

# STATE BOARD OF ELECTIONS

## STATE OF ILLINOIS

2329 S. MacArthur Blvd.  
Springfield, Illinois 62704-4503  
217/782-4141 TTY: 217/782-1518  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph St, Ste 14-100  
Chicago, Illinois 60601-3232  
312/814-6440 TTY: 312/814-6431  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

AGENDA  
STATE BOARD OF ELECTIONS  
BOARD MEETING  
Monday, September 16, 2013  
10:30 a.m.

2329 S. MacArthur Blvd.  
Springfield, Illinois  
and via videoconference  
James R. Thompson Center – Suite 14-100  
Chicago, Illinois

### Roll call.

1. Approval of the minutes from the August 20 meeting. (pgs.1-8)
2. Report of the General Counsel
  - a. Campaign Disclosure;  
Motion for Reconsideration
    - 1) *SBE v. Indo-American Democratic Organization*, 1420, 13MA038; (pgs.9-10)
    - 2) *SBE v. 42<sup>nd</sup> Ward Republican Organization*, 15431, 12SQ048; (pgs.11-12)
    - 3) *SBE v. 42<sup>nd</sup> Ward Republican Organization*, 15431, 13MQ049; (pgs.13-15)Appeals of campaign disclosure fines - hearing officer recommendation appeals be granted
    - 4) *SBE v. Citizens to Elect Tom Cross*, 7539, 13AD021; (pgs.16-20)
    - 5) *SBE v. Liz for the 17<sup>th</sup>*, 22716, 12AS069; (pgs.21-24)
    - 6) *SBE v. Friends of Sam Yingling*, 23561, 13MA019; (pgs.25-27)
    - 7) *SBE v. Citizens for Debbie Myers-Martin*, 24887, 13MA053; (pgs.28-32)Appeals of campaign disclosure fines - hearing officer recommendation appeals be denied
    - 8) *SBE v. Knox County Democratic Central Committee*, 287, 13MQ008; (pgs.33-35)
    - 9) *SBE v. 44<sup>th</sup> Ward Regular Democratic Organization*, 468, 12AJ063; (pgs.36-38)
    - 10) *SBE v. Personal PAC, Inc.*, 497, 13AM009; (pgs.39-41)
    - 11) *SBE v. Friends of Mary E. Flowers*, 4261, 12AS064; (pgs.42-44)
    - 12) *SBE v. Friends of Ken Dunkin*, 11436, 13AD060; (pgs.45-48)
    - 13) *SBE v. Democratic Women of Knox County*, 16139, 13MQ055; (pgs.49-51)
    - 14) *SBE v. United Peoples Party*, 18568, 13MQ071; (pgs.52-55)
    - 15) *SBE v. Friends of Dave Koehler*, 19230, 12AS067; (pgs.56-58)
    - 16) *SBE v. Friends of Dave Koehler*, 19230, 13AD067; (pgs.59-62)
    - 17) *SBE v. Committee to Elect John H. Vogt State's Attorney*, 19507, 12SQ207; (pgs.63-66)
    - 18) *SBE v. Crete Township Democrats*, 19976, 12SQ208; (pgs.67-69)
    - 19) *SBE v. Lake County Life PAC*, 22333, 13DQ239; (pgs.70-73)
    - 20) *SBE v. Committee to Elect Will Guzzardi*, 23729, 13MA048; (pgs.74-77)

- 21) *SBE v. Citizens for Ronald Duebbert*, 24169, 13MQ140; (pgs.78-82)
- 22) *SBE v. Friends of Xavier Roman*, 24447, 12AS080; (pgs.83-85)
- 23) *SBE v. Friends of Fred Tetter*, 24812, 13MA051; (pgs.86-88)
- 24) *SBE v. Friends of Jarman Myron Porter*, 24813, 13MA052; (pgs.89-92)
- 25) *SBE v. HACIA PAC*, 25109, 13MA056; (pgs.93-99)

Appeals of campaign disclosure fines - hearing officer recommendation appeals be granted and denied

- 26) *SBE v. Committee to Elect Linda Moore*, 21578, 13AD034; (pgs.100-103)

Other campaign disclosure items

- 27) Payment of civil penalties – informational. (pg.104)

3. Report of the Executive Director

- a. Report on Advisory Committee Meeting; (pgs.105-107)
- b. Legislative update; (oral report)
- c. Amendment to Campaign Disclosure seven day calendar; (pgs.108-110)
- d. Online Voter Registration update; (pg.111)
- e. IVRS update – informational; (pgs.112-115)
- f. Census data versus registered voters; (pg.116)
- g. Fiscal status reports – informational;
  - 1) FY13 & FY14 – month ending August 31; (pgs.117-132)
  - 2) FY13 & FY14 – Help Illinois Vote Fund; (pgs.133-144)
- h. Two year plan of staff activity for the months of September & October – informational. (pgs.145-146)

4. Follow up;

- a. Report on “Election Security and the Vulnerability Assessment of Security in relation to the State Board of Elections.” (pgs.147-149)

5. Comments from the general public. (pg.150)

6. Next regular Board meeting at 10:30 a.m. on Tuesday, October 22, 2013 in Chicago. (pg.150)

7. Executive session. (pgs151-158)

STATE BOARD OF ELECTIONS  
Regular Meeting  
Tuesday, August 20, 2013

MINUTES

PRESENT: Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers, Member  
Betty J. Coffrin, Member  
Ernest L. Gowen, Member  
William M. McGuffage, Member  
Bryan A. Schneider, Member  
Casandra B. Watson, Member

ALSO PRESENT: Rupert Borgsmiller, Executive Director  
James Tenuto, Assistant Executive Director  
Steve Sandvoss, General Counsel  
Amy Calvin, Administrative Assistant II

---

The meeting convened at 10:35 a.m. via videoconference with all Members present in Chicago. Member Coffrin left the meeting at 3:00 p.m. and Member Schneider held her proxy.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Member Scholz moved to approve the minutes from the July 1 meeting as presented. Member Coffrin seconded the motion which passed unanimously.

Chairman Smart indicated that Agenda item 3.b, certification of voting equipment – ES&S DS200, and modifications to M100 and M650 would be considered first. Representatives from ES&S were present, Judd Ryan in Chicago and Herb Deutsch via teleconference. Kyle Thomas began with a review of the extensive testing process, noting that staff simulated three separate elections, two of which tabulated without any errors. During testing for the General Primary staff encountered some programming errors made by the vendor in the set-up and design of the election. Two human errors were also discovered in the individual machine set-up and maintenance of the machine. To correct the first error, it was recommended that the 'jumper cables' used inside the M100 must be unhooked. The other error was related to debris entering the M100. Mr. Thomas recommended that the machines be cleaned at a minimum after each election or after 4,000 ballots have been tabulated, that jurisdictions using M650 machines review their reports in summary and by precinct, and that the supplemental ballot boxes for the DS200 not be used because it layers the ballots in sequential order. He noted that the additional precinct capacity on the M100 was a feature the larger election jurisdictions would benefit from and recommended approval of the voting systems. In response to an inquiry from the Board, Mr. Ryan verified that if the equipment and modifications were approved he will make sure the election jurisdictions would adhere to the additional recommendations included in Mr. Thomas' report. Member Scholz moved to grant a two year interim approval for certification of the DS200 and modifications to the M100 and M650 machines. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.1, a request for settlement offer for *SBE v. Vernon Township Republican Central Committee, 19724* and reviewed the matter. He

recommended the settlement offer be rejected and the \$250 penalty stand because the committee did not offer at least 50% of the fine and has more than sufficient funds to pay the penalty. No one was present on behalf of the respondent committee. Vice Chairman Scholz moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda items 2.a.2-3, which were settlement offers for *SBE v. Committee to Elect Steven G. Watkins*, 22358, 11SQ141 and *SBE v. Progressive Citizens Party*, 25105, 13MA055. He recommended both offers be accepted because they were 50% of the original penalty. Member Byers moved to accept the recommendation of the General Counsel. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented appeals of campaign disclosure fines for Agenda items 2.a.4-24:

- 4) *SBE v. Tazewell County Democratic Central Committee*, 33, 13MQ001;
- 5) *SBE v. Illinois Republican Party*, 292, 12AS02;
- 6) *SBE v. Illinois Home Builders Pol Education Committee*, 295, 13AD016;
- 7) *SBE v. Macon County Republican Central Committee*, 366, 13MQ010;
- 8) *SBE v. North Suburban Teachers Union Cope*, 450, 13AM008;
- 9) *SBE v. Fayette County Democratic Central Committee*, 5254, 13DQ235;
- 10) *SBE v. Moultrie County Democratic Central Committee*, 5667, 13MQ021; (pg
- 11) *SBE v. Citizens for Sidney Mathias*, 13894, 13AD003; (pgs.46-52)
- 12) *SBE v. Citizens for Healy*, 14960, 13DQ237; (pgs.53-55)
- 13) *SBE v. Citizens for Gullede*, 15962, 13MQ054; (pgs.56-58)
- 14) *SBE v. Friends of John Fulgenzi*, 18278, 13MQ067; (pgs.59-61)
- 15) *SBE v. Naperville Township Democratic Organization*, 18389, 13AM019;
- 16) *SBE v. ActBlue Illinois*, 19891, 13AM022; (pgs.79-82)
- 17) *SBE v. Maine Teachers Association PAC*, 20579, 12JQ194; (pgs.83-85)
- 18) *SBE v. Citizens for Matt Bogus*, 21498, 13AD074; (pgs.86-90)
- 19) *SBE v. Informed Suburban Voters*, 21834, 13MQ095; (pgs.91-93)
- 20) *SBE v. PAC 34 of IBEW Local 34*, 22824, 13MQ110; (pgs.94-96)
- 21) *SBE v. Julie Morrison for State Senate*, 23762, 13AD036; (pgs.97-103)
- 22) *SBE v. Friends for Marty Moylan for State Representative*, 23798, 12AS036;
- 23) *SBE v. Catherine Adduci – Continue the Progress*, 24692, 13AD096;
- 24) *SBE v. Friends of RVC PAC*, 25178, 13AM045.

