

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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100 W. Randolph St, Ste 14-100
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Tuesday, December 18, 2012
10:30 a.m.

James R. Thompson Center – Room 2-025
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

1. Approval of the minutes from the November 19 and December 2 meetings. (pgs.1-8)
2. Presentation of resolution in honor of Bob Sawicki. (pg.9)
3. Report of the General Counsel
 - a. Campaign Disclosure;
Request for settlement offer
 - 1) *SBE v. Citizens for Spitz*, 18183; (pgs.10-13)
 - 2) *SBE v. Citizens for Waguespack*, 19898; (pgs.14-16)Appeals of campaign disclosure fines – carryover appeals – hearing officer recommendation appeals be denied
 - 3) *SBE v. Friends of Derrick Smith*, 22275, 12AM026 – carryover from October; (pgs.17-23)
 - 4) *SBE v. Stipend*, 1118, 12MA078 – carryover from November; (pgs.24-39)
 - 5) *SBE v. Joy Cunningham for Justice*, 23691, 12AM108 – carryover from November; (pgs.40-53)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
 - 6) *SBE v. Committee to Elect McMillan*, 17202, 12JQ048; (pgs.54-56)
 - 7) *SBE v. Friends for Lawson*, 18257, 12AJ030; (pgs.57-63)
 - 8) *SBE v. Batavia PAC for Education*, 20897, 12MQ293; (pgs.64-67)
 - 9) *SBE v. Citizens for Tate and Cantelo-Zylman*, 23436, 12JQ114; (pgs.68-70)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
 - 10) *SBE v. Cook County Democratic Party*, 163, 12AM002; (pgs.71-76)
 - 11) *SBE v. PAC of the Structural Engineers Association of IL (SEA PAC)*, 4943, 12AJ018; (pgs.77-80)
 - 12) *SBE v. Family PAC*, 8185, 10AG095; (pgs.81-89)
 - 13) *SBE v. Dundee Township Democratic Central Committee*, 18097, 12MQ291; (pgs.90-93)
 - 14) *SBE v. Committee for a Better 7th Ward*, 19217, 07JS139; (pgs.94-99)

- 15) *SBE v. Alliance for Living PAC*, 20835, 12AJ041; (pgs.100-103)
 - 16) *SBE v. Change 4 Bensenville*, 21533, 12MA085; (pgs.104-107)
Complaint following public hearing
 - 17) *Blum v. SCAN, et. al.*, 12CD121; (pgs.108-128)
Other campaign disclosure items
 - 18) Payment of civil penalties – informational; (pg.129)
Complaint following closed preliminary hearing (separate packet)
 - 19) *Cicero Voters Alliance v. Citizens for Juan Ochoa*, 12CD134.
- 4. Report of the Executive Director
 - a. Special Election in the 2nd Congressional District; (pgs.130-145)
 - b. Legislative update; (pgs.146-154)
 - c. Late precinct reporting; (pgs.155-159)
 - d. Report on COGEL conference; (pg.160)
 - e. IVRS update – informational; (pgs.161-164)
 - f. Census data versus registered voters; (pg.165)
 - g. Consideration of FY14 appropriation request; (pg.166)
 - h. Fiscal status reports – informational;
 - 1) FY13 – month ending November 30; (pgs.167-174)
 - 2) Help Illinois Vote Fund; (pgs.175-180)
 - i. Two year plan of staff activity for the months of December & January – informational. (pgs.181-184)
 - 5. Follow up. (pg.185)
 - 6. Comments from the general public. (pg.185)
 - 7. Next regular Board meeting at 10:30 a.m. on Tuesday, January 22, 2013 in Springfield. (pg.185)
 - 8. Executive session. (pgs.186-190)

STATE BOARD OF ELECTIONS
Regular Meeting
Monday, November 19, 2012

MINUTES

PRESENT: William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
Judith C. Rice, Member
Bryan A. Schneider, Member
Charles W. Scholz, Member

ALSO PRESENT: Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The meeting convened at 10:30 a.m. via videoconference with seven Members present in Springfield. Member Byers held Member Scholz' proxy until his arrival at 10:35 a.m.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Vice Chairman Smart moved to approve the minutes of the October 16 and 31 meetings as presented. Member Byers seconded the motion which passed unanimously.

The General Counsel presented Agenda item 2.a.1, a motion for reconsideration from *SBE v. Friends of George A. Cardenas*, 17290, 12MA044 and recommended the motion be granted. James Nally was present on behalf of the committee and concurred with the recommendation of the General Counsel. Vice Chairman Smart moved to accept the recommendation of the General Counsel. Member Rice seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.2, a request for payment plan from *SBE v. Friends of Miriam Shabo*, 21302, 12DQ128 and recommended the request be granted. He noted the payment plan was submitted in writing along with an initial payment with the remainder due by June of 2013. Member Coffrin moved to accept the recommendation of the General Counsel. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

The General Counsel noted that the appeals of campaign disclosure fines for Agenda items 2.a.6, 8 & 15: 6) *SBE v. Electricians Local 309 Voluntary PAC*, 1385, 12MA080; 8) *SBE v. Friends of Robert Martwick*, 16518, 12AD049; and 15) *SBE v. Citizens to Elect Christine Cook*, 24360, 12AJ061; should be placed under the grant and denied section of the Agenda.

The General Counsel presented the following appeals of campaign disclosure fines and concurred with the hearing officer recommendation to grant the appeals for Agenda items 2.a.3-5, 7 & 10-14:

- 3) *SBE v. Tazewell County Democratic Central Committee*, 33, 12AJ002;
- 4) *SBE v. Sangamon County Democratic Central Committee*, 317, 12MA077;
- 5) *SBE v. Illinois Society for Respiratory Care PAC*, 1199, 12JQ011;
- 7) *SBE v. 525 Political Club*, 15786, 12JQ040;

- 10) *SBE v. Citizens for Matthew Hoppock*, 23593, 12AM105;
- 11) *SBE v. Citizens to Elect Marc Gasparini*, 24055, 12JQ135;
- 12) *SBE v. Friends for Karin Elizabeth Swanson*, 24074, 12AM113;
- 13) *SBE v. The Committee for Municipal Electricity Choice*, 24164, 12MA093;
- 14) *SBE v. Committee to Elect Jack A. Schwartz*, 24180, 12AM115.

Vice Chairman Smart moved to accept the recommendation of the General Counsel and hearing officer in the above named appeals. Chairman McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented appeals of campaign disclosure fines for Agenda items 2.a.9 & 17, *SBE v. Citizens for Tate and Cantelo-Zylman*, 23436, 12JQ114 and *SBE v. Stipend*, 1118, 12MA078 and after discussion it was agreed by all to place the matters on the December Agenda for consideration.

The General Counsel presented the following appeals of campaign disclosure fines and concurred with the hearing officer recommendation to deny the appeals for Agenda items 2.a.16, 18-28, 30, 32-34, 37-39 & 41-43:

- 16) *SBE v. Wood River Township Democratic Precinct Committeemen's Org.*, 374, 12AJ009;
- 18) *SBE v. Lisle Township Democratic Organization*, 1119, 12JQ010;
- 19) *SBE v. Glasgow for Will County*, 8094, 12AJ021;
- 20) *SBE v. Committee for a Better Berwyn*, 14895, 12JQ036;
- 21) *SBE v. Democratic Organization of Troy Township*, 18917, 12MA082;
- 22) *SBE v. Friends of Nathan Hartman*, 19686, 12MA084;
- 23) *SBE v. Committee to Elect Dan Duffy*, 20651, 12AJ038;
- 24) *SBE v. Citizens for Abbott*, 20818, 12JQ066;
- 25) *SBE v. Committee to Elect Carol Anell Hallam*, 21148, 12JQ069;
- 26) *SBE v. Friends of Tony Michelassi*, 21440, 12DQ215;
- 27) *SBE v. Citizens Committee for Ronnie C. Lewis*, 21558, 12MQ178;
- 28) *SBE v. Friends to Elect Patty Gustin*, 21909, 12JQ081;
- 30) *SBE v. Citizens for Michael Bram*, 22035, 12MQ192;
- 32) *SBE v. PAC 34 of the International Brotherhood of Electrical Workers Local 34*, 22824, 12JQ100;
- 33) *SBE v. Joy Cunningham for Justice*, 23691, 12AM108;
- 34) *SBE v. Neil Anderson for IL 72nd District*, 23973, 12AJ052;
- 37) *SBE v. Citizens for Ronald Duebbert*, 24169, 12AJ053;
- 38) *SBE v. Committee to Elect Joseph D. Steichen*, 24228, 12JQ151;
- 39) *SBE v. Citizens for Chester Slaughter*, 24279, 12JQ157;
- 41) *SBE v. IBEW Local 9 PAC*, 24351, 12AJ060;
- 42) *SBE v. Northbrook Caucus of 2013*, 24389, 12JQ169;
- 43) *SBE v. Committee to Elect Dr. Fifer Effingham County Coroner*, 24397, 12MA094.

No one was present on behalf of the respondent committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel and hearing officer in the above named appeals. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeals of campaign disclosure fines where the hearing officer recommended the appeals be denied for Agenda items 2.a.29, 36, 40 & 44:

- 29) *SBE v. Friends of Mike Bielak*, 21981, 12JQ083;

- 36) *SBE v. Everybody for Kent*, 24160, 12JQ145;
- 40) *SBE v. Friends of Brett Irving*, 24282, 12JQ158;
- 44) *SBE v. Citizens for Tom Morris*, 24420, 12JQ174.

The General Counsel did not concur with the recommendation of the hearing officer and recommended the appeals be granted based on the electronic filing defense. Member Scholz moved to accept the recommendation of the General Counsel and grant the above named appeals. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.31, *SBE v. Friends of Elgie Sims*, 22210, 12AJ047 and did not concur with the hearing officer recommendation to deny the appeal. He recommended the appeal be granted because the committee took quick action to file the report in question and should not suffer the consequences of the malicious conduct of the disgruntled employee who deliberately failed to file the report. Member Rice moved to accept the recommendation of the General Counsel and grant the appeal. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.35, *SBE v. Joe Schmitt for County Board*, 24155, 12JQ143 and did not concur with the recommendation of the hearing officer to deny the appeal. He recommended the appeal be granted based on Rule 100.125 because the committee stated in the appeal affidavit that the candidate and former treasurer mailed the report four days prior to the due date. Vice Chairman Smart moved to accept the recommendation of the General Counsel and grant the appeal. Member Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation that the appeals be granted in part and denied in part for Agenda items 2.a.6, 15, 45 & 47:

- 6) *SBE v. Electricians Local 309 Voluntary PAC*, 1385, 12MA080;
- 15) *SBE v. Citizens to Elect Christine Cook*, 24360, 12AJ061;
- 45) *SBE v. Turelli for Tomorrow*, 22416, 12MA086;
- 47) *SBE v. Friends of Patricia Van Pelt-Watkins*, 23877, 12AJ050.

No one was present on behalf of the respondent committees. Chairman McGuffage moved to accept the recommendation of the General Counsel and hearing officer in the above named appeals. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeal of campaign disclosure fines where he concurred with the hearing officer recommendation that the appeal be granted in part and denied in part for Agenda item 2.a.8, *SBE v. Friends of Robert Martwick*, 16518, 12AD049. Jeffrey Cox was present on behalf of the respondent committee and noted that the hearing officer miscalculated the penalty. The General Counsel agreed that the penalty should be \$250 instead of \$500. Vice Chairman Smart moved to accept the recommendation of the General Counsel and hearing officer and the assessed penalty amount of \$250. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal of campaign disclosure fines for Agenda item 2.a.46, *SBE v. Carol for Chicago*, 22987, 12MA055 and appeal of contribution limit fines for Agenda item 2.a.49, *SBE v. Carol for Chicago*, 22987, 11MQ-CL014 and concurred with the recommendation of the hearing officer that the appeal be granted in part and denied in part for Agenda item 2.a.46 and the appeal be granted for Agenda item 2.a.49. Carol Moseley Braun was

present on behalf of the respondent committee and said the individuals hired to run the committee did a terrible job and that she had no involvement in the operations of the committee. She then hired another individual who is in the process of bringing the committee into compliance. Ms. Braun asked that the appeals be granted and the matter dismissed. After discussion, Vice Chairman Smart moved to accept the recommendations of the General Counsel and the hearing officer in both matters. Member Rice second the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.48, *SBE v. Friends of Bob Fioretti*, 21102, 12MQ-CL008 and concurred with the hearing officer to grant the appeal of contribution limit fines. Vice Chairman Smart moved to accept the recommendation of the General Counsel and the hearing officer. Member Scholz seconded the motion which passed by roll call vote of 7-0 (Chairman McGuffage recused himself from the vote).

The General Counsel presented Agenda item 2.a.50, *Sherman v. Indian Trails Public Library District, et al*, 11CD019 & 11CD023 and summarized the matter. He concurred with the hearing officer's recommendations included in Parts A, B and C contained in the report. Rich Means was present on behalf of the complainant and Ken Florey was present on behalf of the Respondents. Mr. Means concurred with the recommendation of the hearing officer except he felt the complaint should be sustained concerning the A-1, D-1 and D-2 violations. Mr. Florey indicated that he would concur with the recommendation of the hearing officer if Mr. Means agreed to not file any further complaints regarding this matter. After lengthy discussion, Chairman McGuffage moved to adopt the recommendation of the General Counsel and hearing officer in Parts A and B of the report. Member Schneider seconded the motion which passed by roll call vote of 8-0. Member Scholz moved to grant the motion to amend the complaint outlined in Part C. Member Byers seconded the motion which passed by roll call vote of 8-0. Member McGuffage moved to dismiss the amended complaint with prejudice. Member Rice seconded the motion which passed by roll call vote of 8-0.

A listing of civil penalty assessments necessitating a final board order was presented. Vice Chairman Smart moved to issue final board orders for those committees listed on pages 298-303 of the Board packet. Chairman McGuffage seconded the motion which passed unanimously.

Member Schneider moved to recess to executive session to take a short break and consider complaints following closed preliminary hearing. Member Coffrin seconded the motion which passed unanimously. The meeting recessed at 12:25 p.m. and reconvened at 1:05 p.m.

Member Byers moved to dismiss the complaint for Agenda item 2.a.53, *Zurek v. Democratic Party of Leyden Township*, 11CD25 and find the complaint to have been filed on justifiable grounds but no further action taken for Agenda item 2.a.55, *Ciborowski v. For the Children, an Illinois Political Action Committee*, 12CD135. Member Rice seconded the motion which passed by roll call vote of 8-0.

The Executive Director began his report by presenting an employee award to Mickey Reinders for 15 years of service at the State Board of Elections. He highlighted the various positions Ms. Reinders has held during her employment and thanked her for her dedication and service.

The Executive Director discussed the November 6 General Election day activities and said the volume of telephone calls in the Springfield office was very heavy with approximately 1,600 direct calls taken by staff. Approximately 879,000 hits were received on the SBE website on the polling place locator page. The SBE website servers flawlessly handled additional hits after the Chicago Board of Elections website experienced technical issues. Dianne Felts reported on voting system pre-testing and said that the counties of Boone, Effingham, Jasper, JoDaviess, Macoupin

and Stephenson were chosen for testing. She said the pre-tests went very well with only minor issues that were easily corrected and no counting errors occurred. The Executive Director presented the listing of election judge training schools for informational purposes and noted that they were well attended and staff will continue to schedule and conduct schools for the upcoming 2013 elections.

Next was the legislative update and Cris Cray reported that the four appropriation leaders have been contacted and letters have been sent regarding a request for a supplemental appropriation to assist with funding of election judge reimbursements, county clerk stipends and maintenance of the statewide voter registration database. She noted that a conference call was conducted regarding the filing dates for the 2013 elections and that if legislation passed it would only affect this year.

The Executive Director gave an update on the Campaign Finance Reform Task Force Meeting that was held on November 15 and said no action was taken because a quorum was not present at the meeting. At this time, the next meeting has not been scheduled.

Kyle Thomas discussed the IVRS report and said the number of duplicate matches were up because of the increased volume of voter registrations that were being received.

The Executive Director indicated the six month review of the executive session minutes since April 20, 2012 has been conducted and have concluded that the necessity of confidentiality does not exist as to those minutes except for the exceptions listed in the memo from the General Counsel. Vice Chairman moved to release those minutes for public inspection with the exceptions noted on page 317 of the Board Packet. Member Scholz seconded the motion which passed by roll call vote of 8-0.

A proposed addition to the SBE Policy Manual pertaining to comments from the general public was presented and it was noted that the changes in this draft were cosmetic in nature. Discussion ensued regarding the amendments and the Chairman suggested some other minor revisions to the language. Vice Chairman Smart moved to approve the addition to the Policy Manual with the minor changes the Chairman suggested.

The Executive Director discussed the December 2 Special Board Meeting and said access to the James R. Thompson Center was very difficult on the weekends. Jim Tenuto contacted McCorkle Court Reporters and they agreed to allow the meeting to be held in their building. Testing of their video conferencing equipment was conducted and successful connection with the Springfield office was completed. The meeting will be held at the McCorkle location at 200 N. LaSalle Street, Suite 2900 and the Public Notice would reflect this as well.

The fiscal reports and two year plan of staff activity were presented for informational purpose.

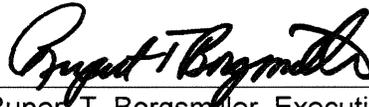
The next item on the Agenda was comments from the general public and the Chairman noted that Sharon Meroni, Executive Director of Defend the Vote was present and wanted to address the Board with some of her organization's concerns. She expressed her thoughts regarding election processes in Chicago and Cook County pertaining to ballot security, counting of absentee ballots, voting system memory card security, election judge procedures and nursing home voting. The Board asked Ms. Meroni to submit her concerns in writing with appropriate documentation to the State Board of Elections staff for review.

With there being no further business before the Board, Member Byers moved to adjourn until the Special Board Meeting on Sunday, December 2, 2012 at 5:00 p.m. in Chicago and Springfield. The next regular meeting is scheduled for Tuesday, December 18, 2012 at 10:30 a.m. in Chicago. Member Schneider seconded the motion which passed unanimously. The meeting adjourned at 2:20 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS
Special Board Meeting
Sunday, December 2, 2012

MINUTES

PRESENT: William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
Judith C. Rice, Member
Bryan A. Schneider, Member
Charles W. Scholz, Member

ALSO PRESENT: Rupert Borgsmiller, Executive Director
Jim Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Becky Glazier, Assistant to Executive Director

The meeting convened by videoconference at 5:00 p.m. with Members Byers, Coffrin, Scholz and Vice Chairman Smart present in Springfield. Members Gowen, Rice, Schneider and Chairman McGuffage were present in Chicago at the office of McCorckle Court Reporting Services, 200 N. LaSalle Street, Suite 2900.

The Chairman opened the meeting by leading everyone in the Pledge of Allegiance to the flag.

Chairman McGuffage noted the reason for the Special meeting was to proclaim the results of the November 6, 2012 General Election. Vice Chairman Smart moved to adopt the results of the November 6 General Election. Member Byers seconded the motion which passed by roll call vote of 8-0.

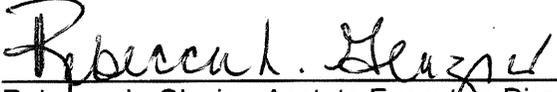
Director Borgsmiller discussed the results and turnout. The totals in the 2nd judicial race (Vantrease vacancy) have been suppressed due to an order of the Court. No winner will be proclaimed in that particular office, until ordered by the Court. The electronic canvass played an integral part in being able to complete the canvass in time to proclaim the results today. Director Borgsmiller thanked the staff from Election Information and Information Technology as well as the election authorities for their work in getting this canvass done quickly.

The General Counsel discussed the 2nd Congressional Special Election noting that in conversations with the Department of Justice they have indicated that the 45 day deadline still applies in special elections. This will pose a problem in Illinois because the primary election scheduled for February 26 will be held after the 45 day deadline for the general election which is scheduled for April 9. Mr. Sandvoss discussed the options of submitting a waiver request on the 45 day ballot deadline requirement or seeking a court order to waive the deadlines.

Director Borgsmiller thanked McCorckle Court Reporting for hosting the meeting today.

With there being no further business before the Board, Member Scholz moved to adjourn until the next regular monthly meeting; scheduled for Tuesday, December 18, 2012 in the Chicago office. Member Byers seconded the motion which passed unanimously. The meeting adjourned at 5:20 p.m.

Respectfully submitted,



Rebecca L. Glazier, Asst. to Executive Director



Rupert T. Borgsmiller, Executive Director

ILLINOIS STATE BOARD OF ELECTIONS

RESOLUTION IN HONOR OF BOB SAWICKI

WHEREAS, the State Board of Elections is an agency created and mandated by the Illinois Constitution to have general supervision over the administration of the registration and election laws in the State of Illinois; and

WHEREAS, it has come to our attention that Bob Sawicki, who joined the Chicago Board of Election Commissioners in 1972, passed away after serving more than 39 years in the elections field; and

WHEREAS, Bob Sawicki consistently provided the citizens of the city of Chicago with the highest quality of election services; and

WHEREAS, Bob Sawicki was a mentor to many public service employees throughout his career; and

WHEREAS, Bob Sawicki provided education on the electoral process throughout the City of Chicago visiting every Ward of the City on one occasion or another; and

WHEREAS, Bob Sawicki was promoted to Assistant Executive Director of the Chicago Board of Election Commissioners in 1983 and served in that position for 18 years before retiring in 2010; and

THEREFORE, BE IT RESOLVED, that we, the members of the State Board of Elections offer our sincere admiration and appreciation to Bob Sawicki for his 39 years of dedicated and distinguished public service in the administration of elections and for his tireless and professional efforts to improve the efficiency and integrity of the electoral process in the State of Illinois.

Dated: December 18, 2012

Members -- State Board of Elections

William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Rupert T. Borgsmiller
Executive Director



William M. McGuffage – Chairman
State Board of Elections
1020 S Spring Street
POB 4187
Springfield IL 62708

December 6, 2012

RE: Civil penalty levied against “Citizens for Spitz” (Local 12172 / ID 18183)

Chairman McGuffage,

I am writing to request a reduction in the civil penalty levied by the State Board of Election against Citizens for Spitz. The bases for this request are summarized below. Please be mindful that these are not “excuses”, rather they are an explanation of the facts.

1. The original violation was accidental as the committee believed a report had been submitted.

An electronic report was prepared via the IDIS 1.0 software on behalf of the committee. However, through a technical glitch, it was not received by ISBE. The technical glitch was not apparent to the committee because the e-mail address through which notification of a failed submittal would have been received was inoperative. This fact was also unknown to the committee.

2. Unfamiliarity with new IDIS software did not raise a “red flag” with the committee.

A subsequent filing utilizing the IDIS 2.0 software indicated a previous report was necessary. It was assumed that the previous report was required because of the recent change in software versions. As the committee was unaware of any previous filing difficulty this did not trigger concern.

3. The committee was unaware of the violation and ISBE hearing.

Due to a personal domestic issue, the committee did not receive mailed violation and hearing notices from the Board until the hearing process was completed. This precluded the committee from filing a timely response. The committee first became aware of the filing issue after the civil penalty was levied.

4. The committee has been “inactive” since 2009.

As evidenced by past ISBE filings, the committee has not raised or expended funds since December 2009. The funds available for this committee have remained at \$56.90 since that date. In practical terms, this committee has been monetarily “inactive” for two years.

5. The civil penalty levied appears out of proportion to the nature of the filing issue and considering that no funds were involved.

The committee acknowledges that ISBE did not receive the original report by the report deadline. Had the technical issue been known to the committee, a new filing would have been submitted in a short time-frame.

The violation was literally “technical” in nature, not intentional or evasive. The committee did not fail to disclose funds raised or expended – as no such activity took place.

A civil penalty of over \$5,500 for failure to timely report no fundraising and no spending is on its face extremely harsh, especially considering the intent of the law is to disclose when funds are raised and/or spent.

For these reasons, I respectfully request the State Board of Elections reduce the civil penalty levied against “Citizens for Spitz”. I am informed by ISBE staff that a reduction of 50% is typical. However, I request that you consider the circumstances and explanations provided above and grant a larger reduction in this case.

Thank you for consideration of this request.

Respectfully submitted,

Kenneth Spitz

Citizens for Spitz
266 Laurel Lane
West Chicago IL 60185

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Citizens for Spitz
266 Laurel Ln
West Chicago, IL 60185-5971

ID# 18183

Dear Citizens for Spitz:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on June 29, 2011, 111 days late. As such, this committee has been assessed a fine of \$2775.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on June 29, 2011, 52 day(s) late. As such, this committee has been assessed a fine of \$2600.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 19, 2011, 2 day(s) late. As such, this committee has been assessed a fine of \$150.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this

19898-4

December 6, 2012

Sharon Steward, Ethics Officer
Illinois State Board of Elections
1020 South Spring Street
Springfield IL 62708
Fax #: 217-782-5959

RE: Citizens for Waguespack
Committee ID 19898
Settlement Offer

STATE BOARD OF ELECTIONS
12 DEC - 6 PM 2:11

Dear Ms. Steward,

We are writing to ask for a settlement offer of 50% (\$3,250) of the total amount due of \$6,500 stemming from recent fines of nine violations to the Committee.

If at all possible, we would like to be added to the Board's agenda for December 18th in hopes of our settlement offer being approved. We would like this matter resolved as soon as possible.

Thank you for your time and quick response to our request

Sincerely,



Scott Waguespack
32nd Ward Alderman



STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 12 AD 073
)
Citizens for Waguespack)
Respondent(s).)

FINAL ORDER

TO: Citizens for Waguespack ID# 19898
PO Box 476987
Chicago, IL 60647-6987

This matter coming to be heard this 19th day of November, 2012 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 12 AD 073, a \$6500.00 civil penalty was initially assessed against the Respondent for failing to file Schedule A-1's during the fourth quarter of 2011; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$2983.58 for the delinquent filing of Schedule A-1's during the first quarter of 2011 (11 AM 046, 11 AM 105). This assessment was not appealed and was reduced to \$299.00 by the Board. The committee was also assessed a penalty of \$6000.00 for the delinquent filing of Schedule A-1's during the last quarter of 2011 (12 MA 016). This assessment was not appealed and was reduced to \$3000.00 by the Board. All previously assessed penalties have been paid in full, and
4. Pursuant to section 9-10 of the Code, the assessment is \$6500.00 (100% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. A civil penalty in the amount of \$6500.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is November 20, 2012, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 11/20/2012


William M. McGuffage, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

Citizens for Waguespack
PO Box 476987
Chicago, IL 60647-6987

ID# 19898

7011 2970 0003 6439 2536

Dear Citizens for Waguespack:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Jonathan Bunge	11/16/2011	\$1000	*	95	\$500
Jonathan Bunge	11/16/2011	\$1000	*	95	\$500
CFM Consulting	11/14/2011	\$1000	*	97	\$500
Joseph Dahir	11/3/2011	\$1000	*	103	\$500
Robert Drew	11/16/2011	\$1000	*	95	\$500
Richard Erickson	11/16/2011	\$1000	*	95	\$500
Marina Faz-Huppert	11/16/2011	\$1000	*	95	\$500
Marilyn Labkon	11/16/2011	\$5000	*	95	\$2500
Heather Mooney	11/16/2011	\$1000	*	95	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$6500 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$6500, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 AM 026

Friends of Derrick Smith (ID 22275)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Reports

During the 1st Quarter of 2012, the Committee received five contributions of \$1,000 or more, totaling \$61,215.69 and reported them on a Schedule A-1 Report filed one day late, resulting in a \$30,607.84 civil penalty. Additionally, this Committee was assessed a \$600 civil penalty (not appealed, paid) for delinquently filing the D-1 Statement of Organization, a \$1,350 civil penalty (not appealed, paid) for delinquently filing the 2009 December Semi-Annual Report, a \$4,500 civil penalty for delinquently filing Schedule A-1 Reports during the 2010 General Primary Election and a \$5,000 civil penalty (not appealed, paid) for delinquently filing the 2010 June Semi-Annual Report. As a second Schedule A-1 violation, the fine is reduced to \$15,304 or 50% of the above-referenced amount.

Camela Wimberly, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 2, 2012.

Carmela Wimberly, Derrick Smith, Candidate and attorney Victor Headersen appeared on behalf of the Respondent. On March 8, 2012, the Committee received a \$25,000 contribution from the Health Care Council of IL Action Committee, a \$11,500 contribution from SEIU Healthcare IL IN PAC, a \$3,000 contribution from At&T Government Relations and a In-Kind contribution valued at \$4,215.69 from the Democratic Majority. The contributions were reported on a Schedule A-1 report filed on March 13, 2012, one day late. Mr. Headersen stated the contributions were received and reported by treasurer Diamond Moreno, who currently is no longer affiliated with the Committee. He indicated that the Committee made several attempts to contact Ms. Moreno, who due to political reasons, has excommunicated with the Candidate. Ms. Wimberly testified that she was appointed treasurer and contacted Ms. Moreno to retrieve the bank records and discuss the late Schedule A-1 filing. She indicated Ms. Moreno did not offer a reason or defense regarding the late filing. Mr. Headersen stated the Committee may pursue legal action and subpoena Ms. Moreno to offer testimony regarding this matter. In support of their claim, Mr. Headerson submitted Respondent Exhibit (RE) 1, resignation notice filed by Ms. Moreno on May 2, 2012 and RE 2, Amended D-1 Statement of Organization filed by the Committee on May 9, 2012.

The Committee received a civil penalty assessment as a result of a late filing of Schedule A-1 Reports. A defense could not be provided during the hearing because the person responsible for the filing is no longer affiliated with the political committee and refuses to communicate with current Committee officers. I am sympathetic to the Respondent's circumstances; however this is an internal issue and does not constitute a valid defense. I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a \$15,304 civil penalty will be due. (As of 6/30/12, this Committee reported a funds available balance of \$42,118.38).



Tara Molnar – Hearing Officer

September 18, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
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Harold D. Byers
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Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Derrick Smith

ID# 22275

Dear Friends of Derrick Smith:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Health Care Council of IL Action Cmte	3/8/2012	\$25,000	3/13/2012	1	\$12,500
SEIU Healthcare IL IN PAC	3/8/2012	\$11,500	3/13/2012	1	\$5750
Democratic Majority	3/8/2012	\$4215.69	3/13/2012	1	\$2107.84
AT&T Government Relations	2/24/2012	\$3000	2/29/2012	1	\$1500
Democratic Majority	2/24/2012	\$17,500	2/29/2012	1	\$8750

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$30,607.84 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$15,304, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

CHICAGO

2012 JUN 25 AM 9:41

STATE BOARD OF ELECTIONS

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Friends of Derrick Smith)
Respondent(s).)

Case No. 12 AM 026

I, Carmela Wimberly, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Derrick Smith
(Name of the Committee)

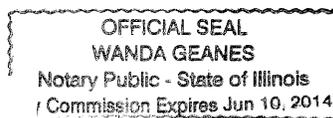
APPEAL AFFIDAVIT

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Mitigating circumstances which are
no merous + complicated for which I
being new as treasurer of the committee
had no control over. Those circumstances
can be better explained at the hearing.

Signed and Sworn to by:
CARMELA WIMBERLY
before me this 25 Day of
06, 2011
Wanda Geanes
Notary Public

Carmela Wimberly
(Signature of Chairman/Treasurer)



Meyer, Jason

22275-04

From: Diamond Moreno [diamond_moreno@yahoo.com]
Sent: Wednesday, May 02, 2012 11:15 AM
To: Meyer, Jason
Subject: Resignation

Dear Jason,

As of Friday, April 20, 2012, I officially resign as the Treasurer from the Committee from Friends of Derrick Smith. If you have any questions, please do not hesitate to e-mail me.

I apologize for the delay and for the lateness of this e-mail.

Thank you,
Diamond Moreno

CHICAGO
2012 MAY 02 AM 11:57
STATE BOARD OF ELECTIONS

1

Smith FA 6/17/12

COMMITTEE NAME:

POLITICAL COMMITTEE IDENTIFICATION No.:

8. REQUIRED COMMITTEE OFFICERS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN		
TREASURER	Carmela Wumberly	910 W Van Buren, Box 188 Chicago, IL 60607

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS

10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME	MAILING ADDRESS AND PHONE NUMBER

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
- TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____
- TRANSFER TO A CHARITABLE ORGANIZATION: _____

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

DATE

VERIFICATION: ALL POLITICAL COMMITTEES INCLUDING BALLOT INITIATIVE COMMITTEES

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE

DATE

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
2329 S MACARTHUR BLVD
SPRINGFIELD, IL 62704-4503
fax: 217-557-5630

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232
fax: 312-814-6485

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

2/2

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

Illness State Board

Complainant(s),

OF ELECTIONS

Vs.

Friends of Nemick

Respondent(s)

Smith

Case No. 12 AM 026

APPEARANCE

The undersigned enters the appearance of (check one):

COMPLAINANT(S) or RESPONDENT(S)

Nemick Smith, Friends of
(Insert name)

Check one:

Attorney Pro Se

Name:

VICTOR A. Henderson

Address:

330 South Wells Street

City/State/ZIP

Chicago 60606

Telephone:

312. 262. 2900

FAX No.:

312. 262. 2901

EMAIL:

Will you accept service of documents via FAX transmissions?

+ EMAIL

Check one: Yes No

[Signature]
Signature

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12 MA 078

Stipend 1118
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Failing to File
A Schedule A-1 for 1st & 2nd Quarter of 2012

This committee received a \$16,452 contribution on 2/18/12 and a \$16,195.13 contribution on 6/14/12 and failed to file these contributions on a Schedule A-1. Additionally, the Committee had previously been assessed a \$853 civil penalty (not appealed, reduced, not paid) for failing to file a Schedule A-1 during the 1st Quarter of 2011; a \$4,480 civil penalty (not appealed, reduced, not paid) for failing to file a Schedule A-1 during the 2nd Quarter of 2011; a \$8,187 civil penalty (not appealed, reduced, not paid) for failing to file a Schedule A-1 during the 3rd Quarter of 2011; and a \$8,788 civil penalty (not appealed, reduced, not paid) for failing to file a Schedule A-1 during the 4th Quarter of 2011. The total assessment is \$38,631.56.

