and subject to the civil penalties of a late filing. I recommend that the appeal be denied. If the Board accepts this recommendation, a civil penalty of $5,937.00 will be due. (As of 12/31/13, this Committee reported a funds available balance of $ $66,317.19).

Tara Molnar – Hearing Officer
February 6, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

June 3, 2013
ID# 24244

Citizens for Juan Ochoa
PO Box 50271
Cicero, IL 60804-0271

7011 2970 0003 6442 7344

Dear Citizens for Juan Ochoa:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
AztecAmerica Bank	12/31/2012	$1000	*	1	$500
Mirarmar International Group	12/31/2012	$10,000	*	1	$5000
Marcos Reyes	12/31/2012	$1500	*	1	$750
Marcos Reyes	12/31/2012	$1000	*	1	$500
Del Mar Incorporate	12/31/2012	$7250	*	1	$3625
Going Places LLC	12/31/2012	$1000	*	1	$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $10,875 for delinquently filing schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $5437, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2012	Schedule A-1	$500
TOTAL AMOUNT NOW DUE		**$5937**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of : _____)

CHICAGO
2013 JUL -3 PM 12:38
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 24244
)
Citizens For Juan Ochoa)
Respondent(s).)

13 AD088

APPEAL AFFIDAVIT

I, Peter A. Romero, the Treasurer of the
(Name) (Chairman/Treasurer)

Citizens For Juan Ochoa
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

That The Committee did File The Referenced Schedule A-1's In a Timely Manner and In accordance with The Illinois Campaign Disclosure Act.

Peter Romero
Signature of Chairman/Treasurer

Signed and Sworn to by:
Colleen Hays
before me this 3 Day of July, 2013
Notary Public
(seal)

OFFICIAL SEAL
COLLEEN M HAYS
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES:07/15/16

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 162**

Building on Excellence - Yes for Referendum for Ball-Chatham Schools
Cmte ID: 24572
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the September 2013 Quarterly Report

The Report was received October 21st, 2013, 4 days late, resulting in an assessment of $200. The Committee was previously fined $425 (not appealed, stayed) for delinquently filing the March 2013 Quarterly Report.

The Reverend Keith Anderson, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Reverend Anderson noted the Committee filed a Final Report in lieu of the September 2013 Quarterly Report. He said the Report was filed delinquently because of an out of town business trip. The Committee has been dissolved.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the stay on the $425 fine for the delinquent March 2013 Quarterly Report would be lifted, and a total of $625 would be due and owing. However, the assessment would expire should the Committee remain closed for two years after the Final Board order in this matter. As of September 30th, 2013, the Committee's cash balance was reported as $0.00.

John Levin – Hearing Officer
January 21st, 2014

State of Illinois)
)
County of : Sangamon)

STATE BOARD OF ELECTIONS
14 JAN 17 AM 10: 21

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs. Building on Excellence - Yes for Referendum for Ball-Chatham Schools) Case No. 13SQ162
Respondent(s).)

APPEAL AFFIDAVIT

I, Kelly Anderson, the Chairman of the
(Name) (Chairman/Treasurer)
Building on Excellence - Yes for Referendum for Ball-Chatham Schools
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Final Report was ~~for~~ timely by but was a few dates due to being out of town on business. The committee has been dissolved and there is no money in the account to pay any fines.

Signature of Chairman/Treasurer

Signed and Sworn to by: Rebecca L. Glazier
before me this 17th Day of January, 2014
Notary Public
(seal)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Building on Excellence - Yes for Referendum for Ball-Chatham Schools ID# 24572
c/o Rev Keith Anderson, Chairman
PO Box 19207
Chatham, IL 62794-9207

7012 3460 0001 3856 9182

Dear Building on Excellence - Yes for Referendum for Ball-Chatham Schools:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/21/2013, 4 day(s) late. As such, this committee has been assessed a fine of $200.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31, 2013	Quarterly	$425
TOTAL AMOUNT NOW DUE		**$625**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs. 13 AJ 035

Alliance of Illinois Taxpayers NFP 24625
Respondent

REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2nd Quarter of 2013

This committee received two $2,000 contributions on 4/1/13 and reported them on a Schedule A-1 received by the Board on 7/9/13, 64 days late, resulting in a civil penalty assessment of $2,000. The total assessment is $2,000.

The Respondent was represented by attorney James Vasselli at the January 8th appeal hearing.

Mr. Vasselli stated that the clerk who was responsible for filing the Committee's reports left without notice at the time these contributions were received. He went on to say that once it was discovered that the contributions had not been reported the Committee filed a Schedule A-1. The Respondent requests leniency, given this is their 1st late filings and that the fines for both violations be stayed as a 1st violation.

I recommend the appeal be denied for lack of an adequate defense because turnover in staff is an internal matter that has no bearing on whether the Committee has a responsibility to file reports in a timely manner. Furthermore, I believe the Statute is clear in relation to their request in that Section 5/9-10 (b-5) states that a "*failure to report each contribution is a separate violation.*" Therefore, I recommend the Board to deny this request. If this recommendation is accepted by the Board the total amount of $200 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $1,312.54).

Andy Nauman

Andy Nauman – Hearing Officer
February 5, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013
ID# 24625

Alliance of Illinois Taxpayers NFP
James Weiss
233 S. Wacker Drive
Chicago, IL 60606

7011 2970 0003 6440 6783

Dear Alliance of Illinois Taxpayers NFP:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Alfred G. Ronan, Ltd	4/1/2013	$2000	7/9/2013	64	$1000
Del Galdo Law Group, LLC	4/1/2013	$2000	7/9/2013	64	$1000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $2000.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $200.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

CHICAGO

2013 OCT 11 PM 2:40

STATE BOARD OF ELECTIONS

State of Illinois)
)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS)
)
Complainant)
)
Vs.) Case No. 13A9035
)
_____)
)
Respondent(s).)

APPEAL AFFIDAVIT

I, **James Weiss**, the **Chairman**
(Name) (Chairman/Treasurer)

Alliance of Illinois Taxpayers NFP
(Name of Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

On or about April 1, 2013, the Committee received two contributions of $2,000 each. At the time the contributions were made, the clerk who was responsible for entering the Committee's reports left without notice. Upon discovery of the notice of violations, the Committee filed the A-1 Statement for the contributions. The Committee requests leniency, given this is the Committee's first late filing of any campaign disclosure report; and that the fine be stayed for a period of two years, and not paid unless a second violation occurs during that two year period.

Signature of Chairman/Treasurer

Signed and Sworn to by:

James Weiss

before me this 11Th Day of Oct, 2013
Notary Public
(seal)

OFFICIAL SEAL
NICHOLAS J. CARAVA
Notary Public - State of Illinois
My Commission Expires Jul 19, 2016

Nicholas J. Carava

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 AD 104**

44th Ward GOP
Cmte ID: 24717
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Report was received on December 17, 2012, with respect to a $4500 donation, dated November 13th, 2012. The A-1 was 17 days late, resulting in an assessment of $2250. The Committee was previously fined $25 (not appealed, stayed) for delinquently filing the December 2012 Quarterly Report.

Scott Davis, the Chairman and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Davis stated he was unaware of the A-1 filing requirement initially and filed the document as soon as he learned of the need to do so. He said a fine, if imposed, would limit the committee's First Amendment rights

I recommend the appeal be denied for lack of an adequate defense. This is the Committee's first delinquent A-1 filing; accordingly, the assessment, if upheld, would be 10% of the original fine amount, or $225. The stay on the $25 fine for the late filing of the December 2012 Quarterly Report would be lifted, and a total of $250 would be due and owing. As of December 31st, 2013, the Committee's cash balance was reported as $2,804.85.

John Levin – Hearing Officer
January 24th, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

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Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

44th Ward GOP
Scott Davis
1439 W Addison # 3
Chicago, IL 60613-3729

ID# 24717

7011 2970 0003 6441 8472

Dear 44th Ward GOP:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Mr. Jack Roeser	11/13/2012	$4500	12/17/2012	17	$2250

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of $2250.00 for delinquently filing Schedule A-1 reports. This total ***does not*** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $225.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11,2013 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
10/1/2012-12/31/2012	Quarterly	$25
TOTAL AMOUNT NOW DUE		**$250**

State of Illinois)
)
County of: Cook)

CHICAGO
2013 OCT -8 PM 1:17
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13AD104
)
44th WARD GOP)
Respondent(s).)

APPEAL AFFIDAVIT

I, Scott Davis (Name), the Chairman (Chairman/Treasurer) of the 44th Ward GOP (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I had just recently formed the committee and received My FIRST donation. I was unaware of the filing requirement and as soon as I discovered the A-1 filing requirement, I submitted the report. If the fine is upheld, it will limit the first amendment right of the committee in Chicagos 44th ward.

Scott M. Davis
Signature of Chairman/Treasurer

Signed and Sworn to by: Monique Brown Monique Brown
before me this 8th Day of October, 2013

Notary Public (seal)

"OFFICIAL SEAL"
MONIQUE BROWN
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 04/09/2016

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v. **13 AD 107**

Friends of Rob Hanlon ID# 24742

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a $5,000 contribution on 12/27/12, and reported it on a Schedule A-1 received by the Board on 1/9/13, 3 days late, resulting in a civil penalty assessment of $2,500. Additionally, the Committee had previously been assessed a $550 civil penalty (appealed, granted) for delinquently filing the December 2012 Quarterly Report. The total assessment is $2,500.

Robert Hanlon, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hanlon states the IDIS software did not indicate a Schedule A-1 was required nor generate a Schedule A-1 as required by the Campaign Disclosure Act.

Mr. Hanlon provided us a copy of his computer screen showing the Committee's Previously Filed Reports within the IDIS software. A Schedule A-1 was generated and filed by the Committee on 1/9/13. I do not see any problems within IDIS as far as generating or reporting Schedule A-1's. Additionally, since this Committee has previously used an electronic filing defense, I recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional and since this is the first A-1 violation for this Committee, I further recommend the penalty be reduced to 10% of the original assessment or $250. As a first violation, the penalty is stayed.

(As of 12/31/13, this Committee reported a funds available balance of $1,411.28.)

Kim Patrick

Kim Patrick – Hearing Officer
January 21, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

Friends of Rob Hanlon
26w450 Churchill Rd
Winfield, IL 60190-2114

ID# 24742

7012 3460 0001 3856 9878

Dear Friends of Rob Hanlon:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of $1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Perry Bump	12/27/2012	5000	1/9/2013	3	$2500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of $2500.00 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to $250.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be ***stayed*** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs**.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of $1000 or more.

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

14 JAN 15 AM 11:10

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No._____
)
Friends of Rob Hanlon)
Respondent(s).)

APPEAL AFFIDAVIT

I, Robert Hanlon (Name), the Chairman (Chairman/Treasurer) of the Friends of Rob Hanlon (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I have always used the IDIS software for filing my reports with the State of Illinois. The software automatically identifies the reports that need to be filed and uses the data entered in the system to create and deliver the reports electronically. The IDIS software did not indicate an A-1 was required nor generate an A-1 as required by the Illinois Campaign Disclosure Act. I recently submitted electronically using IDIS my reports for 10-01-2013 to 12-31-2013 and also reviewed the reviewed the filing history - there is no indication from the software that the A-1 report is required. I believe is it very reasonable that a tool provided by the State for the purpose of filing campaign disclosure finance reports electronically, would identify, generate and file the appropriate reports consistent with the law.

Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 10th Day of January, 2014

Notary Public
(seal)

OFFICIAL SEAL
TAKEYAH L KING
Notary Public - State of Illinois
My Commission Expires Oct 20, 2017

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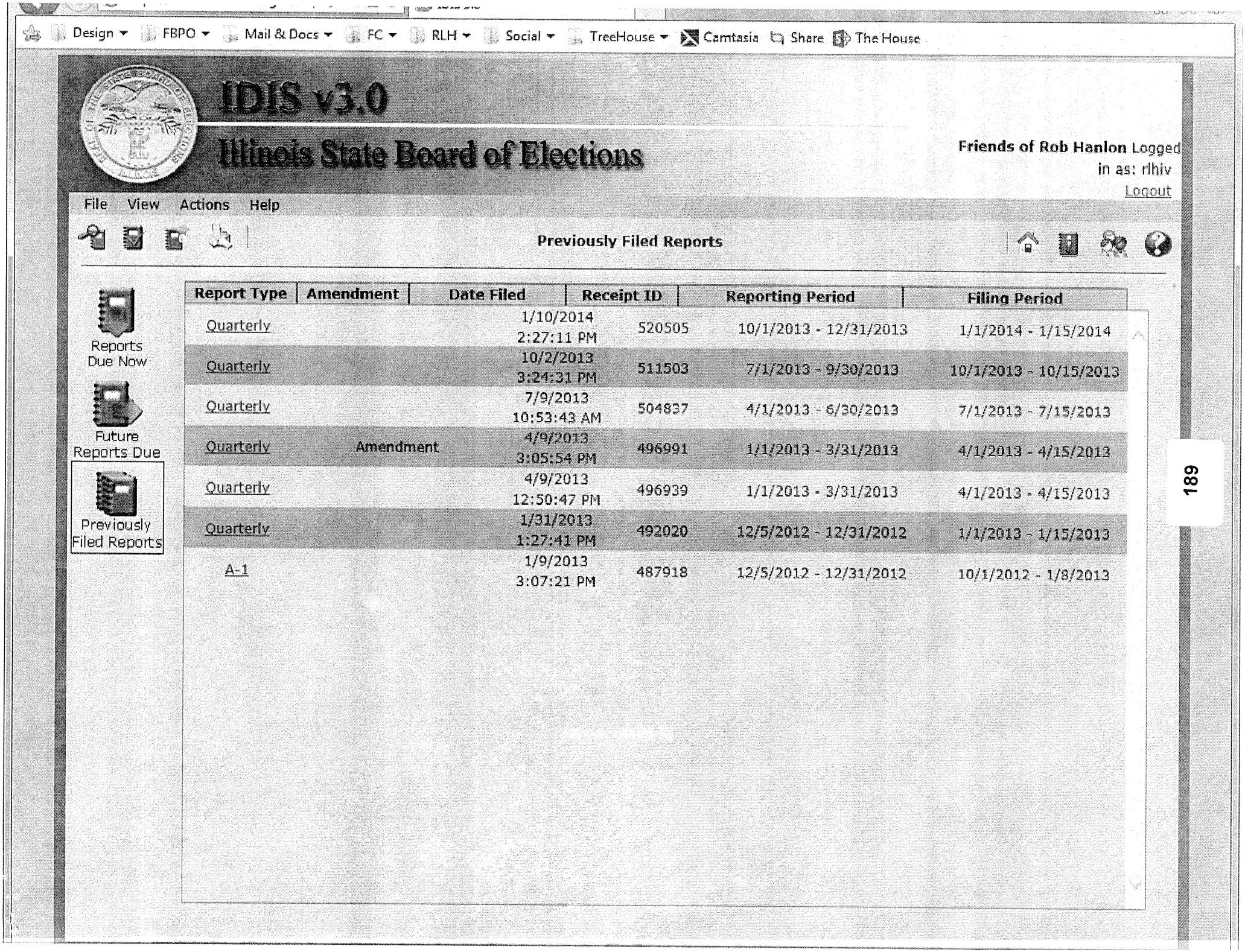
ATTACHED: IDIS ELECTRONIC FILING HISTORY.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FBPO
Mail & Docs
FC
RLH
Social
TreeHouse
Camtasia
Share
The House
IDIS v3.0
Illinois State Board of Elections
Friends of Rob Hanlon Logged in as: rlhiv
Logout
File
View
Actions
Help
Previously Filed Reports
Reports Due Now
Future Reports Due
Previously Filed Reports
Report Type
Amendment
Date Filed
Receipt ID
Reporting Period
Filing Period
Quarterly
1/10/2014 2:27:11 PM
520505
10/1/2013 - 12/31/2013
1/1/2014 - 1/15/2014
Quarterly
10/2/2013 3:24:31 PM
511503
7/1/2013 - 9/30/2013
10/1/2013 - 10/15/2013
Quarterly
7/9/2013 10:53:43 AM
504837
4/1/2013 - 6/30/2013
7/1/2013 - 7/15/2013
Quarterly
Amendment
4/9/2013 3:05:54 PM
496991
1/1/2013 - 3/31/2013
4/1/2013 - 4/15/2013
Quarterly
4/9/2013 12:50:47 PM
496939
1/1/2013 - 3/31/2013
4/1/2013 - 4/15/2013
Quarterly
1/31/2013 1:27:41 PM
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1/1/2013 - 1/15/2013
A-1
1/9/2013 3:07:21 PM
487918
12/5/2012 - 12/31/2012
10/1/2012 - 1/8/2013
189

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 JQ 243**

Citizens for Mowris ID# 25057
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2013 Quarterly Report

The Report was received by the Board on July 22, 1013, 5 days late, resulting in a civil penalty assessment of $250 (the assessment letter sent to the Committee mistakenly listed the penalty assessment as $125.) Additionally, the Committee had previously been assessed a $50 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 Report in the first quarter of 2013. The total assessment is $300.

William Zibell, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Zibell admits that the Report was submitted late, due to the Committee's brief campaign period.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty and the total assessment of $300 will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years from the date of the final Board order imposing the fine, the fine be abated. (As of 5/31/13, this Committee reported a funds available balance of $0.)

Tom Newman – Hearing Officer
January 29, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014
ID# 25057

Citzens for Mowris
Richard Mowris
3638 Hermitage
Rockford, IL 61114

7012 3460 0001 3856 9670

Dear Citzens for Mowris:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	July Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/22/2013, 5 day(s) late. As such, this committee has been assessed a fine of $125.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2013	A1	$50
TOTAL AMOUNT NOW DUE		**$175**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: Winnebago)

STATE BOARD OF ELECTIONS
14 JAN 17 PM 1:40

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13GE243
)
CITIZENS FOR MOWRIS)
Respondent(s).)

APPEAL AFFIDAVIT

I, WILLIAM RIBELL (Name), the TREASURER (Chairman/Treasurer) of the CITIZENS FOR MOWRIS (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I SPOKE WITH MS. LAURA MARBOLD ON FRIDAY, JAN. 10 TO CONFIRM OUR UNDERSTANDING OF THE REASON FOR THE POTENTIAL FINE AND THE APPEAL PROCESS. DUE TO OUR BRIEF CAMPAIGN PERIOD (3/11-5/31/13) BOTH THE 1ST QTR AND FINAL REPORTS WERE SUBMITTED ON 7/19/13 AND RECEIVED 50 DAYS LATE. MS. MARBOLD CONFIRMED THAT IF THE CAMPAIGN COMMITTEE IS NOT RE-ACTIVATED, NO FINE WILL BE IMPOSED. WE DID NOT INTEND TO BECOME ACTIVE.

Respectfully, William Ribell
Signature of Chairman/Treasurer
TREASURER

Signed and Sworn to by:
Tiana Turman
before me this 1 Day of 15th, 2014

Notary Public
(seal)

"OFFICIAL SEAL"
TIANA TURMAN
Notary Public, State of Illinois
My Commission Expires Sept. 14, 2014

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v. **13 SQ 205**

Disability Party of Illinois ID# 25398
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 17, 2013, 2 days late, resulting in a civil penalty assessment of $100. Additionally, the Committee had previously been assessed a $225 civil penalty (not appealed, stayed) for delinquent filing of the June 2013 Quarterly Report. The total assessment is $325.

Andrew U.D. Straw, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Straw states that he has mental and physical disabilities that cause him to forget some things and have some limitations. He also says financial burdens, such as this assessment, cause emotional and mental problems for him and he is in fact going through bankruptcy now due to a heavy weight of medical bills. Mr. Straw adds that the Committee has yet to be active either financially or politically, making it difficult to remember to file zero-activity reports.

If the Committee is not yet raising or spending money, it may wish to file a Final Report and then reactivate at some later date when it is actually active. In the meantime, I sympathize with Mr. Straw's difficulties, but I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previous penalty and the total assessment of $325 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of $0.)

Tom Newman – Hearing Officer
February 6, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014
ID# 25398

Disability Party of Illinois
Andrew U D Straw
241 A Brittany Dr
Streamwood, IL 60107

7012 3460 0001 3856 9311

Dear Disability Party of Illinois:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/17/2013, 2 day(s) late. As such, this committee has been assessed a fine of $100.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30, 2013	Quarterly	$225
TOTAL AMOUNT NOW DUE		**$325**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

State of Illinois)
)
County of: Cook)

STATE BOARD OF ELECTIONS
14 FEB -4 AM 11:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13SQ205
)
Disability Party of Illinois)
Respondent(s))

APPEAL AFFIDAVIT

I, Andrew U.D. Straw, the Chairman + Treasurer of the
(Name) (Chairman/Treasurer)
Disability Party of Illinois
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached letter in support of this appeal, incorporated here by reference.

Andrew U.D. Straw
Signature of Chairman/Treasurer

Signed and Sworn to by:
Andrew U.D. Straw

before me this 31 Day of January, 2014

Notary Public
(seal)

OFFICIAL SEAL
PAMELA S KRAMER
Notary Public - State of Illinois
My Commission Expires Jan 23, 2016

STATE BOARD OF ELECTIONS
14 FEB -4 AM 11:18

Andrew U. D. Straw
241A Brittany Drive
Streamwood, IL 60107
574-971-0131
andrew@andrewstraw.com

Director Sharon Steward
State Board of Elections
Campaign Disclosure Division
2329 S. MacArthur Blvd.
Springfield, IL 62704

January 13, 2014

Dear Illinois State Board of Elections,

My name is attorney Andrew Straw and I am the founder of the Disability Party (ID 25398). In Illinois, I am its chairman and treasurer. I am responding to the letter of January 6, 2014, in which you announced fines levied against the Disability Party due to late reports. This is our statement supporting appeal of these fines, as referenced on the appeal form.

As the name of our party implies, it is a party of and for disabled people in Illinois. It is no secret that disabled people are at the bottom of every measure of socioeconomic status. For instance, I am a lawyer, but I have mental and physical disabilities that are severe enough to qualify me for Social Security Disability Insurance (SSDI). This is my main source of income and it is $966 per month. From time to time my mental disability causes me to forget things, and have some limitations. Financial burdens

are difficult for me not just because I am short money, but because it causes other problems emotionally and mentally.

This is the first reason I ask that the State Board of Elections for the State of Illinois waive or forgive the fines. It would be an extreme hardship for me to pay them. I am going through bankruptcy now due to the weight of medical bills that I could not pay for even with Medicare.

The second reason is the inactivity of the Disability Party in terms of elections, fundraising, expenditures, and candidates. We have no candidates in Illinois. We have raised no money. We have spent no money as a party. We have given no money to any candidates outside our party. The reports you have show we exist in Illinois, but are not financially active or electorally active. Given the many things I work on as a disability rights advocate, remembering to file reports that show no activity is also a burden. Other parties will have a constant stream of things to report and reason to remember. We do not.