He concurred with the hearing officer recommendation to grant all of the appeals except for Agenda item 2.a.17 where he recommended the appeal be denied for failure to timely file the report in question. No one was present on behalf of the respondent committees. Member Schneider moved to grant the appeals for Agenda items 2.a.4-16 & 18-24 and deny the appeal for Agenda item 2.a.17. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel noted the following appeals of campaign disclosure fines for Agenda items 2.a.30, 33, 41 & 42 were pulled and would be placed on a future Board Meeting Agenda: 30) *SBE v. Personal PAC, Inc.*, 497, 13AM009; 33) *SBE v. Friends of Mary E. Flowers*, 4261, 12AS064; 41) *SBE v. Friends of Dave Koehler*, 19230, 12AS067; and 42) *SBE v. Friends of Dave Koehler*, 19230, 13AD067.

The General Counsel presented the following appeals of campaign disclosure fines where the hearing officer recommend the appeals be denied for Agenda items 2.a.29, 45 & 61: 29) *SBE v. Brown County Republican Central Committee*, 382, 13MQ012; 45) *SBE v. York Township Republican Women's Organization*, 20602, 13MQ079; and 61) *SBE v. Citizens for Gayle Vandenberg*, 24856, 13MQ181. He did not concur with the hearing officer and recommended the appeals be granted based on the electronic filing defense. No one was present on behalf of the respondent committees. Member Schneider moved to accept the recommendation of the General Counsel in the above noted appeals. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 2.a.25-28, 32, 34-39, 43-44, 46-50, 53-59 & 63-67:

- 25) *SBE v. Warren County Democratic Central Committee*, 77, 13MQ002;
- 26) *SBE v. Regular Republican Party of River Forest*, 226, 13MQ006;
- 27) *SBE v. Champaign County Democratic Central Committee*, 290, 13AD053;
- 28) *SBE v. Jackson County Democratic Central Committee*, 303, 13AD018;
- 32) *SBE v. County Clerks & Records Special Action Fund*, 1358, 13MQ013;
- 34) *SBE v. Gene Siegel Political Committee*, 4303, 13AD056;
- 35) *SBE v. Plumbing Council of Chicagoland PAC*, 8546, 13MQ025;
- 36) *SBE v. Italian-American Political Coalition*, 10893, 13AM015;
- 37) *SBE v. Citizens for Classrooms Plainfield School District 202*, 11061, 13MQ028;
- 38) *SBE v. Elect Jody Bernard Coroner*, 11612, 13MQ030;
- 39) *SBE v. Friends to Re-Elect John W. Callahan Grundy County Treasurer*, 15116, 13MQ046;
- 43) *SBE v. Warren Township Democrats*, 19270, 12SQ206;
- 44) *SBE v. Palos Park First*, 20191, 13MQ076;
- 46) *SBE v. Citizens to Elect Carl Evans, Jr.*, 21024, 13MQ085;
- 47) *SBE v. Duffy Blackburn for Will County Auditor*, 21223, 13MQ087;
- 48) *SBE v. The Friends of Jim Schwantz*, 21285, 13DQ087;
- 49) *SBE v. CARE for 113 Schools*, 23302, 13MA016;
- 50) *SBE v. Citizens to Elect Jay Scott*, 23726, 13MQ128;
- 53) *SBE v. Naperville Citizens for Smart Meter Awareness*, 24050, 13MQ133;
- 54) *SBE v. Friends of Ken Snider*, 24140, 13MQ138;
- 55) *SBE v. Thornton Township Young Democrats*, 24243, 13DQ159;
- 56) *SBE v. Friends of John DeBartolo*, 24492, 13MQ158;
- 57) *SBE v. Friends of Theresa Barreiro*, 24523, 13DQ241;
- 58) *SBE v. Our Parks Legacy Supports Park Bond Acquisitions Referendum 2013*, 24633, 13AD095;
- 59) *SBE v. Committee to Elect Bob Trentman*, 24640, 13MQ166;
- 63) *SBE v. Citizens for Terry Vorderer*, 25134, 13MQ225;
- 64) *SBE v. Nigerian-American Political Affairs Committee Illinois PAC*, 25282, 13MQ239;
- 65) *SBE v. Citizens for Better Township Government*, 25295, 13MQ244;
- 66) *SBE v. Friends of Janet Ryan Grasso*, 25298, 13MQ246;
- 67) *SBE v. Friends of George Ruzic*, 25333, 13D1005.

No one was present on behalf of the respondent committees. Member Schneider moved to accept the recommendation of the General Counsel in the above noted appeals. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.31, *SBE v. Citizens for Ronald Wait, 885, 12SQ014* and noted he concurred with the hearing officer recommendation to deny the appeal. John Fogarty was present on behalf of the committee and said the late filing was an inadvertent error because Mr. Waite referred to an outdated Campaign Disclosure Calendar from 2011. Member Schneider moved to accept the recommendation of the General Counsel to deny the appeal and stay the penalty. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.40, *SBE v. Rainey for Alderman, 18461, 13MQ069* and noted he concurred with the hearing officer to deny the appeal. Ann Rainey was present on behalf of the committee and said that in 25 years this is only the second time she had a late filing and was one day late with this filing because of issues she experienced with the IDIS software. She indicated the committee has had no activity for years and plans to dissolve it. The General Counsel indicated that the committee could be given the benefit of doubt and the electronic filing defense would be appropriate in this case. Member Schneider moved to grant the appeal. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda items 2.a.51-52, *SBE v. Citizens to Elect William S. Boyd, 23872, 12MA113* and *SBE v. Citizens to Elect William S. Boyd, 23872, 12MQ298* and said he concurred with the hearing officer recommendation to deny the appeals. Linda Crane Boyd and William Boyd were present on behalf of the respondent committee. Both parties explained they were running behind trying to understand the campaign financing rules after the Treasurer was diagnosed with prostate cancer and unable to completely fulfill his duties. A new custodian has been hired to go through all of the filings and manage the fund and it was noted that the committee did not have enough funds available to pay all of the penalties. Discussion ensued regarding options to dissolve the committee or the possibility of the candidate running for office in 2016. Mr. Boyd offered to pay \$4,028.36 toward the fine and then dissolve the committee. Member Byers moved to consolidate both matters into one, vacate the previous Board Order, and order the committee to pay the settlement amount and close the committee for two years. Vice Chairman Scholz seconded the motion which passed by roll call vote of 7-0, with member Gowen recusing himself from the matter.

The General Counsel presented Agenda item 2.a.60, *SBE v. Committee to Elect Beth Sandusky, 24675, 13MA035* and said he concurred with the hearing officer recommendation to deny the appeal. No one was present on behalf of the respondent committee. Vice Chairman Scholz moved to deny the appeal. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.62, *SBE v. Show You Care Kane for the Developmentally Disabled, 25124, 13MA057* and said he concurred with the hearing officer recommendation to deny the appeal. Lynn O'Shea was present on behalf of the respondent committee and explained that the non-profit organization, Association for Individual Development created the committee to place a referendum on the ballot in Kane County. She was misinformed by a consultant who indicated the committee was not required to file since no outside funds were raised and later was told by an attorney there might be a requirement to file.

After several phone calls with staff she was finally told to file and see what happens. Ms. O'Shea said that once notice was received she was diligent with getting the appropriate reports filed and noted the funds balance is zero. Vice Chairman Scholz moved to grant the appeal. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.68, *SBE v. Citizens for Karen McConnaughay*, 7875, 13AD022 and concurred with the hearing officer recommendation to grant the appeal in part and deny in part. Andrew Raucci was present on behalf of the respondent committee and indicated he agreed with the recommendation. Vice Chairman Scholz moved to accept the recommendation of the General Counsel and assess a \$500 civil penalty. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel noted that Agenda item 2.a.70, *SBE v. Friends of Sam Yingling*, 23561, 13MA019 was pulled in will be placed on a future Board Meeting Agenda.

The General Counsel presented Agenda items 2.a.69 & 71, *SBE v. Friends of James Sexton*, 13255, 13MA039 and *SBE v. Citizens for Edward Pugh*, 24393, 13MA031 and concurred with the hearing officer recommendation to grant the appeal in part and deny in part. No one was present on behalf of the respondent committees. Member McGuffage moved to accept the recommendation of the General Counsel in the above noted appeals. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal of contribution limits fines for Agenda item 2.a.72, *SBE v. Friends of Mike Jacobs*, 18410, 12DQ-CL001 and concurred with the hearing officer recommendation to grant the appeal. Member Byers moved to accept the recommendation of the General Counsel. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a complaint following public hearing for Agenda item 2.a.73, *Hajer-O'Connor v. Committee to Elect Robert J. Lovero*, 13CD003 and reviewed the matter. He concurred with the hearing officer recommendation that the complaint was filed on justifiable grounds; however, he agreed that no further action is necessary other than referral of the matter to staff for the assessment of civil penalties related to the delinquent filing of the D-2 report and several A-1 violations. He further recommended that the treasurer of the committee be ordered to meet with staff to discuss discrepancies within the committee's reports and determine if additional amendments need to be filed to resolve any discrepancies. Jeanmarie Hajer-O'Connor was present and also concurred with the recommendation. Felix Greco, Treasurer of the respondent committee, also agreed and indicated that the committee treasurer will meet with staff and comply with the necessary filings. Member Gowen moved to accept the recommendation of the General Counsel and hearing officer outlined in his report presented in the board packet, including that if the treasurer fails to meet with staff and resolve the discrepancies, the committee shall be subject to an additional penalty not to exceed \$5,000 for failure to comply with a board order, and the committee's financial records and disclosure reports shall be submitted to an audit, the cost of which shall be paid for by the committee. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

A listing of civil penalty assessments necessitating a final board order was presented. Member Byers moved to issue final board orders for those committees listed on pages 332-339 of the board packet noting the mathematical error on page 338 should be corrected. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

A listing of payment of civil penalties was presented for informational purposes.

After a short break, Member Schneider moved to recess to executive session to consider complaints following closed hearing. Member Coffrin seconded the motion which passed unanimously. The meeting recessed at 12:30 p.m. and reconvened at 2:00 p.m.