The Respondent was represented by attorney Joel A. D'Alba at the October 24th appeal hearing. Also in attendance was Cathy Heckla, Teamsters Local 710 Office Manager.

Mr. D'Alba indicated that each employer within Local Union 710 has their own collective bargaining agreements. The Respondent introduced two agreements as examples (Exhibit 1). An Employee can voluntarily contribute to DRIVE Teamsters Political Action which is an international brotherhood of teamsters committee that is on file with the FEC. The contributions made to DRIVE are established by an individual completing a voluntary deduction form (Exhibit 2). Once the form is submitted it authorizes and requests that the employee's employer deduct from their earnings their voluntary contribution and remit that amount to DRIVE. It is my understanding that this is accomplished by a posting to IBET by the employer which lists those individuals that had voluntary contributions withheld from their earnings. Examples of these postings were introduced as Exhibit 6 and Exhibit 7. Once DRIVE receives the voluntary contributions from the employers they internally track the individual contributors and report the information to the FEC. Additionally, DRIVE also produces a report that is given to Stipend. The Respondent introduced Exhibit 3 which is a copy of such a report for the 4th Quarter of 2010. This report breaks down every individual voluntary contribution, the individual's employer, the rebate amount, the deposit date and the total rebate amount that was given to Stipend. The total rebate amount is equal to the amount Stipend reports receiving on its Quarterly Reports (Group Exhibits 4 and 5). The Respondents indicated that the rebate is therefore made up of around 15,000 voluntary contributions that were collected by Local Union 710 employers from around 1,100 – 1,200 employees. This equals out to be around \$13 or \$14 per employee and the Respondent believes the 50% reimbursement of the voluntary contributions submitted by Local Union 710

memberships back to the local from DRIVE should not be subject to Schedule A-1 reporting. The Respondent indicated that the funds are not actually DRIVE funds for Drive reimburses 50% of its voluntary membership contributions back to Stipend. Therefore, the contributions the committee is being assessed on for a Schedule A-1 infraction was ultimately from more than 1,000 individuals. Furthermore, Cathy Heckla, Teamsters Local 710 Office Manager, indicated that the committee has been reporting these contributions in this fashion for more than 20 years. This is how they were instructed to report these contributions and they have never been informed to the contrary. Additionally, the committee was not aware that the law changed in 2011 to require that contributions of \$1,000 or more were now required to be filed within 5 or 2 business days depending on when they are received. The organization believed they had changed the address of the committee in the IDIS program in 2009 and never realized that the address change was never submitted to the State Board of Elections. The committee did not know that they would have been required to mark a box in the program to actually submit the address change to the State Board of Elections. Therefore, the committee was seeing the correct address in their database however the Board was not aware of the address change. Additionally, the committee claims they were never advised of the changes in the law that took place. The Respondent requests that the appeal is granted and they indicated that they filed a Schedule A-1 (Exhibit 8) for the most recent contribution in an abundance of caution and at the direction of staff for the outcome of the this appeal had not been completed as of yet.

It is the opinion of the hearing officer that DRIVE is not acting as a conduit in this matter for they are not facilitating the delivery of contributions to a political action committee through dues, levies, or similar assessments. The voluntarily contributions are given directly to DRIVE which is a committee on file with the FEC and the State Board of Elections as a committee that has taken the Federal Filing Option. Therefore, I believe the amount of funds being transferred to Stipend from DRIVE would be a transfer of funds that would be subject to Schedule A-1 reporting. Additionally, I believe a lack of knowledge in relation to changes in disclosure rules is not an adequate excuse for failing to file a Schedule A-1. The Committee should have known of the new A-1 reporting requirements; the Board sent mailings to all committees and additionally posted information on the Board website to notify and educate committees about the changes of the law. Additionally, the committee filed an amended D-1 on 7/16/10 and the committee did not change the address or indicate that they had moved at that point in time. The State Board of Elections would have already sent out notices regarding the changes of the law effective 7/1/10 and upcoming changes that were to take effect on 1/1/11 at that point in time. Furthermore, the committee filed the 1st Quarterly Report of 2011 before the filing deadline so they must have known about at least some of the changes that went into effect as of 1/1/11. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board civil penalty for failing to file a Schedule A-1 for the 1st Quarter of 2012 would be \$8,226 and the civil penalty for failing to file a Schedule A-1 for the 2nd Quarter of 2012 would be \$8,097.56. The total amount of \$38,631.56 will be due and owing. (As of 9/30/12, this Committee reported a funds available balance of \$24,613.76.)



Andy Nauman – Hearing Officer
October 31, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

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Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Stipend
attn: Maria McCarthy, 4217 S Halsted St
Chicago, IL 60609-2633

ID# 1118

7011 2970 0003 6439 3298

Dear Stipend:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the 2012 first quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
DRIVE Pol Fund / Int'l Brotherhood of Teamsters	2/18/2012	\$16,452	*	33	\$8226

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$8226 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$8226, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the 2012 second quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
DRIVE Pol Fund / Int'l Brotherhood of Teamsters	6/14/2012	\$16195.13	*	9	\$8097.56

Your committee is subject to a fine of \$8097.56 for delinquently filing Schedule A-1 reports.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$8098, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$16,324.

Affidavit

STATE BOARD OF ELECTIONS

12 SEP 26 PM 1:17

1118

12MA078

The Local Union 710 Offices moved to Mokena, Illinois in December 2009. We were under the assumption that when we filed our D2 reports and made changes to our address on file that the address was also changed in the State Board of Elections of Illinois file, we were later informed that a box was to be checked in order that the address would be manually corrected in the State Board of Election office.

Because of the error above; all correspondence was being mailed to Stipend, Patrick Flynn, 4217 South Halsted Street, Chicago, Illinois 60609 and United States Post Office did not forward the correspondence to our Mokena address.

On September 24, 2012 we received correspondence from the United States Post Office a letter addressed to the 4217 S. Halsted Street , Chicago, IL 60609, dated September 5, 2012 which was the Final Notice pertaining to the assessed civil penalties for violations of the Campaign Disclosure Act.

The penalties assessed were due to failure to report a timely A-1, Report of Campaign contribution of \$1,000 or more.

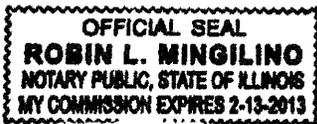
Our International Brotherhood of Teamsters Drive Department reimburses 50% of the voluntary contributions submitted by Local Union's 710 memberships back to the Local Union' Pac Fund. This check is posted as contributions and was filed under the required D2 reports.

In the presence of the review board further details of our situation will be presented.

Patrick W. Flynn

Patrick W. Flynn (Chairman/Treasurer)
Teamsters Local 710 Stipend Pac Fund

Notary



Robin L. Mingilino
9-26-2012

LAW OFFICES

ASHER, GITTLER & D'ALBA, LTD.

SUITE 1900
200 WEST JACKSON BOULEVARD
CHICAGO, ILLINOIS 60606

TELEPHONE (312) 263-1500

FACSIMILE (312) 263-1520



November 27, 2012

Steven S. Sandvoss, General Counsel
State Board of Elections
State of Illinois
2329 S. McArthur Blvd.
P.O. Box 4187
Springfield, IL 62704

STATE BOARD OF ELECTIONS
12 NOV 29 AM 8:49

Re: Illinois State Board of Elections v. Stipend 1118
Case No. 12-MA-078

Dear Mr. Sandvoss:

I write on behalf of Stipend, the Respondent, in the above captioned case to answer and respond to questions raised by a member of the State Board of Elections and yourself at the appeal hearing conducted last week on November 19, 2012. This letter responds to the questions concerning the FEC filings by DRIVE, Democratic Republican Independent Voter Education the PAC of the International Brotherhood of Teamsters, and DRIVE's the processing of voluntary political contributions by members of Teamsters Local 710.

DRIVE processes the voluntary DRIVE payroll deduction payments as follows:

1. Checks are remitted to DRIVE throughout each calendar quarter from Local 710 employers. These checks represent the individual, voluntary payroll deductions of the Local 710 members.
2. Upon receipt of the remittance checks, the dollar amounts are posted into the DRIVE database into each local union member's database account based on the member's SSN, member's name, amount of the deposit, the name of the employer and the member's address.
3. Pursuant to the IBT-Local 710 rebate agreement and policy, one-half of each member's contributions is held in trust, and at the end of the calendar quarter, the money is remitted as a rebate to the Local 710 political action committee, Stipend. DRIVE is obligated to rebate one-half of each member's contribution, per the rebate agreement. The individual member's rebates are made payable to STIPEND in one check each quarter.

Steven S. Sandvoss, General Counsel
November 26, 2012
Page 2 of 3

4. DRIVE files monthly reports with the FEC, Schedule A, Line 11a (FEC Form 3x), Itemized Receipts, to show the receipts of the DRIVE deductions received from each employer on behalf of the Local 710 members, for whom the voluntary contributions are deducted from the members' paychecks.

The attached FEC report shows the amounts received on behalf of the members for the applicable period and the aggregate year to date contributions for each member of Local 710 IBT whose year to date aggregate voluntary contributions exceeded the \$200.00 FEC filing threshold.

For example, the attached Schedule A filed by DRIVE with the FEC shows that on September 4, 2012, a receipt-remittance in the amount of \$10.00 was received on behalf of Brian Meyer a Local 710 member and a driver for Golden State Foods, Inc . The aggregate calendar year to date total is \$342.00, thereby requiring itemization because the amount is above \$200. DRIVE reports to the FEC all receipts-remittances received that exceed \$200.00, including but not limited to all Local 710 members whose voluntary contributions exceed \$200. In 2012, a number of Local 710 members have so been identified. This Schedule A is typical of the DRIVE report for Local 710 members and includes the member's name, address, name of the member's employer, occupation and the amount of the voluntary contributions for both the report period and the aggregate year to date. This Schedule A is one of many pages that are filed each month by DRIVE under the category of itemized receipts.

5. The money is held in trust for each member who has made a voluntary contribution. At the end of the quarter, a single disbursement check is sent to Stipend to represent a rebate of 50 percent of the contributions. After all receipts-remittances received are posted to each member's records, a trust report is generated and the rebate amount is determined for that calendar quarter time period. This trust report reflects the multiple Local 710 member sources for the rebate money sent to Stipend.

6. DRIVE reports the rebate to the FEC on Schedule B (FEC Form 3x) as an itemized disbursement.

7. At all times, the DRIVE database records show the voluntary contributions of the individual members of Local 710, and the funds to be rebated are held in trust for each member so that the proper amount can be rebated to the local union's political action committee.

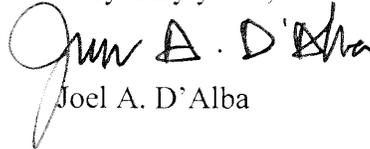
If you have any further questions about this information please call me.

ASHER, GITTLER & D'ALBA, LTD.

Steven S. Sandvoss, General Counsel
November 26, 2012
Page 3 of 3

These answers to you and the Board's questions were provided by a DRIVE Director,
Colleen Brady.

Very truly yours,

A handwritten signature in black ink that reads "Joel A. D'Alba". The signature is written in a cursive style with a large initial 'J'.

Joel A. D'Alba

JAD:klm

Enclosure

cc: Patrick W. Flynn
Cathy Heckla
Marvin Gittler

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 65 OF 424

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 17
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
D.R.I.V.E. - Democrat, Republican, Independent Voter Education (The PAC of the International Brotherhood of Teamsters)

Full Name (Last, First, Middle Initial) A. BRIAN MEYERS		Date of Receipt 09 / 04 / 2012
Mailing Address 4217 S. HALSTED STREET		Amount of Each Receipt this Period \$10.00
City CHICAGO	State IL	
Zip Code 60609		Aggregate Year-to-Date \$342.00
FEC ID number of contributing federal political committee. C		
Name of Employer GOLDEN STATE FOODS INC	Occupation DRIVER	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
Aggregate Year-to-Date ▼		

Full Name (Last, First, Middle Initial) B. JAMES ROBERTS		Date of Receipt 09 / 04 / 2012
Mailing Address 1900 L STREET NW		Amount of Each Receipt this Period \$20.00
City WASHINGTON	State DC	
Zip Code 20036-5080		Aggregate Year-to-Date \$360.00
FEC ID number of contributing federal political committee. C		
Name of Employer GCIU	Occupation REPRESENTATIVE	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
Aggregate Year-to-Date ▼		

Full Name (Last, First, Middle Initial) C. JOHN SAGONA		Date of Receipt 09 / 04 / 2012
Mailing Address 2039 HARING STREET		Amount of Each Receipt this Period \$10.00
City BROOKLYN	State NY	
Zip Code 11229-4015		Aggregate Year-to-Date \$220.00
FEC ID number of contributing federal political committee. C		
Name of Employer PETRO	Occupation DRIVER	Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
Aggregate Year-to-Date ▼		

SUBTOTAL of Receipts This Page (optional)	\$40.00
TOTAL This Period (last page this line number only)	

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)						PAGE 30 OF 46
	<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26	
	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
D.R.I.V.E. - Democrat, Republican, Independent Voter Education (The PAC of the International Brotherhood of Teamsters)

Full Name (Last, First, Middle Initial) A. S.T.I.P.E.N.D.		Date of Disbursement 09 / 17 / 2012
Mailing Address 9000 West 187th Street		Amount of Each Disbursement this Period \$16,589.52
City Chicago	State IL	
Zip Code 60648		TRANSFER TO AFFILIATED STATE CMTE
Purpose of Disbursement TRANSFER TO AFFILIATED STATE CMTE		
Candidate Name		011 Category/ Type
Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	
State:	District:	

Full Name (Last, First, Middle Initial) B. Teamsters LU No. 384 Pac		Date of Disbursement 09 / 17 / 2012
Mailing Address 2910 Hannah Ave.		Amount of Each Disbursement this Period \$2,372.75
City Norristown	State PA	
Zip Code 19401		TRANSFER TO AFFILIATED STATE CMTE
Purpose of Disbursement TRANSFER TO AFFILIATED STATE CMTE		
Candidate Name		011 Category/ Type
Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	
State:	District:	

Full Name (Last, First, Middle Initial) C. Intl Gen Tk Dr Whm Hpr Local 315		Date of Disbursement 09 / 17 / 2012
Mailing Address P.O. Box 3010 2727 Alhambra Ave		Amount of Each Disbursement this Period \$4,570.91
City Martinez	State CA	
Zip Code 94553		TRANSFER TO AFFILIATED STATE CMTE
Purpose of Disbursement TRANSFER TO AFFILIATED STATE CMTE		
Candidate Name		011 Category/ Type
Office Sought: <input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	
State:	District:	

SUBTOTAL of Disbursements This Page (optional)	\$23,533.18
TOTAL This Period (last page this line number only)	

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name
D.R.I.V.E. - Democrat, Republican, Independent Voter Education (The PAC of the International Brotherhood of Teamsters)

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2012"/>		\$1,654,160.37
(b) Cash on Hand at Beginning of Reporting Period.....	\$1,947,839.18	
(c) Total Receipts (from Line 19)	\$566,506.79	\$5,353,917.94
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$2,514,345.97	\$7,008,078.31
7. Total Disbursements (from Line 31)	\$1,113,465.66	\$5,607,198.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$1,400,880.31	\$1,400,880.31
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$14,943.91	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name
D.R.I.V.E. - Democrat, Republican, Independent Voter Education (The PAC of the International Brotherhood of Teamsters)

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	\$43,746.86	\$232,662.01
(ii) Unitemized	\$518,575.76	\$5,083,133.22
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	\$562,322.62	\$5,315,795.23
(b) Political Party Committees	\$0.00	\$0.00
(c) Other Political Committees (such as PACs).....	\$0.00	\$0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)..... ▶	\$562,322.62	\$5,315,795.23
12. Transfers From Affiliated/Other Party Committees.....	\$0.00	\$0.00
13. All Loans Received.....	\$0.00	\$0.00
14. Loan Repayments Received.....	\$0.00	\$0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	\$0.00	\$0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	\$0.00	\$22,000.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	\$4,184.17	\$16,122.71
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	\$0.00	\$0.00
(b) Levin Funds (from Schedule H5).....	\$0.00	\$0.00
(c) Total Transfers (add 18(a) and 18(b))..	\$0.00	\$0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	\$566,506.79	\$5,353,917.94
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	\$566,506.79	\$5,353,917.94

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	\$0.00	\$0.00
(ii) Non-Federal Share.....	\$0.00	\$0.00
(b) Other Federal Operating Expenditures	\$2,529.71	\$21,595.34
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	\$2,529.71	\$21,595.34
22. Transfers to Affiliated/Other Party Committees.....	\$36,795.39	\$103,002.95
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	\$251,000.00	\$1,478,300.00
24. Independent Expenditures (use Schedule E)	\$3,533.75	\$11,733.75
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)	\$0.00	\$0.00
26. Loan Repayments Made.....	\$0.00	\$0.00
27. Loans Made.....	\$0.00	\$0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	\$75.00	\$583.00
(b) Political Party Committees	\$0.00	\$0.00
(c) Other Political Committees (such as PACs).....	\$0.00	\$0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	\$75.00	\$583.00
29. Other Disbursements	\$819,531.81	\$3,991,982.96
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	\$0.00	\$0.00
(ii) "Levin" Share	\$0.00	\$0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	\$0.00	\$0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	\$0.00	\$0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	\$1,113,465.66	\$5,607,198.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	\$1,113,465.66	\$5,607,198.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	\$562,322.62	\$5,315,795.23
34. Total Contribution Refunds (from Line 28(d))	\$75.00	\$583.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	\$562,247.62	\$5,315,212.23
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	\$2,529.71	\$21,595.34
37. Offsets to Operating Expenditures (from Line 15, page 3)	\$0.00	\$0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	\$2,529.71	\$21,595.34



D'Alba, Joel <jad@ulaw.com>

Stipend

Affidavit -

Brady Colleen <CBrady@teamster.org>

Mon, Nov 19, 2012 at 9:57 AM

To: "D'Alba, Joel" <jad@ulaw.com>

Cc: Cathy Heckla <checkla@local710.org>, Brady Colleen <CBrady@teamster.org>, Bennett Deanna <DBennett@teamster.org>

Joel:

For the quarterly rebate program, this is to confirm that National D.R.I.V.E. holds in trust all the member receipts for each quarterly period. The appropriate receipts are then returned to the Local Union PAC.

If you have any questions or need additional information regarding the National DRIVE rebate program, please contact me.

Thanks,

Colleen Brady
202-624-8738

-----Original Message-----

From: D'Alba, Joel [mailto:jad@ulaw.com]
Sent: Saturday, November 17, 2012 4:14 PM
To: Cathy Heckla
Cc: Marvin Gittler; Brady Colleen
Subject: Re: Affidavit -

[Quoted text hidden]

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:)
)
)
)
SIBI)
Complainant(s),)
)
)
Vs.)
)
Stipard)
Respondent(s))

Case No. 12 MA 016

APPEARANCE

The undersigned enters the appearance of (check one):

COMPLAINANT(S) or RESPONDENT(S)

(Insert name)

Check one: Attorney Pro Se

Name: Jud A. D'Alba

Address: 200 W. Jackson Blvd. St. 1900

City/State/ZIP: Chicago, Illinois 60606

Telephone: 312 263 1500

FAX No.: 312 263 1520

EMAIL: jad@uicw.com

Will you accept service of documents via FAX transmissions? Check one: Yes No

Jud A. D'Alba
Signature

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12 AM 108

Joy Cunningham for Justice 23691
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st Quarter of 2012

This committee received a \$45,000 contribution from the candidate on 3/19/12, and reported this contribution to the Board 16 business day late, resulting in a civil penalty assessment of \$22,500. In addition, the Committee has previously been assessed a \$250 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 3rd Quarter of 2011; two \$625 civil penalties (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 4th Quarter of 2011; six \$250 civil penalties (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 4th Quarter of 2011. The total assessment is \$22,500.

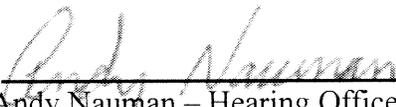
The Respondent was represented by attorney Richard Means at the November 1st appeal hearing.

Richard Means indicated that the committee acknowledges that they were tardy in reporting the contribution from the candidate. However, the Respondent believes the circumstances surrounding this fine should be taken into consideration, and the Board may want to contemplate changing their policy when it comes to Judges. Mr. Means indicated that the purpose of the Act is for voters to be informed of the campaign finances of those who are running for office. However, he stated, in this circumstance the voters were not deprived of any financial information for the assessed contribution was received the day prior to the election; therefore it was not required to be disclosed until after the election. Furthermore, he indicated that Judges are at a disadvantage because the officers of a committee receive notices when a committee is not in compliance. A candidate could normally step in and take over if needed, but a Judge cannot do this. As per Illinois Supreme Court Rule 67 (attached) a candidate for judge may not personally solicit or accept campaign contributions. Furthermore, a candidate's committee may only solicit contributions and public support for the candidate's campaign no earlier than one year before an election and no later than 90 days after the last election in which the candidate participates during the election year. Mr. Means indicated that this restriction helps keep the candidates at arm's length from those who financed their campaign; however, it also puts the candidates at a disadvantage for they cannot monitor the committee to make sure they are in compliance. It has been more than 90 days since the general primary election, and this committee has stopped fundraising and closed the bank account. This committee was previously assessed a \$2,750 civil penalty, and the candidate had to pay for this expense out of her pocket for she could not raise any funds to pay it. Now the committee has been assessed another penalty and the Respondent believes that the candidate will have to pay this fine out of pocket as well as her committee is prohibited from raising funds at this

point in time. Mr. Means indicated that if this fine is not paid, the candidate would not be eligible for appointment or for re-election if she has outstanding fines. Therefore, the Respondent asks the Board to consider a policy change when judicial candidate's committees are assessed fines. Additionally, Mr. Means indicated that the Board has accepted less than 50% for settlement offers in the past. If the Board were not to grant this appeal, the Respondent requests that the percentage amount be allowed to be less than 50% for this matter. He indicated that the Board had settled for less than 50% for Todd Stroger, Judy Baar Topinka, and Calvin Giles (information concerning the Calving Giles settlement is attached) in the past.

Mr. Mean's defense that no one was improperly denied knowledge of this contribution prior to the election has no bearing on whether the contribution was reported in a timely manner. I recommend the appeal be denied for lack of an adequate defense. This is the committee's third delinquent Schedule A-1 and the civil penalty for such a report is \$22,500. If this recommendation is accepted by the Board, the amount of \$22,500 will be due and owing. (As of 6/31/12, this Committee reported a funds available balance of \$0.)

In relation to the Respondent's request to consider holding a judicial candidate's committee to different standards when it comes to their policy making decisions, I recommend that no such consideration be given. In relation to the Respondent's request to potentially settle for less than 50%, I believe this is a matter only the Board can consider; however, I would like to point out that I believe all three of the matters that the Respondent identified would have been done prior to changes in the law requiring year round Schedule A-1 reporting and reports being required to be filed quarterly. Additionally, I don't know the specifics behind the settlements that Mr. Means has broadly identified. Therefore, I don't know why those candidates may have been able to settle for less than 50%, or if they were for that matter. I believe the current Board members can establish policy and they are not held to what prior Board members may have done in the past.


Andy Nauman – Hearing Officer
November 7, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

Joy Cunningham for Justice
c/o Peter Gottlieb, 20 N Wacker Dr, Ste 1416
Chicago, IL 60606-2906

ID# 23691

7011 2970 0003 6439 2895

Dear Joy Cunningham for Justice:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Joy Cunningham	3/19/2012	\$45,000	4/12/2012	16	\$22,500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$22500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$22500, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
October 1 through December 31 2011	Schedule A-1	\$30,650
January 1 through March 31 2012	Schedule A-1	\$1250
TOTAL AMOUNT NOW DUE		\$54,400

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

12 OCT -4 AM 10: 07

State of Illinois)
) SS.
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

Illinois State Board of Elections,)
Complainant)

Vs.)

Case No. 12 AM 108

Joy Cunningham For Justice (ID# 23691),)
Respondent)

APPEAL AFFIDAVIT, REQUEST FOR HEARING

I, Vance du Rivage, the Chairman of Joy Cunningham for Justice Committee, after first being duly sworn deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of civil penalties totaling \$54,000 issued on September 4, 2012 and that such reasons and defenses are:

The Committee does not deny that the A-1 form due to be filed in this circumstance was filed late. However, the Committee asserts that the resulting civil penalties totaling \$54,000 are excessive. While the failure of the Committee to file may have been negligence, there was no substantive or significant harm to the voters' right to know the Candidate's campaign finances before voting since the deadline missed was Midnight on the day *after* the election. Additionally the voters were not deprived of information as to the Candidate's donors which might have been useful in the determination of for whom to vote since the donor in this case was not a controversial person, association or industry, but it was the Candidate herself.

Further, the resulting penalties here are unreasonably harsh as applied to a judicial incumbent who sought a higher judicial office. Judicial candidates are forbidden by Supreme Court Rule from having "hands-on" management of their campaign finances and must delegate campaign finance management duties (including filing disclosure reports) to trusted volunteers and staff. Also, 90 days after the election the campaign finance committee is forbidden by Supreme Court Rule from continued fundraising to cover campaign debts and potential campaign finance penalties. Nevertheless, if judicial candidate committees have outstanding unpaid campaign finance penalties, the candidates are subject to ballot forfeiture penalties. This results in uniquely making judicial candidates personally liable for the penalties assessed against their committees.

Here, the Committee has ceased fundraising (as required) on June 18, 2012, settled outstanding debts spending its remaining funds to zero and closing its bank account. Thereafter, on August 9, 2012 the Candidate herself paid a State Board campaign finance penalty of \$2750 with personal funds.

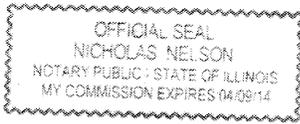
Without question, the imposition of a penalty here is appropriate but the amount assessed in the September 4, 2012 letter is, under the circumstances, unreasonable and excessive.

The Committee respectfully requests a hearing at which to present its evidence of the above-detailed facts and its argument to the Board.


(Signature of Chairman)

Signed and sworn to by: Vance du Rivage
this third day of October, 2012


Notary Public
SEAL



CANON 7

A Judge or Judicial Candidate Shall Refrain
From Inappropriate Political Activity

A. All Judges and Candidates.

(1) Except as authorized in subsections B(1)(b) and B(3), a judge or a candidate for election to judicial office shall not:

(a) act as a leader or hold an office in a political organization;

(b) publicly endorse or publicly oppose another candidate for public office;

(c) make speeches on behalf of a political organization;

(d) solicit funds for, or pay an assessment to a political organization or candidate.

(2) A judge shall resign from judicial office upon becoming a candidate for a non-judicial office either in a primary or in a general election.

(3) A candidate for a judicial office:

(a) shall maintain the dignity appropriate to judicial office and act in a manner consistent with the integrity and independence of the judiciary, and shall encourage members of the candidate's family to adhere to the same standards of political conduct in support of the candidate as apply to the candidate;

(b) shall prohibit employees and officials who serve at the pleasure of the candidate, and shall discourage other employees and officials subject to the candidate's direction and control from doing on the candidate's behalf what the candidate is prohibited from doing under the provisions of this Canon;

(c) except to the extent permitted by subsection B(2), shall not authorize or knowingly permit any other person to do for the candidate what the candidate is prohibited from doing under the provisions of this Canon;

(d) shall not:

(i) make statements that commit or appear to commit the candidate with respect to cases, controversies or issues within cases that are likely to come before the court; or

(ii) knowingly misrepresent the identity, qualifications, present position or other fact concerning the candidate or an opponent; and

(e) may respond to personal attacks or attacks on the candidate's record as long as the response does not violate subsection A(3)(d).

B. Authorized Activities for Judges and Candidates.

(1) A judge or candidate may, except as prohibited by law:

(a) at any time,

(i) purchase tickets for and attend political gatherings;

(ii) identify himself or herself as a member of a political party; and

(iii) contribute to a political organization;

(b) when a candidate for public election

(i) speak to gatherings on his or her own behalf;

(ii) appear in newspaper, television and other media advertisements supporting his or her candidacy;

(iii) distribute pamphlets and other promotional campaign literature supporting his or her candidacy; and

(iv) publicly endorse or publicly oppose other candidates in a public election in which the judge or judicial candidate is running.

(2) A candidate shall not personally solicit or accept campaign contributions. A candidate may establish committees of responsible persons to conduct campaigns for the candidate through media advertisements, brochures, mailings, candidate forums and other means not prohibited by law. Such committees may solicit and accept reasonable campaign contributions, manage the expenditure of funds for the candidate's campaign and obtain public statements of support for his or her candidacy. Such committees are not prohibited from soliciting and accepting reasonable campaign contributions and public support from lawyers. A candidate's committees may solicit contributions and public support for the candidate's campaign no earlier than one year before an election and no later than 90 days after the last election in which the candidate participates during the election year. A candidate shall not use or permit the use of campaign contributions for the private benefit of the candidate or others.

(3) Except as prohibited by law, a candidate for judicial office in a public election may permit the candidate's name: (a) to be listed on election materials along with the names of other candidates for elective public office, and (b) to appear in promotions of the ticket.

C. Incumbent Judges. A judge shall not engage in any political activity except (i) as authorized under any other provision of this Code, (ii) on behalf of measures to improve the law, the legal system or the administration of justice, or (iii) as expressly authorized by law.

D. Applicability. Canon 7 generally applies to all incumbent judges and judicial candidates. A successful candidate, whether or not an incumbent, is subject to judicial discipline for his or her campaign conduct; an unsuccessful candidate who is a lawyer is subject to lawyer discipline for his or her campaign conduct.

A lawyer who is a candidate for judicial office is subject to Rule 8.2(b) of the Rules of Professional Conduct.

JUSTICE HEIPLE, concurring:

First and foremost, Rule 67 and these canons of judicial ethics are intended as a working guide of conduct for judges and judicial candidates. They indicate areas of activity that are deemed to be within and without proper limits of judicial conduct. In between, of course, are uncertain areas which lack definition. What the canons seek is judicial conduct that is in keeping with the high calling of judicial office. They are not intended to facilitate the filing of casual or vindictive charges against judges or judicial candidates.

The application of these canons require a high measure of common sense and good judgment. Matters that are either minor in nature or susceptible to differing interpretations ought not result in charges being filed. Charges of misconduct should be limited to matters that are both clearly defined and commonly accepted as serious.

The canons have attempted to recognize that Illinois has an elective judiciary. As a practical matter, the Illinois judge must involve himself in matters political. That is to say, the judge or candidate must be a participant in the system. A corollary of this activity is the public's right to know whom they are voting for. Realistically speaking, it is not enough for the judge or candidate to merely give name, rank and serial number as though he were a prisoner of war. Rather, the public has a right to know the candidate's core beliefs on matters of deep conviction and principle. While the candidate is not required to disclose these beliefs, he should neither be deterred nor penalized for doing so. In so doing, however, the judge or judicial candidate ought to refrain from stultifying himself as to his evenhanded participation in future cases. Rule 67 attempts to make that clear.

What fair-minded people seek in a judge is a person who will be fair and impartial and who will follow the law. Those considerations overshadow matters of nonjudicial ideology such as socialism, antivivisection, membership in the Flat Earth Society, an obsession with gender neutral language, or whatever. The matter of nonjudicial ideology is of direct and primary concern, of course, when judges begin to act as legislators rather than jurists. Judges who adhere to the rule that their conscience is their guide and that the law must accommodate their conscience are especially deserving of close scrutiny and concern. Under our Illinois constitutional scheme, however, it is the voters who are to make that call, not a governmental prosecutorial body or an association of lawyers.

JUSTICE McMORROW, dissenting:

I dissent from the adoption of certain portions of new Rule 67 of the Code.

At the time of this writing, Illinois elects its judges. Irrespective of the merits or demerits of the elective process, it is essential to the justice system that judges be "independent, fair, and competent" so as to honor the public trust placed in them by virtue of their position. The purposes of the Code of Judicial Conduct are set forth in the Preamble to the Code. That Preamble, as amended, *inter alia*, provides:

"Our legal system is based on the principle that an independent, fair and competent judiciary will interpret and apply the laws that govern us. The role of the judiciary is central to American concepts of justice and the rule of law. Intrinsic to all provisions of this code are precepts that judges, individually and

collectively, must respect and honor the judicial office as a public trust and strive to enhance and maintain confidence in our legal system."

In this Code of Judicial Conduct, the Supreme Court of Illinois has set the standard by which judges are to be guided in their professional conduct. In my opinion, these standards should be high, and should be in keeping with the principles espoused in the Preamble. They are the guidelines which tell judges in this State in what activities they may or may not participate. The primary goal of the Code should be the attainment of a fair and impartial judiciary.

Today, in adopting certain amendments to Rule 67, the majority apparently wishes to accommodate the elective process to which judges are presently subjected. In so doing, the majority has substantially broadened the political activity in which judges may participate. For example, by deleting certain prohibitions which appeared in Rule 67 prior to the amendments, a judge may now *at any time* attend political gatherings, may make unlimited contributions to a political organization, may identify himself or herself as a member of a political party, or may purchase tickets for political dinners or other functions. Rules 67(B)(1)(a)(i), (B)(1)(a)(ii), (B)(1)(a)(iii).