I will attempt to find better ways to ensure I remember this requirement each quarter. I thought I was going to get email reminders, but they are not timed very well. I got the only one for 1/15/2014 on 11/26/2013. A reminder 7 weeks prior to the deadline is much too early. I could use multiple official reminders and closer to the deadline. Ideally, we

will become more active and the reports will actually show something, so that activity will also be a reminder.

I want to thank you in advance for your understanding, and I pray the Board will forgive these fines.

Sincerely,

Andrew H. D. Straw

Andrew Straw

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:	)	
	)	
State Board of Elections,	)	
Complainant(s),	)	
Vs.	)	13 CD 009
	)	
Committee to Re-elect Mike Slape,	)	
Respondent(s).	)	

FINAL ORDER

TO: Committee to Re-elect Mike Slape ID# 1068
200 S Missouri Ave
Belleville, IL 62220

This matter coming to be heard this 22nd day of October, 2013 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the June and December 2009 Semi-annual, the June and December 2010 Semi-annual, the March, June, September and December 2011 Quarterly and the March, June and September 2012 Quarterly reports of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed $5000.00, and
3. The effective date of this Order is October 24, 2013, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/24/2013

Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:	)	
	)	
State Board of Elections,	)	
Complainant(s),	)	
Vs.	)	13 CD 031
	)	
Citizens to Elect Alvin Parks Jr,	)	
Respondent(s).	)	

FINAL ORDER

TO: Citizens to Elect Alvin Parks Jr ID# 19743
8922 Woestboul St
E St Louis, IL 62203

This matter coming to be heard this 22nd day of October, 2013 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the March, June and September 2012 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed $5000.00, and
3. The effective date of this Order is October 24, 2013, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/24/2013

Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:	)	
	)	
State Board of Elections,	)	
Complainant(s),	)	
Vs.	)	13 CD 069
	)	
Friends of Dick Breckenridge,	)	
Respondent(s).	)	

FINAL ORDER

TO: Friends of Dick Breckenridge ID# 23617
1560 N 1100 E Road
Taylorville, IL 62568

This matter coming to be heard this 22nd day of October, 2013 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the September 2012 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The report at issue remains unfiled.

IT IS ORDERED:

1. That the Respondent file the report within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed $5000.00, and
3. The effective date of this Order is October 24, 2013, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/24/2013

Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:	)	
	)	
State Board of Elections,	)	
Complainant(s),	)	
Vs.	)	13 CD 081
	)	
Tammy Baer for Douglas County	)	
State's Attorney,	)	
Respondent(s).	)	

FINAL ORDER

TO: Tammy Baer for Douglas County State's Attorney ID# 24201
PO Box 236
Tuscola, IL 61953

This matter coming to be heard this 22nd day of October, 2013 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the June and September 2012 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed $5000.00, and
3. The effective date of this Order is October 24, 2013, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/24/2013

Jesse R. Smart, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:	)	
	)	
State Board of Elections,	)	
Complainant(s),	)	
Vs.	)	13 CD 084
	)	
Friends of Tom Bucher,	)	
Respondent(s).	)	

FINAL ORDER

TO: Friends of Tom Bucher ID# 24290
PO Box 1002
Charleston, IL 61920

This matter coming to be heard this 22nd day of October, 2013 following a Public Hearing as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that Respondent failed to file the June and September 2012 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The Complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Public Hearing, and
3. The reports at issue remain unfiled.

IT IS ORDERED:

1. That the Respondent file the reports within 30 days of the effective date of this Order; and
2. Failure to do so will result in the imposition of a civil penalty not to exceed $5000.00, and
3. The effective date of this Order is October 24, 2013, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/24/2013

Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

Sharon Steward **Director, Campaign Disclosure Division**

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: February 7, 2014

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the D-1 Statement of Organization, the March & December 2012 and the March, June & September 2013 Quarterly report, Schedule A-1's and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

March 2012 Quarterly Report			
Cmte No	**Cmte Name**	**Amt of Fine**	**Previous Violations**
23875	Friends of Dave Neal**	5000	None
24271	Citz for Kyle Logan	5000	5 q's
December2012 Quarterly Report			
Cmte No	**Cmte Name**	**Amt of Fine**	**Previous Violations**
23862	Friends of Jay Krasne for Lake Co Board**	225	None
24613	Citz for John Coonrod**	5000	None
March 2013 Quarterly Report			
Cmte No	**Cmte Name**	**Amt of Fine**	**Previous Violations**
24839	Giurato for Des Plaines Alderman**	100	None
25026	Citz to Elect Tanya Watkins**	2250	None
25065	Citz for Thomas Hood**	150	None
25158	Citz to Elect Elroy Reed**	1100	None
25126	Meier for Mayer	3650	1 q
25238	Cmte to Elect Mark Drobnick**	600	None
June 2013 Quarterly Report			
Cmte No	**Cmte Name**	**Amt of Fine**	**Previous Violations**
1518	Monroe Co Democratic Club	750	None
15594	Lao American National Republican Party of USA**	3850	1 q
17003	Cmte to Elect Howard Brookins Jr	3200	None
21911	Friends of Daniel Kordik	25	A-1
24317	Friends of Shantiel Simon**	100	1 q
24837	Drew Muffler for Mayor**	25	None
25251	Friends of Lane Hansen**	1850	None
September 2013 Quarterly Report			
Cmte No	**Cmte Name**	**Amt of Fine**	**Previous Violations**
248	The Republican Central Cmte of Hamilton County	50	None
313	Jersey County Republican Central Comm	25	None

509	Bremen Township Regular Democratic Org	25	None
611	Peoples Energy - PAC	50	None
749	Boone County Republican Central Cmte	150	1 q
799	Carroll County Republican Central Comm	75	None
951	Illinois Committee for Honest Government	350	None
1052	Committee to Elect Qualified Judges	150	2 q's (pd)
1127	Illinois CPA's for Political Action	50	None
1432	Illinois Community Action Fund-PAC	100	None
4725	Lockport Twp Republican Org	200	None
5559	Warren County Republican Women	125	None
5713	Illinois Evening Republican Club	75	None
6376	Lead 300-IPACE NEA/IEA	100	1 q
7433	Citizens for Scott	50	None
7605	Cmte to Elect Daniel A Aljinovic Cook Co Circuit Judge	300	None
9544	Bloomingdale Twp Democratic Org	225	None
10591	Friends of Walter Burnett, Jr	200	None
11428	Coalition of IL Counseling Organizations PAC	75	None
11724	Elect Jody Wilkinson LaSalle County Auditor	200	None
12466	Citizens for Rick Vernier**	350	1 q
12676	New Vision Party	225	None
13177	Belvidere Education Assn PAC	650	None
13337	Citizens for Darlene Williams Burnett	100	None
14464	Taxpayers United for Families	175	None
14721	Citizens for Karen A Cason	400	1 q
14895	Committee for a Better Berwyn	75	3 q's (pd)
14997	Citizens for Huyett**	75	None
15676	Citizens for Steve Reeb	300	2 q's (pd)
15894	Friends of Gary Budd	100	None
16273	Edwardsville Twp Democratic Precinct Committeemen	150	None
17059	Friends of Scott Saunders	300	1 q
17670	Irvin for Aurora	100	None
17812	St Charles Education Assn-IPACE	50	None
18071	Citizens to Elect Michael J Kenyon	50	None
18368	Martin Mikenas P.C.	225	None
18394	Comm to Elect Greg Piazza	75	None
18492	Committee to Elect Jeffrey M Diederich	75	2 q's (pd)
18561	Action Party	75	None
19193	Committee to Elect Bill Berry**	225	3 q's
19285	Friends of Edward J Lechowicz	300	None
19439	Citizens to Elect Mike Formento**	50	1 q
19460	Polish American Congress PAC	825	5 semi, 3 q (pd)
19474	Vermilion County Young Republicans	150	None
19703	Citizens to Elect Kenneth Novak**	150	None
19746	Citz for Brian Wilson	225	A-1
19748	Hispanic Majority PAC	225	2 q's (pd)
19858	Citizens to Elect Cary J Collins	175	None

20113	Committee to Elect Pete Colarelli	250	1 q
20205	Friends of Thomas Malesh	500	1 q
20304	Friends of Steve Dove Alderman	600	3 q's. a-1 (pd)
20391	Friends of Joseph G Bertrand Jr	25	None
20595	Committee to Elect Judge Marilyn Johnson**	600	1 q
20596	Bryan Cross Election Committee	75	None
20613	Winnebago County Board Democratic Caucus	50	None
20669	Committee to Elect Germain Castellanos	100	1 q
20699	Citizens to Elect Judge Ray Nash**	300	None
20710	Citizens to Elect William J Luby**	25	None
20715	Friends of Stanley Moore	50	None
20829	Citizens to Elect Gideon Abraham Baum for Judge	600	4 q, a-1 (pd)
20849	Campaign to Elect Derek J Girton**	100	None
20867	The Committee to Elect Thomas R Mahoney	175	None
21057	1st Ward Democratic Committeeman Fund	5000	D-1, semi, 8 q's, a-1
21120	Friends of Wheatland Township**	425	None
21128	Hinsdale First	75	4 q's
21149	South of the Rock Democratic Committee**	100	None
21181	Friends of Dan Sugrue**	600	2 q's (pd)
21302	Friends of Miriam Shabo	675	3 q's
21366	Friends of Mike Broglin	325	None
21525	Citizens to Elect Ed "Hook" McCormick	150	None
21529	Citizens for Butler	200	None
21553	Committee to Elect Christopher Hart**	525	None
21588	McElroy for City Council	100	Q, semi (pd)
21634	Vernon Township Democrats	100	None
21647	12th Ward Ad Book Committee	75	4 q's (pd)
21683	Citizens for Paul Hinterlong	175	None
21738	Friends of Jimmie Watson	75	2 q's (pd)
21897	Citizens to Elect Victor Santi	75	None
21972	Citizens to Elect Bill Eagan**	150	2 q's
21973	Committee to Elect Christina Thompson Dietzen**	100	None
22076	The Friends of Margaret Paul**	225	None
22191	Citizens for Collins	1275	2 q's
22296	Committee to Elect Carol Clark	50	Semi, a-1 q (pd)
22333	Lake County Life PAC	375	1 q
22397	Citizens to Elect Dennis Miller	150	None
22402	Friends of Brad Targhetta	125	None
22525	Chicago Lakefront Political Organization	25	a-1
22630	Mary Rakers for Clinton County Clerk	200	None
22812	Craig Taylor Campaign	200	None
22834	Friends of Joe Glorioso	200	None
22889	Illinois Retired Teachers Assn PAC	350	None
22980	Citizens for Brian Gorman	75	None
22997	Helmer for Mayor	200	None
23003	Friends of Evonne Fleming	100	None

23007	Citizens for Christopher Faber	75	2 q
23108	Friends of Sheldon Sherman**	600	None
23175	Citizens for Greg Sronce**	350	1 q
23188	Neighbors United for Marc Kaplan	50	a-1, q
23218	Kane County Republican Women Org	25	None
23271	Citizens for Williams	150	None
23280	Citizens for Tim Durkee	225	a-1
23348	Friends of Faraci	100	None
23499	Committee to Elect Laura J Powers	300	1 q
23545	Citizens for a Better Steger	200	a-1, q (pd)
23553	Citizens for Mark Allan Chmura	25	None
23654	Democratic Women of the South Suburbs	25	None
23706	Friends of Artis Yancey	50	None
23795	Citizens to Elect Reginald C Mathews State's Attorney	400	1 q
23836	Dove for Senate	600	3 q's (pd)
23867	Committee to Re-Elect Andre' B Ashmore**	350	a-1's, q
23889	Committee to Elect James G Riley	850	1 q
23915	Northwest Suburban Teachers Union LU 1211	400	1 q
24049	Citizens for Arellano	175	None
24064	Committee to Elect Lori Hansen	100	None
24072	Citizens for Laura Reigle**	500	1 q
24129	Jordan for Judge 2012	250	None
24133	We the People	300	None
24164	Committee for Municipal Electricity Choice	800	1 q
24191	Committee to Elect Tim Vanderhyden**	200	None
24210	Voters for Mary Brigid Hayes	100	a-1's, q (pd)
24244	Citizens for Juan Ochoa	100	a-1's
24246	New 10th Ward - Richard L Martinez Jr	50	1 q
24332	Citizens to Elect Deborah Riley	25	None
24363	Sandy Johnson for Senate**	200	None
24433	Clean Energy Illinois - Barry Matchett, Treasurer	1950	1 q
24555	Citizens for Tony Holland**	525	2 q's
24584	Citizens to Elect Larry W Smith	125	None
24601	Lizveth for Cicero Town President	150	a-1's (pd)
24656	Stickney Reform Party, Inc	25	None
24659	Committee for Open Government	1275	None
24670	Citizens for Gina**	175	None
24697	Citizens for Brian Novak	175	None
24722	Rey for Mayor	550	None
24732	United Community Party**	150	None
24736	Adam Winston for Mayor**	175	None
24773	Bremen Tax Freeze Party**	50	None
24815	Citizens to Elect Mike Adrieansen	200	None
24817	Oak Park Together Party**	125	None
24870	Citizens to Elect Jeanmarie Hajer O'Connor	150	1 q
24871	Steven Streit for Lockport	350	None

24873	Common Sense Party**	325	a-1
24875	Shantel Suarez for District 201	175	None
24888	Friends of Patrick J Hanlon	50	1 q
24900	Citizens for Steve Vasilion	25	None
24904	Citizens to Elect Ann Rodgers	425	a-1's (pd)
24938	Unified Shields Party**	200	None
24982	Friends of Junaid M Afeef	25	None
25004	Gordon for District 113**	50	None
25034	Committee to Elect Jason VanderMeer	50	None
25080	Citizens against District 90 Tax Increases	75	None
25086	Friends of Tracy Spesia	75	None
25090	Friends of Kevin Lower for Ald Ward 1 Bloomington IL	100	None
25092	In Support of $.49 Tax Rate Increase**	100	None
25101	Friends of Terry M A Brooks**	150	None
25111	Friends to Elect Jerry Wade	225	None
25118	Friends for Jim Banks**	200	None
25120	Wagner for Village Trustee	300	None
25135	Friends of Rahshedah Harrell**	500	1 q
25140	Citizens for Jin Lee	225	None
25148	Friends of Kevin McDermott**	225	None
25190	Citizens to Elect Charles Allen January Jr**	1200	1 q
25195	Your Choice for Proviso**	425	a-1
25196	Friends for Frank Bucaro	50	a-1, q (pd)
25331	Kimberly O'Neill Palmer**	525	None
25379	Citizens to Elect Vince Carbonaro	100	1 q
25404	Progressive Dems of IL Congressional Dist 13 State PAC	150	None
25449	Friends of Sue Triphahn**	50	None
25469	Citizens to Elect George Louis Canellis Jr. for Judge	350	None
25503	97N14.Com Independent Political Action Committtee**	25	None
25504	Friends for Bill Raines	150	None
25507	Friends of Connie Shanahan	50	None
25508	Inclusive Community Project PAC	450	None
25527	Citizens for Bruce Randazzo**	50	None
25548	Committee to Elect Philip J Beu	500	None
25549	Citizens to Elect Frazier Garner	525	None
25556	Friends of Frank Fleischer	250	None
25589	Citizens for Luis Arroyo Jr	100	None

Schedule A-1 (13 AD)

Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
18254	Citz for Gary Grasso	1250	125
19201	Friends for Howland	700	70
24691	Citz for a Healthy Safe & Secure Middle School	4543	454

Schedule A-1 (13 AM)

Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
6630	Moraine Twp Democratic Org	1250	1250 (100%)

7060	Heritage Community Party	1500	750
19300	Chicago Young Republicans	500	250
Schedule A-1 (13 AJ)			
Cmte No	**Cmte Name**	**Amt of Fine**	**Percentage Reduction**
7533	Cmte for Chris Kacharoubas	500	500 (100%)
16147	Lake County Contractors PAC	8000	800
19880	Citz to Elect Willie B Cochran	650	325
24146	Friends of Anne Shaw	640	320
24427	Larry Ryles for Mayor of Park Ridge**	500	50
25006	Friends of Arne Waltmire	688	60
25272	Friends for Ray Waclaw**	500	50
25303	Friends of Independent Midlothian Trustee Cand**	1350	675
25389	Edwenna Perkins**	3291	329
Schedule A-1 (13 AS)			
Cmte No	**Cmte Name**	**Amt of Fine**	**Percentage Reduction**
615	Kane Co Republican Central Cmte	5125	513
1205	Illinois CRNA PAC	500	50
5345	Tri-City Building Trades Council PAC	750	75
11430	Citz for Christine Radogno	500	50
15078	Citz for Morrissey	500	50
16107	Friends of Andy Manar	2000	200
16136	Cmte to Re-elect Chuck Jefferson	2000	1000
17835	Friends of Mattie Hunter	1750	875
18371	Holland for Mayor	900	90
22805	Egan Forward 43	1257	126
23085	Citz for Cory Jobe	5000	2500
25417	Republican Liberty Caucus of IL	500	50
25447	Sandage for Sheriff Cmte	500	50
25554	Friends of Shawn Kahl	500	50
MA "Multi-assessments" (2 or more Quarterly or Quarterly + D-1)			
Cmte No	**Cmte Name**	**Amt of Fine**	**Previous Violations**
14675	Citz for Kent Gray	4550	2 semi (pd)
15295	Citz to Elect Benjamin Owens	11,275	2 q's (pd)
19006	Votedebby.com	16,725	Viol of bd order
21138	Boone Co Young Republican Club	20,825	2 q's
22624	Southland Success PAC	15,000	D1, 2 semi, 5 q, A-1
22923	Friends to Elect Ward for the 45th**	15,525	2 q
23820	Friends of Paul Rosenfeld	17,600	None
23922	Americas Revolution of Reason	6325	a-1, q
23989	Friends of Sandra Wortham	15,500	4 q, a-1
24020	Citz for Don Castella	20,000	None
24218	Citz for Art Pierscionek**	3475	None
24412	Citz for Vincent Romano	13,400	1 q
24551	Friends of Franzen**	14,875	None
24659	Cmte for Open Government**	16,275	None

24671	Il Immigrant Action PAC	5600	None
24766	Citz to Elect Jim Kucera**	5825	1 q
25067	Citz for Better Education	7500	None
25189	Friends of Kelvin Oliver	2725	None
25219	2 plus 3 Equals 5**	4525	a-1
25233	Citz for Patrick Murphy**	2700	None
25326	Friends of Tiffani L Graham	2500	None
25574	Moore for District 200	10,625	None
D-1 Statement of Organization			
Cmte No	**Cmte Name**	**Amt of Fine**	**Previous Violations**
25387	Cmte to Elect Harmon Mitchell**	800	1 q
25542	Friends of Robert "Bob" White	400	None

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

/STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141

Sharon Steward **Director, Campaign Disclosure Division**

To: Rupert T Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: February 6, 2014

The following committees have made payment of outstanding civil penalties for the period 12/27/2013 –

- Committee to Elect Jeff Radford - $460.70
- Neighbors United for Marc Kaplan - $75.00
- Citizens to Elect Deborah L Graham - $250.00
- Jones for State Representative - $500.00
- Friends of Derrick Smith - $15,304.00
- Friends of Frank McPartlin - $500.00
- Friends of Tom Cullerton - $1173.00
- Friends of Chris Benson - $150.00
- Citizens for Shane Cultra - $100.00
- Citizens to Elect Ann C. Brady - $425.00
- Citizens for Kyle Logan - $5105.00
- Barsanti for Judge - $125.00
- Illinois Lumbermens Political Action Committee - $450.00
- Sherlock for Appellate Court - $250.00
- Committee for Bennie Vick Sheriff - $350.00
- Citizens for Vernon Hilton - $725.00
- Citizens for Ardis - $100.00
- Northeastern Illinois Federation of Labor, AFL-CIO - $200.00
- Illinois Beverage Association - $400.00
- Collinsville Education Assn - $600.00
- Citizens for Flamini - $164.00
- Citizens to Elect Alvin Parks Jr. $24,425.00
- Citizens for William Moss - $200.00
- New Tier Democratic Organization – FED - $300.00
- Citizens for William Looby - $150.00
- Ill. Medical Anesthesia PAC - $550.00
- 43rd Ward Republicans - $400.00
- Sprinkler Fitters Local Union No. 281 - $350.00
- Consolidated Communications PAC Inc. - $75.00
- Batavia Education Association I-PACE - $2400.00
- Citizens for Vincent Lockett - $150.00
- Friends of Tim Desmond - $125.00
- Committee in Support of Opt. Out Aggression - $175.00
- Citizens for Michael Walkup - $225.00
- Committee to Elect Jack Lehayne - $200.00

- Greater Homewood Party - $1100.00
- Committee to Elect Joan Murphy - $180.00
- Tony Childress for Sheriff - $900.00
- Cunningham for County Clerk - $425.00
- Palka for Sheriff 2014 Election Committee - $725.00
- Friends of Kathleen Therese Meany - $250.00
- Fifth Ward Regular Democratic Org - $150.00
- Citizens for Waguespack - $16580.00
- Wheatland Twp. Republican Org. - $500.00
- Citizens for Barbara Bellar - $50.00 ($1.05 Credit Card Fee)
- Citizens for Sig - $1000.00
- Northern Ill. Alliance of Fire Protection Districts - $400.00
- Citizens for Matthew Walsh - $150.00
- Champaign County Democratic Central Committee - $500.00

TOTAL : $80,041.70

Year to Date Totals:
12/27/13 to 2/06/14: $80,041.70

State Board of Elections

From the desk of....Jane Gasperin, Director of Election Information
Phone: 217-782-1555
Email: jgasperin@elections.il.gov

To: Rupert Borgsmiller, Executive Director
Re: Election Day Assignments – March 18, 2014
Date: February 6, 2014

SPRINGFIELD OFFICE
(5:30 a.m. – Midnight)

STAFF	HOURS WORKING	BREAK TIMES
Jane Gasperin	5:30 a.m. – 7:30 p.m.	1:30 p.m. – 2:30 p.m.
Kay Walker	5:30 a.m. – 5:30 p.m.	Noon – 1:00 p.m.
Kyle Thomas	6:00 a.m. – 4:00 p.m.	11:00 a.m. – Noon
Steve Sandvoss	6:00 a.m. – 4:00 p.m.	11:30 a.m. – 12:30 p.m.
Amy Evans	7:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.
Jamye Sims	7:00 a.m. – 5:00 p.m.	11:30 a.m. – 12:30 p.m.
Dustin Schultz	8:00 a.m. – 4:30 p.m.	Noon – 1:00 p.m.
Brie Urbanec	8:00 a.m. – 4:30 p.m.	Noon – 1:00 p.m.
Maggie Jasinski	8:00 a.m. – 4:30 p.m.	1:00 p.m. – 2:00 p.m.
Linda Wentz	8:00 a.m. – 4:30 p.m.	1:00 p.m. – 2:00 p.m.
Amy Kelly	9:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.
Gary Nerone	10:00 a.m. – 10:00 p.m.	3:00 p.m. – 4:00 p.m.
Cheryl Hobson	11:00 a.m. – 9:00 p.m.	3:30 p.m. – 4:30 p.m.
Brent Davis	Noon – Midnight	5:00 p.m. – 6:00 p.m.
Mike Montney	Noon – 10:00 p.m.	4:30 p.m. – 5:30 p.m.
Bernadette Harrington	3:00 p.m. – Midnight	6:00 p.m. – 7:00 p.m.
Bruce Brown	4:00 p.m. – Midnight	7:00 p.m. – 8:00 p.m.