As to Agenda item 2.a.76, *Hajer-O'Connor v. Democratic Citizens of Berwyn*, 13CD101, Member Schneider moved to find the complaint was found to have been filed on justifiable grounds with respect to counts one and two. Count three was not filed on justifiable grounds, however the Board ordered the committee to file amended reports showing proper recipients of the four expenditures noted by the hearing officer; and ordered that the committee reports be referred to staff for imposition of civil penalties. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.77, *Hajer-O'Connor v. Committee to Elect Robert J. Lovero*, 13CD102, Member Schneider moved as to count one, the complaint was found to have been filed on justifiable grounds; however, no public hearing was ordered because the amended reports were filed. The Board issued an Order that any repeat violation would subject the committee to a penalty not exceeding \$5,000 for failure to comply with a Board Order. As to count two, the complaint was found to have been filed on justifiable grounds in part and not filed on justifiable grounds in part; however, the Board ordered the committee to file amended reports showing proper recipients of the nine expenditures noted by the hearing officer. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.78, *Jones, Jr. v. Citizens for Dwight W. Welch*, 13CD125, Member Schneider moved to find the complaint was found to have been filed on justifiable grounds in part and the matter was ordered to proceed to a public hearing as to the expenditures of rental, insurance and utility payments, in addition to payments to the Secretary of State's office for vehicle registrations, and the purchase of a sound system. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.79, *Johnson v. McHenry Republican Team 2013*, 13CD130, Member Schneider moved to dismiss the complaint for want of prosecution. Member Byers seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.80, *Trautsch v. Friends of Tim Desmond*, 13CD132, Member Schneider moved to find the complaint was found to have been filed on justifiable grounds; however, no further action was ordered other than referral to staff for imposition of civil penalties. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 2.a.81, *SBE v. Citizens to Elect Bridget Healy Ryan*, 13CD023, Member Schneider moved to find the complaint was found to have been filed on justifiable grounds and order that the matter proceed to a public hearing. Member Byers seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.a.82, *SBE v. Friends for Mark Battaglia*, 13CD076 was pulled and will be placed on a future Board Meeting Agenda.

As to Agenda item 2.a.83, *SBE v. Friends of Ahmed Khan*, 13CD062, Member Schneider moved to find the complaint was found to have been filed on justifiable grounds; however, no further action was ordered other than referral to staff for imposition of civil penalties. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented proposed rules on the counting of provisional ballots and said the amendments were a result of language set forth in the omnibus election bill. It establishes new procedures for counting provisional ballots that are cast in a precinct other than the precinct in which the voter is registered. Statewide offices, as well as legislative, representative, countywide and citywide offices, will be counted if it is determined that said voter would have been entitled to vote for the offices if he or she had voted in the correct precinct. The provisional voter will also have seven days to provide supporting documentation to the election authority instead of the two days previously allowed to provide documentation. The General Counsel indicated that these rules are required to be in place prior to the 2014 General Primary Election and asked for Board approval. Vice Chairman Scholz moved to approve the proposed rules and begin the process for implementation through JCAR. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The Executive Director began his report with a proposed SBE Identity Protection Policy and said this was a result of an immaterial finding from the last external audit. Bernadette Harrington explained the policy was modeled after currently approved policies of other state agencies and is intended to prevent unauthorized access to employee social security numbers and protect private information as required by the Identity Protection Act. Vice Chairman Scholz moved to approve the SBE Identity Protection Policy as presented. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The Executive Director presented the legislative update and referred to Cris Cray's memo outlining highlights of the omnibus elections bill that was recently signed by the Governor. Ms. Cray noted that the establishment of a Lake County Board of Election Commissioners is on hold due to issuance of a judge's order. He also noted that the SBE now has authority to issue pollwatcher credentials and discussion ensued regarding who should sign them. It was agreed by all that the credentials will include signatures of both the Chairman and Vice Chairman.

Next on the Agenda was an amendment to the seven day campaign disclosure calendar and the Executive Director noted that a memo from the General Counsel was included presenting options for a legislative amendment to address the short time frame in which to dispose of campaign disclosure related complaints. The General Counsel said that most complaints that are filed prior to an election are meritless and/or result in no appearance by the complainant and suggested an eleven day blackout period prior to an election where complaints will not be accepted. He also proposed a filing fee of \$250 which is based on a range of filing fees currently charged by the Sangamon County Circuit Court. Discussion ensued and it was agreed that staff will consult with the Campaign Finance Reform Task Force Members and other interested parties and the matter placed on the September Board Meeting Agenda.

The Executive Director reported on the new IDIS3 program and said it is now a web-based program and users will no longer have to install software on their computers, meaning the program will be accessible from any computer with internet access. The program is expected to be released next week and Sharon Steward noted that notice will be sent to committees and also will be posted on the front page of the website. Staff assistance will be

available to transfer users of the old system to the web program and the old system will be operable for six to eight months during the transition.

Next was an update on the eCanvass program and Brent Davis reported that project is on track and moving forward. He noted that programming is ongoing to ensure that the jurisdictions assign the proper ID number to the office and the candidate. Barring unforeseen problems or circumstances, everything is on track and the staff anticipates completion prior to the 2014 General Primary Election.

The Executive Director indicated the staff is making progress on the online voter registration system. Meetings have been conducted with interested groups regarding the functionality of the system. Kyle Thomas said an agreement has been reached with the Secretary of State's office regarding the layout that will be implemented. Several test cases have been submitted to the SOS and staff is waiting for their response.

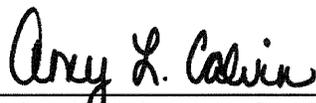
Mr. Thomas presented the IVRS chart for informational purposes and noted that Cheryl Hobson recently provided training to the East St. Louis Board of Election Commissioners. Ms. Hobson reported that their voter purge is going well and expected to be completed by the end of September. As of today, E. St. Louis' census data number versus registered voters is currently 99.29% and the Board of Election Commissioners is working diligently to reduce this number below 100%.

The FY13 fiscal status reports and two year plan of staff activity were presented for informational purposes. It was noted that FY14 fiscal status reports will be included on the September Board Meeting Agenda.

Next on the Agenda was comments from the General Public and the Chairman indicated that Sharon Meroni, Executive Director of Defend the Vote has requested to speak to the Board regarding election integrity. Ms. Meroni expressed her concerns with voting equipment security in Cook County and the Chicago Board of Election Commissioners as well as security seal processes during early and absentee voting. She also referred to a letter from the Argonne National Laboratory regarding vulnerability assessments and advice on election security. Ms. Meroni was asked to provide staff with a copy of the contract and contact information of the researcher who conducted the studies.

With there being no further business before the Board, Vice Chairman Scholz moved to adjourn until 10:30 a.m. on September 16, 2013 in Springfield. Member Byers seconded the motion which passed unanimously. The meeting adjourned at 3:30 p.m.

Respectfully submitted,

  
\_\_\_\_\_  
Amy Calvin, Administrative Assistant II

  
\_\_\_\_\_  
Rupert T. Borgsmiller, Executive Director



Illinois State Board of Elections  
2329 S. MacArthur Blvd  
Springfield, Il. 62704-4503

James R. Thomson Center  
100 West Randolph Street Suite 14-100  
Chicago, IL. 60601-3232

Attention: Chairman Jesse R. Smart

Date : August 27, 2013

Re: Complaint # 13MA-038 for Campaign # 1420

Dear Chairman Smart,

I just received the final notice of your assessment of civil penalties on our organization in regard to our delayed quarterly reporting. I wish to ask for your leniency considering the following background information:

a. IADO is a very small organization with very meagre funds. Our fund raising has never exceeded 40,000 per year and we try to conduct our campaign with the resources and efforts of a small working board and working officers. In 2012 we registered to be a Federal PAC and we are just learning to complete all the requirements.

b.. In Decemeber 2012 elections were held and a new board and new officers were elected. I was elected Treasurer. The transfer of information and reporting requirements from the old officers to the new officers was not completed ontime and as a **result mail was going to the wrong address**. This was corrected when I visited the SEC office in Chicago for the July filing and determined that the D1 report had not been properly filed. So it is only since July 24, 2013 that have I been getting the mail properly. Mr. Jason Meyers of your office advised me to correct the information for the Secretary and President as well and I will be completing that after our next board meeting.

c. I was late in filing the first quarter 2013 report by 3 days( April 18) since I was under the incorrect understanding that we had to file the report by April 20, 2013.

d. We have acquired and implemented the NGP Van software to support online reporting. For Q2 2013 I had hoped that I could use this software to report to the SEC and FEC, while I was out of the country. However, the software was not usable. For SEC reporting. When I called and requeested help from the office I was told that I had to purchase another software which was also usable also only on a IBM PC and I have an MAC. I was also advised that the SEC would be implementing a new software which would be web based and so it would be best for me to report in person( at the chicago office) or on paper until then. I returned to the country only on July 20, 2013 when I was able to report.

I as treasurer, and we are a campaign are committed to meeting all the legal and reporting requirments accurately and ontime. I apologisise for the errors and request your consideration to waive the penalty. It would be big hardship for us if we were forced to pay this penalty.

Sincerely,

Dr. Mridu Sekhar Ph.D  
Treasurer  
1335 S. Prairie Ave #1605  
Chicago, IL. 60605

2013 AUG 29 AM 10:57  
STATE BOARD OF ELECTIONS  
CHICAGO

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
 )  
State Board of Elections )  
Complainant(s), )  
 )  
Vs. ) 13 MA 038  
 )  
Indo-American Democratic )  
Organization )  
Respondent(s). )

**FINAL ORDER**

TO: Indo-American Democratic Organization ID# 1420  
1335 S Prairie Ave #1605  
Chicago, IL 60605

This matter coming to be heard this 20<sup>th</sup> day of August, 2013 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

**THE BOARD FINDS**

1. In case number 13 MA 038, a \$150.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2013 Quarterly report; and
2. In case number 13 MA 038, a \$500.00 civil penalty was initially assessed against the Respondent for the delinquent filing of a Schedule A-1 during the fourth quarter of 2012, and
3. An appeal of the civil penalty was not submitted by the committee, and
4. The committee was previously assessed a penalty of \$1029.50 for the delinquent filing of a Schedule A-1 during the fourth quarter of 2011 (12 AD 044). This assessment was not appealed and was reduced to \$103.00 by the Board. The committee was also assessed a penalty of \$500.00 for the delinquent filing of a Schedule A-1 during the first quarter of 2012 (12 AM 090). This assessment was also not appealed and was reduced to \$250.00 by the Board. All previously assessed penalties have been paid in full, and
5. Pursuant to section 9-10 of the Code, the Schedule A-1 assessment is \$500.00 (100% of the value of the delinquently reported contributions).