However, our prior Rule 67 was not unduly restrictive. Indeed, no hardship to judges under the former rule has been demonstrated, nor has there been any hue or cry for the changes which have been adopted. I am unaware of any need for judges to make unlimited contributions to a political party, to attend political gatherings, or to identify their political party allegiance. On the contrary, upon election to judicial office, judges are to be impartial; they are to be unbiased with respect to race, gender, and political party affiliation. Upon election, judges should no longer be Democrats or Republicans. Rather, judges are elected to apply the rule of law without respect to political organization affiliation. Although I recognize the need to solicit political organizational support at the time a candidate is seeking election to the judiciary, or at such time as a judge is seeking retention, I am particularly disturbed by the amendments' allowance of a judge to engage in the political activities permitted by these amendments *at any time*.

I submit that the new rule "abandon[s] several important ethical standards that uphold the independence and dignity of judicial office" and will surely cause severe problems in the public perception of judicial candidates. (Report of the Committee on Judicial Performance and Conduct of the Lawyers' Conference of the Judicial Administration Division of the American Bar Association on the Final Draft of the Model Code of Judicial Conduct 28 (1990) (hereinafter Report of the Committee on Judicial Performance).) In my view, the new standards of the rule are too permissive with respect to the political activities of judicial candidates. The increased permissiveness in judicial candidates' political activities fosters a misguided over-politicization of the judicial election process in this State. In my judgment the time and efforts of the Illinois Supreme Court might be better expended by addressing the myriad of problems confronting the justice system, rather than considering and adopting amendments which allow judges to participate in additional political activity. I dissent from the adoption of these amendments because they are imprudent, unnecessary, and lend themselves to abuse.

In addition, I cannot agree with the majority's new view of the appropriate scope of a judicial candidate's public comment on matters that may or are likely to come before the court, provided the candidate does not "make statements that commit or appear to commit the candidate with respect to cases, controversies or issues within cases that are likely to come before the court." (Rule 67(A)(3)(d)(i).) Ultimately, the new Rule is short-sighted because it places candidates for judicial office in an unseemly position where they may feel compelled to "pander" for votes by publicly adopting views which appear popular to the electorate. See Report of the Committee on Judicial Performance at 31.

The Commentary indicates that this amendment was adopted in response to the decision of the Federal court in *Buckley v. Illinois Judicial Inquiry Board* (7th Cir. 1993), 997 F.2d 224. In that case, the Seventh Circuit Court of Appeals held unconstitutional the portion of our rule that forbids a judicial candidate from "announc[ing] his views on disputed legal or political issues." (154 Ill. 2d R. 67(B)(1)(c).) The Federal court concluded that this "announcement" prohibition invaded a candidate's constitutional rights, because it "reache[d] far beyond speech that could reasonably be interpreted as committing the candidate in a way that would compromise his impartiality should he be successful in the election." *Buckley*, 997 F.2d at 228.

It is indisputable that the constitutional guarantee of freedom of speech must be balanced against the right of the public to a judiciary which will decide the issues presented to it in the courtroom setting, on the basis of the facts and applicable law. A judicial candidate's right to free speech may be restricted where a compelling State interest is present which counterbalances the candidate's ability to speak freely. The integrity and impartiality and independence of the judiciary is, in my opinion, such a compelling State interest to which deference should be paid.

The key words in the amendment which now appear in Rule 67(A)(3)(d)(i) are "commit or appear to commit." These words are subject to varying interpretations and, I submit, are unnecessarily too broad to cure the fault found by the Federal court in the *Buckley* case. I question whether the amendment permitting a judge to speak on issues which may come before the court, provided the judge uses the magic words that the judge "is not committing" will be more problematic than the rule was prior to this amendment.

I also find disturbing the Commentary to the amendments to the effect that a judge or judicial candidate may respond to "false information concerning a judicial candidate [that] is made public." (Rule 67, Committee Commentary.) The Report of the Committee on Judicial Performance stated the following with regard to this provision:

"This new expansion of free speech for judges who might be tempted to come to the aid of another judge or judicial candidate who has been the subject of criticism in a political campaign is totally without merit. There is no reason for a judge to become involved as a spokesperson or in any other capacity for another judge who has been publicly maligned. Publicly 'correcting' what the judge regards as a misstatement of fact in a judicial campaign is one of the acts presently prohibited by the existing Code, and it should continue to be prohibited.

Most issues of 'fact' in the context of judicial elections are, at best, mixed issues of fact and opinion and at worst are pure issues of opinion. Thus, the 'narrow' exception anticipated by the draftspersons would, in reality, become a large loophole.

The new provision would put enormous pressure on judges to become actively involved in campaigns of other judges or candidates." Report of the Committee on Judicial Performance at 5-6.

I agree with these comments from the Report of the Committee on Judicial Performance regarding this new amendment to Rule 67.

In my opinion, public perception of a fair and impartial judiciary is diminished by adoption of the amendments to which I have made reference. Because the majority permits potential further politicization of the Illinois judiciary by adoption of the above-referenced amendments, I respectfully dissent.

Adopted December 2, 1986, effective January 1, 1987; amended April 20, 1987, effective August 1, 1987; amended August 6, 1993, effective immediately; amended March 24, 1994, effective immediately.

Committee Commentary

This canon regulates the extent to which a judicial officer may engage in political activity. Canon 7 adopts as its foundation the provisions of Canon 5 of the ABA Model Code of Judicial Conduct, which was adopted by the ABA in 1990.

Paragraph 7A(1). A judge or candidate for judicial office retains the right to participate in the political process as a voter.

Where false information concerning a judicial candidate is made public, a judge or another judicial candidate having knowledge of the facts is not prohibited by paragraph 7A(1) from making the facts public.

Subparagraph 7A(1)(a) does not prohibit a candidate for elective judicial office from retaining during candidacy a public office such as State's Attorney, which is not "an office in a political organization."

Subparagraph 7A(1)(b) does not prohibit a judge or judicial candidate from privately expressing his or her views on judicial candidates or other candidates for public office.

A candidate does not publicly endorse another candidate for public office by having that candidate's name on the same ticket.

Subparagraph 7A(1)(d). The ABA provisions that prohibit the following activities were deleted: attending political gatherings (5A(1)(d) of ABA), making contributions to political organizations or candidates (5A(1)(e)), and purchasing tickets for political party dinners or other functions (5A(1)(e)). These provisions were deleted because the ABA provisions adopted in subparagraph 7B(1)(a) were modified to authorize all judges and candidates to engage in such activities at any time. However, the prohibition on the solicitation of funds for, or paying an assessment to, a political organization or candidate, is adopted and renumbered as subparagraph (d).

Subparagraph 7A(3)(a). Although a judicial candidate must encourage members of his or her family to adhere to the same standards of political conduct in support of the candidate that apply to the candidate, family members are free to participate in other political activity.

Subparagraph 7A(3)(d). The ABA clause prohibiting "pledges and promises of conduct in office," found in Canon 5A(3)(d) of the Model Code (which was similar to the language of Canon 7B(1)(c) of our previous rules on political conduct) was deleted. This change was made to clarify the limitations of the rule (see *In re Buckley* (Ill. Cts. Comm'n Oct. 25, 1991), No. 91--CC--1), which gave a broader construction to the rule. Subparagraph 7A(3)(d) prohibits a candidate for judicial office from making statements that commit or appear to commit the candidate with respect to cases, controversies or issues within cases that are likely to come before the court. However, as a corollary, a candidate should emphasize in any public statement the candidate's duty to uphold the law regardless of his or her personal

views. See also paragraph 3A(6), the general rule on public comment by judges. Subparagraph 7A(3)(d) does not prohibit a candidate from making pledges or promises respecting improvements in court administration. Nor does this provision prohibit an incumbent judge from making private statements to other judges or court personnel in the performance of judicial duties. This subparagraph applies to any statement made in the process of securing judicial office. See also Rule 8.2 of the Rules of Professional Conduct.

The ABA Model Code of 1990 was modified to remove the provisions pertaining to candidates seeking appointment to judicial or other governmental office that are found in subsection B of Canon 5. Hence ABA subsections C, D and E were renumbered and are now subsections B, C and D of our Canon 7.

Paragraph 7B(1). This paragraph permits judges at any time to be involved in limited political activity. Subsection 7C, applicable solely to judges, would otherwise bar this activity.

Paragraph 7B(2). This paragraph is substantially identical to the Section 5C(2) of the 1990 ABA Model Code. The one difference is that the language prohibiting the candidates from personally soliciting publicly stated support is omitted to allow judicial candidates to appear before editorial boards of newspapers and other organizations. Paragraph 7B(2) permits a candidate to solicit publicly stated support, and to establish campaign committees to solicit and accept public support and reasonable financial contributions. At the start of the campaign, the candidate must instruct his or her campaign committees to solicit or accept only contributions that are reasonable under the circumstances. Though not prohibited, campaign contributions of which a judge has knowledge, made by lawyers or others who appear before the judge, may be relevant to disqualification under subsection C of Canon 3.

Campaign committees established under Section 7B(2) should manage campaign finances responsibly; avoiding deficits that might necessitate post-election fund-raising, to the extent possible.

Paragraph 7B(3). This paragraph provides a limited exception to the restrictions imposed by paragraph 7A(1).

Subsection 7C. Neither subsection 7C nor any other section of the Code prohibits a judge in the exercise of administrative functions from engaging in planning and other official activities with members of the executive and legislative branches of government.

Nauman, Andy

From: Richard K. Means [MEANS806@comcast.net]
Sent: Friday, November 02, 2012 9:52 AM
To: Nauman, Andy
Cc: Richard Means
Subject: Cunningham appeal

The State Rep with the large settlement which I recall coming in at well under 50% was Calvin Giles in about 2006 or 2007.

He had accumulated some \$144,000 in fines for violation of the Campaign Finance Act (10 ILCS 5/9.1 *et seq.*) which remain unpaid after all appeals have been exhausted. Likewise, he did not contest the fact that \$63,750 of which penalties were for reporting periods subsequent to the effective date (November 19, 2003) of a ballot forfeiture provisions specifically proscribing ballot access for a person "who has not paid a civil penalty imposed against him or her under this Article shall not appear upon any ballot for any office in any election while the penalty is unpaid."

Rich

Richard K. Means
Attorney At Law
806 Fair Oaks Avenue
Oak Park, Illinois 60302

office: 708.386.1122
fax: 708.383.2987
mobile: 312.391.8808
RMeans@RichardMeans.com
www.RichardMeans.com
Skype: RichardKMeans

BEFORE THE ILLINOIS STATE BOARD OF ELECTIONS

Illinois State Board of Elections)
Complainant,)
vs.) Case #: _____)
Joy Cunningham For Justice)
Respondent.)

Appearance

I, Richard K. Means, an Illinois-licensed attorney, hereby enter my appearance as attorney for the Respondent, **Joy Cunningham For Justice**, in the above-captioned case.

I agree to accept service of documents by facsimile and/or email. Email is preferred.

I agree to waive the necessity of formal service of any documents in exchange for actual in-hand delivery to me or a member of my staff.



Richard K. Means

October 3, 2012

Contact information for service and notices pursuant to Board Rules:

Richard K. Means

ARDC Attorney #01874098
Cook County Attorney #27351
24 hour 7 day contact information:
Email: Rmeans@RichardMeans.com
Web site: www.RichardMeans.com

806 Fair Oaks Avenue
Oak Park, Illinois 60302
Telephone: (708) 386-1122
Facsimile: (708) 383-2987
Cellular (312) 391-8808

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 JQ 048

Committee to Elect McMillan 17202

Respondent

REPORT OF HEARING EXAMINER

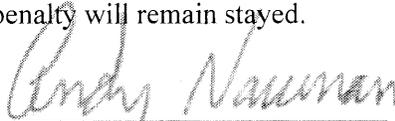
For Delinquently Filing the June 2012 Quarterly Report

The June 2012 Quarterly Report was received on 7/27/12, 9 days late, resulting in an assessment of \$900. In addition, the Committee has previously been assessed a \$600 civil penalty (not appealed, stayed) for delinquently filing the March 2012 Quarterly Report. The total assessment is \$1,500.

Wayne McMillan, the candidate of the committee, appeared at the November 1st appeal hearing.

Mr. McMillan indicated that he ran for County Board Member seat in 2008 and he lost the election. At that time he had someone responsible for filing the committee's reports and he indicated that the person did not report all of the expenses. The bank account was closed shortly after the election and the report was so far off that he indicated that it was just easier to keep filing the reports. However, Mr. McMillan was hospitalized for 10 days in March of this year and he subsequently missed the filing deadline for the 1st quarter of this year. However, the fine was stayed. In June of this year Mr. McMillan attempted to try to go back and fix the reports and while he was trying to piece the committee's activity back together he missed the filing deadline for the 2nd quarter of this year. At the hearing Mr. McMillan indicated that he is retired and is living on Social Security and that the fine amount is not realistic to what he could afford on his limited income. Additionally, he indicated that he did not plan on running again.

I have talked to Mr. McMillan multiple times since I was assigned the hearing officer in this matter. With the assistance of staff Mr. McMillan recreated his financial activity and amended the committee's reports for 2008. The amended reports were filed on 11/16/12 along with a letter indicating that the amended December 2008 Semi-Annual Report should be considered as a Final Report. The IDIS program only allows a person to file a Final Report as of the date of the filing. The amended June 2008 Semi-Annual report now shows an additional \$357.31 in expenditures were made during the reporting period. The amended December 2008 Semi-Annual report now shows additional receipts of \$9,505, additional expenditures of \$27,404.72, and funds available at the close of the reporting period of \$0. I am troubled that it took this committee more than 3 years to go back and fix their reports considering how far off the reports were; however, by amending the 2008 Semi-Annual Reports and filing the letter of correspondence to Finalize the committee, they would not have been required to file the June 2012 Quarterly Report. I recommend the appeal be granted. If this recommendation is accepted by the Board, the \$600 previously assessed civil penalty will remain stayed.



Andy Nauman – Hearing Officer

November 28, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/ 782-4141
Fax: 217/ 782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312814-6440
Fax: 312814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

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Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Committee to Elect McMillan
1444 Clear Dr
Bolingbrook, IL 60490-5575

ID# 17202

7011 2970 0003 6439 4264

Dear Committee to Elect McMillan:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2012 through June 30, 2012
Filing Period: July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 59-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 27, 2012, 9 day(s) late. As such, this committee has been assessed a fine of \$900.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through March 31 2012	Quarterly	\$600
TOTAL AMOUNT NOW DUE		\$1500

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of: _____)

STATE BOARD OF ELECTIONS

12 SEP 13 AM 8:36

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Committee to elect McMillan)
Respondent(s).)

Case No. 12 JQ 048

APPEAL AFFIDAVIT

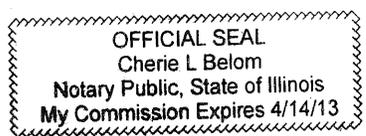
I, Wayne McMillan, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to elect McMillan
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

After the 11/08 general election, all of the monies, save a few hundred dollars, had been expended on campaign expenses. The individual responsible for filing the reports had entered the expenditures incorrectly. I didn't find this out until a recent call to the State Board of Elections that showed a large balance. I attempted to set-up an appointment to get it resolved but couldn't get a call back. I was under the impression the account was closed after being zeroed out. For a while, we entered a "no activity" report and I requested the person doing it to make sure it was finished and closed. Thinking it was, I lost track of it, thinking it was a non-issue.

Signed and Sworn to by:
Cherie L Belom
before me this 10th Day of September, 2012

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AJ 030

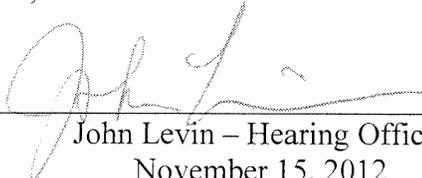
Friends for Lawson
Cmte ID: 18257
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1 Reports

The Committee filed an A-1 on May 27, 2012, with respect to a \$2,500 In Kind contribution from the House Republican Organization, dated May 9, 2012. The A-1 was 8 days late, resulting in a fine of \$1,250. The Committee filed an A-1 on June 30, 2012, that included a donation of \$2,500 from Lia Sophia, dated June 14, 2012, and a \$5,000 donation from Richard Uihlein, dated June 24, 2012. The A-1 for the Sophia contribution was 7 days late, leading to a fine of \$1,250. The A-1 for the Uihlein donation was 1 day late, and the fine was \$2,500. The total fine amount is \$5,000. These are the committee's first violations.

John Lawson, the Candidate, Treasurer and Chairman of the Committee, filed a waiver of appearance and appeal affidavit in this matter. On the affidavit, and in a follow-up phone conversation, Mr. Lawson explained his lack of familiarity with reporting requirements led to a mix up in reporting the donations. He stated the actual date of receipt for the House Republican Organization In Kind was May 27, 2012, the same date the A-1 for it was filed. He stated the cash donations from Lia Sophia and Richard Uihlein were initially reported using dates on checks, rather than dates of deposit. He said the committee actually received each donation on June 30, 2012, the date the A-1 in question was filed. Mr. Lawson uploaded an amended June 2012 Quarterly Report that he stated accurately reflects the dates associated with each donations. Upon request, Mr. Lawson augmented his appeal documentation with copies of deposit slips for the cash donations.

I recommend the appeal be granted. Mr. Lawson appears to have acted in good faith in reporting the receipts in a timely manner. He now has a better understanding of the method by which the Committee should report its donations. As of September 30, 2012, the Committee reported a cash balance of \$55,200.70.



John Levin – Hearing Officer
November 15, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Fax: 217/782-5959

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312/814-6440
Fax: 312/814-6485



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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

Friends for Lawson
715 Huntington Ln
Schaumburg, IL 60193-3160

ID# 18257

7011 2970 0003 6439 3526

Dear Friends for Lawson:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
House Republican Organization	5/9/2012	\$2500	5/27/2012	8	\$1250
Lia Sophia	6/14/2012	\$2500	6/30/2012	7	\$1250
Richard Uihlein	6/24/2012	\$5000	6/30/2012	1	\$2500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$5000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS
12 SEP 17 AM 8:15

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS FOR LAWSON)
Respondent(s).)

Case No. 12AJ030

APPEAL AFFIDAVIT

I, John Lawson, the Chairman + Treasurer of the
(Name) (Chairman/Treasurer)
FRIENDS FOR LAWSON
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Being A New CANDIDATE For A STATE OFFICE, I WAS ENTERING
THE DATE ON THE CHECKS (#11898 + #150441 + IN KIND) AND NOT
THE DATE OF BANK DEPOSIT OR WHEN RECEIVED IN KIND IN THE
MAIL. AS YOU CAN SEE, THE DATE OF DEPOSIT WAS DATE OF A-1
I DO KNOW NOW THE CORRECT WAY. Plz excuse my errors.



[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
Catherine A. Hensley
before me this 7 Day of September, 2012
Notary Public
(seal)

* Plz ALSO NOTE, I MADE
THIS MISTAKE ON SEVERAL OTHERS
THAT MAY NOT HAVE COME UP
YET. AS OF 9/17/12 - I NOW KNOW
THE CORRECT WAY. [Signature]

In-Kind Contribution Notification

TO: Friends for Lawson

715 Huntington Ln

Schaumburg

IL

60193-

FROM: House Republican Organization

24047 W. Lockport St

Suite 201

Plainfield

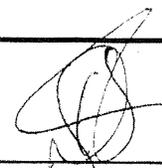
IL

60544

In-Kind Contribution

Vendor

	Date	Value
Fortis Strategies 27 N. Wacker Drive	5/9/2012	\$2,500.00
Suite 585 Chicago IL 60606-	Description Consulting	



Signature Of Contributor

JKE PRAXMARER
AROL PRAXMARER
36 W BORDERS DR
LATINE, IL 60067-6606

CMA Cash Management Account®

6931

DATE 6-1-12

87-176/843

Friends for LAWSON \$250—
Two hundred Fifty and 00/100

Merrill Lynch

of America

[Redacted]

[Redacted]

[Signature]

101

2-14267
710

DATE 6/24/2012

00
\$ 100
100

DOLLARS



[Signature]

M. DAVID CAIN
4237 GROVE AVE. PH. 847-336-8455
GURNEE, IL 60031

70-8486/2719
2300049387

1110

DATE 6-26-12

PAY TO THE ORDER OF Friends of John Lawson \$500.-

Five hundred & 00/100 DOLLARS



GURNEE, ILLINOIS 60031

MEMO

[Redacted]

[Redacted]

[Signature]

SNEHALKUMAR A. PATEL 07-11
385 GRISSOM CT
HOFFMAN ESTATES, IL 60169-1670

Friend for Lawson
One hundred dollars & 00/100

CHASE

JPMorgan Chase Bank, N.A.
www.Chase.com

MEMO

HINA B THAKKAR 062011
BHARAT K THAKKAR
341 JENNIFER LN
ROSELLE, IL 60172

1051

Date JUN 19 2012

70-2217/719

Pay to the Order of FRIENDS FOR LAWSON \$501.00

FIVE HUNDRED ONE & 00/100 Dollars



308 W. Irving Park Road, Itasca, Illinois 60143
Phone: 630-773-0350 www.itascabank.com Member FDIC

President's Club

For *[Signature]*

[Redacted]

[Redacted]

1051

2224

2-2566/710

DATE 6/27/12

\$500.00

DOLLARS



Friends for Lawson
Two hundred 00/100

Harris NA

ARRIS

[Signature]

MEMO

RICHARD E UHLEIN
1396 N WAUKEGAN RD.
LAKE FOREST, IL 60045-1147

2-1520
710

11898

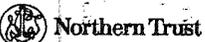
DATE 6/24/2012

PAY TO THE ORDER OF Friends for Lawson \$5,000.00

FIVE THOUSAND & 00/100 DOLLARS

THE NORTHERN TRUST COMPANY

NORTHERN TRUST ANCHOR ACCOUNT



[Signature]

61

ROBERT MAYO
1336 BASSWOOD ROAD
SCHAUMBURG, IL 60173

MEMO

ACCOUNTING & TAX SERVICE OF VIROQUA LTD.

111 E. IRVING PARK RD.
ROSELLE, IL 60172-2070



79-57-759

6/8/2012

Security features. Details on back.

TO THE ORDER OF friends for Lawsom

\$ **100.00

One Hundred and 00/100*****

DOLLARS

friends for Lawsom

Carla...
AUTHORIZED SIGNATURE

MEMO



CHECK NO.	CHECK DATE
150441	6/14/12

lia sophia

SHARE THE LOVE OF JEWELRY™

Act II Jewelry, LLC
1235 Mittel Blvd
Wood Dale, IL 60191

2-173
710

CHECK NO. 150441

MB Financial Bank, NA
Chicago, IL

TWO THOUSAND FIVE HUNDRED ***** 00/100 DOLLARS

CHECK AMOUNT
\$\$\$2,500.00

NOT VALID AFTER 180 DAYS

J. J...

PAY TO THE ORDER OF FRIENDS FOR LAWSON



2896

SALERNO'S ROSEDALE CHAPELS, INC.

450 W. LAKE ST.
ROSELLE, IL 60172



6/18/2012

PAY TO THE ORDER OF Friends For Lawson

\$ **250.00

Two Hundred Fifty Only*****

DOLLARS

THIS CHECK IS PROTECTED BY A VOID PANTOGRAPH, MICROPRINT SIGNATURE LINE AND A HEAT SENSITIVE PADLOCK ICON. ADDITIONAL SECURITY FEATURES ARE LISTED ON BACK.



555 E. Irving Park Road
Roselle, Illinois 60172
Phone (630) 529-7070
www.wickstromchevrolet.com

ITASCA BANK & TRUST CO.
ITASCA, IL 60143

208438

DATE 06/18/2012

AMOUNT *****\$500.00

PAY Five Hundred Dollars And Zero Cent 62

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

12 MQ 293

Batavia PAC for Education
Respondent

ID# 20987

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently Filing
the March 2012 Quarterly Report

The Quarterly Report was received by the Board on May 17, 2012, 23 days late, resulting in a civil penalty assessment of \$2,300. Additionally, the Committee had previously been assessed a civil penalty of \$50 (not appealed, paid) for delinquently filing the September 2011 Quarterly report and a \$150 civil penalty (not appealed, paid) for delinquently filing the June 2010 Semi Annual report. The total assessment is \$2,300.

Jeffrey Thomas, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Thomas states, the Committee initially complied by timely filing the Quarterly report on paper. It was then brought to his attention that the Committee was required to file electronically. He says he made numerous attempts to download the IDIS program onto both his school computer as well as his personal computer. Mr. Thomas adds he made several calls to the Board for assistance. The Committee was eventually able to file the Quarterly report electronically and assures the Board there will be no further filing problems in the future.

In order to be consistent with previous Board rulings where an electronic filing defense is raised and since this Committee has not previously raised such a defense, I recommend the appeal be granted. (As of 9/30/12, this Committee reported a funds available balance of \$10,533.56.)



Kim Patrick – Hearing Officer
November 6, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012
ID# 20987

Batavia PAC for Education
attn: Jeffrey Thomas, 1501 S Raddant Rd
Batavia, IL 60510-8366

7011 2970 0003 6439 3045

Dear Batavia PAC for Education:

As you have been previously notified, this committee failed to electronically re-file the following document as required:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 2, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provisions of Section 100.150 Rules & Regulations, it has been assessed a civil penalty for each day this report remained electronically unfiled. According to Board records, this report was electronically re-filed by your committee on May 17, 2012, 23 day(s) late. As such, this committee has been assessed a fine of \$2300.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have questions regarding the appeal process, please call Jenny Ronimous at 217/782-1543.

Sincerely;

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: hane)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Batavia Pac for Education)
20987 Respondent(s).)

Case No. 12MQ293

APPEAL AFFIDAVIT

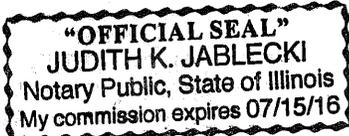
I, Jeffrey Thomas, the Chairman of the
(Name) (Chairman/Treasurer)
Batavia Pac for Education
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I complied initially by completing and mailing/faxing the
quarterly report on time. As a new chairman it was
brought to my attention that due to the amount of funds
in our account I needed to file electronically. I made
numerous attempts to download the program to my school
(see additional page)

Jeff Thomas
Signature of Chairman/Treasurer

Signed and Sworn to by:
Judith K. Jablecki
before me this 24th Day of September, 2012
Notary Public
(seal)



Computer; only to have our tech dept require authorization. I then attempted to load the program on to my home computer, and made several calls to the State Board of Elections for assistance. Eventually after numerous calls and assistance I was able to file electronically. Please understand the failure to file electronically was not an intent and merely a technical problem that has been resolved assuring no future issues.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 JQ 114

Citizens for Tate and Cantelo-Zylman
Respondent

ID# 23436

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the June 2012 Quarterly Report

The Quarterly Report was received by the Board on July 30, 2012, 10 days late, resulting in a civil penalty assessment of \$750. Additionally, the Committee had previously been assessed a \$150 civil penalty (not appealed, paid) for delinquently filing the September 2011 Quarterly report and a \$650 civil penalty (not appealed, paid) for delinquently filing the June 2011 Quarterly report. The total assessment is \$750.

Joshua Tate, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Tate states the Committee thought they had electronically filed the June Quarterly report along with an amended March 2012 Quarterly report but did not realize the filing period had yet to begin for the June Quarterly. He adds he thought the software would allow him to file his June Quarterly report early.

In order to be consistent with previous Board rulings where an electronic filing defense is raised and since this Committee has not previously raised such a defense, I recommend the appeal be granted. (As of 9/30/12, this Committee reported a funds available balance of \$427.61.)



Kim Patrick – Hearing Officer

November 2, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/ 782-4141
Fax: 217/ 782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312814-6440
Fax: 312814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

Citizens for Tate and Cantelo-Zylman
2428 Oak St, Apt 2
Blue Island, IL 60406-3988

ID# 23436

7012 1010 0002 5104 1799

Dear Citizens for Tate and Cantelo-Zylman:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 59-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 29, 2012, 10 day(s) late. As such, this committee has been assessed a fine of \$750.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of : _____)

CHICAGO

2012 OCT -2 PM 12: 29

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS.)
)
Complainant)
)
Vs.)
)
Citizens for Tate and Cantelo-Zylman)
)
Respondent(s).)

Case No. 12JQ114

APPEAL AFFIDAVIT

I, Joshua Tate, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Tate and Cantelo-Zylman

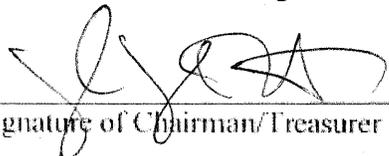
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Your Honor,

My committee was established for a small town Park District election last election. This delinquent filing was a result of my premature attempt to file electronically, not realizing the filing period had yet to begin. I filed an amendment for the previous quaterly period on 6/26 and thought I was filing my quarterly report at the same time. It was not obvious to me at the time that my quarterly report was not actually filed because the filing period had yet to begin. The mistake was brought to my attention via the letter from the Board stating my failure to file and it was quickly remediated. I ask for mercy and a waiver in my mistake so that I can donate the remaining funds in my committee's account to our local Friends of the Park organization and dissolve the committee.

With respect and humility,


Signature of Chairman/Treasurer

Signed and Sworn to by:


before me this 9th Day of October, 2012

Notary Public
(seal)



STATE OF ILLINOIS
COUNTY OF COOK

)
) SS
)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter Of:)

State Board of Elections)
Complainant)

Vs.)

12 AM 002

Cook County Democratic Party 163)
Respondent)

Supplement to the Hearing Officer Report

On July 16, 2012 Michael Kasper requested the Board send this matter back to a hearing officer at the Board meeting. The Board referred the matter back to a hearing officer and a hearing was scheduled for August 28, 2012. The Respondent was represented by attorney Michael Kasper at the appeal hearing.

Michael Kasper indicated that the reason the person who deposited the funds was unexpectedly out of the office was a health issue. She was therefore unable to report the required information regarding the deposit to the accountant. Once she returned to the office and realized that the filing had not been filed she immediately contacted the accountant and forwarded him the deposit information. Mr. Kasper also indicated that the contributions were still reported well in advance of the election.

It is unfortunate the individual who deposited the contributions had a health issue that kept her out of the office. However, the political committee still had a responsibility to file the report in a timely manner. I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment as a first violation, or \$3,850. If this recommendation is accepted by the Board, the amount of \$3,850 will be due and owing. (As of 6/30/12, this Committee reported a funds available balance of \$356,328.71.)



Andy Nauman – Hearing Officer
September 5, 2012

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AM 002

Cook County Democratic Party
Respondent

Cmte ID: 163

REPORT OF HEARING OFFICER

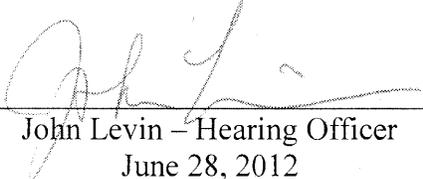
Appeal of Civil Penalty Assessment
For Delinquently Filing A-1 Reports

The Schedule A-1 Reports related to ten (10) receipts dated March 1, 2012, were each received four business days late. The total fine amount is \$38,500. The Committee had not been previously assessed.

Joseph Berrios, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Berrios stated the individual responsible for filing the Schedule A-1 Reports intended to file them on March 5, 2012, in a timely manner but was unexpectedly out of the office until a later date. Upon learning later in the week that the Reports had not been filed she immediately contacted the Committee's accountant and forwarded him information required to prepare the A-1, which were filed the next day.

I recommend the appeal be denied for lack of an adequate defense. I accept the Committee's assertion the A-1s were inadvertently filed late and note the Committee's lack of prior assessments. The Committee will be given credit for its prior history of consistent timely filings. Since this is the Committee's first delinquent occurrence of Schedule A-1 reporting, the civil penalty, if this recommendation is accepted, would automatically be reduced to \$3,850. As of March 31, 2012, the Committee's cash balance was reported as \$376,500.25.



John Levin – Hearing Officer

June 28, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Cook County Democratic Party

ID# 163

Dear Cook County Democratic Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Casey Griffen	3/1/2012	\$5000	3/10/2012	4	\$2500
Citizens for Judge Erica L Reddick	3/1/2012	\$12000	3/10/2012	4	\$6000
Citizens to Elect Diann K Marsalek	3/1/2012	\$5000	3/10/2012	4	\$2500
Committee to Elect Jesse G Reyes	3/1/2012	\$5000	3/10/2012	4	\$2500
Committee to Elect Maureen E Connors	3/1/2012	\$5000	3/10/2012	4	\$2500
Cmte to Elect Nathaniel R Howse Jr	3/1/2012	\$5000	3/10/2012	4	\$2500
Friends for Forti	3/1/2012	\$10,000	3/10/2012	4	\$5000
Friends of Dorothy Brown	3/1/2012	\$10,000	3/10/2012	4	\$5000
Friends of Russell W Hartigan	3/1/2012	\$10,000	3/10/2012	4	\$5000
Patrick Daley Thompson Campaign Cmte	3/1/2012	\$10,000	3/10/2012	4	\$5000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$38,500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3850, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 5, you forfeit the right to contest this assessment.**

12 JUN 27 AM 10:13

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Cook County Democratic Party)
Respondent(s).)

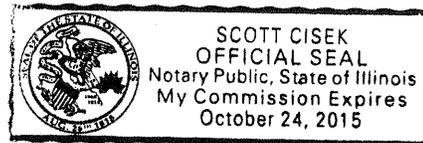
No. 12 AM 002

APPEAL AFFIDAVIT

I, Joseph Berrios, the Chairman of the
(Name) (Chairman/Treasurer)
Cook County Democratic Party
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached sheet.



Subscribed and Sworn to Before me
This 22 Day Of JUNE, 2012
Scott Cisek
NOTARY PUBLIC

[Signature]
(Signature of Chairman/Treasurer)

ATTACHMENT TO APPEAL AFFIDAVIT FILED BY
THE COOK COUNTY DEMOCRATIC PARTY

We are in receipt of your letter dated June 4, 2012 in which we were advised that we had been assessed a penalty for delinquently filing a Schedule A-1 report as required by the Illinois Campaign Disclosure Act. We respectfully request that this penalty be abated. We will explain the situation in this appeal.