CHICAGO OFFICE
(6:00 a.m. - 11:00 p.m.)

STAFF	HOURS WORKING	BREAK TIMES
Jim Tenuto	6:00 a.m. – 4:00 p.m.	Noon – 1:00 p.m.
Marc Petrone	6:00 a.m. – 4:00 p.m.	12:30 p.m. – 1:30 p.m.
Rose Rodriguez	6:00 a.m. – 6:00 p.m.	12:30 p.m. – 1:30 p.m.
Ken Menzel	Noon – 11:00 p.m.	3:00 p.m. – 4:00 p.m.
Darcell McAllister	Noon – 11:00 p.m.	3:00 p.m. – 4:00 p.m.
Rick Fulle	Noon – 11:00 p.m.	4:00 p.m. – 5:00 p.m.

Field Program

Staff will work as directed by their Division Director if not assigned a specific time

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

MEMORANDUM

TO: Chairman Smart, Vice Chairman Scholz, Members of the Board
Executive Director Rupert T. Borgsmiller

From: Steve Sandvoss, General Counsel

Re: Provisional Ballot Counting Rules

Date: February 5, 2014

On January 23rd, the State Board of Elections submitted to the Secretary of State's Office Index Division, the following Rulemaking as Emergency Rules with an immediate effective date. This Rule is now in effect for 150 days, thereby covering the March 18th Primary Election. This same Rulemaking was concurrently submitted as a proposed permanent Rulemaking with a publication date of February 7th in the Illinois Register, thus commencing the 1st Notice Period, which lasts 45 days. As you can see, this version is substantially the same as the version approved by the Board at its August, 2013 meeting.

Respectfully Submitted,

Steven S. Sandvoss, General Counsel

STATE BOARD OF ELECTIONS

NOTICE OF PROPOSED RULES

TITLE 26: ELECTIONS
CHAPTER I: STATE BOARD OF ELECTIONS

PART 218
COUNTING OF PROVISIONAL BALLOTS

Section
218.10 General Provisions
218.20 Counting Procedures for Provisional Ballots Cast in an Incorrect Precinct (Same Election Authority's Jurisdiction)
218.30 Counting Procedures for Provisional Ballots Cast in an Incorrect Precinct (Different Election Authority's Jurisdiction)
218.40 Follow-up Procedures

AUTHORITY: Implementing Article 18A of the Election Code [10 ILCS 5/Art. 18A] and authorized by Section 18A-15 of the Election Code [10 ILCS 5/18A-15].

SOURCE: Adopted at 38 Ill. Reg. _____, effective _____.

Section 218.10 General Provisions

This Part implements Article 18A of the Election Code, setting forth procedures to be followed when counting provisional ballots (see Section 18A-5 of the Code) that were voted and cast in a precinct other than the precinct in which the provisional voter is registered.

a) Definitions

"Citywide or Villagewide Office" means an office elected by the electors of an entire municipality.

"Correct Precinct" means the precinct in which the provisional voter (see subsection (b)) is registered to vote.

"Countywide Office" means the offices of Clerk, Sheriff, State's Attorney, Circuit Court Clerk, Recorder, Auditor, County Board President, County Board Member or County Commissioner in those counties that elect those officers countywide, Coroner, Regional Superintendent of Schools, Sanitary District Commissioners/Trustees, Assessor, Board of Review Members in those counties that elect those officers countywide, and Treasurer. Some of the above offices are

not elected offices in certain counties as they are appointed or simply do not exist in the county.

"Election Authority" means either the County Clerk, County Board of Election Commissioners or Municipal Board of Election Commissioners, as the case may be.

"Election Code" or "Code" means 10 ILCS 5.

"Election Jurisdiction" means an entire county, in the case of a county in which no city board of election commissioners is located or that is under the jurisdiction of a county board of election commissioners; the territorial jurisdiction of a city board of election commissioners; and the territory in a county outside of the jurisdiction of a city board of election commissioners. In each instance, election jurisdiction shall be determined according to which election authority maintains the permanent registration records of qualified electors.

"Incorrect Precinct" means the precinct in which the voter cast a provisional ballot, but is not the precinct in which he/she is registered to vote. In order for a provisional ballot to be eligible for counting when cast in an incorrect precinct, that precinct must be located within either the county or municipality in which the voter is registered.

"Leading Established Political Party" means one of the two political parties whose candidates for Governor at the most recent 3 gubernatorial elections received either the highest or second highest average number of votes. The first leading political party is the party whose candidate for Governor received the highest average number of votes in the 3 most recent gubernatorial elections and the second leading political party is the party whose candidate for Governor received the second highest average number of votes in the 3 most recent gubernatorial elections.

"Persons Entitled to Vote Provisionally" or "Provisional Voter" means a person claiming to be a registered voter who is entitled by Section 18A-5 of the Code to vote a provisional ballot under the following circumstances:

The person's name does not appear on the official list of eligible voters for the precinct in which the person seeks to vote;

STATE BOARD OF ELECTIONS

NOTICE OF PROPOSED RULES

The person's voting status has been successfully challenged by an election judge, a pollwatcher or any legal voter;

A federal or State court order extends the time for closing the polls beyond the time period established by State law and the person votes during the extended time period;

The voter registered to vote by mail and is required by law to present identification when voting either in person or by absentee ballot, but fails to do so;

The voter's name appears on the list of voters who voted during the early voting period, but the voter claims not to have voted during the early voting period; or

The voter received an absentee ballot but did not return the absentee ballot to the election authority, and failed to surrender it to the election judges. (Section 18A-5 of the Code)

"Statewide Office" means the Constitutional offices of Governor and Lt. Governor running jointly, Secretary of State, Attorney General, Comptroller and Treasurer.

"Township Office" means an office elected by the electors of an entire township.

b) Procedures for Voting Provisionally in the Polling Place

1) If any of the 6 reasons (cited in the definition of provisional voter in subsection (a)) for casting a provisional ballot exists, *an election judge must accept any information provided by a person who casts a provisional ballot that the person believes supports his or her claim that he or she is a duly registered voter and qualified to vote in the election. However, if the person's residence address is outside the precinct boundaries, the election judge shall inform the person of that fact, give the person the appropriate telephone number of the election authority in order to locate the polling place assigned to serve that address* (and/or consult any alternative tools provided by the election authority for determining a voter's correct precinct polling place) *and instruct the person to go to the proper polling place to vote.* (Section 18A-5(b)(1) of the Code) If the person insists on

voting in the polling place even after being informed that the polling place is for a precinct in which he or she is not registered (the incorrect precinct) the judges of election shall inform the voter that, 1) by voting in the incorrect precinct, some of the votes cast will not be counted if it is later determined that the voter was not entitled to cast votes for those offices by virtue of the fact that the address at which the voter is registered is not located within the district, county, township or municipality for which he or she has cast votes and 2) that votes for other offices such as judicial, park district, library district or school district and referenda (including Statewide) are not eligible to be counted.

2) Once it has been determined by the election judges that the person is entitled to receive a provisional ballot, and the voter has completed the provisional voter affidavit (see Section 18A-5(b)(2) of the Code), the voter shall be given a provisional ballot and shall proceed to vote that ballot. Upon receipt of the ballot by the election judges, the ballot shall be transmitted to the election authority in accordance with Section 18A-10(a) of the Election Code.

Section 218.20 Counting Procedures for Provisional Ballots Cast in an Incorrect Precinct (Within the Same Election Authority's Jurisdiction)

a) The election authority shall:

1) transmit to the State Board of Elections the provisional voter's identifying information and voting jurisdiction (see Section 18A-15(d) of the Code) within 2 calendar days. Following that, and subject to paragraph 2) below, if the election authority having jurisdiction over the provisional voter determines that the voter has cast a provisional ballot in an incorrect precinct, the ballot shall still be counted using the procedures established in subsection (b) or Section 218.30 if applicable. Jurisdictions that use election machines authorized pursuant to Article 24C of the Election Code for casting provisional ballots may vary procedures of this Section and Section 218.30 as appropriate for the counting of provisional ballots cast on those machines.

2) determine whether the voter was entitled to cast a provisional ballot. The voter is entitled to cast a provisional ballot if:

A) *the affidavit executed by the voter contains, at a minimum, the provisional voter's first and last name, house number and street name, and signature or mark* (Section 18A-15(b)(2) of the Code);

B) *the provisional voter is a registered voter based on information available to the county clerk or board of election commissioners provided by or obtained from the provisional voter, an election judge, the Statewide voter registration database maintained by the State Board of Elections, the records of the county clerk or board of election commissioners' database, or the records of the Secretary of State* (Section 18A-15(b)(3) of the Code); and

C) the provisional *voter did not vote by absentee ballot* and did not vote during the period for early voting (Section 18A-15(b)(4) of the Code).

b) Once it has been determined by the election authority that the voter was entitled to vote a provisional ballot, even though it had been cast in an incorrect precinct, the election authority shall select a team or teams of 2 duly commissioned election judges, one from each of the two leading established political parties in Illinois (currently the Democratic Party and Republican Party) to count the votes that are eligible to be cast on the provisional ballot. In those jurisdictions that use election officials as defined in Section 18A-15(h) of the Code, these duties may be performed by those election officials.

1) Votes cast for Statewide offices, the Office of President of the United States (including votes cast in the Presidential Preference Primary), and United States Senate shall be counted on all provisional ballots cast in the incorrect precinct.

2) Votes cast for Representative in Congress, delegate/alternate delegate to a national nominating convention, State Senator, State Representative, or countywide, citywide or township office shall be counted if it is determined by the election judges or officials that the voter would have been entitled to vote for one or more of these offices had the voter voted in the precinct in which he or she is registered to vote (i.e., the correct precinct) and had the voter voted a ballot of the correct ballot style containing all the offices and candidates for which the voter was entitled to cast a ballot (the correct ballot style). This determination shall be made

by comparing a sample ballot of the correct ballot style with the actual provisional ballot cast by the voter. If the same office (including the same district number for a Congressional, Legislative or Representative district) appears on both the correct ballot style sample ballot and the provisional ballot cast by the voter, votes for that office shall be counted. All votes cast for any remaining offices (offices for which the voter would not have been entitled to vote had he or she voted in the correct precinct) shall not be counted.

3) No votes shall be counted for an office when the voter voted for more candidates than he/she was allowed.

4) Once it has been determined which offices are to be counted and the provisional ballot contains no other votes, the provisional ballot shall be counted pursuant to the procedures set forth in this subsection (b).

5) If a provisional ballot does not contain any valid votes, the provisional ballot shall be marked invalid and shall not be counted.

6) Any provisional voting verification system established by an election authority shall inform the provisional voter that his or her provisional ballot was partially counted because it was cast in an incorrect precinct.

7) If a provisional ballot only contains votes cast for eligible offices, and does not contain any votes cast for ineligible offices, the ballot may be tabulated without having to be remade.

8) If a provisional ballot contains both valid votes that must be counted and invalid votes that cannot be counted:

A) the election judges, consisting in each case of at least one of each of the 2 leading political parties, shall, if the provisional ballot was cast on a paper ballot sheet, proceed to remake the voted ballot onto a blank ballot that includes all of the offices for which valid votes were cast, transferring only valid votes. The original provisional ballot shall be marked "Original Provisional Ballot" with a serial number commencing at "1" and continuing consecutively for ballots of that kind in the precinct. The duplicate provisional ballot shall be marked "Duplicate Provisional Ballot"

and be given the same serial number as the original ballot from which it was duplicated. The duplicate provisional ballot shall then be treated in the same manner as other provisional ballots.

B) if the provisional ballot was cast on a direct recording electronic voting device, the election judges shall mark the original provisional ballot as a partially counted defective electronic provisional ballot because it was cast in the incorrect precinct (or bear some similar notation) and proceed to either:

i) remake the voted ballot by transferring all valid votes to a duplicate paper ballot sheet of the correct ballot style, marking the duplicate ballot "Duplicate Electronic Provisional Ballot" and then counting the duplicate provisional ballot in the same manner as the other provisional ballots marked on paper ballot sheets; or

ii) transfer, or cause to be transferred, all valid votes electronically to the correct precinct, which shall be counted and added to the vote totals for the correct precinct, excluding any votes that cannot be counted.

c) For provisional ballots cast at a partisan primary election, the judges shall use a duplicate ballot of the correct ballot style for the same political party as the ballot chosen by the voter.

d) At least one qualified pollwatcher for each candidate, political party, and civic organization, as authorized by Section 17-23 of the Code, shall be permitted to observe the ballot remaking process.

Section 218.30 Counting Procedures for Provisional Ballots Cast in an Incorrect Precinct (Within a Different Election Authority's Jurisdiction)

a) Incorrect Precinct is Located in the Same County as the County Where the Voter is Registered

The election authority having possession of the provisional ballot shall first notify the election authority having jurisdiction over the provisional voter that the voter cast a provisional ballot in its jurisdiction and provide whatever information is

needed for the election authority to comply with the notification requirements set forth in Section 18A-15(d) of the Code. If a voter cast a provisional ballot in an incorrect precinct located in the jurisdiction of an election authority other than the election authority having jurisdiction over the voter's correct precinct, but where the precinct is located within the same county as the 2 election authorities (e.g., a voter is registered in the City of Chicago, but casts a provisional ballot in suburban Cook County), the election authority in whose territory the provisional ballot was cast shall, after receipt of the provisional ballot, transmit it, along with the provisional voter's affidavit and any other documentation provided to the election judges, to the office of the election authority having jurisdiction over the voter's correct precinct. The ballot shall be transmitted within 8 business days after the election at which it was cast. If the locations of the election authorities' offices are such that it is feasible to hand deliver the ballot, the ballot shall be transmitted in that manner by 2 election judges (or election officials), one from each of the 2 leading political parties. If the locations of the 2 election authorities are such that it is not feasible to hand deliver the ballot, the election authority having jurisdiction over the incorrect precinct shall cause the ballot to be transmitted via express mail within 8 business days after the election at which the ballot was cast, with a delivery date no later than the second business day following the mailing date. Upon receipt of the ballot by the election authority having jurisdiction over the correct precinct, the election authority shall proceed to remake, and count the votes on, the provisional ballot in accordance with the procedures described in Section 218.20, including the determination of eligibility to cast a provisional ballot. Any information provided to the election authority within the 7 day period provided for in Section 18A-15 of the Code shall be transmitted to the office of the election authority having jurisdiction over the voter's correct precinct, along with the provisional ballot of that voter.

b) Incorrect Precinct is Located in a Different County from the County Where the Voter is Registered, but is Located in the Same Municipality as the One in Which the Voter is Registered

1) The election authority having possession of the provisional ballot shall first notify the election authority having jurisdiction over the provisional voter that the voter cast a provisional ballot in its jurisdiction and provide whatever information is needed for the election authority to comply with the notification requirements set forth in Section 18A-15(d) of the Code. The election authority shall then cause the ballot, along with the provisional voter's affidavit and any other documentation provided to the

election judges, to be transmitted via express mail within 8 business days after the election at which the ballot was cast, with a delivery date no later than the second business day following the mailing date. Upon receipt of the ballot by the election authority having jurisdiction over the correct precinct, that election authority shall proceed to remake and count the votes on the provisional ballot in accordance with the procedures described in Section 218.20, including the determination of eligibility to cast a provisional ballot. Any information provided to the election authority within the 7 day period provided for in Section 18A-15 of the Code shall be transmitted to the office of the election authority having jurisdiction over the voter's correct precinct, along with the provisional ballot of that voter.

2) If a voter casts a provisional ballot in a precinct outside of the county in which he or she is registered and outside of the municipality in which he or she is registered (if applicable), the ballot shall not be counted. It shall, however, be transmitted via the U.S. Postal Service to the election authority having jurisdiction over the voter's correct precinct within 14 days after the election and shall be kept for 2 months, the same length of time as is required for other voted ballots.

Section 218.40 Follow-up Procedures

The original provisional ballot cast by the voter shall be stored separately from other ballots voted in the election and shall be preserved in the same manner as original ballots that had to be remade for other reasons, such as a damaged ballot or as a result of a voter over-voting an office.

STATE BOARD OF ELECTIONS

From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director

Re: General Primary Pre-Testing

Date: February 6, 2014

The Division of Voting and Registration Systems will be conducting pre-testing on the tabulation equipment and programming of five jurisdictions for the upcoming March 18, 2014 General Primary Election. Please find below those jurisdictions accompanied by the dates which those tests are scheduled.

Clay County-March 4th
Crawford County-February 24th
DeWitt County-February 26th
Henderson County-February 20th
Whiteside County-March 5th

STATE BOARD OF ELECTIONS

From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director

Re: Amended Approval Report-ES&S M 100

Date: February 6, 2014

Last August, I submitted to the Board an approval request for the ES&S voting system which included the DS 200, M 100, and M 650 tabulation equipment. As part of that report, I brought to light the fact that we encountered tabulation errors which were associated with "jumpers" within some of the M 100's. A few months after the report had been submitted, I was informed by ES&S's certification manager that I had erroneously described how the jumpers affected the counting ability of said equipment. I indicated that the jumpers needed to be removed with the new firmware version that we were testing, for the settings of said equipment to be correct. According to the technical specialists at ES&S, the truth is the firmware version has nothing to do with these jumpers and the equipment's settings.

The older M 100's use what are called jumpers in helping to adjust the Digital to Analog Conversion (DAC) settings. Newer M 100's don't rely on these jumpers in adjusting the DAC settings. Apparently, what we encountered was with one of the M100's having its DAC settings out of spec and in order to bring the settings in line, the jumper had to be removed from the scanner board in order to correctly set the DAC values. These DAC values are used to adjust the scanner's scanning sensitivity and because these were out of specifications on one of the machines, we had counting errors during the test. After the DAC settings were adjusted correctly, by unhooking the jumpers on this particular machine, the ballots were rerun and the counts came out as expected.

I have attached my amended report which reflects this correction and because of learning how important the DAC settings are to the accurate tabulation of the M 100's, I have also added a recommendation that these DAC setting be checked in all machines at least every two years.

In addition to the correction of describing the scenario encountered with the M 100, we have also been informed that several of the jurisdictions who have received new DS 200 tabulators have discovered that the machines fail to boot up when on only battery power. According to the official response from ES&S on this issue, it is caused by faulty batteries and they have indicated they will be replacing the faulty batteries for the six jurisdictions that have purchased these machines. We were also notified by one of these jurisdictions about an issue with the USB ports on some of their machines. I am awaiting an official response from ES&S regarding this issue. We did not encounter these issues when we conducted our testing.

I have included the explanation provided by ES&S in regards to the jumpers and DAC settings. My previous recommendation remains unchanged.

STATE BOARD OF ELECTIONS

From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director

Re: Request for Approval-DS 200 (New Equipment), M 100 and M 650 (Modifications)-Amended

Date: February 6, 2014

Election Systems and Software (ES&S) is requesting that our Board grant approval for a new piece of tabulation equipment, the DS 200, Firmware version 1.6.0.0 as well as, an upgrade to the firmware of the current system, the M 100, Firmware version 5.4.4.5, Auto-Mark, Firmware version 1.3.2907, and the M 650 with no Firmware change. The DS 200 is a digital scanning machine that can be used as an in-precinct tabulator, similar to the M 100. The most significant change to the M 100 is the ability to tabulate ballots for a greater number of precincts, both in Early Voting mode (up to 450 precincts) and Election Day mode (up to 18 precincts). The previous version of the M 100 only allowed 10 precincts in the Early Voting mode as well as 10 for Election Day. The DS 200 has no precinct limitation but is limited to 1,639 unique ballot styles. The M 650 set-up programming was modified to work in conjunction with both the new DS 200 and the upgraded M 100 (Please see Appendix A for further technical specifications as provided by ES&S). In addition to the above mentioned changes, the software used to program their election software (Unity) has also been upgraded to version 3.4.0.1. This modification will allow for the use of alternate candidate and office ID's, as needed for the export to send data to our Electronic Canvas. (Please see Brent Davis' attached e-mail)

The approval process for this equipment was very extensive and time consuming, starting with ballot review in November of 2012. This was followed by receipt of completed ballots in December of 2012. The marking began in January utilizing 10 temporary employees furnished by the vendor and was conducted using ink pens and the Auto-MARK marking device. In February, some of the ballots began being run however, pre-testing for the Consolidated Election cycle delayed the process. The vendor returned in late April and tabulation and ballot marking resumed with the process lasting into early July. The vendor returned the last week in July, to wrap up the final ballot runs.

For this test, staff simulated three separate elections with 100 precincts being run for each election. The General consisted of 107,752 ballots and the results were positive with no tabulation errors found. The Consolidated consisted of 47,200 ballots being cast and also showed no tabulation errors. The General Primary, which was the last election conducted for this test, proved much more challenging for the vendor. It consisted of 96,769 ballots being cast, however several errors were encountered. Along with these errors, many limitations were also discovered. .

Nearly all of the errors encountered during the Primary test can be linked back to programming errors made by the vendor in the set-up and design of the election. However, we did encounter two issues which were not related to programming, but were, in my opinion, related to human error in individual machine set-up and maintenance. The first of which was with the Digital to Analog Conversion (DAC) settings on the M 100 and the fact that these must

be within spec in order for the scanner sensitivity to be correct. I originally reported that this new version of firmware was affected by the jumper from the scanner board which, I have been informed by technicians at ES&S, is not true. What is affected by the jumpers, present only on older M 100's, is the DAC settings and if these DAC settings are not correct, the tabulator may be too sensitive, and pick up a marks that are not present, which is what we encountered. We recommend these DAC settings be checked at least every two years. The other issue discovered was related to another M 100 not being properly maintained or cleaned throughout the process, which caused dirt and/or debris to enter the machine causing a misread. We recommend the machines be cleaned at a minimum after each election or after having tabulated 4000 ballots to avoid excess dirt which may affect the counting ability of the individual machine. We also discovered a log limitation with both the DS 200 and M 100, where if the individual machine has tabulated over 7,000 ballots, the log will become "full" and not allow the machine to print results. We recommend replacing the flash drive on each machine at or before the 7,000 ballot mark, to avoid this situation.