**IT IS ORDERED:**

1. A civil penalty in the amount of \$650.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is August 22, 2013 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/22/2013

  
Jesse R. Smart, Chairman

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

2013 JUN 27 PM 4: 33

IN THE MATTER OF : )  
)  
ILLIONIS STATE BOARD OF ELECTIONS, )  
)  
Complainant, )  
v. )  
)  
42<sup>nd</sup> WARD REPUBLICAN ORGANIZATION, )  
)  
Respondent. )

Case No. 12-SQ-048

**REQUEST FOR NEW HEARING  
AND RECONSIDERAGTION**

I, ELOISE GERSON, Chairman of the 42<sup>nd</sup> Ward Republican Organization, by and through counsel, hereby requests reconsideration and new hearing before the Illinois State Board of Elections on behalf of said committee to appeal the assessment of civil penalty proposed in this matter, on ground that the Notice of hearing before this Board was received by said Committee after the appeal hearing had already been conducted by the Board. The said committee requests a hearing at which it will appear to offer reasons and defenses why the proposed assessment should not be imposed.

ELOISE GERSON

By: Stephen F. Boulton  
Stephen F. Boulton, her attorney and  
Attorney for Appellant Committee

Date: June 27, 2013

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
Illinois State Board of Elections )  
Complainant(s), )  
 )  
Vs. ) 12 SQ 048  
 )  
42<sup>nd</sup> Ward Republican Organization )  
Respondent(s). )

**FINAL ORDER**

TO: 42<sup>nd</sup> Ward Republican Organization ID# 15431  
47 W. Division St #503  
Chicago, IL 60610

This matter coming to be heard this 18<sup>th</sup> day of June, 2013 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

**THE BOARD FINDS:**

1. In case number 12 SQ 048, a \$75.00 civil penalty was initially assessed against the Respondent for the delinquent filing of the September 2012 Quarterly report; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$800.00 for failing to file a Schedule A-1 in conjunction with the April 2009 election (09 AE 038), a penalty of \$2325.00 for the delinquent filing of the December 2010 Semi-annual report (11 DS 340), a penalty of \$2275.00 for the delinquent filing of the June 2011 Quarterly report (11 JQ 238), a penalty of \$1650.00 for the delinquent filing of the September 2011 Quarterly report, a penalty of \$150.00 for the delinquent filing of the December 2011 Quarterly report, and a penalty of \$2500.00 for the delinquent filing of a Schedule A-1 during the third quarter of 2011 (12 MA 009). These assessments were not appealed, the \$800.00 Schedule A-1 assessment was reduced to \$80.00 and the \$2500.00 Schedule A-1 assessment was reduced to \$1250.00 by the Board. All previously assessed penalties remain unpaid, and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be denied for lack of an adequate defense.

**IT IS ORDERED:**

1. The recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$75.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalties totaling \$7730.00 remain unpaid and are past due, and
3. The effective date of this Order is June 20, 2013, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 6/20/2013

  
William M. McGuffage, Chairman

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

CHICAGO

2013 AUG 29 PM 4:15

STATE BOARD OF ELECTIONS

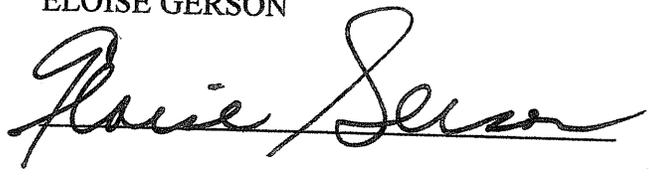
IN THE MATTER OF : )  
 )  
ILLIONIS STATE BOARD OF ELECTIONS, )  
 )  
Complainant, )  
 )  
v. )  
 )  
42<sup>nd</sup> WARD REPUBLICAN ORGANIZATION, )  
 )  
Respondent. )

Case No. 13-MQ-049

**REQUEST FOR NEW HEARING**  
**AND RECONSIDERAGTION**

I, ELOISE GERSON, Chairman of the 42<sup>nd</sup> Ward Republican Organization, by and through counsel, hereby requests reconsideration and new hearing before the Illinois State Board of Elections on behalf of said committee to appeal the assessment of civil penalty proposed in this matter, on ground that the Notice of the August 20, 2013 hearing before this Board and because the failure to file timely was caused by an initial confusion with the electronic filing system. The said committee requests a hearing at which it will appear to offer reasons and defenses why the proposed assessment should not be imposed.

ELOISE GERSON



Date: 8/29/13

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

**FILED**

AUG 29 2013

STATE BOARD OF ELECTIONS  
CHICAGO, ILLINOIS

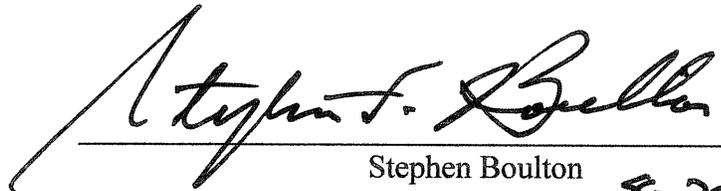
IN THE MATTER OF : )  
)  
ILLIONIS STATE BOARD OF ELECTIONS, )  
)  
Complainant, )  
v. )  
)  
42<sup>nd</sup> WARD REGULAR REPUBLICAN )  
ORGANIZATION, )  
)  
Respondent. )

Case No. 13-MQ-091

I, STEPHEN BOULTON, newly named Treasurer of the 42<sup>nd</sup> Ward Regular Republican Organization, state that said Committee can offer a good reason or defense to not filing an appeal in this matter in this matter, and that such reasons and defenses are as follows:

I was not the Treasurer at the time of the infraction, and the then existing Treasurer, who had all codes and information on the matter, had not informed me as counsel for the Committee of the fact that any deadline existed. Since the report filing deadline, I have since been made the Treasurer by removal of the previous Treasurer at the ISBE offices, and obtained the electronic filing of the report in question by assistance of Mr. Nauman at the ISBE office, but have been informed recently by the ISBE that the address for me as Treasurer was incorrect, so I did not receive the Notice and was not was informed of the appeal date.

The Committee did not receive any notice of an August 20, 2013 hearing.



Stephen Boulton

8-29-13

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
 )  
State Board of Elections )  
Complainant(s), )  
 )  
Vs. ) 13 MQ 049  
 )  
42nd Ward Republican Organization )  
Respondent(s). )

**FINAL ORDER**

TO: 42nd Ward Republican Organization ID# 15431  
47 W. Division St #503  
Chicago, IL 60610

This matter coming to be heard this 20<sup>th</sup> day of August, 2013 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

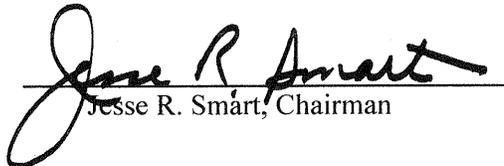
**THE BOARD FINDS**

1. In case number 13 MQ 049, a \$1050.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2013 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$800.00 for failing to file a Schedule A-1 in conjunction with the April 2009 election (09 AE 038), a penalty of \$2325.00 for the delinquent filing of the December 2010 Semi-annual report (11 DS 340), a penalty of \$2275.00 for the delinquent filing of the June 2011 Quarterly report (11 JQ 238), a penalty of \$1650.00 for the delinquent filing of the September 2011 Quarterly report, a penalty of \$150.00 for the delinquent filing of the December 2011 Quarterly report, and a penalty of \$2500.00 for the delinquent filing of a Schedule A-1 during the third quarter of 2011 (12 MA 009). These assessments were not appealed, the \$800.00 Schedule A-1 assessment was reduced to \$80.00 and the \$2500.00 Schedule A-1 assessment was reduced to \$1250.00 by the Board. The committee was also assessed a penalty of \$75.00 for the delinquent filing of the September 2012 Quarterly report (12 SQ 048). The appeal of this assessment was denied. All previously assessed penalties remain unpaid.

**IT IS ORDERED:**

1. A civil penalty in the amount of \$1050.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty of \$7805.00 remains unpaid and is past due; and
2. The effective date of this Order is August 22, 2013, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/22/2013

  
Jesse R. Smart, Chairman

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

13AD AD 021

Citizens to Elect Tom Cross (ID 7539)  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

On October 9, 2012, the Committee received a \$15,000 in-kind contribution and delinquently reported it on a Schedule A-1 Report received by the Board two days late, resulting in a \$7,500 civil penalty assessment. As a first time violation, the penalty is reduced to \$750 or 10% of the fine. As a first time violation, the penalty is stayed.

David Krahn, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 17, 2013.

Attorney John Fogarty appeared on behalf of the Respondent. Mr. Fogarty submitted an affidavit from Mr. Krahn that stated the Committee received a \$15,000 in-kind contribution from the Committee to Re-Elect Brent Hassert in connection to a golf outing hosted by the Committee. Mr. Krahn stated that he made a typographical error when he recorded the date of receipt as October 9, 2012. The Committee did not receive an In-Kind Notification form from the Hassert Committee until October 15, 2012, the same time that Mr. Krahn filed the Schedule A-1 Report. In support of this defense, the Respondent submitted copies of the prepared Schedule A-1 Reports generated by the Elect software.

On May 22, 2013, the Committee amended the 2012 December Quarterly Report to reflect the correct date of receipt. Based on the Committee's explanation and supporting documents, I recommend the appeal be granted. (As of 6/30/13, this Committee reported a funds available balance of \$190,750.88.)