The accounting functions of the Cook County Democratic Party are performed by a part time administrative person. This person's responsibilities include the payment of invoices and the deposit of contributions and other related cash receipts. This information is then compiled and forwarded to an outside accountant who assists the organization in filing the required quarterly Forms D-2 and the Schedule A-1's.

The current administrative person was out of the office for an unexpected extended period of time. Her last day in the office was March 1, 2012. On this date she made a bank deposit. This deposit included amounts that needed to be reported on Schedule A-1. Her intent was to have this filing done on Monday, March 5, 2012 when she returned to the office. When she returned to the office later in the week, she realized that the filing of the required Schedule A-1 had not occurred. She immediately contacted the outside accountant and forwarded to him the information needed to file the required Schedule A-1. The filing was done the next day.

Since the late filing of Schedule A-1 was due to an inadvertent clerical error on the part of our administrative person and was corrected as soon as the error was discovered, we respectfully request that this penalty be abated. We have a history of timely filing all reports and have instituted procedures so that this type of error will not occur in the future.

If you require any additional information regarding this matter, please do not hesitate to contact us. We have also attached an executed Waiver of Appearance form.

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:)
)
)
)
SBE)
Complainant(s),)

Vs.)
)
Cook County Democratic Party)
Respondent(s))

Case No. D-11-562

APPEARANCE

The undersigned enters the appearance of (check one):

COMPLAINANT(S) or RESPONDENT(S)

(Insert name)

Check one: Attorney Pro Se

Name: MICHAEL KASPER

Address: 222 N LASALLE, #300

City/State/ZIP: Chicago, IL 60601

Telephone: 312 754 3292

FAX No.: 312 368-4944

EMAIL: _____

Will you accept service of documents via FAX transmissions? Check one: Yes No

Michael Kasper
Signature

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AJ 018

PAC of the Structural Engineers Assn of IL (SEA PAC)
Cmte ID: 4943
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing A Schedule A-1 Report

The Report was received on July 2, 2012, with respect to a contribution of \$2,400, dated April 24, 2012, from the Structural Engineers Association of Illinois (SEAOI). The A-1 was 43 days late, resulting in a fine of \$1,200. This is the Committee's first offense.

Jay Patel, the Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter.

Mr. Patel stated in the affidavit and a follow-up phone conversation that he is not sure why the A-1 was filed late. He acknowledged he did not realize the Committee must file A-1s within five business days of obtaining receipts of \$1,000 or more. He stated he is now aware of the statutory requirement and will follow it in the future.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the assessment would be \$120 (10% of the above referenced fine amount) as the product of a first A-1 violation. It would also be stayed, since the Committee has no prior delinquent filings on its record. As of September 30, 2012, the Committee reported a cash balance of \$16,690.12.



John Levin – Hearing Officer
November 19, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

PAC of the Structural Engineers Assn of IL (SEA PAC) ID# 4943
134 N La Salle St, Ste 1910
Chicago, IL 60602-1143

Dear PAC of the Structural Engineers Assn of IL (SEA PAC):

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
SEAOI	4/24/2012	\$2400	7/2/2012	43	\$1200

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1400 for delinquently filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$120, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stay**ed as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
12 SEP 10 PM 2:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Case No. 12 AJO18

Vs.)
PAC of TAD Structural Engineers)
Respondent(s).)
Association of IL (GEA PAC))
4943)

APPEAL AFFIDAVIT

I, Jay A. Patel, the Treasurer of the
(Name) (Chairman/Treasurer)
PAC of the Structural Engineers Association of IL (GEA PAC)
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The A-1 report was filed within due date, on 7/2/12. (see attached receipt)
Your letter states the report is late by 43 days. Therefore, the fine is assessed
of \$1400. It is not clear what has happened that caused delinquency.
This committee thanks and appreciates requests to waive the
assessed penalty of \$140.00 as the first delinquent Schedule A-1 filing.
The committee thanks and appreciates Board's decision to waive
the penalty.

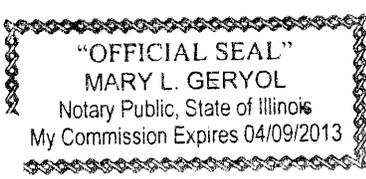
[Signature]
Signature of Chairman/Treasurer

and copy of A-1 Filed Report
Receipt.

Signed and Sworn to by:
JAYANTI A PATEL

before me this 5th Day of November 2012

Notary Public (seal) Mary L Geryol



Illinois State Board of Elections
Receipt for Report Filed
SEA PAC

An A-1 Report

was received by the State Board of Elections on

7/2/2012 at 11:51:51AM

The confirmation number is: 467404

Receipt Printed: 9/7/2012 10:57:26AM

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

V.

10 AG 095

Family PAC (ID 8185)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report
For the 2010 General Election

The Committee received a \$5,000 contribution on October 28, 2010 and failed to report it on a Schedule A-1, resulting in a \$5,000 civil penalty. As a first Schedule A-1 violation, the fine is reduced to \$500 or 10% of the above-referenced amount, per the applicable law relevant during the reporting period. Additionally, the Committee was assessed a \$100 civil penalty (not appealed, not paid) for delinquently filing a Schedule A-1 Report during the 2010 General Election. The total assessment is \$600.

Paul Caprio, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on July 30, 2012.

Kristin Kolehouse, Assistant, appeared on behalf of the Respondent. Kolehouse testified the Committee received a \$5,000 contribution from Mary Elizabeth Weiss on November 1, 2010 and filed the same on a Schedule A-1 received by the Board on November 2, 2010. However, the contribution was reported using the date of the check of 10/28/10 rather than the actual date of receipt of 11/2/10. In support of her claim, Kolehouse submitted Respondent's Exhibit (RE) A, letter of correspondence from Treasurer, Paul Caprio; RE B, copy of the check; and RE C, Schedule A-1 filing receipt. Kolehouse stated that the person who filed this report is no longer employed with Family PAC.

To clarify, the Committee was assessed a civil penalty because it reported a \$5,000 contribution from Mary Elizabeth Weiss on the Amended Semi-Annual Report filed on 1/24/11 and failed to file the same on a Schedule A-1 within 2 business days of receiving the contribution.

Board records indicate the Committee used IDIS v. 2 to submit the Schedule A-1 filed on November 2, 2010. To timely file a Schedule A-1 using IDIS v. 2, the user must enter the contribution data into the system within the reporting period, in this case, two business days. Upon entering the contribution, IDIS v. 2 generates a Schedule A-1 report and a notification is displayed to the user that a report is due. If the contribution data is not entered, a Schedule A-1 will not generate. It is my understanding that the Committee did file a Schedule A-1 on

November 2, 2010, however it did not contain the \$5,000 contribution from Mary Elizabeth Weiss. (See Complainant's Exhibit A, the Schedule A-1 filed on 11/2/10). It would appear that the Committee failed to enter this contribution into the system prior to filing the Schedule A-1. The contribution was entered sometime after the Schedule A-1 report was filed and therefore untimely entered into IDIS. The defense that the date of the contribution was incorrect is irrelevant in this matter because the Schedule A-1 report for this contribution was never filed. For the aforementioned reasons, I recommend the appeal is denied. If this recommendation is accepted by the Board, the \$600 civil penalty will be due. (As of 6/30/12 the Committee reported a funds balance of \$4,497.90.)


Tara Molnar – Hearing Officer
July 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
April 16, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Family-PAC
D Paul Caprio, TF Roeser
414 N Orleans St, Ste 312
Chicago, IL 60610-4466

ID# 8185

Dear Family-PAC:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Mary Elizabeth Weiss	10/28/2010	\$500	\$5000	*	n/a

The committee is fined a **total** of \$5000 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period had expired (May 16). This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
October 4 through November 1, 2010	Schedule A-1	\$100
TOTAL AMOUNT NOW DUE		\$600

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS
12 MAY 18 PM 2:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. Family-PAC)
Respondent(s).)

Case No. 10AG 095

APPEAL AFFIDAVIT

I, Paul Caprio, the Chairman of the
(Name) (Chairman/Treasurer)
Family-Pac
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

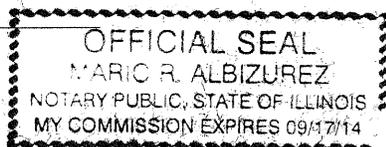
The contribution in question was
reported one day late because of the
illness of my assistant who handled
these reports.

Signed and Sworn to by:

before me this 16th Day of
May, 2011 2012

D. Paul Caprio
(Signature of Chairman/Treasurer)

Notary Public





Family-Pac



Paul Caprio
Director

To: Tara Molnar, Hearing Examiner, State Board of Elections

From: Paul Caprio, Director, Family-Pac

Date: 7/30/12

RE: Complaint – March 19, 2012 State Board of Elections vs. Family-Pac

The following facts are relevant and determinative, in our opinion, regarding this case:

1. On October 28, 2010 a contribution of \$5,000 from Mary Beth Weiss was sent by mail payable to Family-Pac (a Thursday).
2. On November 1, 2010, Family-Pac received the above noted contribution by regular mail delivery from Mary Beth Weiss (a Monday).
3. In accordance with Illinois law, Family-Pac filed an A-1 report on November 2, 2010 (Tuesday) well within the reporting requirement time.
4. Unfortunately, Family-Pac mistakenly reported acceptance of this contribution as October 28, not November 1, creating the issue which caused this complaint to arise.

Under this set of facts, we believe any penalty issued would be punitive in this instance.

Sincerely,

Paul Caprio
Director

RE-A
P91

SCHEDULE A

Part 1: INDIVIDUAL CONTRIBUTIONS

ITEMIZED RECEIPTS FULL NAME, MAILING ADDRESS, AND ZIPCODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGRAGATE AMOUNT FOR THIS REPORTING PERIOD
Roeser, Thomas 200 Cherry St. Park Ridge, IL 60068	Employer: self Occupation: consultant 8/3/2010	1,000.00	1,000.00
Scheidler, Ann 6347 N. Leroy Chicago, IL 60646	8/2/2010 10/25/2010	165.00 100.00	165.00 265.00
Scopeletti, Guy 13553 N Hunt Club Road Libertyville, IL 60048	8/2/2010	180.00	180.00
Spindell, Robert F. 626 Prospect Ave. Milwaukee, WI 53202	7/25/2010	165.00	165.00
Springfield Consulting Group, LLC 33 N. 5th Street Springfield, IL 62701	7/23/2010	500.00	500.00
Tracy, Donald 305 S 5th Street Suite 700 Springfield, IL 62701	Employer: Brown Hay and Stephens LLP Occupation: Attorney 10/26/2010 12/31/2010	1,000.00 1,000.00	1,000.00 2,000.00
Weiss, Mary Elizabeth 104 Hawthorne Lane Mundelein, IL 60052	Employer: none Occupation: retired 10/28/2010	5,000.00	5,000.00
Total for part:			45,200.00

RE-A
192

BERNARD B BERTSCHE 08-92
 41 W 872 WHITE OAK LN
 SAINT CHARLES, IL 60175

Date 10/29/10

PAY to the order of Family Pac \$ 1000 -
One Thousand Dollars

First Financial
 CREDIT UNION 5550 W. Touhy Ave., Suite 102
 Skokie, IL 60077 www.frstfou.org

For [Redacted]

[Signature]

A-1
 Vgg.
 L
 jh

ACCOUNT: **PAYMENT** PLEASE POST THIS PAYMENT FOR OUR MUTUAL CUSTOMER **\$500.00**

EUGENE CARTER
 21 PARK LN
 PARK RIDGE, IL 60068

Please Direct Any Questions
 To: 877-246-7923
 Payment Processing Center
 P O Box 1029
 Hickory NC 28603-1029
 NORTHERN TRUST

November 04, 2010

Pay **FIVE HUNDRED AND 00/100** DOLLARS

\$ *****500.00

The Order Of **FAMILY PAC**
 414 N ORLEANS ST STE 31
 CHICAGO, IL 60654-4421

REMITTANCE VOID IF NOT CASHED WITHIN 90 DAYS

[Signature]
 AUTHORIZED SIGNATURE

 Vgg.

M. ELIZABETH WEISS
RICHARD TRENT WEISS
 1304 HAWTHORNE LANE
 HINSDALE, IL 60521

DATE 10 28 2010

PAY TO THE ORDER OF Family Pac \$ 5,000 ⁰⁰/₁₀₀
Five Thousand 00/100 DOLLARS

J.P.Morgan
 JPMorgan Chase Bank, N.A.
 Chicago, Illinois

[Signature]

 Vgg.

RE-B

Illinois State Board of Elections
Receipt for Report Filed
Family-PAC (sponsored by Barre Seid)

An A-1 Report for the 2010 GE
was received by the State Board of Elections on
11/2/2010 at 1:22:05PM

The confirmation number is: 416271

Receipt Printed: 11/2/2010 1:24:36PM

RE-C

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS
OF
MORE THAN \$500
General Election - Election Date: 11/ 2/2010

FILED
11/2/2010 01:22:05 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Political Action 8185

04

Family - PAC
414 N Orleans St, Ste 320
Chicago, IL 60654-4466

ITEMIZED RECEIPTS	RECEIPT TYPE	DATE RECEIVED	AMOUNT OF EACH RECEIPT
Individual Contribution			
Bertsche, Bernard 41W872 White Oak Lane St. Charles, IL 60175		10/29/2010	\$1,000.00

CE-A

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 MQ 291

Dundee Twp Democratic Cmte 18097

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The March 2012 Quarterly Report

The March 2012 Quarterly Report was received by the Board on 8/3/12, 77 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee had previously been assessed a \$40 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 for the 2009 consolidated election; a \$150 civil penalty (appealed, denied, paid) for delinquently filing the June 2010 Semi-Annual Report; and a \$50 civil penalty (not appealed, paid) for delinquently filing the June 2011 Quarterly Report. The total assessment is \$5,000.

David Reece, the chairman, of the committee and Dean Constantine, the former treasurer, of the committee appeared at the November 1st appeal hearing.

David Reece indicated that the committee has lost many officers and that they take the financial disclosure of their committee seriously. The committee has had difficulty with the electronic filing software in the past and he believes that the program may have lead to some of the turnover that they have had in officers. Dean Constantine, the former treasurer, indicated that he had attempted to file electronically, but he was having difficulty so he mailed in the March 2012 quarterly report to the State Board of Elections around a month after the report was due. He indicated that he received a call about a week after that from a female employee from the Springfield office indicating that a page was missing. Mr. Constantine therefore believes that the Board received the March 2012 quarterly report and failed to log it in for some reason and now the committee is being penalized for the report not being filed. The committee filed a letter of correspondence on August 3 that is attached explaining this situation.

The State Board of Elections has no record of receiving the March 2012 quarterly report or any documents pertaining to this filing prior to August 3, 2012. When the State Board of Elections receives documents from a committee those documents are logged in whether they are potentially missing a page or not. I believe if the State Board of Elections would have received something around the filing deadline a document of some sort would have been put on file. However, no such document is on file. Furthermore, it is the committee's responsibility to make sure the appropriate reports are filed. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the amount of \$5,000 will be due and owing. (As of 9/30/12, this Committee reported a funds available balance of \$2,749.10.)



Andy Nauman – Hearing Officer
November 9, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Dundee Township Democratic Central Committee ID# 18097
1830 Ridgefield Ave
Algonquin, IL 60102-5127

7011 2970 0003 6439 2574

Dear Dundee Township Democratic Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2012 through March 31, 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 3, 2012, 77 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 5 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: Kane)

STATE BOARD OF ELECTIONS
12 SEP 27 PM 3:42

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
DUNDEE TOWNSHIP DEMOCRATIC CENTRAL)
Respondent(s).)

Case No. 12 MQ 291

APPEAL AFFIDAVIT

I, DAVID B. REECE, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
DUNDEE TOWNSHIP CENTRAL COMMITTEE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

David B. Reece
Signature of Chairman/Treasurer

Signed and Sworn to By: [Signature]
before me this 25th Day of September, 2012



Dundee Township Democratic Central Committee

1930 Riverwood Dr.
Algonquin, IL 60102

CHICAGO

2012 AUG -3 AM 10:05

STATE BOARD OF ELECTIONS

1 August 2012

18097-02

Illinois State Board of Elections
100 W. Randolph St.
Suite 14-100
Chicago, IL 60601

RE: Quarterly Filing

To Whom It May Concern;

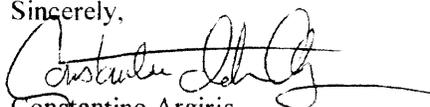
In April, the Dundee Township Democratic Central Committee (Political Committee ID # 18097) submitted its 1st quarter report to the Springfield Office. A week later we received a call from the IBOE indicating there was missing information. However, we are now informed that the IBOE has never received our Q1 2012 first quarter report.

Enclosed is our Q1 financial disclosure report. The information on this report, to the best of our knowledge and belief, is accurate and true. We are currently in the process of locating documents which contain not only our expenditures from Q1 but other missing information on this report.

It is our intention to comply with the governing statutes and this letter is to inform you that we will submit an amended report as soon as we locate the additional files.

Thank you for your understanding and leniency regarding this matter and you will have an amended report soon.

Sincerely,



Constantine Argiris

Treasurer (1 January – 30 April 2012)

Dundee Township Democratic Committee

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

07 JS 139

Committee for a Better 7th Ward (ID 19217)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for the Delinquent Filing of the 2007 June Semi-Annual Report

The Report was received on April 13, 2012, 1,163 days late, resulting in a \$5,000 civil penalty. Additionally the committee received a \$5,000 civil penalty (not appealed, not paid) for delinquently filing the 2006 December Semi-Annual Report. The total assessment is \$10,000.

Eric Sluggo Brown, Treasurer, filed a Request for Hearing and Submitted an Appeal Affidavit. The hearing was held on November 1, 2012.

Mr. Brown stated he did not understand the Act or the severity of fine imposed for failing to file Campaign Disclosure reports. He indicated he used personal monies to fund his 2007 campaign for Alderman of Chicago, which resulted in a personal debt of \$8,000. He did not realize that Campaign Disclosure reports were still required of a candidate after they had lost an election. He asks that the Board waive the fine as this was not a willful violation, the Committee is closed and any fine would be a personal hardship on himself and his family.

I am sympathetic to Mr. Brown's financial circumstances; however ignorance of the law is not a valid defense. Upon filing the D-1 Statement of Organization, the Treasurer is responsible for filing Disclosure Reports according to the Campaign Disclosure Act. I recommend that the appeal be denied. Since this Committee has filed a Final Report, I recommend that the fine be abated should this Committee remained dissolved and refrain from establishing a successor Committee for a period for two years following the date of the Final Order imposing the fine.



Tara Molnar – Hearing Officer

No. 012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Committee for a Better 7th Ward
7908 S Essex Ave
Chicago, IL 60617-1212

ID# 19217

7011 2970 0003 6439 2529

Dear Committee for a Better 7th Ward:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2007 through June 30, 2007
Filing Period:	July 1, 2007 through July 20, 2007

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 13, 2012, 1163 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also contact the office to pay by MasterCard, American Express, or Discover.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31, 2012	Semi-Annual	\$5000
TOTAL AMOUNT NOW DUE		\$10,000

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal documents

State of Illinois)
County of: COOK)

CHICAGO

2012 SEP 26 PM 3:46

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee For A better 7TH)
Respondent(s). WARD)

Case No. 0705139
I.D. # 19217

APPEAL AFFIDAVIT

I, Eric Sluggo Brown, the Treasurer of the
(Name) (Chairman/Treasurer)
Committee for a better 7TH WARD
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I ERIC SLUGGO BROWN INADVERTENTLY THOUGHT THAT
BECAUSE ALL FUNDING TO FINANCE THE CAMPAIGN
FOR THE COMMITTEE FOR A BETTER 7TH WARD CAME FROM
MY PERSONAL SAVING AND EARNING, THAT'S WHY I DID
NOT FILE THE SEMI ANNUAL REPORT OF CAMPAIGN. →

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 25TH Day of SEP, 2012
Notary Public
(seal)
[Signature]
"OFFICIAL SEAL"
PORTIA L. FIELDS
Notary Public, State of Illinois
My Commission Expires Nov. 10, 2012

→ I Eric Sluggo Brown STATE THAT I NEVER KNEW ABOUT SECTION 125.425 CIVIL PENALTY ASSESSMENTS. BECAUSE ALL MAIL THAT INFORMED THE COMMITTEE FOR A BETTER 7TH WARD, WAS JUST PUT ASIDE INADVERTENTLY. I ERIC SLUGGO BROWN DID NOT KNOWINGLY UNDERSTAND THE SERVERTY OF NOT FILING THE SEMIANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. FROM JANUARY 1, 2007 THROUGH JUNE 30, 2007, FILING PERIOD JULY 1, 2007 THROUGH JULY 20, 2007.

THE COMMITTEE FOR A BETTER 7TH WARD WAS DESOLVED APRIL 13, 2012 AT THAT POINT THE COMMITTEE OWL ME AND MY EX-FAMILY ABOUT EIGHT THOUSAND DOLLARS, WHICH WILL NEVER BE PAID BACK. THE ONLY HOPE I HAVE LEFT IS "45% TO GET MY WIFE BACK DO TO THIS CAMPAINE" SHE TELLS ME.

CONTRIBUTION AND EXPENDITURES FAILING TO COMPLY
WITH PROVISION OF 10 ILCS 5-9-10 OF THE ELECTION
CODE. AS THE X-TREASURER OF THE COMMITTEE; I
DIRECT THE BOARD'S ATTENTION TO THE ILLINOIS
COMPILED STATUTES ANNOTATED KFI SECTION 5/9-10
1230
1993
Pg 48

DISCLOSURE OF CONTRIBUTIONS AND EXPENDITURES
TEXT OF SECTION EFFECTIVE JAN 1, 2011 SECTIONS
EFFECTIVE 1, 2011 — SECTION 5/9-11 FINANCIAL
REPORTS 9-11 "THE BOARD SHALL RULE DEFINE
A GOOD FAITH EFFORT";

SECTION 5/9-12 REPORT OF CAMPAIGN CONTRIBUTIONS
VERIFICATION

I ERIC SLUGGO BROWN DECLARE THAT THE REPORT
OF CAMPAIGN CONTRIBUTIONS (INCLUDING AND ACCOMPANYING
SCHEDULES AND STATEMENTS HAS BEEN EXAMINED BY ME
AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A
TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY
ARTICLE 9 OF THE ELECTION CODE.

I Eric Sluggo Brown Is STILL
Paying Off CREDIT CARDS FROM THE
ELECTION. I GET TO BE AROUND MY WIFE
A COUPLE TIMES A MONTH NOW THAT THE
COMMITTEE HAS A \$5,000 SEMI ANNUAL FINE AMOUNT
THAT WENT TO A TOTAL AMOUNT NOW DUE \$10,000
THIS WOULD BE MY 2ND LOST WIFE; MY FIRST
WIFE WAS COMMON LAW; MY 2ND WIFE WAS
LITIGATED IN CHURCH. THE 45% CAME FROM MY
WIFE. THEREFORE I ERIC SLUGGO BROWN PRY
THAT THE BOARD WOULD ALL ASSESSMENT ARE
WAVED, SO THAT I MAY RETURN TO MY LIFE
WITH MY FAMILY. THE COMMITTEE FOR A BETTER 7TH WARD
NEVER KNOWINGLY OR INTENTIONALLY TRIED
TO DECEIVE OR DEFAULT THE STATE OF
ILLINOIS OR THE PEOPLE OF THE 7TH WARD.
ALL MONIES THE COMMITTEE HAD WAS SPENT IN
THE COMMUNITY. NO ONE WAS HURT - ONLY MY
FAMILY. TIERS ARE IN THE NETS OF MY EYES - BECAUSE
NOW THE TIME HAS COME FOR ME TO SAY GOOD-BYE TO
35 YRS OF MY LIFE SERVING THE PEOPLE OF THE
CITY OF CHICAGO.



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

12 AJ 041

Alliance for Living PAC 20835

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 2nd Quarter of 2012

This committee received a \$25,000 contribution on 4/27/12, but failed to list it on a Schedule A-1, resulting in a civil penalty assessment of \$12,500. Additionally, the Committee had previously been assessed a \$800 civil penalty (not appealed, paid) for delinquently filing the June 2008 Semi-Annual Report; a \$1,300 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 for the 2008 general election; a \$600 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 for the 2008 general election; a \$620 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 for the 2008 general election; a \$400 civil penalty (not appealed, reduced, paid) for delinquently filing a the March 2012 Quarterly Report; a \$500 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 during the 4th Quarter of 2011; a \$500 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 during the 4th Quarter of 2011; and a \$2,000 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 during the 4th Quarter of 2011. The total assessment is \$12,500.

The Respondent was represented by Gary A Weintraub at the November 1st appeal hearing.

The Alliance for Living PAC inadvertently failed to file a Schedule A-1 for a contribution that was received from the not-for-profit Alliance for Living. The Respondent indicated that there was no intent not to report or disclose the contribution sooner than they did on the quarterly report. Mr. Weintraub indicated that it was the group's intention to try to satisfy the civil penalty and then dissolve the committee. The Committee only has \$496 as of 9/30/12 and they respectfully request further consideration from the Board in reducing the amount assessed.

I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment, or \$6,250. If the committee chooses to dissolve and file a Final Report the committee may do so however it is the hearing officer's belief that the committee would have to pay the State Board of Elections the remaining \$496 balance as a disposition of funds. I further recommend that should the committee choose to file a final report and remain dissolved for a period of two years following the date of this Final Order or any subsequent Final Order imposing a fine, the fine be abated. If this recommendation is accepted by the Board, the amount of \$6,250 will be due and owing. (As of 6/31/12, this Committee reported a funds available balance of \$496.)


Andy Nauman – Hearing Officer
November 7, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

ID# 20835

Alliance for Living PAC
One Northfield Plz, Ste 300
Northfield, IL 60093-1214

7011 2970 0003 6439 3632

Dear Alliance for Living PAC:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Alliance for Living	4/27/2012	\$25,000	*	44	\$12,500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$12500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$6250. (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: Cook)

CHICAGO

2012 OCT -4 AM 11:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Alliance for Living PAC)
Respondent(s).)

Case No. 12A1041

APPEAL AFFIDAVIT

I, Mark Appel, the Chairman of the
(Name) (Chairman/Treasurer)

Alliance for Living PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Alliance for Living PAC inadvertently failed to file A-1 for transfer in from Alliance
for Living on 4/27/12. This transfer in was picked up and reported on D-2 quarterly
report for quarter in which it was received. There was no intent not to report or
disclose sooner. In view of small balance remaining in committee (\$496 as of end of
3rd quarter), the committee respectfully requests further consideration and reduction
of fine amount.

Mark Appel
Signature of Chairman/Treasurer

Signed and Sworn to by:
Katie Sudic
before me this 3rd Day of October, 2012

Notary Public
(seal)



BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

SBE

Complainant(s),

Vs.

Case No. 12AJ041

ALLIANCE FOR LIVING PAC

Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

COMPLAINANT(S) or RESPONDENT(S)

ALLIANCE FOR LIVING PAC

(Insert name)

Check one: Attorney Pro Se

Name:

GARY A. WEINTRAUB

Address:

465 CENTRAL AVE - #100

City/State/ZIP

NORTHFIELD, ILL. 60093

Telephone:

(847) 441-8535

FAX No.:

(847) 441-0800

EMAIL:

gweintraub@bartonhealthcare.org

Will you accept service of documents via FAX transmissions? Check one: Yes No

Gary A. Weintraub
Signature

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12 MA 085

Change 4 Bensenville 21533
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
The 1st Quarter and 2nd Quarters of 2012

The March 2012 Quarterly Report was received by the Board on 7/18/12, 65 days late, resulting in a civil penalty assessment of \$3,250 and the June 2012 Quarterly Report was received by the Board on 7/18/12, 2 business days late, resulting in a civil penalty assessment of \$200. In addition, this Committee had previously been assessed a \$225 civil penalty (not appealed, stayed, expired) for delinquently filing the December 2008 Semi-Annual Report. The total assessment is \$3,450.

Pat Bond and Bob Earl, the person that prepares the reports for the Committee participated in a telephonic appeal hearing on November 7, 2012.

The Respondents indicated that miscommunication of the filing requirements caused the committee to believe they were closed. In talking with Ken Menzel the Respondents indicated that they were advised that they could close once they had a balance of \$0 and that would be it. The committee was therefore under the impression that since they filed a report with a \$0 balance after the election, they had no future filing requirements as they did not plan on running anyone in a future election. It was not discovered until later that the conversation with Mr. Menzel actually contained information regarding new political parties and information regarding political committee filings. The Respondents were under the impression that since they ran as a new political party in 2011 that after the election the filing requirements would be over for their political committee had filed a report with a \$0 balance, and they had no intention of running additional candidates in the next election. Furthermore, they would only have to change the name of the party in future elections if they did run additional candidates. The committee did not realize that they were not closed until after one reporting period had passed and a second one was upon them. They contacted the State Board of Elections and realized that they had received information regarding the process of forming a new political party as well as information regarding their political committee and that these were two separate matters that were not dependent on the other. Mr. Earl attempted to file the reports, but he could not because he was traveling and he did not have internet access. Once he got back to town he filed the report which he thought was only 1 day late.

It is unfortunate that the person who talked to Mr. Menzel did not completely understand the information that Mr. Menzel gave them, but it is the Committee's responsibility to file the reports and to file them timely. Additionally, I don't believe that the fact that Mr. Earl was out of town during the filing period

and didn't have internet access is a defense the Board should consider for granting an appeal. Furthermore, the State Board of Elections would have mailed the Committee a packet for both of the quarterly reports and a notice that the Committee had failed to file the March 2012 Quarterly Report. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board the total assessment of \$3,450 will become due and owing. (As of 9/30/12, this committee reported a funds available balance of \$6,205.35.)



Andy Nauman
Andy Nauman – Hearing Officer
November 30, 2012

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

Change 4 Bensenville
2 S York Rd, Ste 7
Bensenville, IL 60106-2179

ID# 21533

7011 2970 0003 6439 3366

Dear Change 4 Bensenville:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: 2012 March Quarterly Report of Campaign Contributions and Expenditures
Report Period: January 1, 2012 through March 31, 2012
Filing Period: April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 18, 2012, 65 day(s) late. As such, this committee has been assessed a fine of \$3250.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: 2012 June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2012 through June 30, 2012
Filing Period: July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 18, 2012, 2 day(s) late. As such, this committee has been assessed a fine of \$200.

The total for all new assessments is \$3450.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 4, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

State of Illinois)
County of: DuPage)

STATE BOARD OF ELECTIONS
12 OCT -5 PM 1:14

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Chang 4 Bensenville)
Respondent(s).)

Case No. 12MA085

APPEAL AFFIDAVIT

I, Jo Ellen Bidder, the Treasurer of the
(Name) (Chairman/Treasurer)
Chang 4 Bensenville
(Name of the Committee)

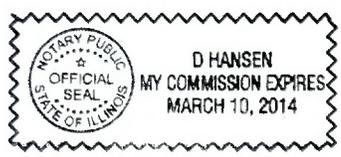
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Internet Access not available.

Jo Ellen Bidder
Signature of Chairman/Treasurer

Signed and Sworn to by: D Hansen
before me this 3rd Day of October, 2012

Notary Public
(seal)



To: State Board of Elections
2329 S MacArthur Blvd
Springfield, Illinois 62704

11-19-2012

To: Jim Tuneto
100 W Randolph, Suite 14-100
Chicago, IL
312-814-6440

Re: Board File # 12 CD 121

I am not nor have I ever been a member of a group called SCAN. I do not know who SCAN is. I was not involved in any expenditures by a group called SCAN. I never accepted or collected contributions for a group called SCAN. Not knowing if such a group exists or who it might consist of, I was not responsible for serving as an officer of such a group or for keeping any records or filing any reports for such a group.

The complaint filed by Mr. Blum does not provide any substantive allegation that I am connected in any way to a group called SCAN, nor do any of the attachments establish my affiliation with such a group. The Complainant's claim that I "have documented ties to the Stephenson County Accountability Network" seems, to the contrary, to be unsupported by any documents or even any allegation other than this unsupported conclusion. Yet, without identifying any evidence to connect me with SCAN, Mr. Blum has filed a complaint requiring me to respond and appear at a hearing in Chicago, more than 100 miles from my home. Given the total absence of any evidence (or even any substantive allegation) establishing my involvement with a group called SCAN, it should be obvious that the real motivation in including me in the complaint is an effort to harass and intimidate someone Mr. Blum apparently views me as a political opponent. The Complainant seems to be pursuing a "guilt by association" campaign (see Report of Hearing Officer, p. 5) noting that "Some of these individuals may have reasons why it appeared they were involved." (Complaint, p. 3). The Complainant seems to concede that some of the respondents that he has brought a complaint against may only appear to him to be involved because they are viewed by him as part of a group of people who opposed his actions on the County Board.

Filing a complaint without any evidence or even any allegation of wrongdoing on my part would certainly seem to constitute the willful filing of a false complaint as it pertains to me. This board should not allow the Complainant to attempt to use the Board of Elections as a vehicle for political revenge or harassment. Therefore, I would request that the hearing board exercise its authority to dismiss the complaint against me.



Sincerely
David Martindale

Rec'd Exh #1

To: State Board of Elections

11-26-12

This letter is being written to tell you I do not understand how I got involved in this complaint. I financed and ran my primary election campaign personally. I dropped off some of my literature at a local site where citizens - could go for information on who was running for office, that I understood the place to be non-political.

I was not financed by SCAN, and I do not know if SCAN had anything to do with the local site I dropped literature off at. I do not know why John Blum included my name other than possibly because we are political adversaries. So I am not coming to your hearing or wasting any of my time on this issue.

You know where to get ahold of me.

Jerry Clay

Resp # 2

Edward D. Mulligan
615 S. Burchard Av.
Freeport, IL. 61032

26 November 2012

To whom it may concern:

I am making this statement so it may be presented at the hearing of the SBOE to be held 27 November 2012 at 10AM in the Chicago office of the SBOE.