We also discovered that for any single precinct, the system is limited to 30 counters. A counter is necessary for the ballots cast results to be displayed for an individual precinct split. One of the largest mistakes made by the vendor, in relation to the set-up of this election, had to do with counters on the M 650. The vendor failed to place counters for each unique split, one across the entire jurisdiction and several in individual precincts. These mistakes prevented the ballots cast from being incremented for these splits and at first were hard to indentify. After further review of the canvas, we found that the EL45 report became very useful in finding splits that may have run without having their ballots cast counted. After several discussions with the vendor, it is our recommendation that after each election, a jurisdiction using the M 650, should review their EL45 reports in summary and by precinct, and reconcile them against the splits for which they intend to have counters in place. This will help to insure that all splits are included in the ballots cast appropriately.

Following the review of the results from the Primary, various options were considered in order to move forward. It was decided that the vendor should provide us new ballots for five precincts, and that those five precincts would be completely redone. New programming was provided along with their equipment, and the Primary was started from scratch for those five precincts. Staff ran 13,235 ballots through for this partial test and the results came out as expected with no programming errors. We did run into one printing error, which involved the vendor providing us with a style that does not exist in this test, but that was quickly remedied and did not affect the total outcome.

In regards to use of the new DS 200, staff recommends that the supplemental ballot boxes not be used because it layers the ballots in sequential order as they fall into the box, consistently. Also, staff recommends that the diverter within their ballot box designed to segregate write-in ballots from the other ballots not be used as it makes a distinct sound, revealing when a voter has cast a ballot that contains a write-in. Staff also recommends that the DS 200 be positioned in such a manner that a voter may feed their ballot into the machine, without others being able to see the messages on the screen. This is necessary as the screen is large enough that the warning messages displayed to the voter in such instances as casting a ballot that contains an over-vote, would easily be read by those other than the voter, degrading the privacy.

With the above noted recommendations, staff requests an interim Board approval as requested by ES&S. We feel that the additional precinct capacity on the M 100, as included in this approval, is a feature that has long been awaited by jurisdictions with greater than 10 precincts, who use the M 100 as provided by ES&S currently.

ELECTION Systems & Software

Election Systems & Software
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Omaha, NE 68137

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www.essvote.com

Tuesday, December 17, 2013

Mr. Kyle Thomas
Illinois State Board of Elections
Director of Voting and Registration Systems
2329 South MacArthur Boulevard
Springfield, IL 62704

RE: Unity 3.4.0.1 Model 100 (M100) v. 5.4.4.5 Jumper Question

Dear Mr. Thomas,

Following up to our conference call on October 21st, I am writing this letter to clarify that the use of the jumpers on the M100 Scanner Board is independent from the firmware operating on the M100 tabulation system.

As you were aware, during testing of Unity 3.4.0.1, an issue was encountered with one of the up to fifteen M100 units involved in the certification testing. The unit in question was immediately removed from the testing until an ES&S technician could be on site to examine the test unit. The ES&S technician found debris on the CIS (contact image sensors) of the unit and that is what is believed to have caused the anomaly found during testing. After cleaning the CIS (contact image sensors) and resetting the DAC's (Digital to Analog Conversion) it was determined that the DAC values were slightly higher than specification but no longer high enough to recognize a mark that was not present. Rather than replacing the CIS, the tech tried removing the jumper from the Scanner Board first in order to set the DAC values to the correct specification. He was able to achieve this by doing so. This is normal protocol for this type of issue. DAC settings are used to adjust the scanners scanning sensitivity. It was later brought to my attention that the technician on site may have explained that the jumpers were required to be removed with this newer version of firmware, which was incorrect. The connection of the jumper on the Scanner Board is irreverent to any firmware version. The removal of the jumper is at the technician's discretion in order to set the correct DAC values. These values can normally be achieved with or without the removal of the jumper. Normally cleaning the CIS (only if needed) allows the DAC settings to be set to their correct values. There also will be times where the CIS may need to be replaced. This will also be determined by an ES&S technician.

Additionally you expressed concern that an M100 may have jumpers connected in the field. ES&S does acknowledge that there are M100s with jumpers currently deployed in the State of Illinois, however it is important to note that if this scenario does exist, the unit will accurately read ballots as long as the DAC setting are calibrated within recommended setting range and connection of the jumper should not deter customers from upgrading to the recently certified firmware. Furthermore as part of the preventive maintenance cycle, the DAC Setting of each unit is checked to ensure each machine is within recommended parameters. During the next scheduled preventative maintenance cycle ES&S will remove any jumpers on units where warranted by the technician.

If you have more questions or need any additional information regarding this matter please contact at (402) 938-1399 or at mamanganaro@essvote.com at your earliest convenience.

Sincerely,

Mark Manganaro
Illinois State Certification Manager
Election Systems & Software, LLC

cc: Steve Pearson, Vice President, Voting Systems - ES&S
Linda Bennett, Senior Customer Service Manager - ES&S
Andrew Ryan, Regional Sales Manager – ES&S

Judges Schools GP 2014

Date	Jurisdiction	No. of Schools	Attendance	Zone
Thursday, January 09, 2014	Hardin	1	37	One
Thursday, January 09, 2014	Pope	1	27	One
Tuesday, January 14, 2014	Woodford	2	182	Three
Thursday, January 23, 2014	McLean	2	42	Three
Friday, January 24, 2014	McLean	1	31	Three
Thursday, January 30, 2014	Saline	3	110	One
Monday, February 03, 2014	Aurora	3	56	Four
Monday, February 03, 2014	Franklin	2	91	One
Tuesday, February 04, 2014	Aurora	3	70	Four
Tuesday, February 04, 2014	Franklin	1	45	One
Wednesday, February 05, 2014	Aurora	3	17	Four
Thursday, February 06, 2014	Boone	2	90	Four
Friday, February 07, 2014	Kendall	1	0	Four
Monday, February 10, 2014	Christian	2	0	Three
Monday, February 10, 2014	Jersey	2	0	Two
Tuesday, February 11, 2014	Hamilton	2	0	One
Wednesday, February 12, 2014	Jackson	2	0	One
Thursday, February 13, 2014	Jackson	2	0	One
Thursday, February 13, 2014	Montgomery	4	0	Two
Monday, February 17, 2014	Crawford	2	0	One
Tuesday, February 18, 2014	Bureau	2	0	Four
Tuesday, February 18, 2014	Menard	2	0	Two
Tuesday, February 18, 2014	Randolph	2		Two
Wednesday, February 19, 2014	Brown	2	0	Two
Wednesday, February 19, 2014	Monroe	2	0	One
Thursday, February 20, 2014	Calhoun	2	0	Two

Date	Jurisdiction	No. of Schools	Attendance	Zone
Thursday, February 20, 2014	Marshall	2	0	Three
Thursday, February 20, 2014	Monroe	3	0	One
Monday, February 24, 2014	Marion	1	0	One
Tuesday, February 25, 2014	Edwards	2	0	One
Tuesday, February 25, 2014	Scott	1	0	Two
Wednesday, February 26, 2014	Dewitt	1	0	Three
Wednesday, February 26, 2014	Galesburg	2	0	Three
Wednesday, February 26, 2014	Richland	2	0	One
Thursday, February 27, 2014	Henderson	2	0	Two
Thursday, February 27, 2014	Lawrence	2	0	One
Monday, March 03, 2014	Dekalb	3	0	Four
Monday, March 03, 2014	Marion	3	0	One
Tuesday, March 04, 2014	Clark	2	0	One
Tuesday, March 04, 2014	Dekalb	3	0	Four
Tuesday, March 04, 2014	Fayette	2	0	One
Wednesday, March 05, 2014	Effingham	3	0	One
Wednesday, March 05, 2014	Morgan	3	0	Two
Thursday, March 06, 2014	Cass	2	0	Two
Thursday, March 06, 2014	Effingham	2	0	One
Thursday, March 06, 2014	Morgan	1	0	Two
Saturday, March 08, 2014	E St Louis	2	0	One
Monday, March 10, 2014	Pike	2	0	Two
Tuesday, March 11, 2014	Greene	2	0	Two
Tuesday, March 11, 2014	Williamson	3	0	One
Wednesday, March 12, 2014	Putnam	2	0	Four
Wednesday, March 12, 2014	Union	2	0	One
Thursday, March 13, 2014	Massac	2	0	One

STATE BOARD OF ELECTIONS

From the desk of.... Eric Donnewald
Director of Election Training/Resource Development
Phone: 217-782-1573
Email: edonnewald@elections.il.gov

To: Rupert Borgsmiller, Executive Director

Re: Statewide Constitutional Initiatives

Date: February 6, 2014

Effective July 5, 2011 P.A. 97-0081 amended Section 28-11 of the Election Code stating:

"Signatures on petitions for constitutional amendments initiated pursuant to Article XIV, Section 3 of the Illinois Constitution need not be segregated by election jurisdiction. The Board shall design an alternative signature verification method for referenda initiated pursuant to Article XIV, Section 3 of the Illinois Constitution."

In response to this change in legislation, SBE staff solicited the services of the University of Illinois Springfield. Richard Schuldt, Director of the UIS Survey Research Office, assembled a project team to provide SBE with a signature validation methodology that would meet the requirements of the law.

Following extensive research, the UIS team concluded the best approach would be to adopt and apply the method used by the state of Washington for petition signature validation. This solution involves randomly selecting a 10% sample from the available signatures on the petition. Half of this sample (5%) is initially used to determine validity. If this sample is inconclusive, the additional 5% random sample will be used. (Currently, a minimum of 298,400 valid signatures are required for certification of a statewide Constitutional amendment.) In order for SBE staff to perform the task of verification and certification of a petition, SBE Information Technology (IT) Division used the statistical formulas provided by the UIS team to design and develop the PETSA (Petition Statistical Analysis) system.

Upon completion of PETSA in July of 2012, SBE staff, using a relatively small petition, tested all phases of the process. By timing each phase, and extrapolating these figures, staff could determine the length of time, along with the manpower necessary, to fully process a petition the size of which would realistically be filed. The attached chart (bottom page) lists the calculations for petitions totaling 50,000 pages and 65,000 pages, each at 10 available signature lines per page.

The following steps comprise the process which ultimately determines if a petition contains the required number of signatures to be placed on the ballot.

1. Inventory the petition - Physically count all petition pages and create a log which includes pages that have no page number and pages that are numbered incorrectly.

2. Enter inventory data – Enter the results of the inventory into the PETSA application.

3. Scan the petition – Scan the entire petition to create an electronic image of each page of the petition.

4. Enter signature lines – Every available signature line on every page of the petition must be accounted for to determine the population from which a random sample(s) can be selected.

5. Sample selection - Once entry of all signature lines is complete, the random sample is selected.

6. Validate sample signatures - Every signature selected in the sample must be validated to determine if the signature is one of a registered voter. The PETSA application displays the scanned image of the petition page containing the signature selected in the sample and, by accessing the IVRS (Illinois Voter Registration System) database, displays the electronic signature image of the voter in question.

TIMEFRAMES FOR STATE CONSTITUTIONAL AMENDMENT REFEREDUM PETITION-2014

SCANNING

50,000 pages
8 scanners
6 hours
TIME REQUIRED= 1 work day

65,000 pages
8 scanners
6 hours
TIME REQUIRED=1 work day

ENTER SIGNATURE LINES

50,000 pages
8 workers
6 hours
TIME REQUIRED=3.5 work days

65,000 pages
8 workers
6 hours
TIME REQUIRED=4.5days

VALIDATING SIGNATURES

50,000 pages
8 workers
6 hours
TIME REQUIRED 5%=1.5 work days
TIME REQUIRED 10%=2.5 work days

65,000 pages
8 workers
6 hours
TIME REQUIRED 5%=1.5 days
TIME REQURED 10%=3 days

Based off the UIS Study calculations

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

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Casandra B. Watson

ADVISORY COMMITTEE AGENDA

Wednesday, January 22, 2014

2329 S. MacArthur Blvd.
Springfield, Illinois
10:00 a.m.

1. **March 18, 2014 Primary Election.**
2. **Provisional Ballot rules.**
3. **Military Ballots/45 Day Report.**
4. **Online Voter Registration.**
5. **Electronic canvassing - update.**
6. **Other business.**
7. **Next meeting: Monday, May 19, 2014.**

The Advisory Committee will also be connected to the State Board of Elections Chicago office via videoconference.

State Board of Elections

From the desk of....Jane Gasperin, Director of Election Information
Phone: 217-782-1555
Email: jgasperin@elections.il.gov

To: Rupert T. Borgsmiller, Executive Director
Re: Counting of GARS Annuitant Trustees
Date: February 3, 2014

On February 3, 2014 we counted ballots for the General Assembly Retirement System. The Annuitant Trustee election was held from January 1, 2014 through January 31, 2014.

A total of 307 ballots were sent out, and 172 ballots were returned. There were 170 ballots deemed valid and counted, and two ballots were rejected.

There were seven (7) candidates running, with one to be elected.

The Annuitant Trustees received the following votes:

Candidate	Votes Received
Daniel M. Pierce	47
Robert C. Winchester	46
Robert J. Bugielski	24
Lee Preston	20
Robert M. Terzich	19
Dr. Bruce Lee Douglas	7
Roland W. Burris	7

The winner of the Annuitant Trustee election for the General Assembly Retirement System was Daniel M. Pierce who received 47 votes.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Rupert T. Borgsmiller, Executive Director

TO: Chairman Smart
Vice Chairman Scholz
Members of the Board

SUBJECT: Presidential Commission on Election Administration

DATE: January 23, 2014

The Presidential Commission on Election Administration delivered its recommendations to President Obama on January 22nd. There is a wealth of information in the report and appendix on how states and local election officials have developed and implemented innovative programs. I have included the Introduction and the Key Recommendations made by the Commission. The entire report is available upon your request or you may go to www.supportthevoter.gov to view the entire report.

Introduction

The United States runs its elections unlike any other country in the world. Responsibility for elections is entrusted to local officials in approximately 8,000 different jurisdictions. In turn, they are subject to general oversight by officials most often chosen through a partisan appointment or election process. The point of contact for voters in the polling place is usually a temporary employee who has volunteered for one-day duty and has received only a few hours of training. These defining features of our electoral system, combined with the fact that Americans vote more frequently on more issues and offices than citizens anywhere else, present unique challenges for the effective administration of elections that voters throughout the country expect and deserve.

Other countries exhibit one or another of these features in their election systems, but none have the particular combination that characterizes administration in the United States. Decentralization and reliance on volunteers ensure that the quality of administration varies by jurisdiction and even by polling place. The involvement of officials with partisan affiliations means that the rules or their interpretations will be subject to charges of partisanship depending on who stands to win from the officials' decisions. The sheer frequency and volume of democratic choices from persistent elections tax voters' attention and capacity.

The problems observed in recent elections stem, in part, from these defining characteristics of our electoral system. Long wait times at select polling places result from a combination of mismanagement, limited or misallocated resources, and long ballots. Problems faced by military voters and their dependents in receiving and transmitting ballots, and then having them counted, still remain. Accommodations for voters with disabilities or with limited English proficiency vary widely, dependent on the attention they receive from local officials and compliance with statutory protections. Bloated and inaccurate voter registration lists — the source of many downstream election administration problems — arise in the absence of a national list of voters that is updated when voters move, die or change their names.

Some of the differences in approaches to election administration may be explained by cultural differences between states. For instance, the manner in which early voting is conducted, or whether it is allowed at all, varies considerably between states. Vote-by-mail and no-excuse absentee voting is increasingly popular in the West, while in-person early voting is more popular in the South. The same could be said for provisional ballots, which are used for different purposes in different states. In some states, voters who are permanently registered as absentee must cast a provisional ballot if they show up at the polls. In others, voters can update their address in the polling place by voting a provisional ballot. In still others, provisional ballots serve the narrow purposes for which they were intended under the Help America Vote Act (HAVA), namely as a stop gap measure for the poll worker who gives a provisional ballot to the voter who claims to be registered but whose name does not appear on the rolls.

Although the diversity of election processes spawns problems, the variety of practices localities use to combat them can also be a fruitful source of context-specific solutions. There is no shortage of good ideas when it comes to election administration. The tasks presented to the Commission were to collect the best programs, innovations, and practices from around the country to address current challenges, as well as to identify the next generation of problems that will confront the American electoral system.

After a six-month extensive examination of how elections are conducted throughout the United States, we, the members of the Presidential Commission on Election Administration, conclude that problems that hinder the efficient administration of elections are both identifiable and solvable. This Report sets forth many recommendations and best practices derived from our examination.

Some problems in election administration affect only a limited number of jurisdictions, while others are more broadly shared. In general, we view the recommendations as broad-based solutions to common problems evident on a national scale. In addition to these recommendations, the Commission urges adoption or consideration of other best practices, highlighted throughout the Report in italics, that are usually applicable to focused situations in individual jurisdictions or sometimes particular polling places. These highlighted best practices are not the only ones of potential use or value to jurisdictions around the country, and numerous others worthy of consideration are included in the Appendix.

The key recommendations of the Commission are:

Voter Registration:

Online Registration: The steady trend toward online voter registration should continue as every state should allow eligible citizens to register to vote and to update their registrations via the internet.

Interstate Exchange of Voter Lists: States should update and check their voter registration lists against each other, as is done with the "IVRC" and "ERIC" projects, to ensure that voters are correctly registered at one location, that registration lists are more accurate and not a source of polling place congestion, and that these more accurate lists can assist in identifying individuals who are eligible to vote, but are not registered.

Access to the Polls:

Expansion of Voting Before Election Day: In order to limit congestion on Election Day and to respond to the demand for greater opportunities to vote beyond the traditional Election Day polling place, states that have not already done so should expand alternative ways of voting, such as mail balloting and in-person early voting.

Schools as Polling Places: States should encourage the use of schools as polling places. Because they often provide the best facilities to meet voters' needs, roughly one-third of voters currently vote in schools. To address security concerns, Election Day should be scheduled as an in-service day for students and teachers.

Polling Place Management:

Adoption of Resource Allocation Tools: Local officials should employ a resource allocation calculator, akin to the ones presented at www.supportthevoter.gov, in order to optimize the number of voting machines and staff at polling places, thereby reducing the potential for long lines.

Voting Technology:

> **Addressing the Impending Crisis in Voting Technology**: By the end of the decade, a large share of the nation's voting machines, bought 10 years ago with HAVA funds, will reach the end of their natural life and require replacement. To address this impending challenge and to usher in the next generation of voting machines, the standards and certification process for new voting technology must be reformed so as to encourage innovation and to facilitate the adoption of widely available, off-the-shelf technologies and "software-only" solutions.

This Report focuses not only on the problem of election administration for all voters, but also the effect of administrative failures on discrete populations such as voters with disabilities, those with limited English proficiency, and military and overseas voters. Just as certain problems in election administration are more pronounced in some jurisdictions, they also burden some populations more than others. Inaccessible polling places are a problem for the general population, for example, but they can be a major barrier to participation for those with mobility problems. Similarly, poorly designed and complex ballots pose problems for all voters, but they can prove particularly daunting for voters with limited English proficiency. Any solutions in this realm must be made with an eye toward addressing the problems faced by voters as a whole while also ensuring that the needs of these discrete populations are met. However, the best way to perform this dual task is to "bake in" these targeted solutions to the recommendations applicable to the system as a whole. The Commission's recommendations are proposed with this strategy in mind. They should be adopted not only because they address problems broadly shared, but also because they address more severe challenges faced by particular populations.

Memorandum

From the desk of....Cristina Cray, Director of Legislation
Phone: 217-782-1577
Email address: ccray@elections.il.gov

To: Chairman Smart
Vice Chairman Scholz
Executive Director Borgsmiller
Members of the Board
Subject: Spring Session
Date: February 6, 2014

Attached please find all election legislation that has been introduced to date. (An updated list will be provided at the meeting.)

The Governor's Budget Address originally scheduled for Wednesday, February 19, has now been rescheduled for Wednesday, March 26.

Our appearance before the Senate Appropriations Committee has been tentatively set for Friday, April 11. We are still waiting to hear from the House.

As always, if you have any questions, please do not hesitate to contact me.

Thank you.

Attachment

Illinois General Assembly

Elections 2014 Help

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HB3814	Michael J. Madigan	ELECTIONS-TECH	01/13/2014	House	Referred to Rules Committee
HB3815	Michael J. Madigan	ELECTIONS-TECH	01/13/2014	House	Referred to Rules Committee
HB3816	Michael J. Madigan	ELECTIONS-TECH	01/13/2014	House	Referred to Rules Committee
HB3817	Michael J. Madigan	ELECTIONS-TECH	01/13/2014	House	Referred to Rules Committee
HB3818	Michael J. Madigan	ELECTIONS-TECH	01/13/2014	House	Referred to Rules Committee
HB4276	Carol A. Sente	ELEC CD-VOTING-CONSOLIDATED	01/24/2014	House	Referred to Rules Committee
HB4301	William Davis	SCHOOLS AS POLLING PLACES	01/24/2014	House	Referred to Rules Committee
HB4353	Dwight Kay	ELECTIONS-VOTER ID	01/28/2014	House	Referred to Rules Committee
HB4436	Brad E. Halbrook	PETITION FORM-BINDER CLIPS	01/29/2014	House	Referred to Rules Committee
HB4451	John M. Cabello	POLLING PLACES-SALE OF LIQUOR	02/03/2014	House	Referred to Rules Committee
HB4480	Jack D. Franks	SCHOOLS AS POLLING PLACES	02/03/2014	House	Referred to Rules Committee
HB4528	Joe Sosnowski	ELECTION CD-CANVASSING BOARDS	02/04/2014	House	Referred to Rules Committee
HB4581	Mike Fortner	ELECTION JUDGE-WORK ABSENCE	02/04/2014	House	Referred to Rules Committee
HB4582	Mike Fortner	ELEC CD-ELECTRONIC COPY-MAPS	02/04/2014	House	Referred to Rules Committee
HB4688	Mike Fortner	ELEC CD-VERIFIED COMLAINTS	02/05/2014	House	Referred to Rules Committee
SB2689	Ira I. Silverstein	COUNTIES-ELECTED OFFICIALS	01/28/2014	Senate	Referred to Assignments
SB2805	Michael W. Frerichs	ELEC CD-EXTEND GRACE PERIOD	01/30/2014	Senate	Referred to Assignments
SB2963	Heather A. Steans	ELECTIONS-TECH	02/04/2014	Senate	Referred to Assignments
SB2982	Kirk W. Dillard	ELECTIONS-TECH	02/04/2014	Senate	Referred to Assignments
SB2983	Kirk W. Dillard	ELECTIONS-TECH	02/04/2014	Senate	Referred to Assignments
HJRCA0043	Jim Durkin	CONAMEND-GA/GOV TAKE OFFICE	02/04/2014	House	Referred to Rules Committee

Elections 2014 Totals: 21 - (House Bills: 15) (Senate Bills: 5) (Other Bills: 1) Help

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STATE BOARD OF ELECTIONS

From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
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To: Rupert T. Borgsmiller; Executive Director

Re: Paperless Online Voter Registration Application (POVA) Update

Date: February 6, 2014

P.A. 98-115 required the State Board of Elections to submit a report to the General Assembly and the Governor by January 31, 2014 detailing the progress made to implement the online voter registration system. That report which was sent on January 28th is attached.