  
Tara Molnar – Hearing Officer

August 5, 2013

H

STATE BOARD OF ELECTIONS

13 APR 18 PM 2:59

State of Illinois )  
County of Kendall)

**BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS**

In The Matter Of )  
Illinois State Board Of Elections, )  
Complainant )  
v. )  
Citizens to Elect Tom Cross, )  
Respondent. )

No. 13A0021

**APPEAL AFFIDAVIT**

I, David Krahn, the Treasurer of Citizens to Elect Tom Cross ("the Committee"), first being duly sworn, depose and state that I represent that the Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. The alleged A-1 violation for the contribution received from the Committee to Re-Elect Brent Hassert ("the Contribution") is the result of a data input error.

2. I entered this in-kind contribution the day after receiving it, on October 15, 2012. However, the date that was inadvertently entered on the Committee's reporting software for the Contribution was October 9, 2012.

3. The Committee uses ELECT software for its filings with the State Board of Elections ("SBE"). I have noticed that on occasion, if I change the date in ELECT, to review data, run reports and the like, that changed date remains the new constant until it is physically changed again or the program is closed.

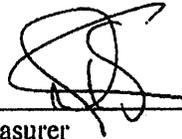
3. On or around October 15, 2012, I apparently changed the date on the ELECT software to October 9, 2012. When I entered the information for the Contribution on October 15<sup>th</sup> and filed the A-1, I did not catch the fact that the date on the A-1 was apparently October 9<sup>th</sup>.

4. The ELECT software automatically files reports for the data that is input into the system. For example, I entered contribution data on October 11<sup>th</sup> and October 12<sup>th</sup>, and filed a batch of A-1s on each of those dates. I have attached copies of these A-1s to this Appeal Affidavit. Had the data for the Contribution been entered on October 9<sup>th</sup>, as the A-1 suggests, the ELECT software would have filed an A-1 for it with the batch of A-1s that were filed on October 11<sup>th</sup>.

5. The Contribution was an in-kind contribution made in connection with a golf outing, but for which the Committee did not receive an in-kind notification form. When reviewing the Committee's accounts, I realized that no notification had been provided to the Committee for the amount paid by the Committee to Elect Brent Hassert in connection with that golf outing, and that that in-kind contribution had not yet been disclosed. Upon discovering that no in-kind notification form had been provided, I immediately notified the Committee to Elect Brent Hassert, who then provided notification of the in-kind contribution. I immediately recorded this in-kind contribution, and filed an A-1 for it on October 15<sup>th</sup>.

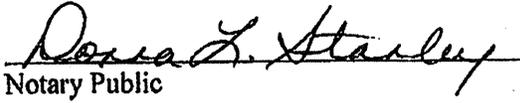
6. I personally handle the campaign finance compliance for the Committee and am meticulous about subscribing to SBE guidelines. The Committee handles a large volume of contribution and expenditure data. Although we take disclosure very seriously, errors nonetheless sometimes occur. This error was only the result of inadvertence, and was not made with any intent whatsoever to violate the Campaign Finance Law in any way.

7. Affiant further sayeth not.



Treasurer  
Citizens to Elect Tom Cross

Subscribed and sworn to before me  
this 12<sup>th</sup> day of April, 2013.

  
Notary Public



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
March 15, 2013  
ID# 7539

Citizens to Elect Tom Cross  
24047 W Lockport St, Ste 201  
Plainfield, IL 60544-1680

7011 2970 0003 6439 1867

Dear Citizens to Elect Tom Cross:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Committee to Re-Elect Brent Hassert	10/9/2012	\$15,000	10/15/2012	2	\$7500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$7500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

12 AS 069

Liz for the 17<sup>th</sup> (ID 22716)  
**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for the Failure to File a Schedule A-1 Report

On September 29, 2012, the Committee received a \$2,500 contribution and reported it on a Schedule A-1 filed on October 9, 2012, 1 day late, resulting in a \$1,250 civil penalty. Additionally this Committee was assessed a \$50 civil penalty (not appealed, not paid, stayed) for delinquently filing a Schedule A-1 Report during the 2<sup>nd</sup> Quarter of 2012. As a second Schedule A-1 Violation, the penalty is reduced to \$625 or 50% of the fine. The total assessment is \$675.

Burt Odelson, Counsel for the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 16, 2013.

Attorney Burt Odelson and Peg Walsh, Submitter, appeared on behalf of the Respondent. Ms. Walsh stated that after business hours on Friday, 10/5/12, she attempted to file the Schedule A-1 Report using the IDIS software. She entered the contribution into the software and a Schedule A-1 Report was generated, however the "File Now" button used to transmit the report electronically did not appear on the upload window. Ms. Walsh contacted Board staff on the next business day, 10/9/12, for assistance. She was told she had to manually extend the upload window to reveal the hidden "File Now" button.

To clarify, some instances when an IDIS user attempts to file a report, the upload window will appear, however the window will not be big enough to display the "File Now" button which is used to transmit a report electronically. When this occurs, it can appear to the user that there is an error in the program. Instructions to manually make the window bigger using the mouse are not given and users usually call Board staff for assistance.

In order to be consistent with prior Board decisions when an electronic filing issue is presented as a defense and since this defense has not previously been offered, I recommend that the appeal be granted. If the Board accepts this recommendation, the \$50 civil penalty assessed for delinquently filing the Schedule A-1 Report during the 2<sup>nd</sup> Quarter of 2012 will remain stayed. (As of 6/30/13, this Committee reported a funds available balance of \$52,606.99.)

  
Tara Molnar – Hearing Examiner

August 28, 2013 21

2013 MAR 28 AM 10:22

STATE BOARD OF ELECTIONS

State of Illinois )  
County of: Cole )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
LIZ FOR THE 17TH )  
Respondent(s). )

Case No. 12AS069

APPEAL AFFIDAVIT

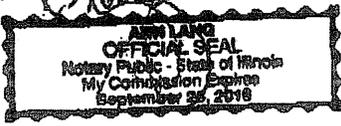
I, ELIZABETH GORMAN, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)  
LIZ FOR THE 17TH  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(see attached sheet)

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]  
before me this 22nd Day of March, 2013  
Notary Public  
(seal)



Peg Walsh who has been preparing the reports for the Treasurer attempted to file the A-1 in question on Friday, October 5, 2012. When she selected the button "File A-1" the screen that opened did not show the button to actually file the report. As this was after normal business hours on October 5<sup>th</sup>, she was unable to contact the State Board until Tuesday, October 9<sup>th</sup>, as October 8<sup>th</sup> was a holiday [Columbus Day]. When she spoke with the representative in Campaign Disclosure she was told that this happened sometimes and that it was simply a matter of scrolling over the bottom of the page and opening the page lower and then the proper button showed and she filed the report immediately.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
March 15, 2013  
ID# 22716

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Liz for the 17th  
10834 Carolyn Ct  
Orland Park, IL 60467-4556

7011 2970 0003 6442 9317

Dear Liz for the 17th:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Morrison Security Corp	9/29/2012	\$2500	10/9/2012	1	\$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1250 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$625, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
April 1 through June 30 2012	Schedule A-1	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$675</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

13 MA 019

Friends of Sam Yingling      23561  
**Respondent**

**REPORT OF HEARING EXAMINER**

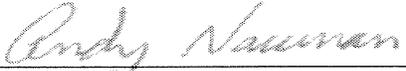
Appeal of Civil Penalty Assessment for Delinquently Filing a  
Schedule A-1 in the 4<sup>th</sup> Quarter of 2012 and the December 2012 Quarterly Report

The committee received a \$1,000 contribution on 10/4/12, and reported this contribution to the Board on 11/5/12, 16 days late, resulting in a civil penalty assessment of \$500. The December 2012 Quarterly Report was received by the Board on 1/16/13, 1 day late, resulting in a civil penalty assessment of \$100. Additionally, the Committee had previously been assessed a \$2,750 civil penalty (not appealed, stayed) for delinquently filing the March 2011 Quarterly Report. The total assessment is \$3,350.

The Respondent was represented by attorney Michael Kasper at the May 20<sup>th</sup> appeal hearing.

Michael Kasper supplied the hearing officer with a copy of a check image regarding the Richard Shapiro \$1,000 contribution. The check image shows the check was deposited on 11/6/12. Mr. Kasper stated that the committee timely filed a Schedule A-1 for this contribution for the committee actually filed the Schedule A-1 before the deemed receipt date. In relation to the December 2012 Quarterly Report Mr. Kasper stated that the committee tried to file the report on the last day to file however they had a problem with the electronic filing program which prevented them from filing the report. Mr. Kasper stated that the report was faxed in at 12:15 AM.

The deposit date listed on the check image in accordance with the Schedule A-1 filed on 11/5/12 shows that the Committee would have reported the Richard Shapiro contribution prior to the deemed receipt date. I recommend the appeal be granted. However, considering the contribution should have actually been reported as being received on 11/6/12 rather than 10/4/12 I also recommend the Committee to amend their December 2012 Quarterly Report to reflect the deemed receipt date within 30 days of the Board's Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. In relation to the December 2012 Quarterly Report it is documented in an IDIS ticket that the committee contacted the State Board of Elections on 1/16/12 at 8:20 AM., and IT staff had to correct an SQL Server problem on the committee's computer. Taking this into consideration and in order to be consistent with previous Board decisions when an electronic filing issue is presented, I recommend the Board grant the appeal for the December 2012 Quarterly Report. If this recommendation is accepted by the Board, the stay on the previously assessed \$2,750 civil penalty will remain in place. (As of 3/31/13, this Committee reported a funds available balance of \$14,331.96.)

  
Andy Nauman – Hearing Examiner  
June 19, 2013

STATE BOARD OF ELECTIONS

13 APR -8 AM 11:29

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Sam Yingling )  
Respondent(s). )

Case No. 13MA019

DATE 02

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)  
Friends of Sam Yingling  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The reasons and defenses will be presented at the hearing.

Signed and Sworn to by:  
Michael J. Kasper  
before me this 15<sup>th</sup> Day of  
April 2013  
Dorene M Egan  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
March 15, 2013  
ID# 23561

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Sam Yingling  
1919 IL Route 83  
Round Lake Beach, IL 60073-5108

7011 2970 0003 6442 9355

Dear Friends of Sam Yingling:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 16, 2013, 1 day(s) late. As such, this committee has been assessed a fine of \$100.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Richard Shapiro	10/4/2012	\$1000	11/5/2012	16	\$500

The committee is fined a **total** of \$500 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$150.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed April 15. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

13 MA 053

Citizens for Debbie Meyers-Martin (ID 24887)  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing the D-1 Statement of Organization, the 2013 March Quarterly Report and a Schedule A-1 Report

The D-1 Statement of Organization was received by the Board on January 17, 2013, 9 days late, resulting in a \$450 civil penalty assessment.