Please let it be known that I, Edward D. Mulligan am not now, nor have I ever been, affiliated with any group, committee or organization known as SCAN or SCAM as alleged by Mr. Blum. I also have never contributed to or fund raised for any group, committee or organization known by either of those names.

I fully believe I was named in this allegation due to the fact I am a Stephenson County Board Member and do not agree with many of Mr. Blum's ideas. Therefore I believe the SBOE should take punitive action, in accordance with their own regulations, against Mr. Blum for bring groundless allegations before this board.

Sincerely,
Edward D. Mulligan

EM

Resp # 3

Jim DeMeester
3993 Rt. 26 N.
Freeport IL 61032

Nov. 25th 2012

DEAR : STATE BOARD OF ELECTIONS

I'm shocked that I have to write a letter to explain why I'm not connected with "SCAN". I never heard of SCAN until I received your letter a few months back. In fact, I even had to look at the previous papers to make sure I'm referencing the name correctly as I write to you. I fail to see how someone like a John Blum can file a complaint against me with no direct or fiscal evidence and then the SBOE sends me letters asking to explain myself. This is unacceptable behavior on both your part and the complainant.

It is true that I put my candidacy flyer in the store front that a Don Diestelemeier had. For the record, I had a free ride in the primary race for I was uncontested in my district. I really didn't need to put any info out at all. I first became aware of this store front through an article in the newspaper. I never gave any money to Don Diestelmeier or "SCAN". I ask that any and all charges against me be dropped for the reason that the complainant has no evidence. I would think the SBOE would have a penalties for filing false complaints. If so, please apply this to the complainant.

Respectfully yours

Jim DeMeester

Resp # 4

VOTING RECORD of INCUMBENTS RUNNING FOR REELECTION IN DISTRICT "B"

COMPARED WITH JOHN BLUM VOTES

DATE / ISSUE	BLUM	BROWN	NEWTON
\$10,000 Membership donation to FAEDF later renamed (NIDA). August 2003	YES	YES	YES
County Board approval agreement with city on Mill Race Project. May 2005	YES	YES	YES
Final approval Mill Race Industrial Park. December 2005	YES	YES	YES
Approve \$6,000,000 Loan, 5 Year term. May 2006	YES	YES	YES
Approve purchase Wessel Farm, 147 acres MOL @ \$8,500/ac. May 2006	YES	YES	YES
Appoint FAEDF as holding agent on Mill Race Property. May 2006	YES	YES	YES
Refinance Jail Increase County Debt by \$2.7+ mil. (interest) August 2007	YES	YES	absent
Approve public safety tax. December 2007	YES	YES	absent
Approve budget revision allowing \$15,000 for NIDA (formally FAEDF). April 2008	YES	NO	NO
\$15,000 Payment to NIDA. May 2008	YES	NO	YES
Withhold \$10,000 payment to NIDA. February 2009	NO	NO	NO
Continued funding of NIDA 2009 - 2010 budget. November 2009	YES	YES	YES
Approve additional \$20,000.00 to NIDA. June 2010	YES	YES	YES
Deficit Budget 2010-11 Fiscal year. November 2010	YES	YES	YES
Additional Engineering Contract Mill Race w/Fehrer-Graham. 2010	YES	YES	YES
Budget additional \$50,000 for NIDA. May 2011	YES	YES	YES

Res Ekh #5

VOTING RECORD of INCUMBENTS RUNNING FOR REELECTION IN DISTRICT "B"

COMPARED WITH JOHN BLUM VOTES

DATE / ISSUE	BLUM	BROWN	NEWTON
Extend Mill Race loan due date. May 2011	YES	YES	YES
Remove NIDA funding from claims for separate payment vote. June 2011	NO	NO	NO
Pay all claims including \$50,000.00 to NIDA. June 2011	YES	YES	YES
Allow open discussion regarding Mill Race financing options. November 2011	NO	NO	NO
Remove Tax Warrants not approved in committee from agenda. November 2011	NO	NO	NO
Approve Agenda with Tax Warrants, \$2.7 mil additional borrowing. November 2011	YES	YES	YES
Remove \$100,000 included in 2012 budget for NIDA. November 2011	NO	YES	YES
Adopt 2011 - 2012 Appropriation Ordinance. November 2011	YES	YES	YES
Adopt new property tax levy. November 2011	YES	YES	YES
Send Tax Warrants back to committee. November 2011	YES	NO	NO
Lay-over vote on refinance Mill Race. no paper work. December 2011	NO	NO	NO
Approve refinance Mill Race Debt increase \$4.2+mil. (interest). December 2011	YES	YES	YES
Approve \$1.5 mil Tax Warrant. Loan against future General Fund Revenue. December 2011	YES	YES	YES

yes
yes
absent

FEBRUARY 15, 2012 • APPROVE \$100,000.00 DONATION TO NIDA
 USE BORROWED DOLLARS HELD IN THE MILL RACE (EDPA) FUND
 WHILE FULLY AWARE THAT WE IN RECEIPT OF NOTICE BY THE
 STATE
 DEPARTMENT OF CORRECTION THAT THE JAIL IS UNDER STAFFED
 AND FUNDS DON'T SEEM TO BE AVAILABLE TO INCREASE STAFF!

The willing consort to tawdry fiction: Politics over principle at the Village Voices?

Journalistic integrity requires verification of all facts and careful reporting after interviewing not just one, but all individuals quoted in an article. To quote the Village Voices: highly critical opinion piece about Journal-Standard reporter, Jane Lethlean (2/1/2012). All I am doing is reporting facts, not drumming up fantasies which are eloquently presented like a piece of tawdry fiction. But facts - verifiable data, which is supposed to be the only tool a reporter uses. Any other decision by a reporter simply makes that reporter a willing consort to deception. We suggest the Voice's article on the local Republican Party is just that: tawdry fiction and willing publication of erroneous statements.

It appears that the Voice's became a willing consort when they decided to print the article "Principles over Politics" without verifying information quoted within the article. How can we be so sure no verification was done? These 'facts' cited are verifiably wrong. The only other option is that the Voices knowingly printed information they knew was incorrect. We prefer to give them the benefit of the doubt.

Erroneous Claim One: Suggesting precinct committeemen were inappropriately or illegally appointed to districts in which they do not live.

Fact One: All political parties appoint precinct committeemen to open precincts. The process, which has been followed for decades by many chairmen including immediate past chairman Brian Stewart, is one encouraged by the State GOP and is one used by the Democrat party in the same manner. We are very, very surprised Mr. Blum is unaware of this considering his self-stated long term involvement in the Republican Party.

When Republican Mr. James Kuhlmeier (no relation to either of the Kuhlmeiers currently running for county board, Andrew and Anthony) was forced to resign his County Board seat after being found guilty of violating the election code, the Republican County Board seat was available for appointment. Mr. Blum sent a registered letter to Chairman Hartman requesting the party's recommendation to fill the open GOP seat.

After a thorough interview process which included interviewing every person who expressed an interest in the party in being appointed, the precinct committeemen from Mr. Kuhlmeier's district unanimously voted for Mr. Jerry Clay to be appointed to the open County Board seat. This vote was affirmed by a unanimous vote of the full central committee. Instead of following historical protocol, Mr. Blum disregarded this unanimous recommendation and instead appointed his secretary's husband, who was not a Republican, but an Independent. Mr. Clay subsequently won the election over the secretary's husband.

It is regrettable that Mr. Clay and Mr. Kuhlmeier have to suffer having their pasts dragged up so unnecessarily. Is that politics over principle? A simple phone call from Village Voices would have corrected Mr. Blum's false statements.

Erroneous Claim Two: "They use accusations that are unbounded and question other people's ethics, character and call them corrupt." John Blum quoted in Village Voices, week of February 1-7.

Fact Two: The obvious irony aside, perhaps Mr. Blum would like to provide some evidence of his statement, "the new Republican Party is built on negativity and spreading fear." Disparagingly calling the 50-60 voters, Democrats, Republicans and Independents, who are now attending County Board meetings "noise artificially created" needs no additional commentary. The "noise," otherwise known as voters, will have to take Blum to task themselves.

Erroneous Claim Three: Sol Lefente is GOP vice-chairman.

Fact Three: Mr. Delente is not the local GOP vice-chairman although he would be a good one. Mr. Delente is not now nor has he ever been the Vice-chairman of the local GOP. It is unfortunate Mr. Stevens has been stumped by this bit of fantasy. Perhaps he needs to have less trust in what Mr. Blum tells him. To verify this fact, go onto the Stephenson County web site and access the precinct committeeman list. The vice-chairmen are listed there and have been since the last election.

Erroneous Claim Four: Cheryl Hartman contacted Mr. Blum and argued with him over an appointment to the County Board.

Fact Four: This is not true.

We start by appointing people to their own precinct if no one has been elected to that precinct, then to any open precinct. Why? First, doing so enables people to get their 'feet wet' and help their community immediately instead of waiting as much as two years for a GOP primary. Second, when candidates need help they have specific people to contact for help putting out signs, making calls, passing out literature, etc. Third, each citizen has a specific person to call representing the precinct. Fourth, we have more volunteers to help out with our activities. Fifth, if no one is either elected or appointed, the precinct would have no party representation at all. These appointments could be called into question only if the questioner is ignorant of the facts.

Village Voices Claim Five: "I've always believed in a strategy that let people decide based on your record." John Blum as quoted in Village Voices, February 1-7.

Fact Five: We finally agree Mr. Blum will be held accountable for his record. We urge all voters to review it carefully. Non-partisan voting records are available at various locations around the County or via email request sent to chant61032@aol.com

Rep Exh #6

R 20 76

STATE BOARD OF ELECTIONS

SEP -4 PM 2:38

STATE OF ILLINOIS)
)
) SS
COUNTY OF COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

In the Matter of:

John J. Blum)
)
 Complainant(s))
)
 vs.) Board File#: 12CD121
)
 Salvatore Detente, David Martindale,)
 James DeMeester, Don Diestelmeier,)
 Rebecca Quiggie, Edward Mulligan,)
 Jerry Clay, Cheryl Hartman, Christopher)
 Clukey,)
)
 Respondent(s))

ANSWER AND AFFIRMATIVE DEFENSES TO COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT BY RESPONDENTS, SALVATORE DETENTE, DAVID MARTINDALE, JAMES DEMEESTER, DON DIESTELMEIER, REBECCA QUIGGLE, JERRY CLAY, CHERYL HARTMAN AND CHRISTOPHER CLUKEY

NOW COME the Respondents, Salvatore Detente, David Martindale, James DeMeester, Don Diestelmeier, Rebecca Quiggie, Jerry Clay, Cheryl Hartman and Christopher Clukey (hereinafter, "Respondents"), by their attorney's, Cicero, France, Barch & Alexander, P.C., by Andrew T. Smith, pursuant to the Illinois Election Code, 10 ILCS 5/9-1, et seq., and for their Answer and Affirmative Defenses to the Complaint for Violation of the Campaign Disclosure Act, state as follows:

1. The Respondents generally deny all of the allegations contained in the Complaint.

2. The Respondents deny that the Stephenson County Accountability Network is a political action committee subject to the pertinent state statutes based upon any contributions received or expenditures made by said group.
3. The Respondents deny that they received any campaign contributions from any group identifying itself as the Stephenson County Accountability Network.
4. The Respondents deny that they received any campaign contributions on behalf of any group identifying itself as the Stephenson County Accountability Network.
5. The Respondents deny that they have contributed financially in any way to any group identifying itself as the Stephenson County Accountability Network.
6. The Respondent, Salvatore Detente, states affirmatively that Figure 4 to the Complaint filed herein, is a mailing that he sent out to voters, to provide them with accurate information regarding issues in the March 2012 primary, on behalf of his successful campaign for the March 2012 primary election. Further, that said mailing was paid for from his personal funds, along with a small donation from an individual Stephenson County citizen, at a total cost of approximately \$700.00.
7. The Respondent, Don Diestelmeier, states affirmatively that for a brief period of time in 2012, he rented out a storefront located at 19 South Chicago Avenue, Freeport, Illinois 61032 to provide a Voter Information Center for voters. The Voter Information Center was open to the public and allowed all candidates for public office and all political parties to provide information to the public regarding issues in the March 2012 primaries. That candidates and other interested members of the public brought information and literature that they paid for to the Voter Information Center to be handed out to voters. The purpose of the Voter Information Center was to provide voters with

accurate information regarding issues in the March 2012 primary. The Respondent, Don Diestelmeier, paid for the rent, heat, electric and insurance for the Voter Information Center from his personal funds at a total cost of less than \$500.00. The Respondent, Don Diestelmeier, did not receive any contributions or reimbursements for his expenses, nor was he or anyone else paid for their volunteer work.

8. The Respondent, Christopher Clukey, states affirmatively that he volunteered his time to set up a web site at [worldpress.com \(http://scanstephco.wordpress.com\)](http://scanstephco.wordpress.com) to assist the Voter Information Center. There were no costs for set up or use of the web site. All candidates could post information on the web site. The purpose of the web site was to provide voters with accurate information regarding issues in the March 2012 primary.

9. The Respondent, Salvatore Detente, states affirmatively that he made copies of Figures 1, 2 and 4 to the Complaint filed herein. That he purchased ink and copy paper for the copies from Staples and expected to be reimbursed in the amount of \$539.02 for those expenses from the Stephenson County Republican Central Committee. He did not intend the expenses to be a donation to the Stephenson County Republican Central Committee, nor did he receive any contributions to pay them. That he left said copies at the Voter Information Center to be picked up by voters to provide them with accurate information regarding issues in the March 2012 primary.

10. William Samuelson, who is not a named Respondent in this action and who was not a candidate in the March 2012 primary, ran Figure 1 to the Complaint filed herein as a half page advertisement in the *Freeport Advertiser*. Mr. Samuelson also ran Figure 2 to the Complaint filed herein as a one page advertisement in the *Freeport*

Advertiser. He paid the total amount of \$2,705.48 for the two advertisements. William Samuelson expected to be reimbursed for the total amount for those expenses from the Stephenson County Republican Central Committee. He did not intend the expenses to be a donation to the Stephenson County Republican Central Committee, nor did he receive any contributions to pay them. Mr. Samuelson ran the advertisements to provide voters with accurate information regarding issues in the March 2012 primary.

11. That the Respondent, Salvatore Detente, is the Treasurer of the Stephenson County Republican Central Committee. That at several meetings in early 2012, the Stephenson County Republican Central Committee and/or Executive Committee discussed providing literature to be handed out to voters at the Voter Information Center and placing advertisements in the newspaper to provide voters with accurate information regarding issues in the March 2012 primary. That the Stephenson County Republican Central Committee subsequently voted on and unanimously approved and ratified the reimbursements of the expenditures of Salvatore Detente in the amount of \$539.02 for copying (paragraph 9 above) and William Samuelson in the amount of \$2,705.48 for advertising (paragraph 10 above).

12. That on or about April 7, 2012, the Treasurer of the Stephenson County Republican Central Committee (hereinafter, "Committee"), Salvatore Detente, filed its Quarterly Report for the Reporting Period January 1, 2012 thru March 31, 2012 (hereinafter, "Report") with the State Board of Elections (hereinafter, "S.B.O.E."). Pages 1, 3 and 5 of said Report are attached hereto as Exhibit "A" and incorporated herein by reference. The highlighted portion on page 5 of said Report reflects an expenditure to William Samuelson for Media-production & printing in the amount of \$3,244.50. The

S.B.O.E. subsequently informed the Committee's Treasurer, Salvatore Detente, that the Report needed to be amended to reflect the actual vendor that received the funds for the expenditure.

13. That on or about May 3, 2012, at the direction of the S.B.O.E., the Committee's Treasurer, Salvatore Detente, filed an Amended Report with the S.B.O.E. which was accepted. Pages 1, 3, 6 & 7 of said Amended Report are attached hereto as Exhibit "B" and incorporated herein by reference. The highlighted portion on page 6 reflects an expenditure to the actual vendor, the Freeport Advertiser, for Advertising-newspaper in the amount of \$2,705.48 as directed by the S.B.O.E. This is the amount that William Samuelson paid to the Freeport Advertiser and was reimbursed for by the Committee, as set forth in paragraphs 10 and 11 above. The highlighted portion on page 7 reflects an expenditure to the actual vendor, Staples, for Printer Ink in the amount of \$539.02. This is the amount Salvatore Detente paid to Staples and was reimbursed for by the Committee, as set forth in paragraphs 9 and 11 above.

14. The Respondent, Rebecca Quiggle, states affirmatively that at the 2012 annual Lincoln Day Dinner hosted by the Stephenson County Republican Central Committee, she purchased certain items through a silent auction that was held, but was unable to pay for them at that time because she did not have her checkbook with her. At the March 2012 County Board meeting, she gave a check for payment of the items, to Respondent, Salvatore Detente, who is the Treasurer of the Stephenson County Republican Central Committee. The check was made payable to the Stephenson County Republican Central Committee. Respondent, Salvatore Detente, confirms the foregoing and further states that he deposited the check in the Stephenson County Republican

Central Committee's checking account. A copy of said check is attached hereto as Exhibit "C" and incorporated herein by reference.

15. That based upon the foregoing, no violations of the Illinois Election Code have occurred.

16. That the Plaintiff's Complaint is false, baseless and only meant to harass the Respondents.

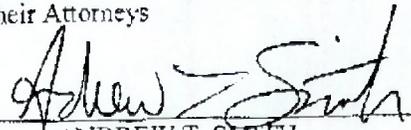
17. That due to the Plaintiff's willful filing of a false Complaint, he should be penalized the maximum of 6 months in the penitentiary and be fined the maximum amount of \$500.00 pursuant to the provisions of the Illinois Election Code.

WHEREFORE, the Respondents, Salvatore Detente, David Martindale, James DeMeester, Don Diestelmeier, Rebecca Quiggle, Jerry Clay, Cheryl Hartman and Christopher Clukey, pray as follows:

- A. That this Board dismiss the Plaintiff's Complaint.
- B. That this Board penalize the Plaintiff for willfully filing a false Complaint to the maximum extent of its authority under the Illinois Election Code;
- C. For such other and further relief as this Board deems equitable and proper.

Salvatore Detente, David Martindale, James DeMeester, Don Diestelmeier, Rebecca Quiggle, Jerry Clay, Cheryl Hartman, and Christopher Clukey, Respondents
BY: Cicero, France, Barch & Alexander, P.C., their Attorneys

BY:


ANDREW T. SMITH

August 13, 2012

Illinois State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704

Illinois State Board of Elections
100 W. Randolph, Suite 14-100
Chicago, IL 60601

Attn: Mr. Rupert T. Bogsmiller, Executive Director

Ref: Alleged Violation of 10 ILCS 5/9
Disclosure and Regulation of Campaign Contributions and Expenditures

Dear Mr. Bogsmiller:

I am submitting this Form D-4 and letter to the Illinois State Board of Elections in verification of my belief that a violation of Article 10 ILCS 5/9 (Disclosure and Regulation of Campaign Contributions and Expenditures) has occurred and is ongoing. Please accept this letter as a formal complaint in accordance with 10 ILCS 5/9-20.

Summary

On or about January 1, 2012 a political action committee became organized in Stephenson County, Illinois. The name of the committee is the Stephenson County Accountability Network (SCAN). Their purpose is to promote the campaigns of a group of county board candidates for the March 20, 2012 Primary Election and the November 6, 2012 General Election.

Evidence of their activities became apparent with the appearance of a variety of newspaper ads, receipt of political mailings, the establishment of a website, the opening of an informational office, and a number of other activities that strongly indicate that a large amount of money was being spent on their efforts. A number of the ads and mailings had printed on them, "Paid for by the Stephenson County Accountability Network".

As this activity continued I made periodic inquiries to the Illinois State Board of Elections website to determine if any organizational documents required by 10 ILCS 5/9 had been filed. As of July 29, 2012 I can find no evidence that the required documentation has been filed.

I am aware that a political action committee becomes established in Illinois when they have received at least \$3000 in donations or expenditures. It is difficult to state with any degree of accuracy when the Stephenson County Accountability Network reached the threshold established by Illinois Law requiring the submittal of organizational and financial documentation. However, it is clear that by accumulating the market value of expenses I have seen in the public forum to date, they could have easily reached the threshold early in 2012 and well before the March 20, 2012 Primary Election. This will be detailed later in my letter.

It is also difficult to determine who the officers and members of the committee are. The candidates that they have documented in their political literature are apparent members along with a few members of the public who are working on their behalf.

It is also indicated that officers from the Stephenson County Republican Central Committee have some control over the operation of this political action committee. These individuals in particular should be aware of the requirements contained in Illinois Law regarding campaign contributions and expenditures. Their involvement would reduce the possibility that any failure to document and report was due to a lack of knowledge or inexperience.

Subjects of Complaint

This complaint is directed toward the following individuals that have documented ties to the Stephenson County Accountability Network. It is unknown which individuals are acting as officers of the committee since no forms appear to have been publicly filed.

Salvatore Détente
Treasurer, County Republican Central Committee
Candidate for County Board, District J
4510 Lamm Road
Freeport, IL 61032

Cheryl Hartman
Chairman, County Republican Central Committee
6783 Preston Rd.
Freeport, IL 61032

Christopher Clukey
Republican Precinct Committeeman
Candidate for County Board, District F
128 N. Bailey Avenue
Freeport, IL 61032

Don Diestelmeier
Citizen, very involved in committee operations
3751 W. Stephenson St.
Freeport, IL 61032

Rebecca Quiggle
Candidate for County Board, District C
104 N. Green Avenue
Freeport, IL 61032

Edward Mulligan
Vice Chairman, County Republican Central Committee
Candidate for County Board District C
615 S. Burchard Ave
Freeport, IL 61032

Jerry Clay
Candidate for County Board, District L
4648 E. Farm School Road
Dakota, IL 61018

James DeMeester
Candidate for County Board, District K
3993 Route 26 North
Freeport, IL 61032

David Martindale
Candidate for County Board, District L
4794 N. Bouray Rd.
Rock City, IL 61070

This list is based on the activities personally observed or appearing in the public forum as to their involvement in the fund raising and/or expenditures related to the Stephenson County Accountability Network. Some of these individuals may have reasons why it appeared they were involved and others may be further implicated if proper campaign financing documentation is provided to the State Board of Elections.

Activity Leading to Campaign Expenditures (Please Refer to Form D-4 , Section 3)

In January of 2012 there were rumblings of a new political action committee becoming active in Stephenson County. This information was traced to some members of the Stephenson County Republican Central Committee, some incumbent members of the Stephenson County Board, some primary election candidates for the Stephenson County Board, and some private citizens that were allies of these individuals.

On February 29, 2012 a half-page, one-color advertisement appeared in a local weekly newspaper called the Freeport Shopping News. The address of record for this newspaper is 1705 S. Galena Ave., Freeport, IL 61032. Their phone is (815)-235-4106 and their web address is www.myfreeportshoppingnews.com. A copy of this ad is attached and labeled (Fig. 1). It is noted at the bottom of this ad, "Paid for by the Stephenson County Accountability Network". (SCAN)

On March 7, 2012 another half page, one-color advertisement appeared in the Freeport Shopping News which was identical to the previously described ad in the paragraph above including, "Paid for by the Stephenson County Accountability Network".

On March 14, 2012 a full-page, full-color advertisement appeared in the Freeport Shopping News. A copy of this ad is attached as (Fig. 2). It was noted at the bottom of this ad that "Paid for by the Stephenson County Accountability Network".

I requested a copy of the advertising rate sheet from the Freeport Shopping News to determine the cost of the ads that appeared in their publication. This rate sheet is attached as (Fig. 3). The half-page, one-color ad would cost \$910.82 and the full-page, full-color ad would cost \$1822.20. The cost of the ads I have previously listed would have cost approximately \$3644.00.

There may have been other ads placed with this newspaper or others on behalf of the Stephenson County Accountability Network. However, these ads witnessed by or reported to me already have an expense amount over the \$3000 limit that would trigger the formation of a political action committee and the submittal of organizational and financial documents on a timely basis.

In each of the ads in the Freeport Shopping News, it was noticed that this group also hosted a website, www.scanstephco.wordpress.com. This website is hosted by Automatic Inc. located in San Francisco, CA. This company charges for their services depending upon the amount of interactive work, design, and blog monitoring they must do. The scanstephco website was active in early March 2012 and as of the date of this letter it is still active. The estimated cost to: acquire the domain name, build the website, and provide the hosting/monitoring services could be \$400.00 to date. This expense would have to be verified with appropriate documentation provided by the political action committee.

In late February 2012 it was noticed that the Stephenson County Accountability Network had rented/leased a storefront located at 19. S. Chicago Ave., Freeport, IL 61032. This facility was used as an "informational center" to promote some of the candidates in the approaching primary election. The effort to secure the storefront was handled by Mr. Salvatore Détente and Mr. Don Diestelmeier. The owner of the storefront is Mr. Al Lutz who resides in Freeport, IL. This space is estimated to be approximately (250) square feet in size. Based on current rental rates in downtown Freeport the 2-month rental period would cost approximately \$500.00 including utilities.

There were a number of mailings that were sent out via the USPO on behalf of the candidates supported by the Stephenson County Accountability Network. A sample of a 6-page color mailing is attached as (Fig. 4). This particular mailing was on behalf of Mr. Salvatore Détente. This mailing was postmarked March 10, 2012 and contained literature that had the words, "Paid for by the Stephenson County Accountability Network" printed on them. District L, Mr. Détente's new representative district, has approximately (2900) registered voters with almost three-fourths listed as registered Republicans. If he sent this mailing to just half of the registered Republicans his material, printing, and postage costs would have been approximately \$1400.00. It was noted that the mailings had regular USPO stamps and not a bulk mail certificate. It was also noted and witnessed that Mr. Détente was receiving donations for SCAN on the floor of the Stephenson County Board Room before the start of the regular meeting in March 2012. A check was received from a fellow primary candidate, Ms. Rebecca Quiggle. It was apparent to the witness that funds were being provided to Mr. Détente to defray his election expenses from within the group associated with the Stephenson County Accountability Network. It is not known if any moneys were exchanged between the Stephenson County Republican Party and SCAN. It is not known if other mailings were sent out on behalf of other candidates associated with SCAN.

An estimate of the current identifiable expenses attributed to SCAN and to the candidates associated with the organization is \$5944.00. Again, this is well above the accountability level established by Illinois Statutes.

Allegations of Violations (Please Refer to Form D-4 Section 2)

The Stephenson County Accountability Network appears to be a political action committee engaged in the financial support of multiple Stephenson County Board candidates. There appears to be a management organization in place that coordinates the activities including the funding and expense of their political efforts. This organization appears to include incumbent county board candidates, new county board members, members of the Stephenson County Republican Central Committee, and members of the general public.

It appears the organization is in violation of 10 ILCS 5/9-1.15(c) in that the Stephenson County Republican Central Committee may have promoted the establishment of the Stephenson County Accountability Network as evidenced by the participation by their officers.

This organization appears to be violating 10 ILCS 5/9-2 (f) and (g) since no chairman or treasurer has been designated and contributions are being received and expenses paid without these officers in place.

This organization appears to be violating 10 ILCS 5/9-3 (a) since no statement of organization has been submitted within the time limits established.

This organization appears to be violating 10 ILCS 5/9-6 since there is no evidence that the people receiving contributions on behalf of the committee are appropriately documenting these contributions to the treasurer of the committee. There is a concern that funds are being commingled with personal funds and possibly the funds of another political action committee.

This organization appears to be in violation of 10 ILCS 5/9-7 since there is no evidence that the treasurer of the committee is keeping detailed records of the contributions to and expenditures from the committee.

This organization appears to be in violation of 10 ILCS 5/9-8.15 due to solicitations and the making of contributions on State/County property. This is also in violation of the State Officials and Employees Ethics Act which Stephenson County has also adopted.

This organization appears to be in violation of 10 ILCS 5/9-10 in that the treasurer of the organization has not filed the appropriate quarterly reports documenting contributions and expenditures for the first two quarters of 2012.

Conclusion

I respectfully request that the Illinois State Board of Elections review the information I have provided within this complaint and make a determination as to the legal standing of the Stephenson County Accountability Network and the individuals involved with its operation.

I declare that this complaint (including any accompanying schedules and statements) has been examined by me and to the best of my knowledge and belief is a true and correct complaint as required by Article 9 of the Election Code. I understand that the penalty for willfully filing a false complaint shall be a fine not to exceed \$500 or imprisonment in a penal institution other than the penitentiary not to exceed 6 months, or both fine and imprisonment.

Respectfully Submitted,

John J. Blum

1724 W. Stephenson St.
Freeport, IL 61032
815-235-1129 (home)
815-238-5897 (cell)
815-297-0601 (fax)
jjblum767@aol.com (email)



FORM

D-4

COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER.

John J. Blum
1724 W. Stephenson St.
Freeport, IL 61032

815-235-1129

STATE BOARD OF ELECTIONS
12 AUG 17 PM 1:12

No. 12CD 121

vs.

NAME AND ADDRESS OF RESPONDENT:

See list in attached letter

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS? [] Yes [X] No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION)

See attached letter

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

See attached letter and figures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

August 13, 2012

DATE

Handwritten signature of John J. Blum

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

PROOF OF SERVICE

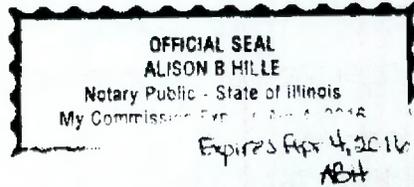
I, John J. Blum HEREBY SWEAR OR AFFIRM THAT I SERVED A COPY OF THE FOREGOING COMPLAINT UPON THE FOLLOWING:

a) BY PERSONALLY DELIVERING THE SAME ON THE ___ DAY OF _____, 20__ AT ___ O'CLOCK ___ M. (NAME AND TITLE OF PERSON OR IF NAME IS UNKNOWN A PHYSICAL DESCRIPTION INCLUDING RACE, SEX, AND APPROXIMATE AGE) OR:

b) BY PLACING A COPY THEREOF IN THE UNITED STATES POSTAL SERVICES, PROPER POSTAGE PREPAID, TO THE ABOVE INDICATED ADDRESSES, ON THE 13th DAY OF August 2013, AT THE MAIL BOX OR POSTAL STATION LOCATED AT: Freeport, IL 61032

John J. Blum
SIGNATURE OF COMPLAINANT OR AGENT

SUBSCRIBED AND SWORN TO BEFORE ME
THIS 13th DAY OF August 2013
Alison B. Hille
NOTARY PUBLIC



INSTRUCTIONS

1. THIS FORM IS USED TO FILE COMPLAINTS FOR VIOLATIONS ARISING OUT OF AN ACT TO REGULATE CAMPAIGN FINANCING (ILLINOIS REVISED STATUTES, CHAPTER 46, ART. 9-1 ET SEQ.). SEE RULES AND REGULATIONS OF THE STATE BOARD OF ELECTIONS FOR THE FILING AND HEARING OF COMPLAINTS.
2. THE FILING AND HEARING OF COMPLAINTS ARE GOVERNED BY RULES AND REGULATIONS ADOPTED BY THE BOARD. IF A COMPLAINT IS FILED WITHIN 60 DAYS PRIOR TO THE DATE OF AN ELECTION IN REFERENCE TO WHICH THE COMPLAINT IS FILED, THE COMPLAINANT MUST SERVE A COPY OF THE COMPLAINT UPON ALL RESPONDENTS PRIOR TO THE TIME OF FILING. COPIES OF THE RULES AND REGULATIONS ARE AVAILABLE UPON REQUEST.
3. COMPLAINTS MUST BE FILED BY MAIL OR IN PERSON AT EITHER OF THE FOLLOWING LOCATIONS:

STATE BOARD OF ELECTIONS
1020 S SPRING ST
SPRINGFIELD, ILLINOIS 62704-2924

STATE BOARD OF ELECTIONS
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

To: Rupert T. Borgsmiller, Executive Director, Members of the Board
 Re: Payment of Civil Penalties - Informational
 Date: 12/6/2012

The following committees have made payment of outstanding civil penalties for the period of 11/8/2012 - 12/6/2012

Cmte ID	Cmte Name	Amt of Check
12780	Village Party	\$875.00
24172	Citizens for Burkart	\$100.00
17646	Citizens for Kurt	\$421.21
23660	Citizens for Judge Gamrath	\$300.00
21588	McElroy for City Council	\$250.00
7425	Committee for Frank J Mautino	\$250.00
23843	Friends to Elect James A Wright	\$250.00
22673	Vanguard Health Management IL PAC	\$1,125.00
21595	Citizens for Scordato	\$1,550.00
376	19th Ward Democratic Org	\$250.00
19337	Sangamon County Young Republicans	\$732.33
20651	Committee to Elect Dan Duffy	\$100.00
23982	Friends of Pat Mahon	\$50.00
24169	Citizens for Ronald Duebbert	\$100.00
20016	Friends of Michelle A Harris	\$375.00
10553	Committee for School Financial Excellence	\$100.00
11436	Friends of Ken Dunkin	\$2,375.00
22102	7th Ward Independent Political Organization	\$625.00
22764	Friends of Sherman C Jones	\$50.00
21652	Friends of Weeks	\$1,425.00

Civil Penalties Paid for this Period: \$11,303.54

Year to Date Totals:

12/30/11 to 2/1/12: \$69,380.71
 2/2/2012 to 3/7/2012: 12,444.50
 3/8/12 to 4/9/12: \$11,077.00
 4/10/12 to 5/8/12: \$52,537.67
 5/18/12 to 6/7/2012: \$5,743
 6/8/12 to 7/2/12: \$48,968.79
 7/3/12 to 8/13/12: 35876.82
 8/13/12 to 9/6/12: \$27,168.01
 9/6/12 to 10/4/12: \$31789.79
 10/5/12 to 11/8/12: \$19248.40
 11/8/2012 to 12/6/2012: \$11,303.54

Payment Plans:

945 Cook County Democratic Women
 14153 Citizens for Lyle
 20809 Friends for Proco Joe Moreno
 21676 Citizens for Deyon Dean
 21302 Friends of Miriam Shabo

SPECIAL ELECTION CALENDAR
SECOND CONGRESSIONAL DISTRICT

SPECIAL PRIMARY ELECTION
FEBRUARY 26, 2013

Monday, December 3, 2012

Governor issued a Writ of Election on November 26 ordering that a Special Election to fill the vacancy in the office of Representative in Congress be conducted on Tuesday, March 19, 2013 and that a Special Primary Election to nominate candidates of established political parties be conducted on Tuesday, February 26, 2013. (U.S. Constitution, Art I, Sec. 2, Clause 4; 2 U.S.C. §8; 10 ILCS 5/2A-4; 10 ILCS 5/25-7, 5/7-61) P.A. 97-1134 changed the date of the special election to Tuesday, April 9, 2013. The Governor issued an amended Writ on December 3, in accordance with the new legislation.