State Board of Elections
Online Voter Registration Project
Status Report
January 28, 2014

As a result of the Governor's State of the State message listing online voter registration as one of the priorities for the 2013 Legislative Session, staff at the State Board of Elections (BOE) immediately began researching what it would take to bring this concept to reality for the citizens of Illinois. Fortunately, the BOE had already implemented a version of an online voter registration application tool. This provides an individual the ability to complete a voter registration application form online; however, the form must be printed, signed, and mailed to the appropriate election authority (EA). Once the omnibus elections bill (which includes the online voter registration mandate) was passed and signed into law (P.A. 98-115) on July 29, 2013, it became obvious BOE staff would need to use the existing online application tool as a basis for the successful development and implementation of a true, feature-rich online voter registration system by the very ambitious deadline of July 1, 2014.

In 2002, the Help America Vote Act (HAVA) was signed into law. This legislation required each state to implement a centralized voter registration database for all federal elections. States took various approaches to implementing a system that would meet the requirements of this federal mandate. The Illinois Voter Registration System (IVRS), Illinois' solution to HAVA compliance, is architecturally a "bottom up" system. This means that each of the 110 EAs has a local database, known as their Election Management System (EMS) that connects to a centralized database (IVRS), which was completed and placed into production in April of 2009. The data entered by each EA is replicated from the local EMS to IVRS through batch processing and real-time connections known as web services. To provide for a loss of connection between the local EMS and IVRS, the system was designed to function independently until such time connectivity is restored. This system has proven to be a stable platform for this data exchange and is serving as the architectural foundation upon which the new online voter registration system is being built.

Populating and maintaining IVRS is a cooperative effort between the BOE and the EAs. Each EA is responsible for registering voters and maintaining the registration list within his/her election jurisdiction. IVRS continues to be an invaluable tool to assist them in these efforts. Most jurisdictions do not have the in-house IT resources to develop and maintain their local EMS. In these cases, the EA must rely on a third party, an EMS vendor, to perform these duties.

As previously stated, it is the local EA who determines if an individual is qualified to be added to the voter registration rolls. The BOE simply acts as a conduit for the applicant's information to reach the proper EMS. The system being developed by the BOE is essentially a tool that will collect the information entered online by the applicant and ultimately pass this data, through IVRS, down to the appropriate EA. For this

reason, this new system has been named the Paperless Online Voter Application (POVA) system.

P.A. 98-115 states that specific data entered online by the applicant must be electronically forwarded and matched with the database at the Illinois Secretary of State (SOS). In addition to other information, the individual is required to provide his/her:

- Authentic Illinois driver's license number (DL) or State identification number (ID)
- Last four digits of Social Security number (SSN)
- Date of birth (DOB)
- Date the DL or ID was issued by the Illinois SOS (Issue Date).

If the data in all four fields matches the information in the SOS database, the applicant's full name and electronic signature image will be returned to BOE. This data, along with the other information originally provided by the applicant, will be passed, through IVRS, to the appropriate EA for processing.

If one or more of the four required fields listed above does not match, or the applicant's information could not be validated for another reason, the information (with the exception of the applicant's full name and electronic signature image) will be returned to BOE and forwarded, through IVRS, to the appropriate EA for processing as an incomplete registration. In this case, if the individual failed to provide a valid e-mail address as part of the online application process, the EA is required to send written notice to the applicant.

If the applicant provides a valid e-mail address, he/she will be notified of the receipt of the application and the results of the SOS verification. If the verification failed for any reason, the applicant will be advised of two available options. The individual may resubmit (after correcting any errors) the application or print the application form using BOE's pre-existing online voter registration application tool. The applicant must then sign and mail this completed form to the appropriate EA (the same method an individual not in possession of an authentic Illinois DL or ID would use).

Months before the omnibus elections bill was signed into law, BOE staff began working closely with the Information Technology (IT) department at the SOS to establish a data sharing format. Once this was completed, programming began and test environments were created at both locations. Initially, small files of data were forwarded to the SOS, matched, and successfully returned to BOE. Soon, volume testing began to ensure the system would be capable of handling the large number of applications typically received toward the close of voter registration periods prior to elections. This volume testing continues. Programming code will be moved to production environments upon completion of this phase of testing.

BOE IT staff has completed the initial version of the user interface the applicant will use when applying to register online – obviously a major component of the POVA system. Testing of this interface by BOE staff is nearly complete. Following this internal phase of testing, the application will be released to a number of external entities including the EAs and their EMS vendors. They will test not only the user interface but all methods of data sharing. Simultaneously, the web page content and the e-mail notification language will be forwarded to the Cook County Clerk's office and the Chicago Board of Election Commissioners. These two EAs have graciously volunteered their resources for the translation of this information into Spanish, Chinese, and Hindi (required by Section 203 of the Voting Rights Act).

Additionally, it was necessary for BOE IT staff to modify the EA's user interface within IVRS to accept an applicant's information. This will provide the EA the ability to view and process the application. Upon completion of these modifications, BOE staff compiled the specifications for communication between IVRS and the local EMSs. Given the wide range of technical resources available to the 110 EAs across the state, it became obvious it would be necessary for the BOE to offer a variety of processing options in order to meet the July 1, 2014 deadline. In mid-September, a document containing this information was sent to each EA and, when applicable, their respective EMS vendor. (This document is enclosed.) Included was an overview of the POVA project along with details surrounding three available methods for electronically receiving the application information. These options are as follows:

1. Web Services – Passing data through web services is the preferred method as it provides a jurisdiction the ability to seamlessly process the paperless online voter applications through their local EMS. This option requires the least amount of human intervention. Data entry is minimized, greatly reducing the possibility of errors.

2. Batch Download – This method provides a jurisdiction the ability to download a compressed file of applications from IVRS. This option necessitates the processing of a batch file and requires a moderate amount of data entry.

3. Individual Records Processing – Processing individual application records may be the initial method of choice for a jurisdiction that typically receives a small number of applications and that is currently unable to implement one of the two aforementioned methods. This procedure is similar to the way a jurisdiction currently processes a paper application and requires the same amount of data entry as if the EA had received an individual's voter registration application by mail. Since the applicant's information must be manually entered into the local EMS, there exists the increased possibility of data entry errors; however, this

option requires no programming changes to the local EMS, dramatically reducing the cost of implementation.

BOE staff recently polled all EAs and their vendors as to their initial implementation plans. The results are as follows:

Web Services - 85 jurisdictions will have this option available.

Batch Download - 23 jurisdictions will have this option available, however two of these jurisdictions have indicated a preference toward Individual Records Processing due to the anticipated cost of implementation.

Individual Records Processing - Two jurisdictions will have this as their only option at the time of the July 1st rollout.

Following the passage of P.A. 98-115, BOE staff members were instructed to provide the Board, on a monthly basis, with both oral and written updates as to the progress on the POVA project. The oral reports have been presented at the Board's regular monthly board meetings. The written reports have been included in the Board's monthly meeting packets and are available on the agency's public website www.elections.il.gov. (These written reports along with an updated Master Project Schedule are enclosed.)

As evidenced by the development and implementation of IVRS and the establishment of a stable, centralized database for use by the EAs, the BOE is committed to improving the voter registration process in Illinois. When completed, POVA will greatly simplify this process for the applicant and at the same time, ease the management of this process for the EAs. The working relationship between the BOE and the EAs has never been better. For this reason, the successful implementation of systems like IVRS, and now POVA, is possible.

In summary, the POVA project is progressing according to plan. Working with the Illinois Secretary of State, the Election Authorities, and their vendors, the State Board of Elections is on target to complete the POVA project by July 1, 2014.

POVA Project
Planning Phase
Master Project Schedule

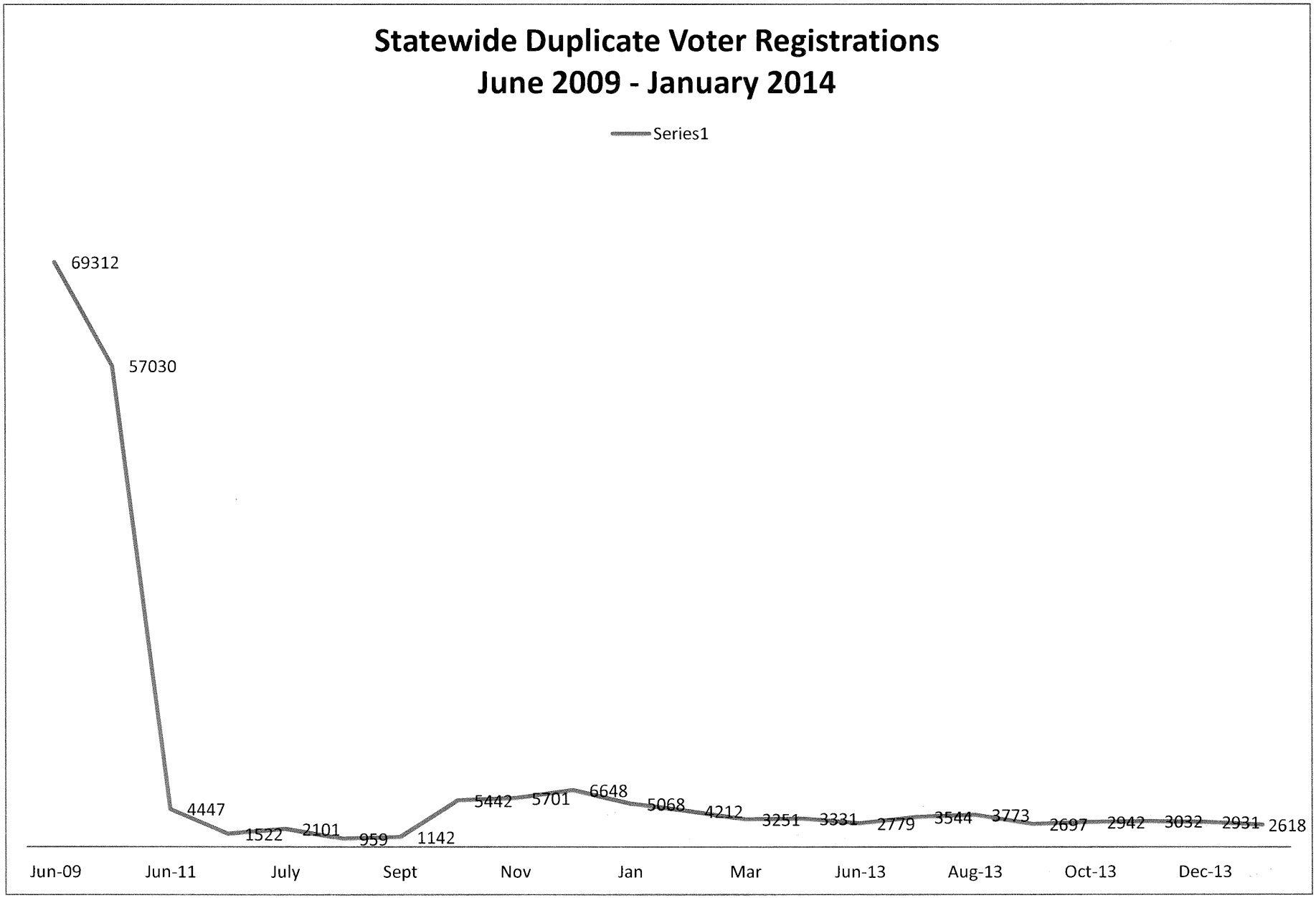
- Modifications to IVRS system to facilitate POVA administration by the election authorities (test environment) - **completed**
- Web services coded and ready for testing - **completed**
- Batch process match with the Secretary of State (test phase) - **completed**
- Draft version of the POVA website - **completed**
- Generation of email to user upon submission of online application (test environment) - **completed**
- Generation of email to user advising of status following match with Secretary of State (test environment) - **completed**
- SBE Division of Voting and Registration Systems begin review of POVA website content - **12/2/13 (in progress)**
- Add web services to the vendor site to determine registration status - **completed**
- HTML 4.01 version of POVA website upgraded to production level quality - **completed**
- Email field added to IVRS system (SBE internal test jurisdiction) – **completed**
- Install new version of client in pilot jurisdiction for testing of batch data transfer process – **completed**
- Complete internal testing of user interface – **2/18/14**
- HTML 5 version of POVA website upgraded to production level quality (test environment) - **2/18/14**
- Provide City of Chicago and Cook County with website content for language translation – **2/18/14**
- Email field verified with vendor software - **3/24/14**

- Move IVRS POVA system modifications to production server - **3/24/14**
- Move batch process (SOS match) to production - **3/24/14**
- Move POVA website to production environment - **3/24/14**
- Move web services for vendor access to production environment - **3/24/14**
- Complete testing of user interface by election authorities - **4/15/14**
- Production website brought online for public access - on or before **7/1/14**

Jurisdiction	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	Oldest Match Date
Adams County	6	9	1	14	20	28	29	21	15	11	11	13	14	14	21	21	23	26	19	22	5	10/9/2012
Alexander County	5	3	3	2	4	4	6	6	6	2	2	1	1	1	2	5	6	7	4	4	3	2/6/2009
Bond County	11	13	15	15	19	26	27	29	26	27	29	30	24	24	31	7	3	1	0	2	0	n/a
Boone County	5	6	7	7	6	13	15	20	3	5	4	8	8	7	4	5	7	7	8	10	3	2/6/2009
Brown County	1	1	3	0	1	7	7	6	7	0	0	1	3	3	3	2	5	5	5	5	5	5/7/2013
Bureau County	2	1	3	1	0	2	2	2	5	2	1	7	6	1	3	8	1	3	3	5	5	9/30/2008
Calhoun County	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Carroll County	4	3	4	4	3	6	7	6	10	4	3	4	5	4	2	2	0	2	0	0	0	n/a
Cass County	3	2	8	5	4	10	9	6	7	3	3	5	5	5	1	1	2	4	4	4	1	5/11/2012
Champaign County	26	28	54	26	81	499	502	548	326	263	209	204	183	170	203	226	195	210	230	202	162	2/6/2009
Christian County	4	3	3	2	2	4	2	4	3	1	1	1	2	1	1	1	0	1	1	1	1	2/3/2014
Clark County	1	1	1	1	1	9	5	2	1	2	2	2	1	2	7	1	3	4	5	1	1	8/27/2013
Clay County	39	39	39	37	44	46	46	50	53	54	49	43	43	42	31	26	26	24	21	21	21	2/6/2009
Clinton County	15	14	13	8	11	18	25	19	25	29	15	18	18	18	16	13	3	7	11	8	13	5/11/2012
Coles County	2	3	6	3	2	27	23	23	27	18	15	15	14	14	14	16	16	11	18	13	12	10/9/2012
Cook County	106	191	247	40	51	1,033	1,067	1,325	754	533	464	532	535	533	823	1,007	561	641	613	626	575	2/6/2009
Crawford County	5	5	8	4	6	6	7	8	9	13	10	4	5	5	8	8	7	8	9	10	11	5/18/2012
Cumberland County	0	0	2	1	0	1	3	0	0	0	0	2	0	0	0	0	0	0	1	0	0	n/a
DeKalb County	2	10	12	10	39	49	49	62	53	44	14	13	16	12	30	17	17	15	16	20	11	10/9/2012
DeWitt County	7	4	12	10	2	7	12	12	12	9	9	10	11	11	10	9	11	10	14	16	3	2/6/2009
Douglas County	0	0	1	0	0	7	11	10	5	5	6	2	2	2	0	2	1	1	2	4	2	12/24/2013
DuPage County	10	15	44	6	47	275	286	351	391	296	53	58	41	43	123	152	38	62	98	125	166	2/6/2009
Edgar County	3	5	8	1	1	4	3	5	6	7	8	8	12	3	9	5	5	9	3	4	4	7/30/2013
Edwards County	2	2	2	2	1	1	1	1	1	2	1	1	1	1	1	1	0	0	0	3	1	12/20/2013
Effingham County	3	2	4	0	1	3	5	3	1	1	0	1	3	0	1	0	0	3	2	1	0	n/a
Fayette County	3	3	2	1	3	1	5	7	11	8	9	13	12	10	14	9	8	10	13	14	3	10/18/2013
Ford County	2	5	12	4	3	1	5	6	9	2	1	11	3	3	8	8	2	5	4	3	1	2/6/2009
Franklin County	5	7	14	14	20	26	28	30	6	9	1	6	9	2	4	6	6	6	8	9	9	9/25/2013
Fulton County	5	4	10	9	10	11	13	15	12	14	4	5	7	8	9	7	2	4	9	3	2	1/6/2014
Gallatin County	0	0	0	0	0	0	0	0	0	3	1	0	1	0	0	0	0	0	0	1	0	n/a
Greene County	2	2	2	1	3	6	8	10	9	4	3	4	5	4	7	6	8	7	8	10	6	9/6/2012
Grundy County	2	3	7	5	8	30	29	18	25	23	7	5	8	1	2	2	1	0	2	0	0	n/a
Hamilton County	1	1	2	2	0	0	2	4	3	2	0	0	2	1	1	0	0	1	3	1	0	n/a
Hancock County	0	0	0	0	0	1	0	1	1	0	0	2	1	1	0	0	0	0	0	1	0	n/a
Hardin County	0	3	0	0	0	0	0	0	2	7	4	5	3	0	2	0	0	0	1	1	0	n/a
Henderson County	0	0	2	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Henry County	9	10	15	14	18	25	26	28	1	3	3	8	8	8	13	11	14	15	3	2	0	n/a
Iroquois County	1	2	5	2	6	6	9	6	3	3	2	2	4	4	6	8	4	7	10	6	0	n/a
Jackson County	10	11	5	3	13	31	33	37	23	21	17	18	19	16	16	10	12	10	9	9	6	8/27/2009
Jasper County	2	1	2	0	1	1	1	1	1	1	2	2	3	1	4	4	2	3	3	3	2	10/17/2012
Jefferson County	5	2	4	3	7	7	9	12	12	4	3	3	3	4	3	1	2	1	4	4	4	10/15/2013

Jurisdiction	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	Oldest Match Date
Jersey County	27	26	28	27	29	34	35	45	42	41	40	41	48	47	53	52	57	57	60	52	55	2/6/2009
JoDaviess County	0	0	0	0	0	3	3	4	5	0	0	0	1	0	1	1	0	0	0	0	0	n/a
Johnson County	0	0	0	0	0	0	0	1	1	2	0	1	1	0	3	3	1	1	1	2	0	n/a
Kane County	8	10	52	10	12	70	76	115	124	90	38	52	31	20	39	52	21	37	39	44	50	3/25/2011
Kankakee County	17	16	14	12	24	29	31	35	33	27	14	35	20	14	28	38	40	57	77	71	17	2/6/2009
Kendall County	9	8	21	4	8	73	83	99	86	45	23	32	6	5	18	16	11	14	17	17	16	2/6/2009
Knox County	5	5	8	7	12	4	5	7	6	9	1	5	5	5	9	1	2	5	7	7	11	2/6/2009
Lake County	70	98	165	11	16	85	94	126	150	78	64	66	78	63	100	114	47	65	67	63	65	2/6/2009
LaSalle County	16	20	25	22	38	49	53	45	41	18	10	15	8	6	11	15	6	5	7	9	13	10/26/2012
Lawrence County	1	1	1	1	3	3	2	2	3	2	2	1	1	1	2	2	1	1	1	1	1	8/7/2013
Lee County	1	2	1	0	0	6	9	3	2	1	1	7	1	0	2	1	0	1	1	1	1	12/17/2013
Livingston County	4	1	8	5	4	11	11	13	14	6	2	7	2	2	13	13	8	12	12	12	3	10/24/2012
Logan County	4	2	8	3	3	8	11	16	10	11	5	9	4	4	4	4	4	2	0	0	2	1/10/2014
Macon County	23	12	15	12	41	86	80	92	93	102	99	16	8	9	23	33	38	6	11	8	4	2/6/2009
Macoupin County	0	0	4	1	5	9	10	12	10	2	1	5	11	12	22	19	23	29	42	13	8	6/3/2013
Madison County	21	23	31	26	28	46	50	55	47	41	38	40	43	41	36	35	38	36	47	36	31	2/6/2009
Marion County	16	14	15	12	14	24	28	16	22	28	15	16	17	18	18	18	15	18	20	12	14	4/13/2012
Marshall County	2	0	0	0	3	0	0	2	1	1	1	4	4	3	0	2	1	1	2	0	0	n/a
Mason County	0	1	1	1	1	0	1	2	1	2	1	2	1	1	4	1	3	2	4	0	0	n/a
Massac County	1	1	2	2	2	2	2	1	2	6	4	1	0	0	0	2	3	3	4	3	2	8/6/2013
McDonough County	1	0	3	1	0	20	19	21	18	13	10	10	11	11	11	6	8	9	8	8	7	10/9/2012
McHenry County	57	73	30	16	30	54	64	98	89	88	12	28	17	11	34	14	28	31	34	19	27	11/13/2009
McLean County	48	51	72	38	18	27	46	50	44	52	52	66	19	24	38	39	61	14	14	18	7	2/6/2009
Menard County	2	4	3	3	3	3	3	4	5	3	3	4	3	2	2	2	2	2	2	2	2	6/10/2011
Mercer County	5	4	7	7	10	11	11	17	7	10	13	12	13	13	17	12	14	14	16	16	4	2/6/2009
Monroe County	4	6	8	5	5	20	21	16	11	11	12	12	13	15	3	1	1	2	2	0	8	10/15/2013
Montgomery County	1	1	1	1	1	1	2	5	3	4	3	2	2	2	2	2	0	0	0	0	0	n/a
Morgan County	0	0	3	3	4	15	16	17	14	6	6	13	14	15	12	9	14	15	22	12	6	10/8/2010
Moultrie County	0	0	1	0	1	5	1	1	1	2	0	1	2	3	3	3	1	1	2	0	1	1/17/2014
Ogle County	1	0	0	0	2	8	11	8	7	4	3	5	4	1	7	4	0	0	1	3	1	11/4/2013
Peoria County	9	3	7	8	6	14	13	21	17	18	19	17	20	18	17	4	10	12	8	6	3	2/6/2009
Perry County	0	0	1	0	1	2	2	3	1	4	0	1	1	0	1	1	0	2	3	0	1	1/23/2014
Piatt County	2	3	5	4	4	6	6	7	9	2	2	1	3	6	13	17	4	12	13	18	3	1/14/2014
Pike County	3	3	5	5	8	11	11	14	15	13	12	13	12	12	12	10	11	12	13	12	6	5/18/2012
Pope County	0	1	0	0	0	2	2	1	0	1	0	0	0	0	0	0	0	0	0	0	0	n/a
Pulaski County	3	2	3	3	5	9	9	8	9	9	9	8	4	4	3	5	6	6	5	5	3	2/6/2009
Putnam County	1	0	1	0	0	1	2	0	0	1	0	1	0	0	0	0	0	1	0	0	1	1/30/2014
Randolph County	7	6	9	9	10	17	20	28	24	27	20	18	18	19	7	2	1	1	2	1	1	5/11/2012
Richland County	3	2	2	2	2	3	3	5	4	7	6	7	7	6	7	6	6	8	7	7	8	2/6/2009
Rock Island County	52	54	53	52	66	99	97	105	31	31	33	37	45	46	61	57	65	48	30	26	13	7/27/2012
Saline County	0	2	0	0	0	1	2	1	1	12	1	2	3	2	1	1	0	0	3	1	0	n/a