The 2013 March Quarterly Report was received by the Board on May 20, 2013, 25 days late, resulting in a \$1,250 civil penalty assessment.

The Committee received a \$2,000 contribution on December 19, 2012 and reported in a Schedule A-1 Report received on January 17, 2013, 14 days late, resulting in a \$1,000 civil penalty. As a first time Schedule A-1 Violation, the fine is reduced to \$100 or 10% of the above referenced amount.

The total assessment is \$1,800.

Debbie Meyers-Martin, Candidate and Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 20, 2013.

In regards to the D-1 Statement of Organization, Mrs. Meyers-Martin stated that she formed the political committee in late 2012 to support her candidacy of the Mayor of Olympia Fields. She was running as a first time candidate and won the 2013 Consolidated Election. She hired a Treasurer to assist with Campaign Disclosure filings; however, this person was not familiar with the new reporting requirements or the electronic filing process. Mrs. Meyers-Martin stated she personally contacted Board staff on several occasions for assistance with disclosure requirements and was told that she had 30 days from the date of creation to submit the D-1 Statement of Organization. She thought she was filing the report early when she submitted it on January 17, 2013.

In regards to the delinquent Quarterly Report, Mrs. Meyers-Martin stated that on April 15, 2013, she timely filed a paper report. Since the Committee exceeded the \$10,000 threshold during the Quarter, the committee received an Electronic Filing Notice stating that the paper report would be considered a non-filing if an electronic report was not submitted by May 16, 2013. Mrs. Meyers-Martin stated her computer was incompatible with the IDIS software. On May 13, 2013, she contacted Board staff who recommended that she use IDIS version 3.0, a beta version of the online software to be released this year. She was able to log on and enter the transactions into the system but could not electronically submit the report. IT staff contacted her directly to confirm she was using IDIS 3 and then was told she could receive assistance from the Chicago Board staff to file the report. The report was filed on May 20, 2013.

In regards to the delinquent Schedule A-1 filing, Mrs. Meyers-Martin stated that the Committee was unaware that the Schedule A-1 report was required to be filed within two business days of receiving the contribution. Mrs. Meyers-Martin asks that the appeal be granted due to her inexperience and problems with the IDIS software.

In order to be consistent with prior Board decisions when an electronic filing issue is presented as a defense and since this defense has not previously been offered, I recommend that the appeal be granted for the civil penalty regarding the delinquent filing of the 2013 March Quarterly Report only. It appears that the Committee was not aware of the filing requirements regarding the delinquent D-1 Statement of Organization and Schedule A-1 Filing. The Committee's Treasurer is responsible for understanding campaign finance laws and filing reports timely. Lack of knowledge and miscommunication between campaign staff is an internal matter and not the responsibility of the Board. I recommend the appeal be denied regarding the civil penalty assessed for the delinquent D-1 Statement of Organization (\$450) and Schedule A-1 Report (\$100), for lack of an adequate defense. If the Board accepts this recommendation, \$550 will be due. (As of 6/30/13, this Committee reported a funds available balance of \$6,951.81).



Tara Molnar – Hearing Officer

August 26, 2013

State of Illinois )  
County of Cook )

2013 JUL -3 AM 9:16  
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Citizens for Debbie Meyers-Martin )  
Respondent(s). )

Case No. 13MA053

APPEAL AFFIDAVIT

I, Debbie Meyers Martin, the Chairman of the  
(Name) (Chairman/Treasurer)  
Citizens for Debbie Meyers Martin  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- A) As a first time candidate filing campaign disclosure forms electronically, I was not familiar with the process or electronic systems used to file required paper work. I did file the forms in writing by the deadline of April 15th. I was then told I had to file electronically by May 16th. Each time I tried to submit the forms electronically, I could not because there were mistakes. I was told by the IT person in Springfield not to worry about the late filing because there was a record of me trying to file the forms on several occasions at several times.
- B) In an attempt to receive assistance from the State Board of Elections, I visited their office several times but each time, I received a variety of directions from different staff members of the Chicago Office of the State Board of Elections in person as well as by telephone from the Springfield Office. This caused a great deal of confusion.

Please see attached...

Signed and Sworn to by:  
Debbie-Meyers-Martin  
before me this 3rd Day of  
July, 2013  
Maria V Cardenas  
Notary Public

Debbie Meyers-Martin  
(Signature of Chairman/Treasurer)



- C) I believe that the numbers of days calculated as filing late are incorrect. I filed my very first report on January 17, 2013. The required date of filing was January 15, 2013. I was told that I was 9 days late instead of 2 days (Jan. 15<sup>th</sup> to Jan. 17<sup>th</sup>) I then filed my second report on time – April 15<sup>th</sup>. I was then told it needed to be filed electronically and was give 30 days to file electronically. That new filing date became May 16<sup>th</sup>. I tried unsuccessfully to file on May 13<sup>th</sup>. I called Springfield with a request for assistance as well as my concerns that I would file late. I was told don't worry about deadlines. At the time I was in Washington D.C. and did not have access to my home computer. I finally filed that report on May 20<sup>th</sup>. Four days after the deadline of May 16<sup>th</sup>. In the letter from Director Borgsmiller, I am assessed a fine based on filing 25 days late. I believe that is incorrect.
- D) I realize that ignorance of a guideline or rule is not usually accepted as an excuse for violations; however there were several factors affecting my ability to comply with the rules for campaign disclosure. They are the following:

I was totally unfamiliar with the campaign disclosure process

I did seek assistance on almost a daily basis from the State Board of Elections both in Chicago and Springfield

Each time I received information and direction from the Board of Elections, it was different version from the previous directions I received from a different Staff member

The information is hard to understand for a novice

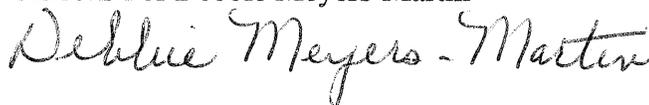
I never was informed that there was a class available to me to assist with the preparation of these forms

I enlisted a Treasurer to help me with the Campaign Disclosure Process; however my Treasurer was unaware of the electronic filing process and I did not know his lack of knowledge until it was time to submit disclosure forms .and therefore it was too late in the process to seek other advice and counsel for the filing.

It is for the above reasons that I ask for leniency in the assignment of fines due to my inexperience and lack of exposure to reliable advice related to the filing of the Campaign Disclosure form...

Thank you for your time and consideration for these most important details related to the Citizens For Debbie Meyers-Martin campaign disclosure filing of appropriate disclosure forms.

Debbie Meyers-Martin  
Chairman  
Citizens For Debbie Meyers-Martin



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 24887

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Debbie Meyers Martin  
P O Box 237  
Olympia Fields, IL 60461

7011 2970 0003 6442 6712

Dear Citizens for Debbie Meyers Martin:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 17, 2013, 9 day(s) late. As such, this committee has been assessed a fine \$450.

Additionally, ss you have been previously notified, this committee failed to electronically re-file the following document as required:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provisions of Section 100.150 Rules & Regulations, it has been assessed a civil penalty for each day this report remained electronically unfiled. According to Board records, this report was electronically re-filed by your committee on May 20, 2013, 25 day(s) late. As such, this committee has been assessed a fine of \$1250.

Also, this committee failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Kelly Meyers	12/19/2012	\$2000	1/17/2013	14	\$1000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all new assessments is \$1800.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within**

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 MQ 008**

Knox County Democratic Central Committee  
Cmte ID: 287  
**Respondent**

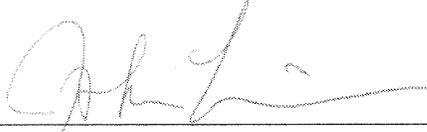
**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment  
For Delinquently Filing the March 2013 Quarterly Report

The Report was received on April 16<sup>th</sup>, 2013, 1 day late, resulting in an assessment of \$100. The Committee was previously assessed \$50 (appeal denied) for delinquently filing a Schedule A-1 during the second quarter of 2011 and \$25 (not appealed, paid) for delinquently filing the June 2011 Quarterly Report.

Robin Davis, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the affidavit, Ms. Davis, "I was having problems with the IDIS software on the filing due date. Could not get the software to successfully upload until the 16<sup>th</sup>." Given the lack of detail regarding this assertion, it was requested of Ms. Davis to provide additional information with respect to her efforts to upload the Report in a timely manner. She agreed to do so. As of the date of this report, no such information had been received.

Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." Had Ms. Davis provided the requested additional information regarding her efforts to upload the Report, the appeal would have been looked upon more favorably. In the absence of such information, I recommend the appeal be denied. If the Board accepts this recommendation, the fine of \$100 would be due and owing. As of June 30, 2013, the Committee reported a balance of \$4,463.36.

  
\_\_\_\_\_  
John Levin – Hearing Officer  
August 13, 2013

State of Illinois )  
County of: Knox )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **19 JUL -8 AM 8:37**  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )

Vs. )  
Knox County Democratic Central )  
Respondent(s). Committee )

Case No. 13M0008

APPEAL AFFIDAVIT

I, Robin E. Davis, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Knox County Democratic Central Committee  
(Name of the Committee)

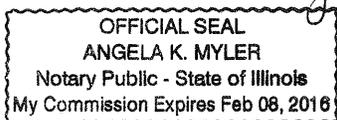
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was having problems with the FDIS software  
on the filing due date. Could not get the software to  
successfully upload until the 16th.