Friday, December 28, 2012

Last day the election authority shall provide public notice, calculated to reach the elderly and handicapped voters, of the registration and voting aids under the Federal Voting Accessibility for the Elderly and Handicapped Act and of the availability of assistance in marking the ballot, and procedures for voting by absentee ballot. (10 ILCS 7-15)

Thursday, January 3, 2013

First day for candidates of established political parties to file original nomination papers in the principal office of the State Board of Elections. (10 ILCS 5/7-12(1))

Thursday, January 3, and Monday, January 7, 2013

The State Board of Elections will give written notice to each candidate filing petitions simultaneously at 8AM on January 3 and between 4PM and 5PM on January 7, of the time and place for conducting a lottery to determine ballot position for such candidates. In addition, notice shall be given to the chairman of each established political party and to each organization of citizens within the election jurisdiction entitled to have pollwatchers present at the last preceding election. Notice will also be posted at the entrance to each office of the State Board of Elections. (10 ILCS 5/7-12(6), Public Act 097-1044)

Monday, January 7, 2013

Last day for candidates of established political parties to file original nomination papers in the principal office of the State Board of Elections. (10 ILCS 5/7-12(1))

Tuesday, January 8, 2013

Last day for the State Board of Elections to conduct a lottery to determine ballot position for candidates when 2 or more petitions are filed simultaneously as of the opening hour for filing nominating petitions on January 3, 2013 and between 4PM and 5PM on January 7, 2013. (10 ILCS 5/7-12(6), Public Act 097-1044)

Tuesday, January 8, 2013

Last day (by 5:00 p.m.) a person may file a notarized Declaration of Intent to be a write-in candidate (Exception: If an objection to the person's nominating papers or petitions for the office is sustained after this date and the decision to sustain the objection has not been reversed by a reviewing court, the person may file a Declaration of Intent not later than 7 days prior to the Special Primary Election). Such Declarations must be filed in the offices of the appropriate election authorities. Declarations received by an election authority after 5:00 p.m. shall not be valid. Write-in votes shall only be counted for persons who have timely filed valid declarations of intent to be write-in candidates. (10 ILCS 5/7-59(b))

Tuesday, January 8, 2013

Last day for the State Board of Elections to certify to the appropriate county clerks the names of candidates for the Special Primary Election. (10 ILCS 5/7-13.1; 5/7-14)

Tuesday, January 8, 2013

Last day for the Cook County Clerk to certify to the Chicago Board of Election Commissioners the names of candidates for the Special Primary Election. (10 ILCS 5/7-13.1; 5/7-14)

Friday, January 11, 2013

Last day for election authority whose office is not open on Saturday, January 12 to transmit electronically or by expedited mail official absentee ballots or equivalent ballots to persons in the United States Service (members of the Armed Forces while on active duty, members of the merchant Marine, and United States government employees serving outside the territorial limits of the United States) or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. If the ballot provided does not include the names of candidates to be nominated at the Primary, the election authority shall advise such voters that the names of candidates to be nominated shall be available on the election authority's website and shall provide a phone number the voter may call to request the names of such candidates. In all cases, the election authority shall provide a notice to such absentee voters that the candidates listed on the ballot, or contained in any separate list may not appear on the final ballot if an objection is filed against their nominating papers and such objection is sustained. The election authority shall advise such absentee voters that an updated list of the names of candidates who have been objected to, and the status of such candidates (either certified for the ballot or removed) shall be available on the election authority's website and shall provide a phone number the person may call to request the names and status of such candidates. (42 U.S.C. § 1973ff-1(a)8(A), 10 ILCS 5/7-16, 5/16-5.01, P.A. 97-1134)

Saturday, January 12, 2013

Last day for election authority to transmit electronically or by expedited mail official absentee ballots or equivalent ballots to persons in the United States Service (members of the Armed Forces while on active duty, members of the merchant Marine, and United States government employees serving outside the territorial limits of the United States) or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. If the ballot provided does not include the names of candidates to be nominated at the Primary, the election authority shall advise such voters that the names of

candidates to be nominated shall be available on the election authority's website and shall provide a phone number the voter may call to request the names of such candidates. In all cases, the election authority shall provide a notice to such absentee voters that the candidates listed on the ballot, or contained in any separate list may not appear on the final ballot if an objection is filed against their nominating papers and such objection is sustained. The election authority shall advise such absentee voters that an updated list of the names of candidates who have been objected to, and the status of such candidates (either certified for the ballot or removed) shall be available on the election authority's website and shall provide a phone number the person may call to request the names and status of such candidates. (42 U.S.C. § 1973ff-1(a)8(A), 10 ILCS 5/7-16, 5/16-5.01, P.A. 97-1134)

Monday, January 14, 2013

Last day to file objections to nomination papers of candidates who filed nomination papers from January 3, 2013 through January 7, 2013. Objections may be filed in the principal office of the State Board of Elections in Springfield, or the Board's permanent branch office in Chicago. (10 ILCS 5/7-12.1, 5/10-8)

Thursday, January 17, 2013

Last day for organizations of citizens which have among its purposes the investigation or prosecution of election fraud and State non-partisan civic organizations to register their names and addresses and the names and addresses of their principal officers in order to be eligible for pollwatcher credentials for the Special Primary Election. Such registration shall be in the office of the election authority. (10 ILCS 5/7-34)

Monday, January 28, 2013

First day for registered voters within the confines of the United States to make application by mail or in person for an Absent Voter's Ballot for the Special Primary Election. (10 ILCS 5/19-2)

Monday, January 28, 2013

Last day for unregistered citizens temporarily residing outside the territorial limits of the United States who are otherwise qualified to vote and who expect to be absent from their county of residence to apply for a ballot to the election authority having jurisdiction over their precinct of residence. Application must be made on the Federal Post Card Application or on forms provided by the election authority. If application is received not less than 10 days before the election, the applicant shall be sent a ballot and registration is waived. (10 ILCS 5/20-2.1)

Tuesday, January 29, 2013

Last day for voter registration in the office of the election authority or to transfer registration to a new address for the Special Primary Election, with the exception of "grace period" registration and voting. (10 ILCS 5/4-6, 5/4-16, 5/5-5, 5/5-23, 5/6-29, 5/6-50, 5/6-51, 5/6-53, 5/6-100)

Last day for registration of voters by deputy registrars and registrars in branch offices prior to the Special Primary Election. Completed registrations and supporting materials shall be returned to the office of the election authority within 24 hours of the date received by the registrar or by the close of business on January 30, 2013, whichever occurs first. (10 ILCS 5/4-6.2(a), 5/5-16.2(a), 5/6-35.03, 5/6-50.2)

Last day for voter registration or to transfer registration to a new address by mail or in qualifying State agencies and in Secretary of State driver's license examination stations for the Special Primary Election. (10 ILCS 5/4-6, 5/4-16, 5/5-5, 5/5-23, 5/6-29, 5/6-50, 5/6-51, 5/6-53)

Wednesday, January 30, 2013

First day of "grace period" registration and voting in the office of the election authority or at a voter registration location specifically designated for this purpose by the election authority. During the grace period, individuals may register to vote or submit a change of registration address in person in the election authority's office or at designated locations. If such individuals wish to vote at the first election or primary after the grace period, they must do so either in person at the election authority's office or at a location designated for that purpose, unless the election authority, in its discretion, permits such individuals to vote absentee by mail. (10 ILCS 5/4-50, 5/5-50, 5/6-100)

Monday, February 4, 2013

First day for the election authority to publish a schedule of the dates and times that early voting will be conducted and the location of each permanent and temporary polling place for early voting and the precincts served by each location. The election authority shall publish a similar schedule at least once a week during the early voting period and post a copy of the schedule at each location to be used for early voting and on the election authority's Internet web site. (10 ILCS 5/19A-25)

Wednesday, February 6, 2013

Last day for the election authority to post a schedule for early voting at each location where early voting will be conducted. The schedule shall remain posted continuously until the end of early voting (February 23, 2013). (10 ILCS 5/19A-25(b))

Monday, February 11, 2013

First day for early voting at the offices of the election authority and at permanent polling place locations designated by the election authority. Early voting shall be conducted at such locations between the hours of 8:30 a.m. to 4:30 p.m. or 9:00 a.m. to 5:00 p.m. on weekdays and 9:00 a.m. to 12:00 p.m. on Saturdays, Sundays and holidays. A permanent early voting location must remain open for a total of at least 8 hours on any holiday during the early voting period and a total of at least 14 hours on the final weekend during the early voting period. (10 ILCS 5/19A-15)

Tuesday, February 12, 2013

Last day for election authority to have pollwatcher credentials available for distribution. (10 ILCS 5/7-34)

Tuesday, February 12, 2013

First day a qualified voter admitted to a hospital, nursing home or rehabilitation center not more than 14 days before the Special Primary Election may make application for personal delivery of an Absent Voter's Ballot. (10 ILCS 5/19-13)

Friday, February 15, 2013

Last day to publish notice of primary. Notice shall include the primary date, poll hours, offices to be listed on the ballot and the political parties entitled to participate. (10 ILCS 5/7-15)

Tuesday, February 19, 2013

Last day any voter who is a member of the United States Service (members of the Armed Forces while on active duty, members of the Merchant Marine, and United States government employees serving outside the territorial limits of the United States) and his/her spouse and dependents of voting age who expects to be absent from his/her county of residence on election day to apply by mail, by facsimile machine or by other methods of electronic transmission for an official ballot to the election authority having jurisdiction over their residence. No registration is required to vote. Application must be made on the Federal Post Card Application or on forms provided by the election authority. (10 ILCS 5/20-2, 5/20-2.3, 5/20-3)

Last day for non-resident civilian citizens, otherwise qualified to vote, to make application to the election authority having jurisdiction over his/her precinct of former residence for an absentee ballot. Registration is not required. Application may be made on the Federal Post Card Application or by facsimile or electronic transmission. (10 ILCS 5/20-2.2)

Tuesday, February 19, 2013

Last day (by 5:00 p.m.) a person may file a notarized Declaration of Intent to be a write-in candidate if an objection to the person's nominating papers or petitions for the office is sustained and the decision to sustain the objection has not been reversed by a reviewing court after the date of certification. Such Declarations must be filed in the office of the appropriate election authority. Declarations received by the election authority after 5:00 p.m. will not be valid. Write-in votes shall only be counted for persons who have timely filed valid declarations of intent to be a write-in candidate. (10 ILCS 5/7-59(b))

Thursday, February 21, 2013

Last day for the election authority to receive an application by mail for an Absent Voter's Ballot for the Special Primary Election and the last day for the election authority to mail such a ballot. (10 ILCS 5/19-2)

Thursday, February 21, 2013

Last day for election authority to publish in 2 or more newspapers published in and having general circulation in the county a true and legible copy of the specimen ballot containing the names of offices and candidates to be voted on, as near as may be, in the form in which they will appear on the official ballot on election day. (10 ILCS 5/24B-18, 5/24C-18)

Thursday, February 21, 2013

Last day to post (by noon) the names and addresses of nursing home facilities from which no applications were received and in which no supervised absentee voting will be conducted. (10 ILCS 5/19-12.3)

Thursday, February 21, 2013

Last day for the election authority to conduct a public test of the automatic tabulating equipment (optical scan voting devices, direct recording electronic voting devices, touch screen

voting devices, vote tallying software and equipment). The election authority must give public notice and notify the State Board of Elections of the date, time and location of the test at least 48 hours prior to such test. (10 ILCS 5/24A-9, 5/24B-9, 5/24C-9)

Friday, February 22, 2013

Last day for the election authority to have official ballots available for inspection by candidates or their agents. (10 ILCS 5/16-5)

Saturday, February 23, 2013

Last day for early voting at the offices of the election authority and at permanent polling place locations designated by the election authority. (10 ILCS 5/19A-15)

Saturday, February 23 2013

Last day of "grace period" registration and voting in the office of the election authority or at a voter registration location specifically designated for this purpose by the election authority. During the grace period, individuals may register to vote or submit a change of registered voter's address in person in the election authority's office or at designated locations. If such individuals wish to vote at the first election or primary after the grace period, they must do either in person at the election authority's office or at a location designated for that purpose, unless the election authority, in its discretion, permits such individuals to vote by mail. (10 ILCS 5/4-50, 5/5-50, 5/6-100)

Friday, February 22, 2013

Saturday, February 23, 2013

Sunday, February 24, 2013

Monday, February 25, 2013

Dates that may be scheduled by the election authority for absentee voting on the premises of facilities licensed or certified pursuant to the Nursing Home Care Act for the sole benefit of the residents of such facilities who have made prior application not later than 5 days before the election. Such voting shall be conducted during any continuous period sufficient to allow all applicants to cast their ballots between the hours of 9 a.m. and 7 p.m. on any one of these days. (10 ILCS 5/19-4, 5/19-12.2)

Monday, February 25, 2013

Last day any registered voter presently within the confines of the United States may apply in person for an Absent Voter's Ballot for the Special Primary Election. (10 ILCS 5/19-2)

Monday, February 25, 2013

Last day for any temporarily or permanently physically disabled voter to request the election authority that two (2) judges of election of opposite political party affiliation deliver a ballot to him/her at the point where he/she is unable to continue forward motion toward the polling place. (10 ILCS 5/7-47.1(b))

Monday, February 25, 2013

Last day for the election authority to deliver (prior to the opening of the polls) to judges of election in each precinct a list of registered voters in that precinct to whom absentee ballots

have been issued by mail and a list of grace period and early voters. (10 ILCS 5/4-50, 5/5-50, 5/6-100, 5/19-4, 5/19A-5(c))

Tuesday, February 26, 2013 SPECIAL PRIMARY ELECTION
Polling Places open from 6:00 a.m. to 7:00 p.m. (10 ILCS 5/7-5, 5/25-7, as amended by PA 97-1134)

Thursday, February 28, 2013

Last day a person casting a provisional ballot may submit additional information to the election authority to verify or support his/her registration status. Material must be received by the election authority by this date. (10 ILCS 5/18A-15(d))

Thursday, February 28, 2013

Registration re-opens in the office of the election authority and for all deputy registrars. (10 ILCS 5/4-6, 5/5-5, 5/6-50)

Tuesday, March 12, 2013

Last day for the election authority to complete the validation and counting of provisional ballots. (10 ILCS 5/18A-15(a))

Tuesday, March 12, 2013

Last day for the election authority to process and count absent voters' ballots (1) mailed and postmarked by midnight preceding the opening of the polls on election day but received after the close of the polls and by this date, or (2) not postmarked at all, but having a certification date prior to election day on the certification envelope and received after the close of the polls and by this date. (10 ILCS 5/19-8(c))

Tuesday, March 12, 2013

Last day for the election authority to conduct final canvass of election returns and certify the names of candidates nominated at the Special Primary. (10 ILCS 5/18A-15(a), 5/7-60.1)

Tuesday, March 12, 2013

Last day for the election authority to transmit to the State Board of Elections the following information with respect to the election: (1) the number, by precinct, of absentee ballots requested, provided, and counted; (2) the number of rejected absentee ballots; (3) the number of voters seeking review of rejected absentee ballots; and (4) the number of absentee ballots counted following review. (10 ILCS 5/19-20)

Tuesday, March 12, 2013

Last day for election authority to conduct a lottery to determine the order in which the major political parties will appear on the Special Election ballot. (10 ILCS 5/7-60, 5/7-60.1)

Tuesday, March 12, 2013

Last day for Congressional committee of established political party to file a resolution to fill a vacancy in nomination when no candidate was nominated at the Special Primary Election. Resolutions to fill vacancies in nomination must be filed in the State Board of Elections' principal office in Springfield. (10 ILCS 5/7-61)

Tuesday, March 12, 2013

Last day for the State Board of Elections to complete its final canvass of the vote. (10 ILCS 5/22-7, 5/18A-15(a))

Tuesday, March 12, 2013

Last day for the State Board of Elections to certify to the County Clerk the names of all established political party candidates whose names have been nominated as shown by the proclamation of the State Board of Elections or have been nominated to fill a vacancy in nomination, directing the County Clerk to place upon the official ballot the names of such candidates in the same manner and in the same order as shown upon the certification. (10 ILCS 5/7-13.1)

Last day for the Cook County Clerk to certify to the Chicago Board of Election Commissioners the names of all candidates certified by the State Board of Elections and declare that the names of such candidates for the respective offices shall be placed upon the official ballot for the Special Election in the same manner and in the same order as shown upon the certification. (10 ILCS 5/7-13.1)

Tuesday, March 19, 2013

Last day to file objections to resolutions to fill vacancy in nomination by the established party Congressional committee when no candidate was nominated at the Special Primary Election. (10 ILCS 5/7-61, 5/10-8)

SPECIAL ELECTION
APRIL 9, 2013
(10ILCS 5/27-5 as amended by PA 97-1134)

Thursday, January 31, 2013

First day for independent and new political party candidates to file original nomination papers in the principal office of the State Board of Elections. (10 ILCS 5/25-7, as amended by PA 97-1134)

Thursday, January 31 and Monday, February 4, 2013

The State Board of Elections will give written notice to each candidate filing petitions simultaneously at 8AM on January 31 and between 4PM and 5PM on February 4, of the time and place for conducting a lottery to determine ballot position for such candidates. In addition, notice shall be given to the chairman of each established political party and to each organization of citizens within the election jurisdiction entitled to have pollwatchers present at the last preceding election. Notice will also be posted at the entrance to each office of the State Board of Elections. (10 ILCS 5/10-6.2, Public Act 097-1044)

Monday, February 4, 2013

Last day for independent and new political party candidates to file original nomination papers in the principal office of the State Board of Elections. (10 ILCS 5/25-7, as amended by PA 97-1134)

Tuesday, February 5, 2013

Last day for State Board of Elections to conduct a lottery to determine ballot position for candidates where 2 or more independent candidate petitions or two or more new political party candidate petitions were filed simultaneously as of the opening hour of the first day of filing; January 31, 2013 and between 4PM and 5PM on February 4, 2013. (10 ILCS 5/10-6.2, Public Act 097-1044)

Thursday, February 7, 2013

Last day (by 5:00 p.m.) a person may file a notarized Declaration of Intent to be a write-in candidate (Exception: If an objection to the person's nominating papers or petitions for the office is sustained after this date and the decision to sustain the objection has not been reversed by a reviewing court, the person may file a Declaration of Intent not later than 7 days prior to the Special Primary Election). Such Declarations must be filed in the offices of the appropriate election authorities. Declarations received by an election authority after 5:00 p.m. shall not be valid. Write-in votes shall only be counted for persons who have timely filed valid declarations of intent to be write-in candidates. (10 ILCS 5/17-16.1; 10 ILCS 5/18-9.1)

Friday, February 8, 2013

Last day the election authority shall provide public notice, calculated to reach the elderly and handicapped voter, of the registration and voting aids under the Federal Voting Accessibility for the Elderly and Handicapped Act and of the availability of assistance in marking the ballot, and procedures for voting by absentee ballot. (10 ILCS 5/12-1)

Monday, February 11, 2013

Last day to file objections to independent and new political party candidate nomination papers filed during the period January 31 through February 4, 2013. Objections to petitions may be filed in the principal office of the State Board of Elections in Springfield or in the Board's permanent branch office in Chicago. (10 ILCS 5/10-8)

Thursday, February 28, 2013

First day for any registered voter presently within the confines of the United States to make application by mail or in person to the election authority for an official ballot. (10 ILCS 5/19-2)

Thursday, February 28, 2013

Last day for organizations of citizens which have among its purposes the investigation or prosecution of election fraud and State non-partisan civic organizations to register their names and addresses and the names and addresses of their principal officers in order to be eligible for pollwatcher credentials for the Special Election. File in the office of the election authority. (10 ILCS 5/17-23(5), 5/18-6)

Monday, March 11, 2013

Last day for unregistered citizens temporarily residing outside the territorial limits of the United States who are otherwise qualified to vote and who expect to be absent from their county of residence on election day to apply for a ballot to the election authority having jurisdiction over his/her precinct of residence. Application should be made on the Federal Post Card Application or on forms provided by the election authority. If application is received not less than 10 days before the election, the applicant shall be sent a ballot and registration is waived. (10 ILCS 5/20-2.1)

Tuesday, March 12, 2013

Last day for State Board of Elections to certify to the County Clerk the names of independent candidates and new political party candidates whose nomination papers were filed with the State Board of Elections and to direct the County Clerk to place upon the official ballot for the Special Election the names of such candidates in the same manner and in the same order as shown upon the certification. (10 ILCS 5/10-14)

Tuesday, March 12, 2013

Last day for the Cook County Clerk to certify to the Chicago Board of Election Commissioners the names of independent candidates and new political party candidates certified to the Clerk by the State Board of Elections. (10 ILCS 5/10-14)

Tuesday, March 12, 2013

Last day for voter registration in the office of the election authority or to transfer registration to a new address for the Special Election, with the exception of "grace period" registration. (10 ILCS 5/5-5, 5/5-23, 5/6-29, 5/6-50, 5/6-51, 5/6-53, 5/6-100)

Last day for registration of voters by deputy registrars prior to the Special Election. Completed registrations and supporting materials accepted shall be returned to the office of the

election authority within 24 hours of the date received by the registrar or by the close of business on March 13, 2013, whichever occurs first. (10 ILCS 5/4-6.2(a), 5/5-16.2(a), 5/6-35.03, 5/6-50.2)

Last day for voter registration or to transfer registration to a new address by mail or in qualifying State agencies and in Secretary of State driver's license examination stations for the Special Election. (10 ILCS 5/4-6, 5/4-16, 5/5-5, 5/5-23, 5/6-29, 5/6-50, 5/6-51, 5/6-53)

Wednesday, March 13, 2013

First day for the election authority to publish a schedule of the dates and times that early voting will be conducted and the location of each permanent and temporary polling place for early voting and the precincts served by each location. The election authority shall publish a similar schedule at least once a week during the early voting period and post a copy of the schedule at each location to be used for early voting and on the election authority's Internet web site. (10 ILCS 5/19A-25)

Wednesday, March 13, 2013

First day of "grace period" registration and voting in the office of the election authority or at a voter registration location specifically designated for this purpose by the election authority. During the grace period, individuals may register to vote or submit a change of registered voter's address in person in the election authority's office or at designated locations. If such individuals wish to vote at the first election or primary after the grace period, they must do either in person at the election authority's office or at a location designated for that purpose, unless the election authority, in its discretion, permits such individuals to vote by mail. (10 ILCS 5/6-100)

Wednesday, March 13, 2013

Last day for the election authority to post a schedule for early voting at each location where early voting will be conducted. (10 ILCS 5/19A-25(b))

Thursday, March 14, 2013

Last day for election authority to transmit electronically or by expedited mail official absentee ballots or equivalent ballots to persons in the United States Service (members of the Armed Forces while on active duty, members of the merchant Marine, and United States government employees serving outside the territorial limits of the United States) or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. If the ballot provided does not include the names of candidates to be nominated at the Primary, the election authority shall advise such voters that the names of candidates to be nominated shall be available on the election authority's website and shall provide a phone number the voter may call to request the names of such candidates. In all cases, the election authority shall provide a notice to such absentee voters that the candidates listed on the ballot, or contained in any separate list may not appear on the final ballot if an objection is filed against their nominating papers and such objection is sustained. The election authority shall advise such absentee voters that an updated list of the names of candidates who have been objected to, and the status of such candidates (either certified for the ballot or removed) shall be available on the election authority's website and shall provide a phone number the person may

call to request the names and status of such candidates. (42 U.S.C. § 1973ff-1(a)8(A), 10 ILCS 5/16-5.01, P.A. 97-1134)

Wednesday, March 20, 2013

Last day to publish notice of Special Election. (10 ILCS 5/12-1)

Monday, March 25, 2013

First day for early voting at the offices of the election authority and at permanent polling place locations designated by the election authority. Early voting shall be conducted at such locations between the hours of 8:30 a.m. to 4:30 p.m. or 9:00 a.m. to 5:00 p.m. on weekdays and 9:00 a.m. to 12:00 p.m. on Saturdays, Sundays and holidays. A permanent early voting location must remain open for a total of at least 8 hours on any holiday during the early voting period and a total of at least 14 hours on the final weekend during the early voting period. (10 ILCS 5/19A-15)

Tuesday, March 26, 2013

Last day for election authority to have pollwatcher credentials available for distribution. (10 ILCS 5/17-23, 5/18-6)

Tuesday, March 26, 2013

First day a qualified voter admitted to a hospital, nursing home or rehabilitation center not more than 14 days before the Election may make application for personal delivery of an Absent Voter's Ballot. (10 ILCS 5/19-13)

Monday, April 1, 2013

Last day any voter who is a member of the United States Service (members of the Armed Forces while on active duty, members of the Merchant Marine, and United States government employees serving outside the territorial limits of the United States) and his/her spouse and dependents of voting age who expects to be absent from his/her county of residence on election day to apply by mail, by facsimile machine or by other methods of electronic transmission for an official ballot to the election authority having jurisdiction over their residence. No registration required to vote. Application should be made on the Federal Post Card Application or on forms provided by the election authority. (10 ILCS 5/20-2, 5/20-2.3, 5/20-3)

Last day for non-resident civilian citizens, otherwise qualified to vote, to make application to the election authority having jurisdiction over his/her precinct of former residence for an absentee ballot. Registration is not required. Application may be made on the Federal Post Card Application or by facsimile or electronic transmission. (10 ILCS 5/20-2.2)

Last day for election authority to publish precinct polling place addresses, if not included in notice of election. (10 ILCS 5/12-4)

Tuesday, April 2, 2013

Last day (by 5:00 p.m.) a person may file a notarized Declaration of Intent to be a write-in candidate if an objection to the person's nominating papers or petitions for the office is sustained and the decision to sustain the objection has not been reversed by a reviewing court after the date of certification. Such Declaration must be filed in the office of the appropriate election authority. Declarations received by the election authority after 5:00 p.m. shall not be

valid. Write-in votes shall only be counted for persons who have timely filed valid declarations of intent to be write-in candidates. (10 ILCS 5/17-16.1; 10 ILCS 5/18-9.1)

Thursday, April 4, 2013

Last day for the election authority to receive an application by mail for an Absent Voter's Ballot for the Special Election and the last day for the election authority to mail such a ballot. (10 ILCS 5/19-2, 5/19-4)

Last day for election authority to publish in 2 or more newspapers published in and having general circulation in the county a true and legible copy of the specimen ballot containing the names of offices and candidates to be voted on, as near as may be, in the form in which they will appear on the official ballot on election day. (10 ILCS 5/24B-18, 524C-18)

Last day (by noon) to post the names and addresses of nursing home facilities from which no applications were received and in which no supervised absentee voting will be conducted. (10 ILCS 5/19-12.2)

Last day for election authority to conduct a public test of the automatic tabulating equipment (optical scan voting devices, direct recording electronic voting equipment, touch screen voting devices, vote tallying software and equipment). The election authority must give public notice and notify the State Board of Elections of the date, time and location of the test at least 48 hours prior to such test. (10 ILCS 5/24A-9, 5/24B-9, 5/24C-9)

Friday, April 5, 2013

Saturday, April 6, 2013

Sunday, April 7, 2013

Monday, April 8, 2013

Dates that may be scheduled by the election authority for absentee voting on the premises of facilities licensed or certified pursuant to the Nursing Home Care Act for the sole benefit of the residents of such facilities who have made prior application not later than 5 days before the election. Such voting shall be conducted during any continuous period sufficient to allow all applicants to cast their ballots between the hours of 9 a.m. and 7 p.m. on any one of these days. (10 ILCS 5/19-4, 5/19-12.2)

Saturday, April 6, 2013

Last day of "grace period" registration and voting in the office of the election authority or at a voter registration location specifically designated for this purpose by the election authority. During the grace period, individuals may register to vote or submit a change of registered voter's address in person in the election authority's office or at designated locations. If such individuals wish to vote at the first election or primary after the grace period, they must do either in person at the election authority's office or at a location designated for that purpose, unless the election authority, in its discretion, permits such individuals to vote by mail. (10 ILCS 5/4-50, 5/5-50, 5/6-100)

Saturday, April 6, 2013

Last day for early voting at the offices of the election authority and at permanent polling place locations designated by the election authority. (10 ILCS 5/19A-15)

Monday, April 8, 2013

Last day any registered voter presently within the confines of the United States may apply in person for an Absent Voter's Ballot for the Special Election. (10 ILCS 5/19-2)

Last day for any temporarily or permanently physically disabled voter to request the election authority that two (2) judges of election of opposite political party affiliation deliver a ballot to him/her at the point where he/she is unable to continue forward motion toward the polling place. (10 ILCS 5/17-13, 5/18-5.1)

Tuesday, APRIL 9, 2013 SPECIAL ELECTION

Polling Places open from 6:00 a.m. to 7:00 p.m. (10 ILCS 5/17-1, 5/18-2, 5/25-7 as amended by PA 97-1143)

Thursday, April 11, 2013

Last day a person casting a provisional ballot may submit additional information to the election authority to verify or support his/her registration status. Material must be received by the election authority by this date. (10 ILCS 5/18A-15(d))

Thursday, April 11, 2013

Registration re-opens in the office of the election authority and for deputy registrars. (10 ILCS 5/4-6, 5/5-5, 5/6-50)

Tuesday, April 23, 2013

Last day for the election authority to complete the validation and counting of provisional ballots. (10 ILCS 5/18A-15(a))

Tuesday, April 23, 2013

Last day for the election authority to process and count absent voters' ballots (1) mailed and postmarked by midnight preceding the opening of the polls on election day but received after the close of the polls and by this date, or (2) not postmarked at all, but having a certification date prior to election day on the certification envelope and received after the close of the polls and by this date. (10 ILCS 5/19-8(c))

Tuesday, April 30, 2013

Last day for the election authority to conduct final canvass of election returns. (10 ILCS 5/18A-15(a))

Tuesday, April 30, 2013

Last day for the election authority to transmit to the State Board of Elections the following information with respect to the election: (1) the number, by precinct, of absentee ballots requested, provided, and counted; (2) the number of rejected absentee ballots; (3) the number of voters seeking review of rejected absentee ballots; and (4) the number of absentee ballots counted following review. (10 ILCS 5/19-20, 5/20-20)

Friday, May 10, 2013

Last day for the State Board of Elections to complete its final canvass of the vote. (10 ILCS 5/22-7, 5/18A-15(a))

Memorandum

From the desk of...Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Executive Director Borgsmiller
Chairman McGuffage
Vice Chairman Smart
Members of the Board

Subject: Legislative Agenda of Spring 2013

Date: December 6, 2012

Please find attached potential legislation for the Spring 2013 Session. This listing below includes legislation introduced during the Spring 2012 Session that unfortunately did not get passed and we would like to reintroduce at this time (bill numbers and sponsors are included). Page two lists a mini clean-up bill we would like to propose. It encompasses many small items we would like to put into one bill.

Your comments and suggestions are greatly appreciated.

Raising the maximum number of voters per precinct. This legislation would raise the maximum number of voters per precinct from the current number of 800 to 1,200. (HB 5497/Brady)

Raise the minimum amount to form a political committee. We would propose legislation to raise the minimum amount to form a political committee from the current amount of \$3,000 up to \$5,000; and in future years escalating the amount up to \$7,500. (HB 5181/Sacia)

Statewide Petitions. Amends 28-12 of the Election Code. The words, "constitutional amendment" were inadvertently left in during the drafting of Public Act 97-0081/Senate Bill 1586. (HB 5207 Amenditorialy Vetoed by the Governor)

Eliminate the seven day calendar for complaints filed within 60 days prior to an election.

Clean-up in Article 7. We amended Article 16 last year to mimic federal law; we need to amend Article 7 to make this same change. (HB 5171/Bost)

Fixing vacancy in nomination language. In Section 7-61 and 8-17, this is a carry-over from 2010. (HB 5204/Fortner)

Eliminate one hour of voting. In the age of early voting, we have drafted legislation to eliminate one hour of voting on Election Day. New voting hours will be from 6:00 am to 6:00 pm. Also eliminate corresponding election judge payment. We are currently working with Appropriations staff to see if this is constitutional or if this would fall under a hold-harmless provision. This legislation will be set under separate cover. (SB 3669/Link)

Applications for absentee ballots must be received by the Election Authority not less than 7 days (now 5 days) prior to the election. (HB 5191/Zalewski)

Items on mini clean-up legislation:

- 7-9 (e) call of convention
- 7-12 (7) change sections from annual reports to quarterly reports
- 7-43 participating in party caucus within 87 days (now 45)
- 9-15 eliminate certified postage
- 10-6.1 change sections from annual reports to quarterly reports
- 19-4 add Friday to the list of Nursing Home dates to vote
- 19-6 add language in response to Rull decision
- 19A-70 early voting signs on public property beyond the campaign free zone
- 60 ILCS 1/45-20 township clerk shall certify the candidates not less than 68 days (now 61)
- 615 ILCS 90/5 Fox Waterway District file not more than 113 nor less than 106 (now 78 nor less than 71)

All legislation has been drafted and can be e-mailed or faxed.