Jurisdiction	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	Oldest Match Date
Sangamon County	12	16	14	8	22	38	40	46	30	26	25	28	25	26	27	25	24	25	28	7	7	2/6/2009
Schuyler County	1	1	2	2	0	3	7	4	3	1	1	1	1	2	3	3	3	4	3	3	1	9/27/2013
Scott County	3	3	4	3	6	5	7	5	5	4	4	4	5	5	3	2	1	1	2	1	2	5/18/2012
Shelby County	2	3	1	5	1	3	5	3	4	4	3	2	3	2	2	1	1	2	5	1	0	n/a
Stark County	0	0	0	0	0	1	2	2	2	3	3	4	4	4	3	2	2	2	2	2	2	11/21/2012
St. Clair County	36	35	47	44	47	107	113	124	125	112	99	100	101	102	19	16	7	11	27	27	40	3/18/2011
Stephenson County	24	25	28	28	34	53	53	56	53	50	50	51	48	30	8	8	8	1	4	6	3	10/22/2010
Tazewell County	13	15	28	23	21	16	21	45	19	26	16	18	16	13	25	9	16	32	37	32	16	2/6/2009
Union County	13	13	14	11	12	26	22	25	22	21	16	9	7	6	4	4	5	9	11	10	3	2/6/2009
Vermilion County	5	13	4	2	10	16	16	18	19	10	10	20	14	7	23	19	18	23	23	8	2	10/17/2012
Wabash County	3	3	5	4	5	2	2	0	1	2	1	1	2	2	3	1	3	2	23	3	4	9/25/2013
Warren County	2	3	6	6	3	17	15	8	5	5	5	5	5	5	5	3	4	4	9	3	6	2/6/2009
Washington County	10	3	4	4	8	7	8	7	5	7	1	2	3	3	4	5	2	2	2	2	1	10/16/2012
Wayne County	12	11	12	12	16	22	21	23	27	25	14	5	6	2	0	1	4	1	0	3	2	12/20/2013
White County	5	5	4	4	2	0	2	1	2	1	2	1	2	2	3	1	1	1	1	4	0	n/a
Whiteside County	3	4	3	2	4	20	27	26	12	8	6	8	7	5	10	6	4	2	2	4	2	10/9/2012
Will County	65	47	90	29	67	449	465	515	517	310	220	232	227	35	108	122	26	57	111	120	80	2/6/2009
Williamson County	13	15	21	18	19	20	20	24	26	27	4	11	12	4	12	9	8	1	2	3	10	9/25/2013
Winnebago County	7	10	9	8	8	51	57	86	17	21	11	21	24	11	6	4	0	5	6	15	6	11/15/2013
Woodford County	15	9	12	4	4	5	7	7	5	8	4	4	6	5	8	2	2	4	7	4	2	11/4/2013
City of Aurora	2	19	22	0	25	119	124	129	125	89	84	102	10	9	22	32	40	48	53	62	72	9/30/2008
City of Bloomington	21	22	30	10	4	8	19	22	16	29	21	29	10	11	24	26	50	7	7	12	10	2/6/2009
City of Chicago	285	348	502	125	234	1,212	1,225	1,452	1,061	1,059	1,028	1,118	1,147	958	1,064	1,206	886	962	830	854	868	2/6/2009
City of Danville	3	5	3	3	3	0	9	11	6	3	4	10	6	7	6	5	6	10	10	5	4	2/6/2009
City of East St. Louis	8	2	1	0	0	15	13	16	20	12	14	14	11	11	2	5	5	7	14	13	14	10/30/2013
City of Galesburg	2	2	2	3	8	16	16	10	8	7	3	4	6	6	8	4	7	7	7	6	8	3/29/2010
City of Peoria	25	8	22	19	11	25	29	45	34	36	44	51	54	52	58	15	15	23	24	24	8	2/6/2009
City of Rockford	9	13	16	11	11	84	94	123	32	41	18	28	27	14	14	13	7	17	14	18	12	2/6/2009
	1,332	1,522	2,101	959	1,442	5,442	5,701	6,648	5,068	4,212	3,252	3,563	3,331	2,779	3,544	3,773	2,697	2,942	3,032	2,931	2,618	


Statewide Duplicate Voter Registrations
June 2009 - January 2014
Series1
69312
57030
4447
1522
2101
959
1142
5442
5701
6648
5068
4212
3251
3331
2779
3544
3773
2697
2942
3032
2931
2618
Jun-09
Jun-11
July
Sept
Nov
Jan
Mar
Jun-13
Aug-13
Oct-13
Dec-13

County	Total Voters Registered as of 2/3/2014	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 12/27/2013	% Reg. vs. 18 and Over as of 2/3/2014
ALEXANDER	5,589	8,238	67.84%	6,353	88.02%	***87.97%***
CLARK	11,571	16,335	70.84%	12,617	91.80%	**91.71%**
CLINTON	24,406	37,762	64.63%	29,180	83.05%	**83.64%**
HARDIN	3,034	4,320	70.23%	3,444	88.41%	**88.10%**
JASPER	6,843	9,698	70.56%	7,494	90.73%	**91.31%**
JERSEY	17,828	22,985	77.56%	17,746	100.56%	**100.46%**
MADISON	176,957	269,282	65.71%	208,036	84.97%	**85.06%**
MASSAC	11,208	15,429	72.64%	11,911	94.09%	***94.10%***
MENARD	8,533	12,705	67.16%	9,704	87.09%	**87.93%**
MERCER	11,892	16,434	72.36%	12,714	93.56%	**93.53%**
OGLE	33,400	53,497	62.43%	40,253	82.75%	***82.98%***
PULASKI	4,533	6,161	73.58%	4,756	95.96%	**95.31%**
RICHLAND	11,407	16,233	70.27%	12,625	90.23%	**90.35%**
ROCK ISLAND	90,282	147,546	61.19%	114,359	78.86%	**78.95%**
ST. CLAIR	150,353	270,056	55.67%	201,468	80.54%	**74.63%**
STARK	4,210	5,994	70.24%	4,583	91.82%	**91.86%**
UNION	12,333	17,808	69.26%	13,980	87.58%	**88.22%**
City of East St. Louis	18,471	27,006	68.40%	19,098	95.76%	***96.72%***

To: Rupert T. Borgsmiller, Executive Director

From: Jeremy Kirk, Accounting Manager

Re: Inclusion of EAC Audit Report for February Board Meeting

Date: February 5th, 2014

Enclosed please find a copy of the U.S. Election Assistance Commission's Office of Inspector General's final audit report (dated 9/12/13), and a copy of the Final Decision Memo from the EAC (dated 2/4/14) for inclusion into the February Board packet.

I have included the following items as points of emphasis at the Board meeting:

1) The EAC's Office of Inspector General determined that the 2008 Election Data Collection Grant Program funds were spent properly and the goals of the program were successfully met.

2) The final audit report contained minor recommendations regarding monitoring of contract vendors and related procedures for federally awarded funds and the SBE agreed to implement those recommendations in any future federally funded grant programs.

3) The SBE returned $268,598.61 in unspent grant funds back to the EAC, and the EAC took that into account in their evaluation of SBE's proper administration of grant funds.

4) The audit is officially closed with no further action required from either the EAC or the SBE.

Additional information, regarding the grant:

A. The initial grant award was for $2,000,000 and ran from 5/24/08 thru 6/30/10.

B. Two findings/recommendations:

   1. Finding No. 1 – Documentation of Policies and Procedures. We followed applicable policies/procedures; however, we did not have federal program-specific policies/procedures.

   2. Finding No. 2 – Contract Monitoring and Related Internal Control Processes. We allowed contractual vendors to bill by deliverables vs. time/material and general lack of documentation.

C. EAC Final Decision memo stated: "The recommendations contained in the report have been resolved and implemented."

U.S. ELECTION ASSISTANCE COMMISSION
1335 East West Highway – Suite 4300
Silver Spring, MD 20910

February 4, 2014

Rupert T. Borgsmiller
Executive Director
State Board of Elections
State of Illinois
2329 S. MacArthur Blvd
Springfield, IL 62704

Dear Mr. Borgsmiller:

We have received final correspondence from the Office of Inspector General regarding the OIG Audit Report on the Administration of Payments Received under 2008 Election Data Collection Grant Program Award Number 08-EDC-800176, State of Illinois (Assignment Number E-GR-IL-01-12). I would like to thank you for your cooperation and commend your staff for their efforts in working with the EAC staff to resolve the issues identified by the auditors. The recommendations contained in the report have been resolved and implemented.

This final correspondence will not require follow-up by you or your staff. If you have any questions, please do not hesitate to contact me at (301) 563-3951 or mevans@eac.gov.

Thank you again for your cooperation in concluding this audit.

Sincerely,

Monica Holman Evans,
Director of Grants Management

CC: Michael W. Roate
Jeremy Kirk

U.S. ELECTION ASSISTANCE COMMISSION
OFFICE OF INSPECTOR GENERAL

FINAL REPORT:

Election Data Collection Grant Program
Award Number: 08-EDC-800176
State of Illinois

MAY 27, 2008 THROUGH JUNE 30, 2010

Report No.
E-GR-IL-01-12
September 2013

U.S. ELECTION ASSISTANCE COMMISSION
OFFICE OF INSPECTOR GENERAL
1201 New York Ave. NW - Suite 300
Washington, DC 20005

Memorandum

September 12, 2013

To: Alice Miller
Acting Executive Director

From: Curtis W. Crider
Inspector General

Subject: Final Performance Audit Report – 2008 Election Data Collection Grant Program Award Number: 08-EDC-800176, State of Illinois (Assignment Number E-GR-IL-01-12)

We contracted with the independent certified public accounting firm of McBride, Lock & Associates to conduct the subject audit. The objectives of the audit were to identify costs claimed in the Grant's Financial Status Reports that were not allocable, allowable, reasonable, and in conformity with United States Election Assistance Commission's award terms and conditions and applicable Federal grant requirements.

In its audit, McBride, Lock & Associates concluded that the Illinois Board of Elections (Board) generally accounted for and expended the Election Data Collection grant funds in accordance with applicable requirements for the period from May 27, 2008 through June 30, 2010. However the following exceptions were identified;

- The Board lacks complete, documented policies with respect to award administration, accounting and financial reporting.

- The Board's internal control processes were not adequate to prevent or detect the payment of invoices lacking adequate approvals and detail of hours and hourly rates as outlined in the agreed-upon contract provisions.

In its August 28, 2013 response to the draft report (Attachment A-1), the Board provided comments to the findings and corrective actions, as applicable, to address the recommendations. The Board did not agree with the questioned costs of $119,595 related to the finding concerning contract monitoring.

In the report McBride, Lock & Associates summarized the Board's response to the recommendations, as well as their comments on the responses after the recommendations. Also included in the report is the EAC response to the draft report (Appendix A-2), dated August 12, 2013, which indicated that the EAC would work with the Board to ensure corrective action.

We would appreciate being kept informed of the actions taken on our recommendations as we will track the status of their implementation. Please respond in writing to the finding and recommendation included in this report by November 13, 2013. Your response should include information on actions taken or planned, targeted completion dates, and titles of officials responsible for implementation.

To fulfill our responsibilities under Government Auditing Standards, the Office of Inspector General:

- Reviewed McBride, Lock & Associates' approach and planning of the audit;
- Evaluated the qualifications and independence of the auditors;
- Monitored the progress of the audit at key points;
- Reviewed the audit report, prepared by McBride, Lock & Associates to ensure compliance with Government Auditing Standards; and
- Coordinated issuance of the audit report.

McBride, Lock & Associates is responsible for the attached auditor's report and the conclusions expressed in the report. We do not express any opinion on the conclusions presented in McBride, Lock & Associates audit report.

The legislation creating the Office of Inspector General requires that we report to Congress semiannually on all audit reports issued, actions taken to implement our recommendations, and recommendations that have not been implemented.

If you have any questions regarding this report, please call me at (202) 566-3125.

Attachment

cc: Director of Grants and Payments

Performance Audit Report

2008 Election Data Collection Grant Program
Award Number: 08-EDC-800176
State of Illinois

May 27, 2008 through June 30, 2010

United States
Election Assistance Commission

McBRIDE, LOCK & ASSOCIATES

CERTIFIED PUBLIC ACCOUNTANTS
KANSAS CITY

Performance Audit Report
2008 Election Data Collection Grant Program
Award Number: 08-EDC-800176
State of Illinois

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APPENDICES:

Appendix A-1: Response of the Illinois State Board of Elections to the Draft Report

Appendix A-2: Response of the U.S. Election Assistance Commission to the Draft Report

Appendix B: Audit Methodology

Appendix C: Schedule of Awards as of June 30, 2010

Appendix D: Monetary Impact as of June 30, 2010

U.S. Election Assistance Commission
Performance Audit Report
2008 Election Data Collection Grant Programs
Award Number: 08-EDC-800176
State of Illinois

EXECUTIVE SUMMARY

McBride, Lock & Associates was engaged by the United States Election Assistance Commission (EAC) Office of the Inspector General to conduct a performance audit of award 08-EDC-800176, Election Data Collection Grant (Grant), to the Illinois State Board of Elections (Board) from inception on May 27, 2008 through the award conclusion date of June 30, 2010 to determine whether the Board was in compliance with EAC's and Federal regulations concerning award administration and management.

We conducted this performance audit in accordance with *Generally Accepted Government Auditing Standards*. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on the audit objectives.

Based on the audit procedures performed, except for the matters discussed below, we concluded that the Board generally accounted for and expended the Grant funds in accordance with the requirements mentioned above for the period from May 27, 2008 through June 30, 2010. The exceptions are as follows:

1. The Board lacks complete, documented policies with respect to award administration, accounting and financial reporting.

2. The Board's internal control processes were not adequate to prevent or detect the payment of invoices lacking adequate approvals and detail of hours and hourly rates as outlined in the agreed-upon contract provisions.

We have included in this report as Appendix A-1 the Board of Elections' written response to the draft report. Such response has not been subjected to the audit procedures and, accordingly, we do not provide any form of assurance on the appropriateness of the response or the effectiveness of the corrective actions described therein.

BACKGROUND

In December 2007 Congress authorized the fiscal year 2008 Omnibus Appropriations Act (Public Law 110-161, Title V), which directed the EAC to award grants of $2 million to each of five eligible states to improve the collection of precinct level data relating to the November 2008 Federal elections. The act provided for the creation of the Election Data Collection Grant Program, the purpose of which was to:

- Develop and document a series of administrative and procedural best practices in election data collection that can be replicated by other States;
- Improve data collection processes;
- Enhance the capacity of States and their jurisdictions to collect accurate and complete election data; and
- Document and describe particular administrative and management data collection practices, as well as particular data collection policies and procedures.

The States were to use the Grant funds to implement programs to improve the collection of data relative to the November 2008 Federal general election and to improve the reporting of election data at the precinct level. The Grants were awarded in May 2008 and were for a period of 13 months.

The States were to provide their report on the data collected from the November 2008 election to the EAC by March 2009. In turn, the EAC was required to submit, by June 30, 2009, a report to Congress on the impact of the Election Data Collection Grant Program on States' abilities to effectively collect Federal Election data. The EAC granted the Illinois State Board of Elections a no-cost extension on the grant beyond June 30, 2009, until June 30, 2010.

The Illinois Secretary of State noted, in its Performance Report issued on June 1, 2009, that the Office had completed the tasks associated with three of the five project phases. The remaining two phases involved vendor enhancement services, validation reporting, training, systems integration functionality and systems documentation. These two pending phases were predicted to be completed by September 30, 2009, and the Office reported to the EAC that it had $1,567,189 in unused grant funds to finance these efforts and the unbilled costs associated with Phase 3. The Office requested and was granted by EAC a one year no-cost extension so they could complete the remaining Phases 4 and 5. In its Final Performance Report for the period ended June 30, 2010, the Office reported successful completion of all grant award requirements. Unused funds of $268,599 were returned to EAC in two payments of $268,369 in August of 2011 and $230 in February of 2012.

Data Collection Grant Activity

Category	(a) Proposed Amount	(b) As of June 1, 2009	(c) As of June 30, 2009	(d) As of Sep. 30, 2010	(d) - (c) = (e) Difference
Personnel	$ 402,500	$ -	$ -	$ -	$ -
Fringes	113,500	-	-	-	-
Contractual	1,456,000	430,688	430,688	1,740,078	1,309,390
Other Expenses	28,000	2,123	2,123	2,123	-
Totals	**$ 2,000,000**	**$ 432,811**	**$ 432,811**	**$ 1,742,201**	**$ 1,309,390**

The Office spent $1,309,390 subsequent to June 30, 2009. The expenditures were comprised primarily of contractual services. The Office reported that the delay in completing the project beyond the initial September 2009 date was caused by the manner in which the appropriation of funds was conducted by the State. The Office also reported in its 2009 Progress Report that "all relevant milestones and critical dates within the project schedule provided in the grant proposal have been met." The report noted that some further refinement of certain tasks and import functions from the County tabulation systems were yet to be handled.

AUDIT OBJECTIVES

The objectives of our performance audit were to identify costs claimed in the Grant's Financial Status Reports that are not allocable, allowable, reasonable, and in conformity with EAC award terms and conditions and applicable Federal grant requirements. The Board is required to follow the requirements of the Grant program's legislation and program regulations, Grant award terms and conditions, Office of Management and Budget (OMB) Circular A-87 (2 CFR 225), *Cost Principles for State, Local, and Indian Tribal Governments*, and OMB Circular A-102, *Grants and Cooperative Agreements with State and Local Governments.*

SCOPE AND METHODOLOGY

We audited the Grant funds received and disbursed by the Board from May 27, 2008 through June 30, 2010 as shown in the following table:

Award amount	$ 2,000,000
Award funds received	$ 2,000,000
Program income	10,800
Total Available	$ 2,010,800
Program expenditures	$ 1,742,201
Funds returned to EAC	268,599
Total Disbursed	$ 2,010,800

Our audit methodology is set forth in Appendix B.

AUDIT RESULTS

We conducted this performance audit in accordance with *Generally Accepted Government Auditing Standards*. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on the audit objectives.

Based on the audit procedures performed, except for the matters discussed below, we concluded that the Board accounted for and expended the Grant funds in accordance with the requirements mentioned above for the period from May 27, 2008 through June 30, 2010. The exceptions to applicable compliance requirements are described below.

Finding No. 1 – Documentation of Policies and Procedures

The Board lacks complete, documented policies with respect to award administration, accounting and financial reporting.

Federal regulations, specifically 41 CFR 105-71.120 - *Post-Award Requirements/Financial Administration, Standards for Financial Management Systems, Internal Control,* require that:

(a) A State must expand and account for grant funds in accordance with State laws and procedures for expending and accounting for its own funds, and

(b) Effective control and accountability must be maintained for all grant and subgrant cash, real and personal property, and other assets.

The State of Illinois Fiscal Control and Internal Auditing Act (FCIAA), Section 3001 further requires that "All State agencies shall establish and maintain a system, or systems of internal fiscal and administrative controls, which shall provide assurance that (1) Resources are utilized efficiently, effectively and in compliance with applicable law."

A key aspect of maintaining an effective system of internal controls is the documentation of related policies and procedures to ensure these criteria are current, approved, communicated, incorporated into training materials, and updated when appropriate.

The Board has not documented or incorporated into policy and procedure manuals certain important policies and procedures affecting award administration, including federal reporting, general ledger reconciliations and contract monitoring. The Board relies heavily on written documentation set forth in State manuals, statutes and other publications. Accordingly, policies and procedures implemented at the Board level are minimal, overly broad and incomplete. Due to the few personnel involved in award administration, accounting and financial reporting, policies and procedures have developed informally over the years.

Documented evidence of contract monitoring was minimal, consisting of emails from a primary vendor. The absence of a structured methodology to document financial transactions and approvals may have contributed to the exception noted in our testwork with respect to inadequate detail provided on contractor invoices.

Incomplete or inadequate documentation of policies and procedures may result in a lack of awareness and compliance with management's directives, and could allow noncompliance with grant terms and conditions to occur and not be detected.

The Board has had minimal experience with federal awards including the processes associated with federal reporting and the administration of significant contracts. Accordingly, there had been no specific need for documentation of policies and procedures in these areas. Further, as a small office much of the training, such as the reconciliation of the State and Board accounting systems, has occurred through verbal discussion rather than use of written documentation of policies and procedures.

Recommendation

We recommend that the EAC require the Board to implement procedures to ensure that all significant accounting, financial management and grant administration policies and procedures are documented. The documentation should be reviewed and updated on a regular basis, and procedures should be implemented to ensure that such documentation is available and communicated to all personnel involved in the administration of Federal awards.

State Board of Elections Response:

The Board stated that it complies with applicable policies and procedures of the State for managing funds from both State and Federal sources, and that documentation exists in Board manuals, and State manuals, rules, statutes and guidelines. The Board acknowledged that it did not have program-specific policies and procedures for administration of EAC Data

Collection Grant monies and agreed to develop Federal-specific policies and procedures for future programs involving the expenditure of Federal funds.

Auditor's Response:

We commend the Board for its efforts to document policies and procedures which may facilitate or promote compliance with federal, state and internal Board requirements, and for its recognition of the usefulness of such guidance for new grantor-funded programs.

Finding No. 2 – Contract Monitoring and Related Internal Control Processes

The Board's internal control processes were not adequate to prevent or detect the payment of invoices lacking adequate detail of hours and hourly rates as outlined in the agreed-upon contract provisions.

Federal regulations, specifically 41 CFR 105-71.120 - *Post-Award Requirements/Financial Administration, Standards for Financial Management Systems, Internal Control,* requires that:

(a) A State must expand and account for grant funds in accordance with State laws and procedures for expending and accounting for its own funds, and

(b) Effective control and accountability must be maintained for all grant and subgrant cash, real and personal property, and other assets.

The State of Illinois Fiscal Control and Internal Auditing Act (FCIAA), Section 3001 requires that "All State agencies shall establish and maintain a system, or systems of internal fiscal and administrative controls, which shall provide assurance that (1) Resources are utilized efficiently, effectively and in compliance with applicable law."