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Angela K Myler  
before me this 3<sup>rd</sup> Day of July, 2013

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller  
June 3, 2013

Knox County Democratic Central Committee ID# 287  
PO Box 2  
Galesburg, IL 61402-0002

7011 2970 0003 6442 7405

Dear Knox County Democratic Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 16, 1 day(s) late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

12 AJ 063

44<sup>th</sup> Ward Regular Democratic Organization (ID 468)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

During the 2<sup>nd</sup> Quarter of 2012, the Committee received two contributions of \$1,000 or more totaling \$20,000 and delinquently reported them on Schedule A-1 Reports, resulting in a \$10,000 civil penalty. Additionally, this Committee was assessed a \$750 civil penalty (not appealed, reduced, paid) for the failure to file a Schedule A-1 Report during the 4<sup>th</sup> Quarter of 2011 and a \$750 civil penalty (not appealed, reduced paid) for delinquently filing a Schedule A-1 Report during the 1<sup>st</sup> Quarter of 2012. As a third or subsequent Schedule A-1 violation, the fine is \$10,000, or assessed at 100% of the civil penalty.

Michael Kasper, Attorney, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on February 14, 2013.

Attorney Michael Kasper and Erica Becon-Kelly, Submitter, appeared on behalf of the Respondent. Mrs. Becon-Kelly stated that she filed the reports on behalf of the Committee, working from home on a part time basis. On 5/9/12 and 6/30/12, the Committee received and deposited two contributions of \$10,000 and failed to inform Mrs. Becon-Kelly of the transactions. She noticed the deposits after the filing deadline and uploaded the reports as soon as possible. Mr. Kasper indicated this was an inadvertent error and asks the appeal be granted.

I understand staff was not informed of the deposits in a timely manner, however, this is an internal matter and not the responsibility of the Board. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment is \$10,000 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$934.65).



Tara Molnar – Hearing Officer

August 26, 2013

STATE BOARD OF ELECTIONS

13 JAN -9 AM 10:42

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
44th Ward Regular Democratic Org )  
Respondent(s). )

Case No. 12 AJ 063

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)  
44th Ward Regular Democratic Org  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Assessment wrongly applied due to incorrect deposit date.

Signed and Sworn to by:  
Michael J. Kasper  
before me this 9th Day of  
January, 2013  
Dorene M Egan  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 18, 2012  
ID# 468

44th Ward Regular Democratic Org  
915 W Belmont Ave  
Chicago, IL 60657-4408

7012 1010 0002 5104 4295

Dear 44th Ward Regular Democratic Org:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Tunney	6/30/2012	\$10,000	7/11/2012	2	\$5000
Citizens for Tunney	5/9/2012	\$10,000	5/17/2012	1	\$5000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$10,000 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$10,000, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

STATE OF ILLINOIS  
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

v.

13 AM 009

Personal PAC, Inc.

ID#497

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received two \$6,000 contributions and a \$1,000 contribution on 3/6/13 and reported all three on a Schedule A-1 received by the Board on 3/21/13, 6 days late, resulting in a total assessment of \$6,500. Additionally, the Committee had previously been assessed a \$200 civil penalty (appealed, denied, paid) for delinquently filing Schedule A-1s for the 2010 General Election. The total assessment is \$6,500.

Authorized by Melissa Widen, Chairman of the Committee, Terry Cosgrove, President of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Cosgrove states the Schedule A-1 was filed by an employee who was just taking over responsibilities for an abruptly terminated employee, one that was in charge of all reporting. The new employee was not yet versed in the rules of the State Board and the Committee asks to forgive this ignorant mistake.

I recommend the appeal be denied for lack of an adequate defense. While there is no indication these violations were anything other than inadvertent and unintentional and since this is the second set of A-1 violations for this Committee, I recommend the penalty be reduced to 50% of the original assessment or \$3,250. If these recommendations are accepted by the Board, the \$3,250 civil penalty will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$578,809.44.)



---

Kim Patrick – Hearing Officer

July 30, 2013

State of Illinois )  
County of: COOK )

STATE BOARD OF ELECTIONS

13 JUN 24 PM 12:23

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Personal PAC, Inc. )  
Respondent(s). )

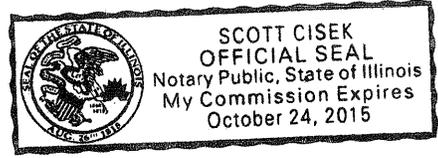
Case No. 13AM009

APPEAL AFFIDAVIT

I, Terry Cosgrove, the President & CEO of the  
(Name) (Chairman/Treasurer)  
Personal PAC Inc. (political action committee)  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This A-1 was filed by an employee who was only just taking over responsibilities for a abruptly terminated employee, one in charge of all reporting. Due to the firing of said employee, the new employee was not yet versed in the rules of the State Board. Please forgive this ignorant mistake.



Terry Cosgrove  
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]

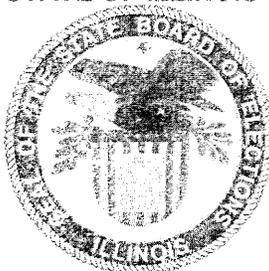
before me this 20 Day of JUNE, 2013

Notary Public  
(seal)

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 497

Personal PAC Inc  
134 N La Salle St, Ste 2040  
Chicago, IL 60602-1133

7011 2970 0003 6442 6033

Dear Personal PAC Inc:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Barbara Bluhm-Kaul	3/6/2013	\$6000	3/21/2013	6	\$3000
Liz Lefkofsky	3/6/2013	\$6000	3/21/2013	6	\$3000
Ronna Stamm	3/6/2013	\$1000	3/21/2013	6	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$6500 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$650, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

12 AS 064

Friends of Mary E. Flowers      4261  
**Respondent**

**REPORT OF HEARING OFFICER**

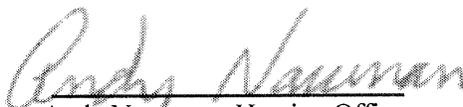
Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 2<sup>rd</sup> Quarter of 2012

This committee received a \$1,000 contribution on 8/26/12, and reported it on a Schedule A-1 filed with the Board on 10/7/12, 25 days late, resulting in a civil penalty assessment of \$500. Additionally, the committee had previously been assessed a \$100 civil penalty (appealed, denied, paid) for delinquently filing the 2002 General Election Pre-Election Report; a \$125 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 in the 2004 General Election; a \$50 civil penalty (appealed, denied, reduced, stayed) for delinquently filing a Schedule A-1 in the 1<sup>st</sup> Quarter of 2011. The total assessment is \$550.

The Respondent was represented by attorney Michael Kasper at the May 20<sup>th</sup> appeal hearing. Delores Crawford the committee's bookkeeper was contacted by telephone.

Delores Crawford was under the impression that since they were not participating in an election that Schedule A-1 Reports were not required. Ms. Crawford stated that she always checks with the State Board of Elections on matters and she was informed that she did not have to worry about Schedule A-1 reports. She also stated that if her memory serves her correctly she was specifically told that she did not have to file a Schedule A-1 regarding the \$1,000 Abbott Laboratories contribution. Ms. Crawford said the contribution was deposited shortly after it was received however she did not recall when it was deposited. Mr. Kasper stated the committee clearly thought the old Schedule A-1 rules applied in that a Schedule A-1 only had to be filed if a contribution was received within the 30 days prior to an election.

I believe that Delores Crawford thought that Schedule A-1 Reports only had to be filed within the 30 day window prior to an election however I find it hard to believe that the State Board of Elections staff would have told her that she was not required to file a Schedule A-1 for a contribution of \$1,000 or more. The State Board of Elections staff is very well informed in relation to the Schedule A-1 requirements. Plus, it appears that the committee was aware of the year round filing requirement for Schedule A-1 reports because they filed 7 different Schedule A-1 reports prior to receiving this contribution and all of those Schedule A-1 reports were for contributions outside the 30 day window prior to an election. Additionally, the committee uses the IDIS program and the program notifies the data entry person that a Schedule A-1 needs to be filed when a contribution of \$1,000 or more is entered into the system. Furthermore, the Committee should have known of the new Schedule A-1 reporting requirements; the Board sent mailings to all committees and additionally posted information on the Board website to notify and educate committees about the changes of the law. Therefore, I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 50% of the original assessment as a second violation, or \$250. If this recommendation is accepted by the Board, the stay will be lifted from the \$50 civil penalty, and the total amount of \$300 will be due and owing. (As of 3/31/13, this Committee reported a funds available balance of \$21,492.44.)

  
Andy Nauman – Hearing Officer  
June 19, 2013

STATE BOARD OF ELECTIONS

13 APR -1 AM 9:29

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Mary E. Flowers )  
Respondent(s). )

Case No. 12AS064

APPEAL AFFIDAVIT

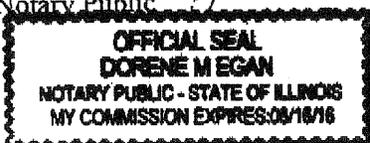
I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)  
Friends of Mary E. Flowers  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Reasons and defenses will be discussed at the hearing.

Signed and Sworn to by:  
Michael J. Kasper  
before me this 28<sup>th</sup> Day of  
March, 2013  
Dorene M Egan  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
March 15, 2013  
ID# 4261

Friends of Mary E Flowers  
7712 S Paulina St  
Chicago, IL 60620-4417

7011 2970 0003 6442 9287

Dear Friends of Mary E Flowers:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Abbott Laboratories	8/26/2012	\$1000	10/7/2012	25	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through March 31 2012	Schedule A-1	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$300</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 AD 060

Friends of Ken Dunkin 11436

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 4<sup>th</sup> Quarter of 2012

This committee received a \$2,700 in-kind contribution on 12/31/12, and reported this contribution on a Schedule A-1 received by the Board on 1/15/13, 5 days late, resulting in a civil penalty assessment of \$1,350. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 for the 2002 general primary election; a \$150 civil penalty (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 for the 2002 general primary; a \$50 civil penalty (not appealed, reduced, paid) for delinquently filing the June 2006 semi-annual report; a \$40 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2008 general primary; a \$100 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 for the 2010 general primary; a \$200 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 for the 2010 general primary; a \$250 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 during the 3<sup>rd</sup> Quarter of 2011; a \$625 civil penalty (appealed, denied, reduced, not paid) for delinquently filing a Schedule A-1 during the 1<sup>st</sup> Quarter of 2012; a \$1,250 civil penalty (appealed, denied, reduced, not paid) for delinquently filing a Schedule A-1 during the 1<sup>st</sup> Quarter of 2012; a \$250 civil penalty (appealed, denied, reduced, not paid) for delinquently filing a Schedule A-1 during the 1<sup>st</sup> Quarter of 2012; and a \$250 civil penalty (appealed, denied, reduced, not paid) for delinquently filing a Schedule A-1 during the 1<sup>st</sup> Quarter of 2012. The total assessment is \$3,725 (this does not include the pending contribution limits civil penalties).