Thank you.



ILLINOIS HOUSE OF REPRESENTATIVES
 98th General Assembly
 Michael J. Madigan, Speaker

January 2013

IMPORTANT DATES

9—98th GA Inaugural at 12 Noon

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
		1 <i>New Year's Day</i> STATE HOLIDAY	2	3 97th GA SESSION	4 97th GA SESSION	5 97th GA SESSION
6 97th GA SESSION	7 97th GA SESSION	8 97th GA SESSION	9 SESSION 98th GA Inaugural 12 Noon	10 SESSION 9 am	11	12
13	14 Perfunctory SESSION	15	16 Perfunctory SESSION	17	18 Perfunctory SESSION	19
20	21 <i>Martin Luther King Jr. Day</i> STATE HOLIDAY	22	23 Perfunctory SESSION	24	25 Perfunctory SESSION	26
27	28	29 Perfunctory SESSION	30 SESSION	31 SESSION		

February 2013

IMPORTANT DATES

1—House Deadline LRB

6—Governor's State of State Address

26—Deadline Introduction of House Bills

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
					1 Perfunctory SESSION	2
3	4 Perfunctory SESSION	5 SESSION	6 SESSION <i>Governor's State of State Address</i>	7 SESSION	8	9
10	11	12 <i>Lincoln's Birthday</i> STATE HOLIDAY	13 <i>Ash Wednesday</i>	14 <i>Valentine's Day</i>	15	16
17	18 <i>Presidents' Day</i> STATE HOLIDAY	19 SESSION	20 SESSION	21 SESSION	22	23
24	25	26 SESSION	27 SESSION	28 SESSION		

March 2013

IMPORTANT DATES

6—Governor's Budget Address

22—Deadline Committee Substantive House Bills

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
					1 SESSION	2
3	4	5 SESSION	6 SESSION <i>Governor's Budget Address</i>	7 SESSION	8 SESSION	9
10 <i>Daylight Savings</i>	11	12 SESSION	13 SESSION	14 SESSION	15 SESSION	16
17 <i>St. Patrick's Day</i>	18	19 SESSION	20 SESSION <i>Spring begins</i>	21 SESSION	22 SESSION	23
24 <i>Palm Sunday</i>	25	26	27	28	29	30
31 <i>Easter</i>	<i>Passover</i>				<i>Good Friday</i>	



April 2013

IMPORTANT DATES

19- Deadline Third Reading House Bills

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	1	2	3	4	5	6
7	8 SESSION	9 SESSION	10 SESSION	11 SESSION	12 SESSION	13
14	15 SESSION	16 SESSION	17 SESSION	18 SESSION	19 SESSION	20
22	23	23	24 <i>Administrative Professional Day</i>	25	26	27
28	29	30 SESSION				

May 2013

IMPORTANT DATES

10- Deadline Committee Senate Bills

24- Deadline Third Reading Senate Bills

31- Adjournment

SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
			1 SESSION	2 SESSION	3 SESSION	4
5	6	7 SESSION	8 SESSION	9 SESSION	10 SESSION	11
12 <i>Mother's Day</i>	13	14 SESSION	15 SESSION	16 SESSION	17 SESSION	18
19 SESSION	20 SESSION	21 SESSION	22 SESSION	23 SESSION	24 SESSION	25 SESSION
26 SESSION	27 SESSION <i>Memorial Day STATE HOLIDAY</i>	28 SESSION	29 SESSION	30 SESSION	31 SESSION ADJOURNMENT	

2013

ILLINOIS SENATE CALENDAR
 98TH GENERAL ASSEMBLY
 SENATOR JOHN J. CULLERTON
 SENATE PRESIDENT



JANUARY

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
		1 NEW YEAR'S DAY STATE HOLIDAY	2 SESSION (97TH G.A.)	3 SESSION (97TH G.A.)	4 SESSION (97TH G.A.)	5 SESSION (97TH G.A.)
6 SESSION (97TH G.A.)	7 SESSION (97TH G.A.)	8 SESSION (97TH G.A.)	9 INAUGURATION 98TH GENERAL ASSEMBLY	10 SESSION	11	12
13	14	15	16 PERFUNCTORY SESSION	17	18	19
20 PRES INAUGURATION MARTIN LUTHER KING, JR. DAY STATE HOLIDAY	21 PRES INAUGURATION MARTIN LUTHER KING, JR. DAY STATE HOLIDAY	22	23 PERFUNCTORY SESSION	24	25	26
27	28	29	30 PERFUNCTORY SESSION	31		

IMPORTANT DATES

January 9 - Inauguration, 98th General Assembly

2013

ILLINOIS SENATE CALENDAR
98TH GENERAL ASSEMBLY
SENATOR JOHN J. CULLERTON
SENATE PRESIDENT



FEBRUARY

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
					1 DEADLINE <i>Senate Deadline LRB Requests</i>	2
3	4 SESSION	5 SESSION	6 SESSION STATE OF THE STATE	7 SESSION	8	9
10	11 LINCOLN'S BIRTHDAY STATE HOLIDAY	12 SESSION	13 SESSION ASH WEDNESDAY	14 SESSION	15 SESSION	16
17	18 PRESIDENT'S DAY STATE HOLIDAY	19	20	21 PERFUNCTORY SESSION	22	23
24	25	26 MUNICIPAL PRIMARY ELECTION DAY	27 SESSION	28 SESSION		

IMPORTANT DATES

- February 1 - DEADLINE - Senate LRB Requests
- February 6 - State of the State
- February 15 - DEADLINE - Introduction of substantive Senate bills

2013

ILLINOIS SENATE CALENDAR
98TH GENERAL ASSEMBLY
SENATOR JOHN J. CULLERTON
SENATE PRESIDENT



MARCH

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
					1 SESSION	2
3	4	5 SESSION	6 SESSION GOVERNOR'S BUDGET ADDRESS	7 SESSION	8	9
10	11	12 SESSION	13 SESSION	14 SESSION	15	16
17	18	19 SESSION	20 SESSION	21 SESSION	22 SESSION	23
ST. PATRICK'S DAY	25	26 PASSOVER BEGINS	27	28	DEADLINE Substantive Senate Bills Out of Committee	29
24 PALM SUNDAY 31 EASTER					GOOD FRIDAY	30

IMPORTANT DATES

- March 6 - Governor's Budget Address
- March 22 - DEADLINE - Substantive Senate bills out of committee

2013

ILLINOIS SENATE CALENDAR
98TH GENERAL ASSEMBLY
SENATOR JOHN J. CULLERTON
SENATE PRESIDENT



APRIL

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	1	2	3	4	5	6
7	8	9 MUNICIPAL ELECTION DAY	10 SESSION	11 SESSION	12 SESSION	13
14	15	16 SESSION	17 SESSION	18 SESSION	19 SESSION	20
21	22	23 SESSION	24 SESSION	25 SESSION	26	27
28	29	30 SESSION		DEADLINE <i>Third Reading Substantive Senate Bills</i>		

IMPORTANT DATES

April 25 - DEADLINE - Third reading
substantive Senate bills

2013

ILLINOIS SENATE CALENDAR
98TH GENERAL ASSEMBLY
SENATOR JOHN J. CULLERTON
SENATE PRESIDENT



MAY

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
			1 SESSION	2 SESSION	3 SESSION	4
5	6 PM SESSION	7 SESSION	8 SESSION	9 SESSION	10 SESSION	11
12	13	14 SESSION	15 SESSION	16 SESSION	17 SESSION	18
MOTHER'S DAY	19 SESSION	20 SESSION	21 SESSION	22 SESSION	23 SESSION	24 SESSION
25 SESSION	26 PM SESSION	27 MEMORIAL DAY STATE HOLIDAY	28 SESSION	29 SESSION	30 SESSION	31 SESSION
					ADJOURNMENT	

IMPORTANT DATES

- May 10 - DEADLINE - Substantive House bills out of committee
- May 24 - DEADLINE - Third reading substantive House bills
- May 31 - Scheduled Adjournment

INTEROFFICE MEMORANDUM

To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: 2AM Survey for the 2012 General Election

Date: November 30, 2012

All election authorities have responded to the survey regarding their precincts reporting in before 2 a.m. the following morning after the election. Only two jurisdictions, the Chicago Board of Election Commissioners and the Danville Board of Election Commissioners answered that they did not have all precincts reporting in by the above-mentioned time. However, the Danville BEC had its Election Day precincts in before 2 but had problems reconciling its early/absentee ballots. The judges made some mistakes handing out the correct ballots to the voters and problems with spindling the applications etc. The Chicago BEC had thirty-one precincts or 1½ per cent that reported after 2. This is nine precincts less than the General of 2010. At least fifteen optical scan tabulators (Insights) needed to be re-tabulated because of static or the judges pulled the memory pack out of the tabulators before they turned off the machines or the memory pack was set on unofficial. Some of the other problems were that the pack did not transmit, the judges forgot to transmit, or the Edge II Plus (DRE) malfunctioned and no votes were cast.

I have attached both jurisdictions' surveys along with Chicago BEC's list of late precincts and the explanations of what happened.

**State Board of Elections
Interoffice Memorandum**

To: Election Authorities
From: Dianne Felts, Director of Voting Systems and Standards (VOSS), Illinois State Board of Elections
Subject: General Election Night Results
Date: November 13, 2012

Please complete the following short survey. If you had all precincts in and reporting by 2 a.m. put a "0" on the line. If your jurisdiction had a precinct(s) not reporting by 2 a.m. indicate the number that did not report by that hour. Also, specify the reasons for each precinct's delay. You may use extra sheets if necessary to explain the reasons for each precinct's lateness. Please return to me by November 19, 2012. As always thank you for your cooperation.

Jurisdiction: DANVILLE ELECTION COMMISSION

Number of Precincts in your jurisdiction not reported by 2 a.m.

0 ELECTION DAY PRECINCTS, 3 EARLY/ABS PRECINCTS

Reason(s) for the delay of any Precinct not reported by 2 a.m.

EARLY ABSENTEE PRECINCTS WERE DELAYED LOOKING FOR MISSING APPLICATIONS AND BALANCING INDIVIDUAL PRECINCTS TO APPLICATIONS - ALL 4 BOXES HAD TO BE RE-RUN FOR DEFECTIVE/EXCESS BALLOTS

Barb —

**State Board of Elections
Interoffice Memorandum**

To: Election Authorities
From: Dianne Felts, Director of Voting Systems and Standards (VOSS), Illinois
State Board of Elections
Subject: General Election Night Results
Date: November 13, 2012

Please complete the following short survey. If you had all precincts in and reporting by 2 a.m. put a "0" on the line. If your jurisdiction had a precinct(s) not reporting by 2 a.m. indicate the number that did not report by that hour. Also, specify the reasons for each precinct's delay. You may use extra sheets if necessary to explain the reasons for each precinct's lateness. Please return to me by November 19, 2012. As always thank you for your cooperation.

Jurisdiction _____
City of Chicago

Number of Precincts in your jurisdiction not reported by 2 a.m.

2,034

Reason(s) for the delay of any Precinct not reported by 2 a.m.

**Please see attached document indication outstanding precincts as of 2:00 a.m. the day
after the election.**

	WARD	PRECINCT	TYPE	WINEDS ERROR MESSAGE WHEN ATTEMPTING TO TALLY MEMORY PACK / CAUSE
1	02	03	Insight	Inconsistent data, memory corruption/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
2	02	19	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
3	05	34	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
4	05	36	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
5	15	15	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
6	23	31	Insight	Inconsistent data, memory corruption/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
7	24	05	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
8	27	17	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
9	27	27	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
10	42	12	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
11	46	05	Insight	The mempack results were reset to "unofficial" at the polling place. Mempacks with this status will not tally into WinEDS.
12	48	02	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
13	48	04	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
14	48	23	Insight	The mempack results were reset to "unofficial" at the polling place. Mempacks with this status will not tally into WinEDS.
15	48	35	Insight	OCX Error/ can be affected by static, removing the pack with the power levels on, or other transient electrical events.
	WARD	PRECINCT	TYPE	OTHER
16	03	20	Insight	No transmission from the HAAT or from Remote site, pack ok, read into system 11/7, 9:17am. The E2P results transmitted from the remote site at 11:00 pm.
17	03	24	E2P	This unit went down due to a machine error and required replacement on Election Day. No Votes were cast and cartridge read into system on 11/7 at 9:49 am.
18	03	36	E2P/ Insight	Cartridges were locked in the carriers at the end of the night instead of being transported to the receiving station. No transmission from the HAAT or from Remote site, results cartridge and mempack ok. Cartridges read into system 11/7 at 5:23 pm.
19	04	04	E2P	This unit went down due to a machine error upon open polls

				and required replacement on Election Day. No Votes were cast and cartridge read into system 11/7 at 2:40 pm.
20	06	44	E2P	Results cartridge did not transmit from the HAAT or from the remote site read into system 11/8 at 9:33 am. The mempack transmitted from the remote site 11/6, 10:53 pm.
21	07	21	E2P	This unit went down due to a machine error and required replacement on Election Day. No Votes were cast and cartridge read into system 11/8 at 9:41 am.
22	09	25	E2P/ Insight	Cartridges were locked in the carriers at the end of the night instead of being transported to the receiving station. No transmission from the HAAT or from Remote site, results cartridge ok, read into system 11/8, 9:25 am. Insight memory pack read into system 11/8, 2:57 pm.
23	18	09	E2P	Results cartridge did not transmit from the HAAT or from the remote site, read into system 11/7 at 4:13 pm. The mempack transmitted from the remote site 11/6, 9:13 PM.
24	19	27	Insight	No transmission from the HAAT or from Remote site, pack ok, read into system 11/7, 3:18 pm. The E2P results transmitted from the HAAT at 7:58 pm
25	27	07	E2P	No transmission from the HAAT. Results cartridge ok, read into system 11/7, 1:48 pm. Insight memory pack transmitted from the remote site 11/6, 8:32 pm.
26	27	09	Insight	No transmission from the HAAT or from Remote site, pack ok, read into system 11/7, 9:17am. The E2P results transmitted from the HAAT, 11/6 at 8:32 pm.
27	29	31	E2P/ Insight	Cartridges were locked in the carriers at the end of the night instead of being transported to the receiving station. No transmission from the HAAT or from Remote site, results cartridge ok, read into system 11/6, 11:34pm. Insight memory pack read into system 11/8, 3:11 pm.
28	32	10	E2P/ Insight	Cartridges were locked in the carriers at the end of the night instead of being transported to the receiving station. No transmission from the HAAT or from Remote site, results cartridge ok, read into system 11/7, 1:10pm. Insight memory pack read into system 11/7, 1:06 pm.
29	39	32	E2P/ Insight	No transmission from the HAAT, results cartridge transmitted from the remote site 11/6, 10:05pm. Insight memory pack read into the system 11:8 at 2:56 pm.
30	39	41	E2P	No transmission from the HAAT or from Remote site, results cartridge ok, read into system 11/7, 4:45 pm. Insight memory pack transmitted from the remote site 11/6, 10:03 pm.
31	48	41	Insight	No transmission from the HAAT results cartridge transmitted from remote site 11/6, 9:45pm. Insight memory pack read into system 11/7, 1:07 pm.

STATE BOARD OF ELECTIONS
2329 South MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

To: Rupert T. Borgsmiller, Executive Director

Re: 2012 COGEL Conference

Date: December 6, 2012

Tom Newman, Deputy Director of Campaign Disclosure, Springfield Office, and I attended the 34th Annual COGEL Conference held in Columbus, Ohio from Sunday, December 2nd through Wednesday, December 5th.

We attended a Plenary Session on Monday during which the conferees were addressed by John R. Kasich, the Governor of Ohio. Other sessions attended were the *Ethics Update*; the *Campaign Finance Litigation Update*; a session entitled *Transforming Your Data for Public Consumption*; the *Campaign Finance Legislative Update*; the *Elections Legislation and Litigation Update*; a Plenary Session in which Dr. Anthony Rucci, an Ohio State University Professor spoke on *Principled Leadership: What the best leaders do best*; a Plenary Session in which David Xiao, a Member of the Legislative Assembly of Alberta was interviewed by Nola Western, the Deputy Chief Electoral Officer in Elections British Columbia; and lastly, a session on *Working Smarter: Strategic Planning and Process Management for Your Office*.

Monday's plenary luncheon featured a lively discussion by experts on the topic, *Super PAC: Expert Analysis*, and how these new political committees are influencing the landscape of elections. Interspersed with the discussion was a satirical video analysis including news clips and original footage.

On Monday morning, Tom led a breakfast table topic discussion on the subject of *Regulating 2012 Campaign Advertising: Where Do We Go From Here?* His table was full and the participants engaged.

On Monday afternoon, I served on a panel entitled *Transforming Your Data for Public Consumption* with Jonathan Becker from the Wisconsin Government Accountability Board and Michael Smith from the Washington State Public Disclosure Commission. I addressed our electronic filing history and our website search capabilities.

I was also called upon during the *Campaign Finance Litigation Update* to discuss the status of, and our role in, the cases, *Personal PAC v McGuffage* and *the Center for Individual Freedom v Madigan*.

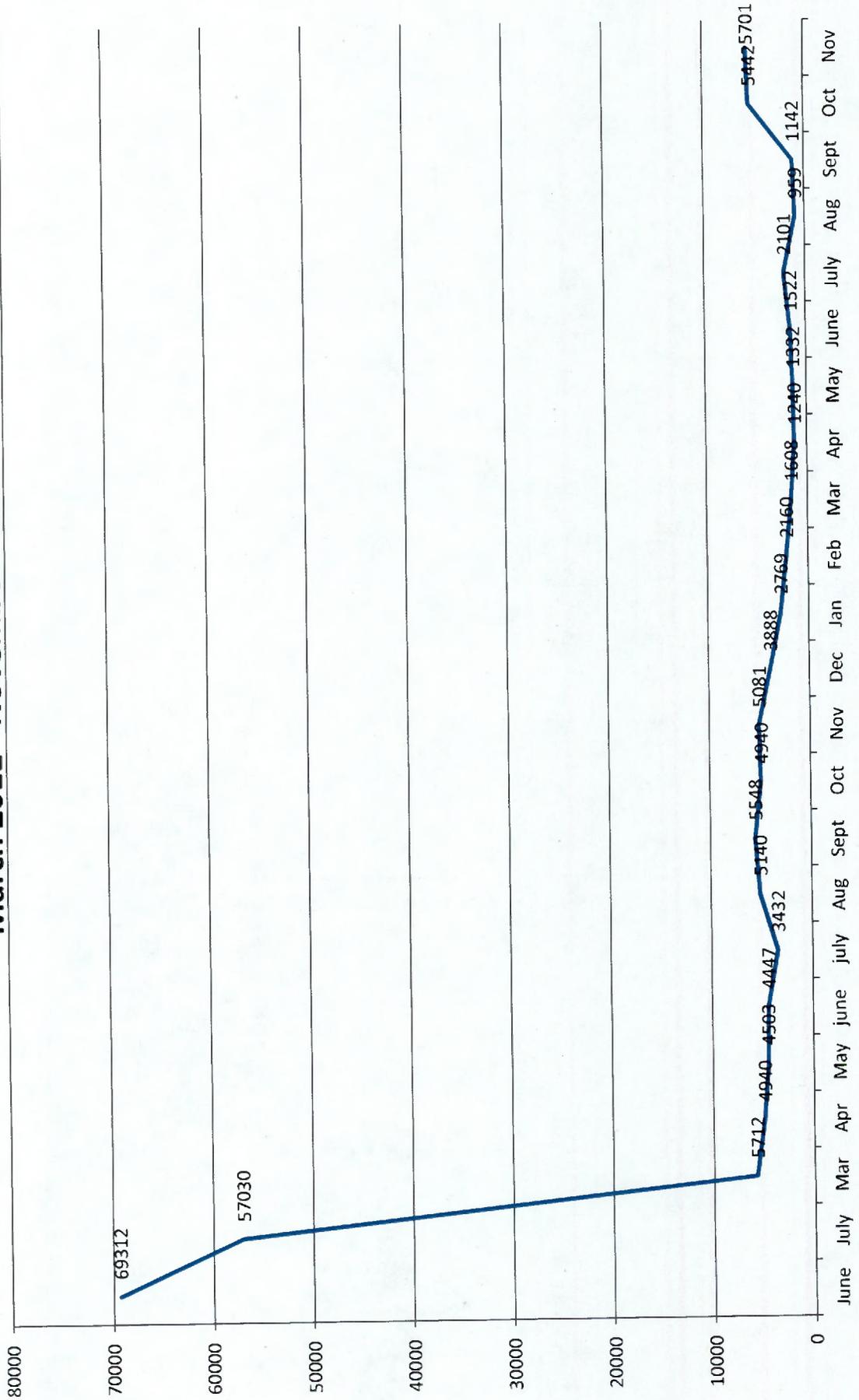
All in all, it was a productive three days, and we certainly hope to be able to attend the next COGEL Conference to be held in Quebec City in December of 2013.

Jurisdiction	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Oldest Match Date
Adams County	7	8	6	4	16	20	19	22	5	1	6	6	5	6	9	1	14	20	28	29	8/3/2009
Alexander County	5	5	6	5	5	5	3	2	1	1	2	2	4	5	3	3	2	4	4	6	2/6/2009
Bond County	8	9	8	12	1	4	2	3	4	8	9	8	7	11	13	15	15	19	26	27	8/3/2009
Boone County	3	8	6	5	10	11	12	10	11	13	3	5	8	5	6	7	7	6	13	15	2/6/2009
Brown County	0	0	1	2	4	3	0	0	0	0	2	2	1	1	1	3	0	1	7	7	9/6/2012
Bureau County	5	0	0	4	2	3	0	1	5	2	2	1	4	2	1	3	1	0	2	2	10/9/2012
Calhoun County	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	n/a
Carroll County	1	1	1	0	1	2	0	0	0	0	1	2	3	4	3	4	4	3	6	7	2/29/2012
Cass County	1	1	0	0	2	0	0	1	0	4	2	2	3	3	2	8	5	4	10	9	5/11/2012
Champaign County	110	16	17	10	195	132	68	74	64	72	78	83	16	26	28	54	26	81	499	502	5/26/2009
Christian County	2	2	3	2	1	1	1	3	2	4	5	2	3	4	3	3	2	2	4	2	2/29/2012
Clark County	6	5	5	4	4	5	3	3	4	3	4	4	3	1	1	1	1	1	9	5	9/3/2010
Clay County	65	62	67	57	46	45	28	27	26	27	39	33	36	39	39	39	37	44	46	46	2/6/2009
Clinton County	16	21	11	3	6	14	7	8	1	3	10	6	9	15	14	13	8	11	18	25	1/23/2012
Coles County	5	4	4	2	6	6	7	5	5	2	3	0	2	2	3	6	3	2	27	23	4/9/2012
Cook County	1,773	1,679	1,717	1,270	1,943	2,085	1,874	1,879	1,391	793	535	329	168	106	191	247	40	51	1,033	1,067	2/6/2009
Crawford County	11	11	13	7	21	21	18	20	19	18	7	8	4	5	5	8	4	6	6	7	2/6/2009
Cumberland County	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	2	1	0	1	3	11/2/2012
DeKalb County	4	4	4	4	12	14	8	12	9	7	24	4	5	2	10	12	10	39	49	49	6/22/2012
DeWitt County	2	2	6	3	2	5	3	4	6	2	9	2	3	7	4	12	10	2	7	12	2/6/2009
Douglas County	1	0	0	0	0	1	0	0	3	1	1	1	2	0	0	1	0	0	7	11	10/9/2012
DuPage County	25	47	29	27	60	27	42	79	35	26	40	46	15	10	15	44	6	47	275	286	2/6/2009
Edgar County	0	0	0	1	0	0	0	1	2	2	2	2	3	3	3	5	1	1	4	3	3/28/2012
Edwards County	4	4	4	5	4	3	2	3	3	2	1	1	1	2	2	2	2	1	1	1	2/6/2009
Effingham County	0	0	1	0	2	1	0	1	1	1	4	3	1	3	2	4	0	1	3	5	9/6/2012
Fayette County	11	9	14	12	17	12	3	2	1	2	3	6	6	3	3	2	1	3	1	5	1/23/2012
Ford County	2	1	1	2	0	0	0	0	0	1	2	0	0	2	5	12	4	3	1	5	6/22/2012
Franklin County	60	58	60	61	60	59	43	12	10	0	3	5	4	5	7	14	14	20	26	28	2/29/2012
Fulton County	7	4	4	5	6	6	9	6	8	6	16	17	19	5	4	10	9	10	11	13	2/6/2009
Gallatin County	1	1	1	1	1	1	2	0	0	0	1	1	2	0	0	0	0	0	0	0	n/a
Greene County	1	4	3	7	1	3	2	2	0	0	0	0	2	2	2	2	1	3	6	8	4/9/2012
Grundy County	0	2	3	9	10	4	0	1	1	10	17	8	2	2	3	7	5	8	30	29	4/27/2012
Hamilton County	0	0	0	2	0	1	0	1	1	1	0	0	1	1	1	2	2	0	0	2	11/16/2012
Hancock County	2	2	1	0	2	2	2	5	3	1	0	0	0	0	0	0	0	0	1	0	n/a
Hardin County	1	2	1	0	0	0	0	0	0	1	0	1	2	0	3	0	0	0	0	0	n/a
Henderson County	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	2	1	0	0	0	n/a
Henry County	17	13	5	6	5	7	5	3	2	0	8	7	8	9	10	15	14	18	25	26	6/22/2012
Iroquois County	2	1	2	1	5	8	3	1	1	3	1	1	2	1	2	5	2	6	6	9	2/6/2009
Jackson County	22	17	20	15	14	14	12	8	6	5	12	5	5	10	11	5	3	13	31	33	8/27/2009

Jurisdiction	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Oldest Match Date
Jasper County	0	1	2	2	4	4	5	5	6	5	1	1	1	2	1	2	0	1	1	1	9/6/2012
Jefferson County	0	0	1	0	0	0	0	0	1	1	0	1	4	5	2	4	3	7	7	9	1/7/2011
Jersey County	15	17	17	17	19	20	20	20	22	22	22	22	23	27	26	28	27	29	34	35	2/6/2009
JoDaviness County	0	0	0	0	0	2	1	1	0	0	0	0	0	0	0	0	0	0	3	3	10/9/2012
Johnson County	0	0	1	0	0	0	0	0	0	0	1	1	1	0	0	0	0	0	0	0	n/a
Kane County	17	21	16	5	27	35	34	42	28	33	24	26	17	8	10	52	10	12	70	76	3/25/2011
Kankakee County	8	12	18	7	90	79	64	21	7	16	10	8	12	17	16	14	12	24	29	31	2/6/2009
Kendall County	0	4	2	5	6	13	7	10	6	15	13	2	1	9	8	21	4	8	73	83	5/11/2012
Knox County	1	1	5	9	9	11	14	15	15	18	0	1	2	5	5	8	7	12	4	5	3/28/2012
Lake County	23	54	32	16	36	46	41	62	76	80	27	34	19	70	98	165	11	16	85	94	2/6/2009
LaSalle County	11	16	9	4	14	15	15	13	12	17	8	4	5	16	20	25	22	38	49	53	4/9/2012
Lawrence County	0	1	1	1	0	0	0	0	0	1	1	1	1	1	1	1	1	3	3	2	5/18/2012
Lee County	0	0	0	0	0	1	0	1	1	0	1	0	0	1	2	1	0	0	6	9	10/9/2012
Livingston County	5	4	1	1	3	2	4	2	1	2	2	3	0	4	1	8	5	4	11	11	5/18/2012
Logan County	5	5	4	4	4	3	2	6	6	7	1	1	2	4	2	8	3	3	8	11	3/18/2011
Macon County	3	3	1	2	45	19	15	18	26	33	51	12	6	23	12	15	12	41	86	80	10/1/2010
Macoupin County	3	1	0	1	5	7	5	5	3	1	2	2	2	0	0	4	1	5	9	10	8/7/2012
Madison County	16	27	20	13	18	30	25	27	15	16	15	19	17	21	23	31	26	28	46	50	2/6/2009
Marion County	24	29	22	20	33	23	13	14	8	9	20	15	16	16	14	15	12	14	24	28	2/6/2009
Marshall County	1	2	6	0	2	3	0	5	2	2	0	1	3	2	0	0	0	3	0	0	n/a
Mason County	0	0	0	0	0	0	0	0	0	2	2	1	2	0	1	1	1	1	0	1	11/14/2012
Massac County	3	3	3	3	3	4	3	3	2	4	4	4	1	1	1	2	2	2	2	2	2/6/2009
McDonough County	2	2	2	3	5	4	4	4	4	2	0	0	1	1	0	3	1	0	20	19	10/9/2012
McHenry County	34	69	74	4	10	22	18	59	74	30	7	22	35	57	73	30	16	30	54	64	10/8/2010
McLean County	21	9	13	5	32	18	10	10	9	10	32	31	32	48	51	72	38	18	27	46	2/6/2009
Menard County	1	1	1	1	1	1	3	3	4	0	4	3	4	2	4	3	3	3	3	3	2/6/2009
Mercer County	131	113	12	12	10	12	10	13	11	5	9	9	10	5	4	7	7	10	11	11	2/6/2009
Monroe County	2	6	7	0	2	0	2	0	0	4	4	3	3	4	6	8	5	5	20	21	2/29/2012
Montgomery County	10	13	7	10	1	0	0	0	0	2	1	1	1	1	1	1	1	1	1	2	3/5/2010
Morgan County	3	2	0	9	12	6	5	6	2	0	0	0	1	0	0	3	3	4	15	16	7/27/2012
Moultrie County	0	0	1	0	0	0	0	0	1	1	2	2	0	0	0	1	0	1	5	1	9/6/2012
Ogle County	0	1	1	3	3	5	2	3	2	4	1	1	1	1	0	0	0	2	8	11	10/8/2012
Peoria County	2	4	0	3	5	4	9	12	8	5	6	3	9	9	3	7	8	6	14	13	2/6/2009
Perry County	0	0	0	0	0	1	0	0	0	0	0	0	4	0	0	1	0	1	2	2	9/17/2012
Piatt County	2	2	2	1	0	1	0	0	0	1	1	1	2	2	3	5	4	4	6	6	2/29/2012
Pike County	11	12	13	3	0	5	7	10	2	0	1	1	3	3	3	5	5	8	11	11	2/29/2012
Pope County	2	1	1	2	1	1	0	0	0	2	1	0	0	0	1	0	0	0	2	2	10/9/2012
Pulaski County	2	1	1	2	2	4	3	4	5	2	3	3	3	3	2	3	3	5	9	9	2/6/2009
Putnam County	0	0	0	0	0	0	1	0	0	1	1	0	0	1	0	1	0	0	1	2	10/9/2012

Jurisdiction	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Oldest Match Date
Randolph County	0	1	3	0	0	2	2	3	3	6	8	7	10	7	6	9	9	10	17	20	1/23/2012
Richland County	6	5	6	6	7	7	6	5	5	5	5	6	4	3	2	2	2	2	3	3	2/6/2009
Rock Island County	182	177	75	70	63	66	62	59	33	32	47	44	50	52	54	53	52	66	99	97	2/6/2009
Saline County	1	7	8	8	2	5	3	3	1	0	0	2	6	0	2	0	0	0	1	2	10/16/2012
Sangamon County	16	15	21	14	16	17	21	26	26	23	19	18	12	12	16	14	8	22	38	40	2/6/2009
Schuyler County	1	2	0	0	0	1	1	1	0	2	3	0	0	1	1	2	2	0	3	7	10/9/2012
Scott County	3	2	3	8	3	2	6	8	2	0	0	0	0	3	3	4	3	6	5	7	5/18/2012
Shelby County	1	1	1	0	3	1	0	1	0	1	0	3	1	2	3	1	5	1	3	5	2/29/2012
Stark County	0	1	2	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2	10/9/2012
St. Clair County	29	46	38	16	16	13	16	13	6	17	22	23	26	36	35	47	44	47	107	113	9/3/2010
Stephenson County	2	3	2	4	7	11	7	7	7	15	16	16	20	24	25	28	28	34	53	53	10/22/2010
Tazewell County	29	39	29	21	27	21	24	41	52	38	100	58	35	13	15	28	23	21	16	21	2/6/2009
Union County	29	24	22	20	25	23	23	22	15	8	11	13	12	13	13	14	11	12	26	22	2/6/2009
Vermilion County	3	4	3	2	3	7	9	8	10	13	5	6	8	5	13	4	2	10	16	16	8/27/2009
Wabash County	0	1	1	3	6	7	2	0	1	0	0	0	1	3	3	5	4	5	2	2	4/20/2012
Warren County	1	1	2	1	5	3	3	5	5	6	2	2	3	2	3	6	6	3	17	15	2/6/2009
Washington County	4	6	6	6	6	12	4	5	4	3	7	6	7	10	3	4	4	8	7	8	1/18/2011
Wayne County	33	34	37	34	16	15	1	0	0	3	8	8	10	12	11	12	12	16	22	21	1/23/2012
White County	3	3	3	4	5	4	2	1	1	0	2	2	3	3	4	4	4	2	0	2	10/25/2012
Whiteside County	5	6	2	2	2	3	3	1	1	1	2	2	3	3	4	3	2	4	20	27	9/3/2010
Will County	586	193	138	50	233	245	58	83	38	99	69	39	36	65	47	90	29	67	449	465	2/6/2009
Williamson County	43	45	46	45	48	49	32	15	15	2	5	6	5	13	15	21	18	19	20	20	2/6/2009
Winnebago County	7	10	5	4	6	5	13	20	5	18	6	6	14	7	10	9	8	8	51	57	2/6/2009
Woodford County	0	0	0	0	2	2	2	6	4	2	7	7	17	15	9	12	4	4	5	7	12/29/2011
City of Aurora	14	2	3	4	20	23	28	40	33	43	5	5	5	2	19	22	0	25	119	124	9/6/2012
City of Bloomington	4	5	8	4	4	7	6	8	11	5	15	16	15	21	22	30	10	4	8	19	2/6/2009
City of Chicago	1,346	1,383	1,626	1,349	1,703	2,020	2,017	2,028	1,557	960	541	383	267	285	348	502	125	234	1,212	1,225	2/6/2009
City of Danville	3	8	3	6	4	5	7	9	4	3	2	6	10	3	5	3	3	3	0	9	2/6/2009
City of East St. Louis	8	6	11	4	3	2	3	6	5	7	2	2	2	8	2	1	0	0	15	13	7/27/2012
City of Galesburg	3	3	3	5	6	7	9	6	8	7	3	2	2	2	2	2	3	8	16	16	9/3/2010
City of Peoria	35	20	9	14	19	22	30	34	35	40	101	61	27	25	8	22	19	11	25	29	2/6/2009
City of Rockford	5	10	10	10	12	15	20	24	12	34	17	17	25	9	13	16	11	11	84	94	2/6/2009
	4,940	4,503	4,447	3,432	5,140	5,548	4,940	5,081	3,888	2,700	2,360	1,608	1,240	1,332	4,522	2,101	959	1,442	5,442	5,701	

Statewide Duplicate Voter Registrations March 2011 - November 2012



<i>County</i>	Total Voters Registered as of 12/4/2012	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 11/8/2012	% Reg. vs. 18 and Over as of 12/4/2012
ALEXANDER	5,567	8,238	67.58%	6,353	87.03%	87.63%
CLARK	12,644	16,335	77.40%	12,617	100.30%	100.21%
CLINTON	23,965	37,762	63.46%	29,180	81.92%	82.13%
HARDIN	3,243	4,320	75.07%	3,444	93.99%	94.16%
JASPER	6,835	9,698	70.48%	7,494	91.06%	91.21%
MASSAC	10,783	15,429	69.89%	11,911	90.50%	90.53%
MENARD	8,766	12,705	69.00%	9,704	90.24%	90.33%
MERCER	11,971	16,434	72.84%	12,714	94.09%	94.16%
OGLE	35,375	53,497	66.13%	40,253	87.26%	87.88%
PULASKI	5,582	6,161	90.60%	4,756	117.26%	117.37%
RICHLAND	11,699	16,233	72.07%	12,625	92.52%	92.67%
ROCK ISLAND	87,894	147,546	59.57%	114,359	76.15%	76.86%
STARK	4,131	5,994	68.92%	4,583	89.96%	90.14%
UNION	11,968	17,808	67.21%	13,980	85.49%	85.61%
City of East St. Louis	20,483	27,006	75.85%	19,098	106.76%	107.25%

STATE BOARD OF ELECTIONS

MEMORANDUM

*From the desk of:
Rupert T. Borgsmiller, Executive Director*

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: FY14 Appropriation Request

DATE: December 4, 2012

The FY14 Appropriate Request book is included in the envelope with your Board packet.