As set forth in OMB Circular A-87, Section C., to be allowable under Federal awards, costs must be adequately documented. Further, the FCIAA requires the Comptroller to establish guidelines for the evaluation of State Agencies' systems of internal control. One component of this evaluation is the completion of an Internal Control Checklist, which requires State agencies to certify compliance with control objectives including that "appropriate payment is made only when satisfactory goods and services are received" and that controls are in place to ensure the State agency "receives the quantity and quality of goods and services ordered".

A key aspect of internal controls is ensuring that documented support exists to ensure that costs charged to Federal awards are commensurate with the value received. Our inspection of 8 invoices representing 87 percent of award expenditures revealed that 6 of the 8 payments lacked at least one required component of support in the form of detail as to hours, rates and fees, project manager's signature, approval of payment authorization document, verification of account coding, or documentation of contract monitoring.

Three primary contractors were retained for the administration of the Grant-funded project. Payments to these contractors amounted to $1,515,484, or approximately 87 percent of total award expenditures as referenced above. Audit procedures revealed that contractor billings were not sufficiently monitored to ensure compliance with contract terms and conditions. Deficiencies were noted in the areas of approvals, sufficiency of detail for travel, time and materials billings, and documented monitoring of contract progress and deliverables. Specifically, the following exceptions were noted:

1. One contractor's billings amounting to $118,400, or 7 percent of total award expenditures, were paid without detail as to hours, rates or the resulting fee calculation. These costs are questioned due to lack of documentation to support the amount billed. This same contractor's billings were processed without the existence of an approved AIS-13 document which should serve to authorize payment by the State Comptroller.

2. Contractor billings were processed without documented approval for payment or proper account coding for two vendors, including the largest contractor representing 71% of total DCG program expenditures.

3. There was limited evidence of monitoring of the largest contractor representing 71% of total DCG program expenditures.

4. Travel incurred by contractors was not always supported with detail travel vouchers. Specifically, $1,195 of travel expenses were not supported by receipts. These costs are questioned due to lack of documentation to support the amount billed.

It should be noted that compensation to the above contractors did not exceed the contractual amounts, and the Board's project manager asserted that the contractors met all deliverables outlined in the statement of work. However, the absence of a thorough review of contractor invoices may allow improper or unsupported costs to be charged to Federal awards.

The above-described deficiencies reflect a lack of sufficient emphasis on review processes to ensure all invoices were thoroughly evaluated for proper detail, approvals and account coding.

Recommendation

We recommend that the EAC address and resolve the following recommendation that the Illinois State Board of Elections:

a. Implement procedures to ensure adherence to Federal and State-mandated internal control processes when administering future Federal awards, including specifically the documentation of monitoring processes with respect to contractor billings and deliverables, and the review and approval of all invoices for compliance with agreed upon contractual provisions and proper account codes, prior to submittal for payment.

b. Resolve the questioned costs amounting to $119,595 cited above.

State Board of Elections' Response:

The Board concurred that some invoices in question were not submitted in accordance with contractual provisions and that documentation of certain monitoring activities was inadequate. However, the Board notes that the invoices identified in the finding were billed and evaluated by deliverable milestone, and that the satisfactory completion of these milestones was verified by a third party review vendor and by in-house staff prior to submittal for payment. The Board asserts that the milestone-based process ensures the achievement of contact objectives without the risk of contact overruns. Accordingly the Board does not consider the referenced costs to be questionable. The Board also stated that, while the travel voucher expenses discussed in the finding above were not supported by travel vouchers, these expenses were properly verified prior to payment. The Board agreed to review and enhance internal procedures to ensure that future vendor billings are submitted and evaluated in accordance with contractual provision and that documentation of such procedures is maintained.

Auditor's Response:

The Board has concluded that the vendor fulfilled the terms of its contract with respect to completion of project milestones. We encourage the Board to request the vendor to also comply with the contractual provisions regarding accounting for time expended at agreed-upon hourly rates to support the associated billings. This information will ensure that any cost savings which may have been achieved through reductions in actual labor hours from those initially planned are shared with the State and are returned to EAC or made available for future HAVA-related projects. The Board should also propose a satisfactory method to justify the $1,195 of travel expenses that were not supported by receipts.

We provided a draft of our report to the appropriate individuals of the Illinois State Board of Elections. We considered any comments received prior to finalizing this report.

The Board responded on August 28, 2013 and generally agreed with the report's findings and recommendations although they assert that all award costs were appropriately incurred and commensurate with the value received. The EAC responded on August 12, 2013 and stated that they would work with the Board to resolve the issues and ensure appropriate corrective action. The Board's complete response is included as Appendix A-1 and the EAC's complete response as Appendix A-2.

McBride, Lock & Associates performed the related audit procedures between January 28, 2013 and June 7, 2013.

(Original Signed by McBride, Lock & Associates)

McBride, Lock & Associates
June 7, 2013

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

August 28, 2013

Mr. Curtis Crider, Inspector General
U.S. Election Assistance Commission
1201 New York Ave. NW – Suite 300
Washington, DC 20005

Mr. Crider,

Please find below the State Board of Elections' (SBE) follow up response to the Revised Draft Audit Report dated August 27, 2013, for the performance audit conducted of award 08-EDC-800176, Election Data Collection Grant:

Finding No. 1 – Documentation of Policies and Procedures

SBE Response – Concur: The Board maintains its original response per its 6/24/13 & 8/5/13 findings letters, and will develop additional Federal-specific policies and procedures for future programs involving expenditures of Federal funds.

Finding No. 2 - Contract Monitoring and Related Internal Control Processes

SBE Response – Concur, with exception to the determination of 'questionable cost': Although the Board feels that sufficient oversight and monitoring activities were performed over contractual vendors assigned to the Data Collection Grant project, adequate documentation to verify those activities to auditors and other outside oversight entities was not properly maintained at the Agency level. In addition, the agency concurs that some processing approvals were not affixed in accordance with Federal and Agency operational rules, and that contractual billings from the project vendor referenced in the finding (VOTEC) were not documented and evaluated in accordance with originally stated contractual provisions.

However, the SBE continues to assert in the VOTEC case that as the Agency became more familiar with the Federal goals and operational needs of the Data Collection Grant project, it became apparent that a milestone-based process was a better method to

ensure that the best outcome was received on contract deliverables. SBE also believes that this evaluation process prevented potential additional expense of Federal funds relative to this contract, as contract payments were based primarily on milestones achieved and eliminated the potential cost for hours worked in excess of the original contract estimate. Consequently, the Board continues to believe that the VOTEC costs should not be considered 'questionable', as full contract value was received, all deliverables were met that ensured the success of the Data Collection Grant project, and unnecessary costs in excess of that required to successfully complete project deliverables were prevented. The Board asks that the EAC take these facts into account when performing their evaluation of 'questionable costs' related to the Illinois program.

In reference to the travel voucher documentation portion of the finding, although a documented travel voucher was not received, SBE did verify and validate the travel noted on the vendor invoice prior to vendor payment. Nonetheless, SBE will continue that future contractual billings are properly documented to verify the appropriateness of all vendor costs submitted for reimbursement.

If you have any questions about our revised response, please feel free to contact either Mike Roate or Jeremy Kirk of the Administrative Services Division at (217) 782-1525.

Sincerely,

Rupert T. Borgsmiller
Executive Director

Cc: Mike Roate
Jeremy Kirk

EAC RESPONSE TO THE DRAFT AUDIT:

OIG Performance Audit Report - Election Data Collection Grant Program Award Number : 08-EDC-800176, State of Illinois

August 12, 2013

MEMORANDUM

To: Curtis Crider
Inspector General

From: Alice P. Miller, Chief Operating Officer &
Acting Executive Director

Subject: Draft Performance Audit Report – *Election Data Collection Grant Program Award Number: 08-EDC-800176, State of Illinois*

Thank you for this opportunity to review and respond to the draft audit report of the Illinois Board of Elections (Board).

The Election Assistance Commission (EAC) will work with the Board to ensure appropriate corrective action.

Appendix B

AUDIT METHODOLOGY

Our audit methodology included:

- Assessing audit risk and significance within the context of the audit objectives.
- Obtaining an understanding of internal control that is significant to the administration of the Grant funds and of relevant information systems controls as applicable.
- Identifying sources of evidence and the amount and type of evidence required.
- Determining whether other auditors have conducted, or are conducting, audits of the program that could be relevant to the audit objectives.

To implement our audit methodology, below are some of the audit procedures we performed.

- Interviewed appropriate Board employees about the organization and operations of the Grant program.
- Reviewed prior state-wide audits, biennial compliance audits and a performance audit related to the State's financial management systems and the Grant program for the period under review.
- Reviewed policies, procedures and regulations for the Board management and accounting systems as they relate to the administration of the Grant program.
- Tested major purchases, in the form of contractual services, and the supporting documentation.
- Tested randomly sampled payments made with Grant funds.
- Evaluated compliance with the requirements for accumulating financial information reported to the Commission on the financial status reports and progress reports, Form SF-269 and SF-PPR, accounting for property, and purchasing Grant related goods and services.

Appendix C

SCHEDULE OF AWARDS AS OF JUNE 30, 2010

	Budget	Actual
Project Staffing Costs:		
Project Leader	$ 262,500	$ -
Information Technology Specialist	75,000	-
Election Information Specialist	65,000	-
Fringe Benefits	113,500	-
Total Project Staffing Costs	$ 516,000	$ -
Contractual Vendor Implementation Costs:		
System Design, Development, Testing, Deployment & Stabilization	$ 1,036,000	$ 1,239,963
Modification Contracts with Voter Tabulation Systems Vendors	420,000	500,115
Total Contractual Vendor Implementation Costs	$ 1,456,000	$ 1,740,078
Project Support Costs:		
Travel	$ 24,000	$ 2,105
Miscellaneous	4,000	18
Total Project Support Costs	$ 28,000	$ 2,123
Total Costs	$ 2,000,000	$ 1,742,201

Appendix D

MONETARY IMPACT AS OF JUNE 30, 2010

Description	Questioned Costs	Additional Funds for Program
Inadequate Contractor Billings	$ 118,400	$ -
Unsupported Travel Charges	1,195	-
Total	$ 119,595	$ -

OIG's Mission	The OIG audit mission is to provide timely, high-quality professional products and services that are useful to OIG's clients. OIG seeks to provide value through its work, which is designed to enhance the economy, efficiency, and effectiveness in EAC operations so they work better and cost less in the context of today's declining resources. OIG also seeks to detect and prevent fraud, waste, abuse, and mismanagement in these programs and operations. Products and services include traditional financial and performance audits, contract and grant audits, information systems audits, and evaluations.
Obtaining Copies of OIG Reports	Copies of OIG reports can be requested by e-mail. (eacoig@eac.gov). Mail orders should be sent to: U.S. Election Assistance Commission Office of Inspector General 1201 New York Ave. NW - Suite 300 Washington, DC 20005 To order by phone: Voice: (202) 566-3100 Fax: (202) 566-0957
To Report Fraud, Waste and Abuse Involving the U.S. Election Assistance Commission or Help America Vote Act Funds	By Mail: U.S. Election Assistance Commission Office of Inspector General 1201 New York Ave. NW - Suite 300 Washington, DC 20005 E-mail: eacoig@eac.gov OIG Hotline: 866-552-0004 (toll free) FAX: 202-566-0957

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$4,279,100	$2,231,247.79	$0.00	$2,047,852.21	52.14%
STATE PAID RETIREMENT	$171,200	$88,723.45	$0.00	$82,476.55	51.82%
RETIREMENT (inc. supplemental)	$0	$0.00	$0.00	$0.00	0.00%
SOCIAL SECURITY	$327,700	$163,380.94	$0.00	$164,319.06	49.86%
CONTRACTUAL SERVICE	$1,102,800	$397,613.90	$255,193.65	$449,992.45	36.05%
TRAVEL	$116,400	$34,025.73	$0.00	$82,374.27	29.23%
PRINTING	$37,600	$6,051.24	$0.00	$31,548.76	16.09%
COMMODITIES	$40,400	$13,680.03	$0.00	$26,719.97	33.86%
EQUIPMENT	$142,100	$29,002.35	$0.00	$113,097.65	20.41%
TELECOMMUNICATIONS	$143,700	$49,670.74	$0.00	$94,029.26	34.57%
OPERATION OF AUTO EQUIPMENT	$4,900	$586.71	$0.00	$4,313.29	11.97%
ELECTION CODE BOOKS	$17,500	$0.00	$0.00	$17,500.00	0.00%
IVRS LUMP SUM - OPERATIONS	$478,600	$187,037.84	$0.00	$291,562.16	39.08%
INTEREST PAYMENTS	$0	$0.00	$0.00	$0.00	0.00%
SUB-TOTAL (OPERATIONS)	**$6,862,000**	**$3,201,020.72**	**$255,193.65**	**$3,405,785.63**	**46.65%**
HAVA MAINTENANCE OF EFFORT	$550,000	$174,419.62	$202,402.01	$173,178.37	31.71%
CO CLERK & RECORDER STIPENDS (FUND 802)	$806,000	$0.00	$0.00	$806,000.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)	$2,300,000	$0.00	$0.00	$2,300,000.00	0.00%
ELECTION JUDGES/EARLY VOTING	$0	$0.00	$0.00	$0.00	0.00%
IVRS LUMP SUM - GRANTS	$3,221,400	$0.00	$0.00	$3,221,400.00	0.00%
REDISTRICTING	$0	$0.00	$0.00	$0.00	0.00%
ADDITIONAL STATE MATCH	$0	$0.00	$0.00	$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	$0	$0.00	$0.00	$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	$65,000	$6,684.76	$0.00	$58,315.24	10.28%
IDIS SYSTEM REPLACEMENT	$0	$0.00	$0.00	$0.00	0.00%
SUB-TOTAL (GRANTS)	**$6,942,400.00**	**$181,104.38**	**$202,402.01**	**$6,558,893.61**	**2.61%**
TOTAL APPROPRIATION	**$13,804,400**	**$3,382,125.10**	**$457,595.66**	**$9,964,679.24**	**24.50%**

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	$3,000.00	$353.31	$2,646.69	11.78%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	$3,600.00	$1,800.00	$1,800.00	50.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	$18,000.00	$6,116.25	$11,883.75	33.98%
1274 Reg. Fees & Conf. Expenses (Vendor)	$1,000.00	$550.00	$450.00	55.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	$100.00		$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	$500.00	$28.90	$471.10	5.78%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	$1,000.00	$406.91	$593.09	40.69%
TRAVEL	$19,000.00	$10,182.72	$8,817.28	53.59%
EQUIPMENT				
1510 Office Furniture & Equipment	$700.00	$129.00	$571.00	18.43%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

BOARD GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	**$27,200.00**	**$9,255.37**		**$17,944.63**
TRAVEL	**$19,000.00**	**$10,182.72**		**$8,817.28**
EQUIPMENT	**$700.00**	**$129.00**		**$571.00**
INTEREST PAYMENTS	**$0.00**	**$0.00**		**$0.00**
TOTAL	**$46,900.00**	**$19,567.09**	**$0.00**	**$27,332.91**

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$754,400.00	$379,749.49	$374,650.51	50.34%
1129 State Paid Retirement	$30,200.00	$15,227.59	$14,972.41	50.42%
1161 Retirement	$0.00		$0.00	0.00%
1161-008 Compt. Supplemental Retirement	$0.00		$0.00	0.00%
1170 Social Security	$57,800.00	$27,580.17	$30,219.83	47.72%
CONTRACTUAL SERVICE				
1201 Petty Cash	$400.00		$400.00	0.00%
1205 Freight Express & Drayage	$600.00		$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	$14,500.00	$3,614.39	$10,885.61	24.93%
1223 Repair/Maint. Real Property	$2,000.00	$832.18	$1,167.82	41.61%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	$32,800.00	$1,559.90	$31,240.10	4.76%
1232 Rental, Motor Vehicles	$4,600.00	$1,800.00	$2,800.00	39.13%
1233 Rental, Real Property	$396,000.00	$263,115.00	$132,885.00	66.44%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	$1,000.00		$1,000.00	0.00%
1240 Statistical & Tabulation Services	$21,600.00	$10,401.82	$11,198.18	48.16%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	$1,000.00		$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	$76,700.00	$27,062.88	$49,637.12	35.28%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	$800.00		$800.00	0.00%
1275 Subscription & Information Services	$2,600.00	$1,917.14	$682.86	73.74%
1276 Reg.Fees & Conf. Expenses (Employee)	$600.00	$550.00	$50.00	91.67%
1277 Association Dues	$2,000.00	$1,765.00	$235.00	88.25%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	$100.00		$100.00	0.00%
1289 Contractual Services, NEC	$11,500.00	$327.20	$11,172.80	2.85%
TRAVEL				
1291 In-State	$11,600.00	$3,232.40	$8,367.60	27.87%
1292 Out-of-State	$1,800.00	$1,757.83	$42.17	97.66%
1295 Mileage	$1,000.00	$949.20	$50.80	94.92%
PRINTING	$9,800.00	$3,595.78	$6,204.22	36.69%
COMMODITIES				
1304 Office/Library Supplies	$14,900.00	$3,956.86	$10,943.14	26.56%
1306 Foor for Human Consumption				
1335 Rock Salt	$100.00	$23.99	$76.01	23.99%
1391 Household & Cleaning Supplies	$300.00	$240.42	$59.58	80.14%
1394 Office/Library Equip., Not exc. $100				
1395 Small Tools Not Exceeding $100				
1398 Equipment, NEC	$500.00	$188.98	$311.02	37.80%
1399 Commodities, NEC	$600.00	$141.40	$458.60	23.57%
EQUIPMENT				
1510 Office Furniture & Equipment	$5,000.00	$259.99	$4,740.01	5.20%
1599 Equipment NEC	$1,000.00		$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	$51,000.00	$22,653.96	$28,346.04	44.42%
1722 Rental, Data Comm. Serv. & Equip.	$81,000.00	$23,630.68	$57,369.32	29.17%
1728 Videoconferencing	$7,200.00	$3,386.10	$3,813.90	47.03%
1729 Rental, Other Comm. Serv. & Equip.	$3,000.00		$3,000.00	0.00%
1730 Parts & Supplies for Telephone	$1,500.00		$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	$1,800.00		$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	$200.00		$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	$2,900.00	$586.71	$2,313.29	20.23%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

ADMINISTRATION GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$754,400.00	$379,749.49		$374,650.51	50.34%
STATE PAID RETIREMENT	$30,200.00	$15,227.59		$14,972.41	50.42%
RETIREMENT (incl. supplemental funding)	$0.00	$0.00		$0.00	0.00%
SOCIAL SECURITY	$57,800.00	$27,580.17		$30,219.83	47.72%
CONTRACTUAL SERVICE	$568,800.00	$312,945.51	$132,660.00	$123,194.49	55.02%
TRAVEL	$14,400.00	$5,939.43		$8,460.57	41.25%
PRINTING	$9,800.00	$3,595.78		$6,204.22	36.69%
COMMODITIES	$16,400.00	$4,551.65		$11,848.35	27.75%
EQUIPMENT	$6,000.00	$259.99		$5,740.01	4.33%
TELECOMMUNICATIONS	$143,700.00	$49,670.74		$94,029.26	34.57%
OPERATION OF AUTO EQUIPMENT	$4,900.00	$586.71		$4,313.29	11.97%
INTEREST PAYMENTS	$0.00	$0.00		$0.00	0.00%
TOTAL	$1,606,400.00	$800,107.06	$132,660.00	$673,632.94	49.81%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$1,702,500.00	$873,218.87	$829,281.13	51.29%
1129 State Paid Retirement	$68,100.00	$34,329.58	$33,770.42	50.41%
1161 Retirement	$0.00		$0.00	0.00%
1161-008 Compt. Supplemental Retirement	$0.00		$0.00	0.00%
1170 Social Security	$130,300.00	$63,777.50	$66,522.50	48.95%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee	$100.00	$60.00	$40.00	60.00%
1205 Freight Express & Drayage	$4,200.00		$4,200.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	$200.00		$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip				
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	$100.00		$100.00	0.00%
1239 Rental, NEC	$2,200.00	$100.00	$2,100.00	4.55%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	$12,900.00		$12,900.00	0.00%
1266 Court Reporting & Filing Services	$100.00	$52.00	$48.00	52.00%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	$3,400.00		$3,400.00	0.00%
1275 Subscription & Information Services	$600.00		$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	$1,700.00	$960.00	$740.00	56.47%
1277 Association Dues	$1,200.00	$907.00	$293.00	75.58%
1279 Employee Tuition & Fees	$200.00		$200.00	0.00%
1280 Copying, Photographic & Printing Services	$1,000.00	$415.18	$584.82	41.52%
1284 Software	$100.00	$49.95	$50.05	49.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	$5,400.00	$1,688.33	$3,711.67	31.27%
TRAVEL	$51,300.00	$7,959.32	$43,340.68	15.52%
PRINTING	$6,900.00	$1,099.46	$5,800.54	15.93%
EQUIPMENT				
1510 Office Furniture & Equipment	$3,300.00	$580.00	$2,720.00	17.58%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	$550,000.00	$174,419.62	$375,580.38	31.71%
ELECTION CODE BOOKS	$17,500.00		$17,500.00	0.00%
Redistricting				
Election Judge Reimbursements (Fund 802)	$2,300,000.00		$2,300,000.00	0.00%
Stipends (Fund 802)	$806,000.00		$806,000.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation	$65,000.00	$6,684.76	$58,315.24	10.28%
Electronic Canvassing Interest Payments				
IDIS Replacement System				