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Nov. 30, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,196,600.00	\$1,549,914.20	\$0.00	\$2,646,685.80	36.93%
STATE PAID RETIREMENT	\$168,100.00	\$61,500.83	\$0.00	\$106,599.17	36.59%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$321,300.00	\$114,917.38	\$0.00	\$206,382.62	35.77%
CONTRACTUAL SERVICE	\$1,101,500.00	\$318,610.95	\$249,401.64	\$533,487.41	28.93%
TRAVEL	\$120,200.00	\$33,787.55	\$0.00	\$86,412.45	28.11%
PRINTING	\$41,000.00	\$6,525.52	\$0.00	\$34,474.48	15.92%
COMMODITIES	\$39,600.00	\$4,991.25	\$0.00	\$34,608.75	12.60%
EQUIPMENT	\$125,700.00	\$1,969.22	\$0.00	\$123,730.78	1.57%
TELECOMMUNICATIONS	\$145,500.00	\$32,023.82	\$0.00	\$113,476.18	22.01%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$1,006.15	\$0.00	\$3,893.85	20.53%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$461,300.00	\$134,288.69	\$0.00	\$327,011.31	29.11%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$6,740,700.00	\$2,259,535.56	\$249,401.64	\$4,231,762.80	33.52%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$45,714.13	\$51,090.00	\$453,195.87	8.31%
CO CLERK & RECORDER STIPENDS	\$644,800.00	\$0.00	\$0.00	\$644,800.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$0.00	\$0.00	\$1,347,100.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,119,100.00	\$0.00	\$0.00	\$1,119,100.00	0.00%
REDISTRICTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$300,000.00	\$3,020.42	\$0.00	\$296,979.58	1.01%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$0.00	\$35,370.00	\$64,630.00	0.00%
SUPP. APPROP. - IVRS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUPP. APPROP. - ELECTION DAY JUDGE	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$4,061,000.00	\$3,020.42	\$35,370.00	\$4,022,609.58	0.07%
TOTAL APPROPRIATION	\$10,801,700.00	\$2,262,555.98	\$284,771.64	\$8,254,372.38	20.95%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Nov. 30, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$3,000.00	\$218.36	\$2,781.64	7.28%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00		\$1,000.00	0.00%
1239 Rental, NEC	\$100.00	\$98.34	\$1.66	98.34%
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$17,000.00	\$5,824.90	\$11,175.10	34.26%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00		\$500.00	0.00%
1286 Travel, Non-State Employee	\$900.00		\$900.00	0.00%
1289 Contractual Services, NEC	\$17,000.00	\$7,602.44	\$9,397.56	44.72%
TRAVEL				
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00		\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

BOARD GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$23,600.00	\$6,141.60		\$17,458.40
TRAVEL	\$17,000.00	\$7,602.44		\$9,397.56
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$41,300.00	\$13,744.04	\$0.00	\$27,555.96

**STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund**

ADMINISTRATION MONTH ENDING: Nov. 30, 2012	FY13	YEAR TO DATE	% OF		
	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE	
PERSONAL SERVICE	\$742,400.00	\$233,280.17	\$509,119.83	31.42%	
1129 State Paid Retirement	\$29,700.00	\$9,345.95	\$20,354.05	31.47%	
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1170 Social Security	\$56,800.00	\$17,257.21	\$39,542.79	30.38%	
CONTRACTUAL SERVICE					
1201 Petty Cash	\$500.00		\$500.00	0.00%	
1205 Freight Express & Drayage	\$700.00		\$700.00	0.00%	
1221 Repair/Maint. Fum./Office Equipment	\$13,000.00	\$2,546.76	\$10,453.24	19.59%	
1223 Repair/Maint. Real Property	\$2,000.00		\$2,000.00	0.00%	
1229 Repair & Maintenance NEC					
1230 In-House Repair & Maintenance					
1231 Rental, Office Equipment	\$35,800.00	\$910.91	\$34,889.09	2.54%	
1232 Rental, Motor Vehicles	\$4,600.00	\$3,600.00	\$1,000.00	78.26%	
1233 Rental, Real Property	\$392,000.00	\$195,210.00	\$196,790.00	49.80%	
1234 Rental, Machinery & Mechanical Eqmt					
1239 Rental, NEC	\$1,000.00		\$1,000.00	0.00%	
1240 Statistical & Tabulation Services	\$12,800.00	\$5,076.60	\$7,723.40	39.66%	
1245 Professional & Artistic					
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%	
1251 Gas					
1252 Electricity					
1253 Water					
1255 Utilities, NEC					
1261 Postage	\$75,000.00	\$359.11	\$74,640.89	0.48%	
1266 Court Reporting					
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%	
1275 Subscription & Information Services	\$2,500.00	\$1,040.00	\$1,460.00	41.60%	
1276 Reg. Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%	
1277 Association Dues	\$2,200.00	\$506.50	\$1,693.50	23.02%	
1279 Tuition - Employee					
1280 Copying, Photographic & Printer Services					
1281 Interviewee Expense - To Vendors	\$100.00		\$100.00	0.00%	
1285 Taxes, Licenses & Fees					
1289 Contractual Services, NEC	\$11,500.00	\$4,353.78	\$7,146.22	37.86%	
TRAVEL					
1291 In-State	\$13,000.00	\$1,374.36	\$11,625.64	10.57%	
1292 Out-of-State	\$1,000.00		\$1,000.00	0.00%	
PRINTING	\$9,800.00	\$2,947.67	\$6,852.33	30.08%	
COMMODITIES					
1304 Office/Library Supplies	\$14,800.00	\$2,482.97	\$12,317.03	16.78%	
1306 Food for Human Consumption					
1391 Household & Cleaning Supplies	\$100.00	\$91.43	\$8.57	91.43%	
1394 Office/Library Equip., Not exc. \$100					
1395 Small Tools Not Exceeding \$100	\$100.00	\$9.99	\$90.01	9.99%	
1398 Equipment, NEC	\$500.00	\$7.99	\$492.01	1.60%	
1399 Commodities, NEC	\$600.00		\$600.00	0.00%	
EQUIPMENT					
1510 Office Furniture & Equipment	\$5,000.00	\$306.50	\$4,693.50	6.13%	
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%	
TELECOMMUNICATIONS					
1710 Repair/Maintenance Telecom					
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$12,607.76	\$38,392.24	24.72%	
1722 Rental, Data Comm. Serv. & Equip.	\$82,800.00	\$17,723.01	\$65,076.99	21.40%	
1728 Videoconferencing	\$7,200.00	\$1,693.05	\$5,506.95	23.51%	
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%	
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%	
1750 Telephone, Data, Radio & Other Equipment					
OPERATION OF AUTO EQUIPMENT					
1893 Repair & Maint., Auto. Equipment	\$1,800.00		\$1,800.00	0.00%	
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%	
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$1,006.15	\$1,893.85	34.69%	
1899 Auto. Expenses, NEC					
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					
ADMINISTRATION GRAND TOTAL	FY13	YEAR TO DATE	OBLIGATED	% OF	
	APPROPRIATION	EXPENDITURE	MONEY	BALANCE EXPENDITURE	
PERSONAL SERVICE	\$742,400.00	\$233,280.17	\$509,119.83	31.42%	
STATE PAID RETIREMENT	\$29,700.00	\$9,345.95	\$20,354.05	31.47%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$56,800.00	\$17,257.21	\$39,542.79	30.38%	
CONTRACTUAL SERVICE	\$555,900.00	\$213,603.66	\$196,785.00	\$145,511.34	38.42%
TRAVEL	\$14,000.00	\$1,374.36	\$12,625.64	9.82%	
PRINTING	\$9,800.00	\$2,947.67	\$6,852.33	30.08%	
COMMODITIES	\$16,100.00	\$2,592.38	\$13,507.62	16.10%	
EQUIPMENT	\$6,000.00	\$306.50	\$5,693.50	5.11%	
TELECOMMUNICATIONS	\$145,500.00	\$32,023.82	\$113,476.18	22.01%	
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$1,006.15	\$3,893.85	20.53%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%	
TOTAL	\$1,581,100.00	\$513,737.87	\$196,785.00	\$870,577.13	32.49%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: Nov. 30, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,658,900.00	\$595,871.85	\$1,063,028.15	35.92%
1129 State Paid Retirement	\$66,400.00	\$23,864.97	\$42,535.03	35.94%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$127,000.00	\$44,251.49	\$82,748.51	34.84%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,800.00		\$2,800.00	0.00%
1221 Repair/Maint. Fum./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip	\$500.00		\$500.00	0.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$24,900.00		\$24,900.00	0.00%
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$615.00	\$3,385.00	15.38%
1275 Subscription & Information Services	\$1,000.00	\$258.00	\$742.00	25.80%
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,600.00	\$459.00	\$3,141.00	12.75%
1277 Association Dues	\$2,200.00	\$95.00	\$2,105.00	4.32%
1279 Employee Tuition & Fees	\$800.00		\$800.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,500.00		\$1,500.00	0.00%
1284 Software	\$100.00	\$59.95	\$40.05	59.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$400.00		\$400.00	0.00%
TRAVEL	\$55,000.00	\$16,207.50	\$38,792.50	29.47%
PRINTING	\$10,300.00	\$1,846.35	\$8,453.65	17.93%
EQUIPMENT				
1510 Office Furniture & Equipment	\$3,300.00		\$3,300.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$45,714.13	\$504,285.87	8.31%
ELECTION CODE BOOKS	\$15,000.00		\$15,000.00	0.00%
Redistricting				
Election Judge Reimbursements	\$1,347,100.00		\$1,347,100.00	0.00%
Stipends	\$644,800.00		\$644,800.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation	\$300,000.00	\$3,020.42	\$296,979.58	1.01%
Electronic Canvassing Interest Payments				
IDIS Replacement System	\$100,000.00		\$100,000.00	0.00%
Supp. Approp. - Election Day Judge	\$0.00		\$0.00	0.00%

ELECTIONS GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,658,900.00	\$595,871.85		\$1,063,028.15	35.92%
STATE PAID RETIREMENT	\$66,400.00	\$23,864.97		\$42,535.03	35.94%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$127,000.00	\$44,251.49		\$82,748.51	34.84%
CONTRACTUAL SERVICE	\$42,300.00	\$1,586.95		\$40,713.05	3.75%
TRAVEL	\$55,000.00	\$16,207.50		\$38,792.50	29.47%
PRINTING	\$10,300.00	\$1,846.35		\$8,453.65	17.93%
EQUIPMENT	\$3,300.00	\$0.00		\$3,300.00	0.00%
HAVA - Maintenance of Effort	\$550,000.00	\$45,714.13	\$51,090.00	\$453,195.87	8.31%
ELECTION CODE BOOKS	\$15,000.00	\$0.00		\$15,000.00	0.00%
Redistricting	\$0.00	\$0.00		\$0.00	0.00%
Election Judge Reimbursements	\$1,347,100.00	\$0.00		\$1,347,100.00	0.00%
Stipends	\$644,800.00	\$0.00		\$644,800.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$300,000.00	\$3,020.42		\$296,979.58	1.01%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		\$0.00	0.00%
IDIS Replacement System	\$100,000.00	\$0.00	\$35,370.00	\$64,630.00	0.00%
Supp. Approp. - Election Day Judge	\$0.00	\$0.00		\$0.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$4,920,100.00	\$732,363.66	\$86,460.00	\$4,101,276.34	14.89%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Nov. 30, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$302,600.00	\$126,400.00	\$176,200.00	41.77%
1129 State Paid Retirement	\$12,100.00	\$5,060.42	\$7,039.58	41.82%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$23,200.00	\$9,446.29	\$13,753.71	40.72%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$600.00		\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$100,000.00	\$7,383.36	\$92,616.64	7.38%
1245 Professional & Artistic Services, NEC	\$20,000.00		\$20,000.00	0.00%
1266 Court Reporting & Filing Services	\$16,000.00	\$2,478.50	\$13,521.50	15.49%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$2,000.00		\$2,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00		\$1,000.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$160.00	\$840.00	0.00%
1277 Association Dues	\$1,200.00	\$342.00	\$858.00	28.50%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$7,500.00		\$7,500.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$6,000.00	\$1,953.28	\$4,046.72	32.55%
TRAVEL	\$12,000.00	\$3,155.57	\$8,844.43	26.30%
EQUIPMENT				
1510 Office Furniture & Equipment	\$2,000.00	\$148.35	\$1,851.65	7.42%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

<u>GENERAL COUNSEL GRAND TOTAL</u>	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$302,600.00	\$126,400.00		\$176,200.00	41.77%
STATE PAID RETIREMENT	\$12,100.00	\$5,060.42		\$7,039.58	41.82%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$23,200.00	\$9,446.29		\$13,753.71	40.72%
CONTRACTUAL SERVICE	\$155,300.00	\$12,317.14	52,616.64	\$90,366.22	7.93%
TRAVEL	\$12,000.00	\$3,155.57		\$8,844.43	26.30%
EQUIPMENT	\$2,000.00	\$148.35		\$1,851.65	7.42%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$507,200.00	\$156,527.77	\$52,616.64	\$298,055.59	30.86%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

<u>CAMPAIGN DISCLOSURE</u> <u>MONTH ENDING: Nov. 30, 2012</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$787,600.00	\$291,724.68	\$495,875.32	37.04%
1129 State Paid Retirement	\$31,600.00	\$11,673.29	\$19,926.71	36.94%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$60,300.00	\$21,407.77	\$38,892.23	35.50%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement			\$100.00	0.00%
1205 Freight Express & Drayage	\$100.00			
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,200.00	\$1,060.00	\$140.00	88.33%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	\$7,500.00	\$379.34	\$7,120.66	5.06%
1292 Out-of-State	\$3,300.00		\$3,300.00	0.00%
1295 Mileage	\$500.00	\$480.52	\$19.48	96.10%
PRINTING	\$20,200.00	\$1,731.50	\$18,468.50	8.57%
EQUIPMENT				
1510 Office Furniture & Equipment	\$800.00	\$121.20	\$678.80	15.15%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

<u>CAMPAIGN DISCLOSURE GRAND TOTAL</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$787,600.00	\$291,724.68		\$495,875.32	37.04%
STATE PAID RETIREMENT	\$31,600.00	\$11,673.29		\$19,926.71	36.94%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$60,300.00	\$21,407.77		\$38,892.23	35.50%
CONTRACTUAL SERVICE	\$1,900.00	\$1,060.00		\$840.00	55.79%
TRAVEL	\$11,300.00	\$859.86		\$10,440.14	7.61%
PRINTING	\$20,200.00	\$1,731.50		\$18,468.50	8.57%
EQUIPMENT	\$800.00	\$121.20		\$678.80	15.15%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$913,700.00	\$328,578.30	\$0.00	\$585,121.70	35.96%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: Nov. 30, 2012	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$705,100.00	\$302,637.50	\$402,462.50	42.92%
1129 State Paid Retirement	\$28,300.00	\$11,556.20	\$16,743.80	40.83%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$54,000.00	\$22,554.62	\$31,445.38	41.77%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage			\$15,000.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$15,000.00			
1223 Repair/Maint. Real Property				
1225 Repair/Maint. EDP Equipment	\$66,700.00	\$11,839.34	\$54,860.66	17.75%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$1,600.00	\$634.50	\$965.50	39.66%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$83,800.00		\$83,800.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$584.00	\$416.00	58.40%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00		\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00	\$4,131.00	\$3,869.00	51.64%
1284 Computer Software	\$119,400.00	\$66,712.76	\$52,687.24	55.87%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$18,200.00		\$18,200.00	0.00%
TRAVEL				
1291 In-State	\$5,400.00	\$1,593.96	\$3,806.04	29.52%
1292 Out-of-State	\$5,400.00	\$2,896.18	\$2,503.82	53.63%
1295 Mileage	\$100.00	\$97.68	\$2.32	97.68%
	\$700.00		\$700.00	0.00%
PRINTING				
COMMODITIES				
1304 Office/Library Supplies	\$22,800.00	\$1,800.29	\$20,999.71	7.90%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100	\$100.00	\$48.69	\$51.31	48.69%
1395 Small Tools < \$100				
1398 Equipment, NEC	\$600.00	\$549.89	\$50.11	91.65%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment	\$300.00	\$274.95	\$25.05	91.65%
1515 EDP Equipment	\$112,600.00	\$1,118.22	\$111,481.78	0.99%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

INFORMATION TECHNOLOGY GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$705,100.00	\$302,637.50		\$402,462.50	42.92%
STATE PAID RETIREMENT	\$28,300.00	\$11,556.20		\$16,743.80	40.83%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$54,000.00	\$22,554.62		\$31,445.38	41.77%
CONTRACTUAL SERVICE	\$322,500.00	\$83,901.60		\$238,598.40	26.02%
TRAVEL	\$10,900.00	\$4,587.82		\$6,312.18	42.09%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$23,500.00	\$2,398.87		\$21,101.13	10.21%
EQUIPMENT	\$112,900.00	\$1,393.17		\$111,506.83	1.23%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,257,900.00	\$429,029.78	\$0.00	\$828,870.22	34.11%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM	YEAR TO DATE
<u>MONTH ENDING: Nov. 30, 2012</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$118,490.00
1129 State Paid Retirement	\$4,739.70
1161 Retirement	
1170 Social Security	\$8,610.91
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	\$1,249.28
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$1,198.80
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	
PRINTING	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
SUPP. APPROP. - IVRS	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$461,300.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$134,288.69
REMAINING LUMP SUM APPROPRIATION	\$327,011.31
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,119,100.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$0.00
REMAINING LUMP SUM APPROPRIATION	\$1,119,100.00
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$134,288.69
REMAINING LUMP SUM APPROPRIATION	\$1,446,111.31
SUPP. APPROP - IVRS	\$0.00
TOTAL SUPP. APPROP. - IVRS	\$0.00
REMAINING SUPP. APPROP. - IVRS	\$0.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> Funds	SECTION 102 <u>Entitlement</u> Payments	SECTION 261 <u>EAD</u> Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> Requirements	TOTAL <u>Fund Activity</u>
MONTH ENDING: Nov. 30, 2012						
CASH BALANCE AT BEGINNING OF YR	\$927,591.71	\$0.00	-\$53,938.40	\$5,854,560.12	\$7,358,754.80	\$14,086,968.23
Program Revenues from Federal Govt			\$90,459.28			\$90,459.28
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$1,186.60			\$8,446.43	\$10,179.97	\$19,813.00
Interest Penalties Received					\$34.65	\$34.65
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$1,186.60	\$0.00	\$90,459.28	\$8,446.43	\$10,214.62	\$110,306.93
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$32,670.00	\$0.00	\$0.00	\$0.00	\$0.00	\$32,670.00
STATE-PAID RETIREMENT	\$1,307.30	\$0.00	\$0.00	\$0.00	\$0.00	\$1,307.30
RETIREMENT	\$12,414.70	\$0.00	\$0.00	\$0.00	\$0.00	\$12,414.70
SOCIAL SECURITY	\$2,381.50	\$0.00	\$0.00	\$0.00	\$0.00	\$2,381.50
GROUP INSURANCE	\$11,884.10	\$0.00	\$0.00	\$0.00	\$0.00	\$11,884.10
CONTRACTUAL SERVICE	\$0.00	\$0.00	\$0.00	\$399,723.71	\$0.00	\$399,723.71
TRAVEL	\$7,048.98	\$0.00	\$0.00	\$0.00	\$0.00	\$7,048.98
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$100.81	\$0.00	\$0.00	\$0.00	\$0.00	\$100.81
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$91,072.71	\$0.00	\$7,294.93	\$394,997.30	\$0.00	\$493,364.94
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$158,880.10	\$0.00	\$7,294.93	\$794,721.01	\$0.00	\$960,896.04
AWARDS & GRANTS	\$0.00	\$0.00	\$62,494.86	\$0.00	\$905,283.71	\$967,778.57
TOTAL CASH EXPENDITURES	\$158,880.10	\$0.00	\$69,789.79	\$794,721.01	\$905,283.71	\$1,928,674.61
CASH BALANCE AT END OF MONTH	\$769,898.21	\$0.00	-\$33,268.91	\$5,068,285.54	\$6,463,685.71	\$12,268,600.55

**STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund**

SECTION 101 - DISCRETIONARY FUNDS MONTH ENDING: <u>Nov. 30, 2012</u>	FY13 <u>APPROPRIATION</u>	YEAR TO DATE <u>EXPENDITURE</u>	
PERSONAL SERVICE	\$32,670.00	\$32,670.00	
1129 State Paid Retirement	\$1,307.30	\$1,307.30	
1161 Retirement	\$12,414.70	\$12,414.70	
1170 Social Security	\$2,381.50	\$2,381.50	
1180 Group Insurance	\$11,884.10	\$11,884.10	
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$0.00		
1223 Repair & Maintenance Real Property	\$0.00		
1225 Repair & Maintenance, EDP Equip.	\$0.00		
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$0.00		
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC			
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)	\$0.00		
1276 Registration Fees & Conf. Exp. (Employee)	\$0.00		
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$0.00		
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State & 1293 In-State (to vendor)	\$1,725.09	\$1,725.09	
1292 Out-of-State	\$4,019.08	\$4,019.08	
1295 Mileage	\$1,304.81	\$1,304.81	
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment	\$0.00		
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$100.81	\$100.81	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$91,072.71	\$91,072.71	
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)			
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
SECTION 101 GRAND TOTAL			
	FY13 <u>APPROPRIATION</u>	YEAR TO DATE <u>EXPENDITURE</u>	<u>OBLIGATED MONEY</u>
PERSONAL SERVICE	\$32,670.00	\$32,670.00	
STATE PAID RETIREMENT	\$1,307.30	\$1,307.30	
RETIREMENT	\$12,414.70	\$12,414.70	
SOCIAL SECURITY	\$2,381.50	\$2,381.50	
GROUP INSURANCE	\$11,884.10	\$11,884.10	
CONTRACTUAL SERVICE	\$0.00	\$0.00	\$13,375.00
TRAVEL	\$7,048.98	\$7,048.98	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$100.81	\$100.81	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$91,072.71	\$91,072.71	
GRANTS	\$0.00	\$0.00	
TOTAL	\$158,880.10	\$158,880.10	\$13,375.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Nov. 30, 2012

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

<u>SECTION 102 GRAND TOTAL</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
 MONTH ENDING: Nov. 30, 2012

FY13
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not exc. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

- 1993 Indirect Cost Allocation Refund

\$7,294.93

\$7,294.93

GRANTS

- 4453 Reimbursement to Governmental Units
- 4470 Grants to Local Governments (VAID II)
- 4470 Grants to Local Governments (VAID III)
- 4470 Grants to Local Governments (VAID IV)

\$62,494.86

\$62,494.86

SECTION 261 GRAND TOTAL

FY13
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

OBLIGATED
 MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$7,294.93	\$7,294.93	
GRANTS	\$62,494.86	\$62,494.86	

TOTAL	\$69,789.79	\$69,789.79	\$0.00
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STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Nov. 30, 2012

FY13
APPROPRIATION **YEAR TO DATE**
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security
- 1180 Group Insurance

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1223 Repair & Maintenance Real Property
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1232 Rental, Motor Vehicles
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1273 Advertising
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

\$363,284.88	\$363,284.88
\$7,246.16	\$7,246.16
\$29,192.67	\$29,192.67

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1308 Educational & Instructional Materials
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1561 Training Equipment
- 6625 Leasehold Improvements

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

- 1993 Indirect Cost Allocation Refund

\$394,997.30	\$394,997.30
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GRANTS

- 4453 Reimbursement to Govt Units (AVE)
- 4453 Reimbursement to Govt Units (Phase II)
- 4453 Reimbursement to Govt Units (ALA)
- 4453 Reimbursement to Govt Units (EDG)
- 4470 Grants to Local Governments (VRS)
- 4479 Payments to Other State Agencies

\$0.00

SECTION 251 (OLD REQ) GRAND TOTAL

FY13	YEAR TO DATE	OBLIGATED
APPROPRIATION	EXPENDITURE	MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$399,723.71	\$399,723.71	\$655,885.00
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$394,997.30	\$394,997.30	
GRANTS	\$0.00	\$0.00	
TOTAL	\$794,721.01	\$794,721.01	\$655,885.00

STATE BOARD OF ELECTIONS
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Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
 MONTH ENDING: Nov. 30, 2012

FY13
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security
- 1180 Group Insurance

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1223 Repair & Maintenance Real Property
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1232 Rental, Motor Vehicles
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1273 Advertising
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1308 Educational & Instructional Materials
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1561 Training Equipment
- 6625 Leasehold Improvements

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

- 1993 Indirect Cost Allocation Refund

GRANTS

- 4453 Reimbursement to Govt Units (AVE)
- 4453 Reimbursement to Govt Units (Phase II)
- 4453 Reimbursement to Govt Units (ALA)
- 4453 Reimbursement to Govt Units (EDG)
- 4470 Grants to Local Governments (VRS)
- 4479 Payments to Other State Agencies

\$905,283.71

\$905,283.71

SECTION 251 (NEW REQ) GRAND TOTAL

FY13
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

OBLIGATED
 MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$905,283.71	\$905,283.71	

TOTAL	\$905,283.71	\$905,283.71	\$0.00
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Start Date	End Date	Activity	Division
12/7 /2012		Complete proclamation for each office elected at the General Election for the Governor's office. Generate material for the "Official Vote" book and submit to ET&RD division.	EL INFO
12/7 /2012		Complete CANVASS of November General Election. 10 ILCS 5/22-7	EL INFO
12/7/2012		BOARD MEETING (Proclamation), 10 ILCS 5/22-7	EXEC DIR
12/10/2012		Complete the development and distribution to appropriate election authorities the general election MANUALS OF INSTRUCTIONS FOR ELECTION JUDGES for 2013. (Project began 3/21/2012)	ET&RD
12/11/2012		Present to the Board a list of legislative proposals for introduction in the next session.	LEG
12/13/2012		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the General Election 2012 submission	VRS
12/15/2012		First day for election authority to submit computerized voter registration file for the December 15, 2012 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
12/17/2012	12/24/2012	Filing period for municipal candidates, educational service region trustees, schools, colleges, parks, library, fire and the Fox Waterway Agency trustees. 10 ILCS 5/10-6	EL INFO
12/18/2012		Post Election Day Survey due from the LEO's to the SBE.	LEG
12/18/2012		Complete analysis of Referenda Profile for the General Election. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS
12/25/2012		Last day for election authorities to submit request for extension to file computerized voter registration information for the December 15, 2012 submission. Rules and Regulations	VRS
12/25/2012		Last day for election authority to submit computerized voter registration file for the December 15, 2012 submission. 10 ILCS 5/4-8,5-7,6-36	VRS

Start Date	End Date	Activity	Division
12/28/2012		Send notice (form) to election authorities in order to obtain early voting system information to be used for the Consolidated Primary Election.	VOSS
12/28/2012		Notify election authorities to send referenda information. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS
12/28/2012		Send notice (form) to election authorities to obtain voting system information to be used for the Consolidated Primary Election. (Rules and Regulations)	VOSS
12/28/2012		Send notice to election authorities to obtain information on which jurisdictions will be having a Consolidated Primary 10 ILCS 5/1A-8 (1,2,12)	VOSS
1 /1 /2013	12/31/2013	Year round reporting of any contribution of \$1000 or more received by a political committee, must be reported within five business days following its receipt unless committee is participating in an election and the contribution is received within 30 days prior to that election. 10 ILCS 5/9(c).	CAMP DISC
1 /2 /2013		Begin preparing the CANDIDATE'S GUIDE for candidates running for office in 2014. Submit to legal for review by 5/16/2013. 10 ILCS 5/1A-8 (1, 2, 4, 7, 11)	ET&RD
1 /2 /2013		First day that any political committee shall file its 2012 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
1 /2 /2013		Send notification to election authorities re: Voting Equipment Test. 10 ILCS 5/24B-9, 24C-9	VOSS
1 /2 /2013		Objection deadline filing for candidates who filed December 17 - 24, 2012. 10 ILCS 5/10-8	EL INFO
1 /7 /2013		Election authorities must submit to SBE a copy of the specimen ballot that is to be tested for the Consolidated Primary. 10 ILCS 5/24B-9	VOSS
1 /7 /2013		Begin work on signature requirements for the 2014 General Primary Election.	EL INFO
1 /9 /2013		(2nd Wednesday) General Assembly swearing-in ceremony. (IL CONST, Art. 4, Sec. 5)	LEG

Start Date	End Date	Activity	Division
1 /10/2013		Send an updated listing of certified civic organizations to all election authorities. 10 ILCS 1A-8 (1,2,12) SBE Rule 207.50	ET&RD
1 /11/2013		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the Consolidated Primary Election. (Rules and Regulations)	VOSS
1 /11/2013		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use for the Consolidated Primary Election.	VOSS
1 /11/2013		Finalize 2013 SBE Legislative Program for the 98th General Assembly. Process involves bill drafting, obtaining sponsorship, bill introduction, monitoring. [10 ILCS 5/1A-8 (8)]	LEG
1 /12/2013		Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. [10 ILCS 5/7-16, 16-5.01]	LEG
1 /15/2013		Last day that a political committee shall file its 2012 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
1 / ²² 15 /2013		Notify election authorities of requirement to submit computerized voter registration information for the Consolidated Primary 2013 submission in a format prescribed by the SBE	VRS
1 /15/2013		Last day for SBE to certify to the election authorities each unit school district, the counties contained therein and the Regional Superintendent having supervision and control over each district. 105 ILCS 5/6-5	EL INFO
1 /15/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
1 /22/2013		Remind the Driver and Motor Vehicle Services of the registration cut off of 27 days before an election.	LEG

Start Date	End Date	Activity	Division
1 /22/2013		Notify election authorities of their need to give public test notification and re-tabulation notification for Consolidated Primary.	VOSS
1 /23/2013		(4th Wednesday in January) Governor's State of the State message. Const. Art. 5, Sec. 13	LEG
1 /24/2013		Send notice to election authorities who failed to submit a computerized voter registration file, for the December 15, 2012 submission. Rules and Regulations	VRS
1 /24/2013		Send to each election authority a current computerized voter registration error report, from the December 15, 2012 submission	VRS
1 /25/2013		Begin testing of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VOSS
1 /27/2013		First day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
1 /27/2013		First day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the consolidated primary election, must be reported within two business days following its receipt. 10 ILCS 5/9-10©	CAMP DISC
1 /29/2013		Last day for voter registration or transfer of registration prior to the Consolidated Primary. 10 ILCS 5/4-6, 4-16, 5-5, 5-23, 6-29	EL INFO
1 /30/2013		Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VOSS
1 /30/2013		First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
1 /31/2013		Certification date for April election. SBE to certify candidates for Fox Waterway Agency and multi-county school trustees. 10 ILCS 5/7-60.1	EL INFO

5. Follow up.
6. Comments from the general public.
7. Next regular Board meeting at 10:30 a.m. on Tuesday, January 22, 2013 in Springfield.
8. Executive session.