ELECTIONS GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$1,702,500.00	$873,218.87		$829,281.13	51.29%
STATE PAID RETIREMENT	$68,100.00	$34,329.58		$33,770.42	50.41%
RETIREMENT (incl. supplemental funding)	$0.00	$0.00		$0.00	0.00%
SOCIAL SECURITY	$130,300.00	$63,777.50		$66,522.50	48.95%
CONTRACTUAL SERVICE	$33,400.00	$4,232.46		$29,167.54	12.67%
TRAVEL	$51,300.00	$7,959.32		$43,340.68	15.52%
PRINTING	$6,900.00	$1,099.46		$5,800.54	15.93%
EQUIPMENT	$3,300.00	$580.00		$2,720.00	17.58%
HAVA - Maintenance of Effort	$550,000.00	$174,419.62	$202,402.01	$173,178.37	31.71%
ELECTION CODE BOOKS	$17,500.00	$0.00		$17,500.00	0.00%
Redistricting	$0.00	$0.00		$0.00	0.00%
Election Judge Reimbursements (FUND 802)	$2,300,000.00	$0.00		$2,300,000.00	0.00%
Stipends (FUND 802)	$806,000.00	$0.00		$806,000.00	0.00%
Early Voting Election Judges	$0.00	$0.00		$0.00	0.00%
State HAVA Match	$0.00	$0.00		$0.00	0.00%
Voting System Integrity Center	$0.00	$0.00		$0.00	0.00%
Electronic Canvassing Implementation	$65,000.00	$6,684.76		$58,315.24	10.28%
Electronic Canvassing Interest Payments	$0.00	$0.00		$0.00	0.00%
IDIS Replacement System	$0.00	$0.00		$0.00	0.00%
INTEREST PAYMENTS	$0.00	$0.00		$0.00	0.00%
TOTAL	$5,734,300.00	$1,166,301.57	$202,402.01	$4,365,596.42	20.34%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$315,100.00	$184,577.80	$130,522.20	58.58%
1129 State Paid Retirement	$12,600.00	$7,389.27	$5,210.73	58.65%
1161 Retirement	$0.00		$0.00	0.00%
1170 Social Security	$24,200.00	$13,583.73	$10,616.27	56.13%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	$500.00	$21.37	$478.63	4.27%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	$100,000.00	$12,961.00	$87,039.00	12.96%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	$15,000.00	$3,784.75	$11,215.25	25.23%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	$1,000.00		$1,000.00	0.00%
1275 Subscription & Information Services	$1,000.00	$67.89	$932.11	6.79%
1276 Reg. Fees & Conf. Expenses (Employee)	$1,500.00	$160.00	$1,340.00	0.00%
1277 Association Dues	$2,000.00	$1,026.00	$974.00	51.30%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	$700.00		$700.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	$7,000.00	$3,120.02	$3,879.98	44.57%
TRAVEL	$9,500.00	$4,916.74	$4,583.26	51.76%
EQUIPMENT				
1510 Office Furniture & Equipment	$500.00		$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

GENERAL COUNSEL GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$315,100.00	$184,577.80		$130,522.20	58.58%
STATE PAID RETIREMENT	$12,600.00	$7,389.27		$5,210.73	58.65%
RETIREMENT	$0.00	$0.00		$0.00	0.00%
SOCIAL SECURITY	$24,200.00	$13,583.73		$10,616.27	56.13%
CONTRACTUAL SERVICE	$128,700.00	$21,141.03	73,039.00	$34,519.97	16.43%
TRAVEL	$9,500.00	$4,916.74		$4,583.26	51.76%
EQUIPMENT	$500.00	$0.00		$500.00	0.00%
INTEREST PAYMENTS	$0.00	$0.00		$0.00	0.00%
TOTAL	**$490,600.00**	**$231,608.57**	**$73,039.00**	**$185,952.43**	**47.21%**

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
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CAMPAIGN DISCLOSURE MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$779,600.00	$418,447.63	$361,152.37	53.67%
1129 State Paid Retirement	$31,200.00	$16,747.05	$14,452.95	53.68%
1161 Retirement	$0.00		$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	$0.00		$0.00	0.00%
1170 Social Security	$59,700.00	$30,580.20	$29,119.80	51.22%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	$100.00		$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	$1,000.00		$1,000.00	0.00%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)	$600.00	$550.00	$50.00	91.67%
1277 Association Dues				
1279 Employee Tuition and Fees	$600.00		$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	$7,200.00	$501.38	$6,698.62	6.96%
1292 Out-of-State	$3,800.00	$1,567.65	$2,232.35	41.25%
1295 Mileage	$300.00	$242.95	$57.05	80.98%
PRINTING	$20,200.00	$1,356.00	$18,844.00	6.71%
EQUIPMENT				
1510 Office Furniture & Equipment	$500.00		$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

CAMPAIGN DISCLOSURE GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$779,600.00	$418,447.63		$361,152.37	53.67%
STATE PAID RETIREMENT	$31,200.00	$16,747.05		$14,452.95	53.68%
RETIREMENT (incl. supplemental funding)	$0.00	$0.00		$0.00	0.00%
SOCIAL SECURITY	$59,700.00	$30,580.20		$29,119.80	51.22%
CONTRACTUAL SERVICE	$2,300.00	$550.00		$1,750.00	23.91%
TRAVEL	$11,300.00	$2,311.98		$8,988.02	20.46%
PRINTING	$20,200.00	$1,356.00		$18,844.00	6.71%
EQUIPMENT	$500.00	$0.00		$500.00	0.00%
INTEREST PAYMENTS	$0.00	$0.00		$0.00	0.00%
TOTAL	$904,800.00	$469,992.86	$0.00	$434,807.14	51.94%

STATE BOARD OF ELECTIONS
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INFORMATION TECHNOLOGY MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	$727,500.00	$375,254.00	$352,246.00	51.58%
1129 State Paid Retirement	$29,100.00	$15,029.96	$14,070.04	51.65%
1161 Retirement	$0.00		$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	$0.00		$0.00	0.00%
1170 Social Security	$55,700.00	$27,859.34	$27,840.66	50.02%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	$10,200.00		$10,200.00	0.00%
1223 Repair/Maint. Real Property	$1,600.00	$1,566.84	$33.16	97.93%
1225 Repair/Maint. EDP Equipment	$88,300.00	$17,191.90	$71,108.10	19.47%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	$13,700.00	$1,098.95	$12,601.05	8.02%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	$94,300.00		$94,300.00	0.00%
1271 Surety Bond & Ins. Prem.	$1,000.00	$618.00	$382.00	61.80%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	$6,000.00		$6,000.00	0.00%
1275 Subscription & Information Services	$600.00		$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	$8,000.00		$8,000.00	0.00%
1284 Computer Software	$117,700.00	$29,013.84	$88,686.16	24.65%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	$1,000.00		$1,000.00	0.00%
TRAVEL				
1291 In-State	$5,200.00	$2,487.28	$2,712.72	47.83%
1292 Out-of-State	$5,400.00		$5,400.00	0.00%
1295 Mileage	$300.00	$228.26	$71.74	76.09%
PRINTING	$700.00		$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	$21,100.00	$6,315.68	$14,784.32	29.93%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under $100	$100.00	$97.90	$2.10	97.90%
1395 Small Tools < $100				
1398 Equipment, NEC	$2,800.00	$2,714.80	$85.20	96.96%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment	$4,900.00	$4,851.89	$48.11	99.02%
1515 EDP Equipment	$126,200.00	$23,181.47	$103,018.53	18.37%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

INFORMATION TECHNOLOGY GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	**$727,500.00**	**$375,254.00**		**$352,246.00**	**51.58%**
STATE PAID RETIREMENT	**$29,100.00**	**$15,029.96**		**$14,070.04**	**51.65%**
RETIREMENT	**$0.00**	**$0.00**		**$0.00**	**0.00%**
SOCIAL SECURITY	**$55,700.00**	**$27,859.34**		**$27,840.66**	**50.02%**
CONTRACTUAL SERVICE	**$342,400.00**	**$49,489.53**	**$49,494.65**	**$243,415.82**	**14.45%**
TRAVEL	**$10,900.00**	**$2,715.54**		**$8,184.46**	**24.91%**
PRINTING	**$700.00**	**$0.00**		**$700.00**	**0.00%**
COMMODITIES	**$24,000.00**	**$9,128.38**		**$14,871.62**	**38.03%**
EQUIPMENT	**$131,100.00**	**$28,033.36**		**$103,066.64**	**21.38%**
INTEREST PAYMENTS	**$0.00**	**$0.00**		**$0.00**	**0.00%**
TOTAL	**$1,321,400.00**	**$507,510.11**	**$49,494.65**	**$764,395.24**	**38.41%**

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IVRS LUMP SUM MONTH ENDING: Jan. 31, 2014	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE	$167,516.33
1129 State Paid Retirement	$6,703.43
1161 Retirement	
1170 Social Security	$12,034.15
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	$409.50
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	$244.43
PRINTING	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than $100	
EQUIPMENT	
1510 Office Furniture & Equipment	$130.00
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
SUPP. APPROP. - IVRS	

LUMP SUM - OPERATIONS APPROP FOR YEAR	**$478,600.00**
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	**$187,037.84**
REMAINING LUMP SUM APPROPRIATION	**$291,562.16**

LUMP SUM - GRANTS APPROP FOR YEAR	**$3,221,400.00**
TOTAL LUMP SUM - GRANT EXPENDITURES	**$0.00**
REMAINING LUMP SUM APPROPRIATION	**$3,221,400.00**

LUMP SUM TOTAL APPROPRIATION	**$3,700,000.00**
TOTAL LUMP SUM EXPENDITURES	**$187,037.84**
REMAINING LUMP SUM APPROPRIATION	**$3,512,962.16**

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AGENCY TOTALS MONTH ENDING: Jan. 31, 2014	SECTION 101 Discretionary Funds	SECTION 102 Entitlement Payments	SECTION 261 EAID Disbursements	SECTION 251 Requirements	SECTION 251 Additional Requirements	TOTAL Fund Activity
CASH BALANCE AT BEGINNING OF YR	**$576,918.41**	**$0.00**	**$0.00**	**$4,138,518.14**	**$5,329,534.45**	**$10,044,971.00**
Program Revenues from Federal Govt			$31,276.10			$31,276.10
Miscellaneous Revenues	$15,000.00					$15,000.00
Interest Earned on IOC Balances	$1,158.09			$8,153.67	$9,747.24	$19,059.00
Interest Penalties Received	$64,776.83				$77,567.92	$142,344.75
EAC-Mandated Transfers of Interest						$0.00
State Match Receipts						$0.00
TOTAL CASH REVENUES	**$80,934.92**	**$0.00**	**$31,276.10**	**$8,153.67**	**$87,315.16**	**$207,679.85**
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
STATE-PAID RETIREMENT	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
RETIREMENT	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
SOCIAL SECURITY	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
GROUP INSURANCE	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
CONTRACTUAL SERVICE	$1,897.00	$0.00	$0.00	$33,280.66	$0.00	$35,177.66
TRAVEL	$10,083.47	$0.00	$0.00	$284.51	$0.00	$10,367.98
PRINTING	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
COMMODITIES	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
EQUIPMENT	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
TELECOMMUNICATIONS	$169.44	$0.00	$0.00	$0.00	$0.00	$169.44
OPERATION OF AUTO. EQUIPMENT	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
INDIRECT COST REFUNDS	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	**$12,149.91**	**$0.00**	**$0.00**	**$33,565.17**	**$0.00**	**$45,715.08**
AWARDS & GRANTS	$0.00	$0.00	$32,427.10	$16,877.11	$975,314.66	$1,024,618.87
TOTAL CASH EXPENDITURES	**$12,149.91**	**$0.00**	**$32,427.10**	**$50,442.28**	**$975,314.66**	**$1,070,333.95**
CASH BALANCE AT END OF MONTH	**$645,703.42**	**$0.00**	**($1,151.00)**	**$4,096,229.53**	**$4,441,534.95**	**$9,182,316.90**

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SECTION 101 - DISCRETIONARY FUNDS MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC	$504.00	$504.00
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)	$1,393.00	$1,393.00
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State & 1293 In-State (to vendor)	$2,391.07	$2,391.07
1292 Out-of-State	$5,668.57	$5,668.57
1295 Mileage	$2,023.83	$2,023.83
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment	$0.00	
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.	$169.44	$169.44
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund	$0.00	
GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments (PPA)		
4470 Grants to Local Governments (EAI)		
4470 Grants to Local Governments (AAG)		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

SECTION 101 GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	**$0.00**	**$0.00**	
STATE PAID RETIREMENT	**$0.00**	**$0.00**	
RETIREMENT	**$0.00**	**$0.00**	
SOCIAL SECURITY	**$0.00**	**$0.00**	
GROUP INSURANCE	**$0.00**	**$0.00**	
CONTRACTUAL SERVICE	**$1,897.00**	**$1,897.00**	
TRAVEL	**$10,083.47**	**$10,083.47**	
PRINTING	**$0.00**	**$0.00**	
COMMODITIES	**$0.00**	**$0.00**	
EQUIPMENT	**$0.00**	**$0.00**	
TELECOMMUNICATIONS	**$169.44**	**$169.44**	
OPERATION OF AUTO EQUIPMENT	**$0.00**	**$0.00**	
INDIRECT COST REFUNDS	**$0.00**	**$0.00**	
GRANTS	**$0.00**	**$0.00**	
TOTAL	**$12,149.91**	**$12,149.91**	**$0.00**

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SECTION 102 - ENTITLEMENT PAYMENTS MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1394 Office/Library Equip., Not Exceed. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1599 Equipment, NEC		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments, NEC		

SECTION 102 GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	**$0.00**	**$0.00**	
STATE PAID RETIREMENT	**$0.00**	**$0.00**	
RETIREMENT	**$0.00**	**$0.00**	
SOCIAL SECURITY	**$0.00**	**$0.00**	
CONTRACTUAL SERVICE	**$0.00**	**$0.00**	
TRAVEL	**$0.00**	**$0.00**	
PRINTING	**$0.00**	**$0.00**	
COMMODITIES	**$0.00**	**$0.00**	
EQUIPMENT	**$0.00**	**$0.00**	
TELECOMMUNICATIONS	**$0.00**	**$0.00**	
OPERATION OF AUTO EQUIPMENT	**$0.00**	**$0.00**	
GRANTS	**$0.00**	**$0.00**	
TOTAL	**$0.00**	**$0.00**	**$0.00**

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SECTION 261 - EAID DISBURSEMENTS MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1394 Office/Library Equip., Not exc. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1599 Equipment, NEC		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund	$0.00	
GRANTS		
4453 Reimbursement to Governmental Units		
4470 Grants to Local Governments (VAID II)		
4470 Grants to Local Governments (VAID III)		
4470 Grants to Local Governments (VAID IV)		
4470 Grants to Local Governments (VAID V)	$32,427.10	$32,427.10

SECTION 261 GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	**$0.00**	**$0.00**	
STATE PAID RETIREMENT	**$0.00**	**$0.00**	
RETIREMENT	**$0.00**	**$0.00**	
SOCIAL SECURITY	**$0.00**	**$0.00**	
CONTRACTUAL SERVICE	**$0.00**	**$0.00**	
TRAVEL	**$0.00**	**$0.00**	
PRINTING	**$0.00**	**$0.00**	
COMMODITIES	**$0.00**	**$0.00**	
EQUIPMENT	**$0.00**	**$0.00**	
TELECOMMUNICATIONS	**$0.00**	**$0.00**	
OPERATION OF AUTO EQUIPMENT	**$0.00**	**$0.00**	
INDIRECT COST REFUNDS	**$0.00**	**$0.00**	
GRANTS	**$32,427.10**	**$32,427.10**	
TOTAL	**$32,427.10**	**$32,427.10**	**$0.00**

STATE BOARD OF ELECTIONS
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SECTION 251 - REQUIREMENTS MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC	$5,804.23	$5,804.23
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software	$27,476.43	$27,476.43
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State	$284.51	$284.51
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund		
GRANTS		
4453 Reimbursement to Govt Units (AVE)		
4453 Reimbursement to Govt Units (Phase II)	$16,877.11	$16,877.11
4453 Reimbursement to Govt Units (ALA)		
4453 Reimbursement to Govt Units (EDG)		
4470 Grants to Local Governments (VRS)		
4479 Payments to Other State Agencies		

SECTION 251 (OLD REQ) GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	**$0.00**	**$0.00**	
STATE PAID RETIREMENT	**$0.00**	**$0.00**	
RETIREMENT	**$0.00**	**$0.00**	
SOCIAL SECURITY	**$0.00**	**$0.00**	
GROUP INSURANCE	**$0.00**	**$0.00**	
CONTRACTUAL SERVICE	**$33,280.66**	**$33,280.66**	**$31,726.22**
TRAVEL	**$284.51**	**$284.51**	
PRINTING	**$0.00**	**$0.00**	
COMMODITIES	**$0.00**	**$0.00**	
EQUIPMENT	**$0.00**	**$0.00**	
TELECOMMUNICATIONS	**$0.00**	**$0.00**	
OPERATION OF AUTO EQUIPMENT	**$0.00**	**$0.00**	
INDIRECT COST REFUNDS	**$0.00**	**$0.00**	
GRANTS	**$16,877.11**	**$16,877.11**	
TOTAL	**$50,442.28**	**$50,442.28**	**$31,726.22**

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SECTION 251 - ADDITIONAL REQUIREMENTS MONTH ENDING: Jan. 31, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. $100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund		
GRANTS		
4453 Reimbursement to Govt Units (AVE)		
4453 Reimbursement to Govt Units (Phase II)		
4453 Reimbursement to Govt Units (ALA)		
4453 Reimbursement to Govt Units (EDG)		
4470 Grants to Local Governments (VRS)	$975,314.66	$975,314.66
4479 Payments to Other State Agencies		

SECTION 251 (NEW REQ) GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	$0.00	$0.00	
STATE PAID RETIREMENT	$0.00	$0.00	
RETIREMENT	$0.00	$0.00	
SOCIAL SECURITY	$0.00	$0.00	
GROUP INSURANCE	$0.00	$0.00	
CONTRACTUAL SERVICE	$0.00	$0.00	
TRAVEL	$0.00	$0.00	
PRINTING	$0.00	$0.00	
COMMODITIES	$0.00	$0.00	
EQUIPMENT	$0.00	$0.00	
TELECOMMUNICATIONS	$0.00	$0.00	
OPERATION OF AUTO EQUIPMENT	$0.00	$0.00	
INDIRECT COST REFUNDS	$0.00	$0.00	
GRANTS	$975,314.66	$975,314.66	
TOTAL	$975,314.66	$975,314.66	$0.00

Start Date	End Date	Activity	Division
2 /3 /2014		Begin preparation of packet materials for 2014 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed Feb 28, 2014	CAMP DISC
2 /4 /2014		Remind the Driver and Motor Vehicle Services of the registration cut off of 27 days before an election.	LEG
2 /6 /2014		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use in the General Primary Election.	VRS
2 /6 /2014		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the General Primary Election. (Rules and Regulations)	VRS
2 /8 /2014		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the December 15, 2013 submission.	VRS
2 /12/2014		Notify election authorities of their need to give public test notification and re-tabulation notification for General Primary.	VRS
2 /14/2014		The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file either the 2013 SEPTEMBER OR DECEMBER QUARTERLY REPORTS OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425	CAMP DISC
2 /16/2014		First day of period during which independent expenditures of $1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
2 /16/2014		First day of period during which any receipt of a contribution of $1000 or more by a political committee participating in the general primary election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(e)	CAMP DISC

Start Date	End Date	Activity	Division
2 /17/2014		Begin testing of the automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VRS
2 /18/2014		Last day for voter registration or transfer of registration prior to March 18th General Primary Election. 10 ILCS 5/4,5,6	EL INFO
2 /18/2014		First day for election authority to submit computerized voter registration file for the Primary Election 2014. 10 ILCS 5/4-8,5-7,6-36	VRS
2 /18/2014		Complete draft of Board's field and office plan for Election Day.	EL INFO
2 /19/2014		First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
2 /19/2014		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
2 /19/2014		Governor's budget message. 15 ILCS 20/50-5 (3rd Wednesday)	LEG
2 /20/2014		Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VRS
2 /25/2014		Have petitions available for new political party and independent candidates. (Candidates may begin to circulate petitions March 25, 2014). 10 ILCS 5/1A-8 (4)	EL INFO
2 /26/2014		Complete profile of pre-election Referenda. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
2 /26/2014		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15	VRS
2 /28/2014		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2014 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
2 /28/2014		Last day for election authorities to submit request for extension to file computerized voter registration information, for the Primary Elections 2014. Rules and Regulations	VRS

Start Date	End Date	Activity	Division
2 /28/2014		Last day for election authorities to submit computerized voter registration file, for the Primary Election 2014. 10 ILCS 5/4-8,5-7,6-36	VRS
3 /3 /2014		First day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
3 /7 /2014		Date those jurisdictions utilizing in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15	VRS
3 /7 /2014		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdiction's 5% re-tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15	VRS
3 /7 /2014		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15	VRS
3 /11/2014		Last day for election authority to notify public of time, date, and place of public test for General Primary. 10 ILCS 5/24B-9	VRS
3 /11/2014		Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% retabulation. 10 ILCS 5/24B-15,24C-15	VRS
3 /13/2014		Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VRS
3 /15/2014		Last day of grace period registration, change of address and voting. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
3 /15/2014		Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
3 /17/2014		Last day of period during which independent expenditures of $1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC

Start Date	End Date	Activity	Division
3 /17/2014		Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next General Primary Election. 10 ILCS 5/24B-9, 24C-9	VRS
3 /17/2014		BOARD MEETING. 10 ILCS 5/1A7, 22-7	EXEC DIR
3 /17/2014		Last day of period during which any receipt of a contribution of $1000 or more by a political committee participating in the general primary election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c).	CAMP DISC
3 /18/2014		GENERAL PRIMARY ELECTION.	EL INFO
3 /19/2014		Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15	VRS
3 /19/2014		Destroy the sealed program or programs from the previous election of the same type. 10 ILCS 5/24B-9	VRS
3 /21/2014		Send notice to the current county party chairmen reminding them of their legal responsibility to provide the SBE with a list of their PRECINCT OFFICIALS. Their statutory deadline: April 28 2014. 10 ILCS 5/7-9	ET&RD
3 /21/2014		Committee Deadline Final day for SENATE committee action on SENATE bills. (Senate Rule) Final day for HOUSE committee action on HOUSE bills. (House Rule)	LEG
3 /21/2014		Begin review and update of the SBE ELECTION JUDGES TRAINING PROGRAM and TESTS for election judges. For the November General Election. 10 ILCS 5/1A-8 (1,2,3,6,11)	ET&RD

Start Date	End Date	Activity	Division
3 /21/2014		Begin development of the MANUALS OF INSTRUCTION FOR ELECTION JUDGES FOR 2015 Our goal will be to have the drafts ready for legal review by July 31. We must begin work on these books early so as to avoid scheduling conflicts with other projects such as any work required on possible statewide petition filing's) during the summer months and election judges' training sessions during the 2-month period before the General Election. 10 ILCS 5/1A-8 (1, 2, 3, 4, 11)	ET&RD
3 /25/2014		Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the General Primary Election. (Rules and Regulations)	VRS
3 /30/2014		Send to each election authority a current computerized voter registration error report, from the Primary Election 2014 submission.	VRS
3 /30/2014		Send notice to election authorities who failed to submit a computerized voter registration file, for the Primary Election 2014. Rules and Regulations	VRS
4 /1 /2014		First day that any political committee shall file its 2014 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
4 /1 /2014		Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight March 18, 2014. 10 ILCS 5/19-8(c), 20-8(c)	LEG
4 /2 /2014		Last day for election authority to notify public of time, date, and place of re-tabulation for General Primary. 10 ILCS 5/24B-15	VRS
4 /2 /2014		Begin canvass as soon as abstracts are received from county clerks. (Complete by: April 18, 2014 - depends on how soon abstracts are received from county clerks.) 10 ILCS 5/1A-8 (1, 2, 6, 11)	EL INFO
4 /4 /2014		Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VRS

4. Follow up.

5. Comments from the general public.

6. Next regular Board meeting at 10:30 a.m. on Monday, March 17, 2014 in Springfield.

7. Executive session.