The Respondent was represented by Michael Kasper at the August 9<sup>th</sup> appeal hearing.

Michael Kasper stated that the committee refunded the contribution. Mr. Kasper supplied the hearing officer with a copy of a check that shows the committee gave MALDO Management a \$4,500 check in July of 2013.

The committee received an in-kind contribution for rent in the amount of \$2,700 on 12/31/12 and reported this contribution on a Schedule A-1 filed with the State Board of Elections on 1/15/13. The committee wrote a check back to the committee for \$4,500 in July of 2013. Therefore, the contribution would not have been returned until more than 6 months after the violation took place. I believe the Committee may have had good intentions on returning the contribution, but I recommend the appeal be denied for lack of an adequate defense. The Statute does not provide a way to dispose of a contribution to avoid a Schedule A-1 violation. The Statute only provides a means to donate a contribution to a charity or return a contribution to the contributor in relation to contribution limit violations. Although there is no indication that this violation was anything other than inadvertent and unintentional, I recommend that the penalty not be reduced from \$1,350 because the committee has had more than three schedule A-1 violations. If this

recommendation is accepted by the Board, the amount of \$3,725 will be due and owing (this amount does not include the pending contribution limits civil penalties that are being appealed). (As of 6/31/13, this Committee reported a funds available balance of \$190,968.36.)

  
Andy Nauman  
Andy Nauman – Hearing Officer  
August 14, 2013

13 JUN 25 AM 9:21

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Ken Dunkin )  
Respondent(s). )

Case No. 13AD060

APPEAL AFFIDAVIT

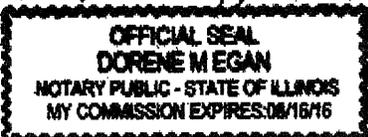
I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)  
Friends of Ken Dunkin  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

Signed and Sworn to by:  
Michael J. Kasper  
before me this 25<sup>th</sup> Day of  
June, 2013  
Dorene M Egan  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 11436

Friends of Ken Dunkin  
PO Box 16802  
Chicago, IL 60616-0802

7011 2970 0003 6442 6989

Dear Friends of Ken Dunkin:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Maldo Management	12/31/2012	\$2700	1/15/2013	5	\$1350

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1350 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$1350, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through March 31, 2012	Schedule A-1	\$2375
	Contribution Limits	APPEALED
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$3725 (pending appeal)</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**13 MQ 055**

Democratic Women of Knox County  
Cmte ID: 16139  
**Respondent**

**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment  
For Delinquently Filing the March 2013 Quarterly Report

The Report was received on April 16<sup>th</sup>, 2013, 1 day late, resulting in an assessment of \$25. The Committee was previously assessed \$50 (not appealed, paid) for failing to file a Schedule A-1 during the second quarter of 2011 and \$250 (not appealed, paid) for failing to file an A-1 during the second quarter of 2012.

Robin Davis, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the affidavit, Ms. Davis, "I was having problems with the IDIS software on the filing due date. Could not get the software to successfully upload until the 16<sup>th</sup>." Given the lack of detail regarding this assertion, it was requested of Ms. Davis to provide additional information with respect to her efforts to upload the Report in a timely manner. She agreed to do so. As of the date of this report, no such information had been received.

Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." Had Ms. Davis provided the requested additional information regarding her efforts to upload the Report, the appeal would have been looked upon more favorably. In the absence of such information, I recommend the appeal be denied. If the Board accepts this recommendation, the fine of \$25 would be due and owing. As of June 30, 2013, the Committee reported a balance of \$4,331.90.

  
\_\_\_\_\_  
John Levin – Hearing Officer  
August 13, 2013

State of Illinois )  
County of: Knox )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

13 JUL -8 AM 8:37

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Democratic Women of Knox County )  
Respondent(s). )

Case No. 13MQ055

APPEAL AFFIDAVIT

I, Robin E. Davis, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Democratic Women of Knox County  
(Name of the Committee)

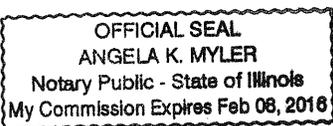
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was having problems with the IDIS software  
on the filing due date. Could not get the software to  
successfully upload until the 16th.

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Angela K Myler  
before me this 3<sup>rd</sup> Day of July, 2013

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller  
June 3, 2013  
ID# 16139

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Democratic Women of Knox County  
PO Box 433  
Galesburg, IL 61402-0433

7011 2970 0003 6442 7870

Dear Democratic Women of Knox County:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 16, 1 day(s) late. As such, this committee has been assessed a fine of \$25.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

13 MQ 071

United Peoples Party 18568  
**Respondent**

**REPORT OF HEARING OFFICER**

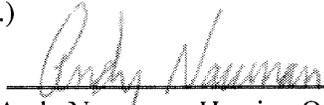
Appeal of Civil Penalty Assessment for Delinquently Filing  
The March 2013 Quarterly Report

The Report was received by the Board on May 7, 2013, 16 days late, resulting in a civil penalty assessment of \$800. Additionally, the Committee had previously been assessed a \$150 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2007 Consolidated Election; a \$25 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 for the 2007 Consolidated Election; and a \$25 civil penalty (not appealed, stayed) for delinquently filing the June 2011 Quarterly Report. The total assessment is \$825.

The Respondent was represented by attorney Sara Gallagher at the August 9<sup>th</sup> appeal hearing.

Sarah Gallagher stated that the delinquent filing of the March 2013 Quarterly Report was done inadvertently. The committee typically receives email notifications from the State Board of Elections when the reports are due however the treasurer did not receive an email regarding this filing. Ms. Gallagher stated that the email did not show up in the treasurer's regular, deleted or spam email folder. Once the treasurer was made aware of the filing on May 6, 2013 the report was promptly filed the next day. The candidates involved in this committee ran unopposed in the 2013 Consolidated Election and the committee had no activity during the March 2013 Quarterly Report reporting period. The Respondent requests the civil penalty be waived or in the alternative the committee requests that they be allowed to pay the \$25 civil penalty for delinquently filing the June 2011 Quarterly Report and then the Board stay the March 2013 Quarterly Report civil penalty. The committee received an email regarding the June 2013 Quarterly Report.

The hearing officer looked at the State Board of Elections internal program that logs when email notifications were sent out. An email notice for the March 2013 Quarterly Report was sent to the email address on record with the State Board of Elections on 2/27/13 at 9:07:49 AM. The State Board of Elections did not receive a notification that the email was not deliverable otherwise the State Board of Elections would have mailed out a packet to the committee. I recommend the appeal be denied for lack of an adequate defense. I also recommend the Board not consider the two requests the committee made to waive the fine or in the alternative allow them to pay the first smaller civil penalty and stay the second larger civil penalty. If this recommendation is accepted by the Board the stay will be lifted off of the \$25 civil penalty and a total amount of \$825 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$5,227.92.)

  
\_\_\_\_\_  
Andy Nauman – Hearing Officer

August 14, 2013

State of Illinois )

County of: Cook )

STATE BOARD OF ELECTIONS

13 JUN 11 PM 12:03

BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

ID # 18568

Case No.

13M2071

United Peoples Party

Respondent(s).

APPEAL AFFIDAVIT

I, Annette M. Kaptur

(Name)

the

Treasurer

(Chairman/Treasurer)

of the

United Peoples Party

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The violation was committed inadvertently in that a notification email had not been received by Treasurer and did not show up in regular, deleted or spam email. The Treasurer was not aware of a required filing or a missed filing until May 6, 2013 after which promptly filed the post election report. There had been no activity on the accounts of the party from Jan 1, 2013 to March 31, 2013.

Activity in April will be reported in the Report April 1, 2013 to June 30, 2013 by July 15, 2013.

Annette M. Kaptur  
Signature of Chairman/Treasurer

The email does work and an email was received for the upcoming filing, but one was not received for special filing. Grant all penalties be removed.

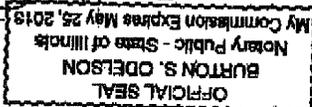
Signed and Sworn to by

Annette M. Kaptur

before me this 9th Day of June, 2013

Notary Public (seal)

Burt O. Decker



BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter of:

Complainant(s)

v.

United Peoples Party  
Respondent(s)

No. 13M007

APPEARANCE

The undersigned enters the appearance of (check one):

COMPLAINANT(S)

or

RESPONDENT(S)

(insert name)

Check one:  Attorney  Pro Se

Name:

Sara Gallagher/Odelson & Sterk, Ltd.

Address:

3318 W. 95th St.

City/State/Zip:

Evergreen Park, IL 60805

Telephone:

(708) 424-5678

Fax No.:

(708) 425-1898

Email address:

sgallagher@odelsonsterk.com

Will you accept service of documents via FAX transmission? Check one:  Yes  No

  
Signature

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

June 3, 2013

ID# 18568

United Peoples Party  
8224 Independence Dr  
Willow Springs, IL 60480-1018

7011 2970 0003 6442 7955

Dear United Peoples Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contribution and Expenditures  
Report Period: January 1, 2013 through March 31, 2013  
Filing Period: April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 7, 16 day(s) late. As such, this committee has been assessed a fine of \$800.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$25
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$825</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

12 AS 067

Friends of Dave Koehler 19230  
**Respondent**

**REPORT OF HEARING OFFICER**

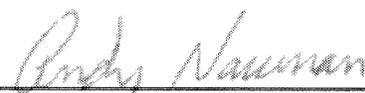
Appeal of Civil Penalty Assessment for Failing to File  
A Schedule A-1 in the 3<sup>rd</sup> Quarter of 2012

This committee received five in-kind contributions and failed to report them on a Schedule A-1. The reported contribution receipt dates and amounts were 7/18/12 for \$20,600, 9/17/12 for \$19,900, 9/18/12 for \$19,045, 9/20/12 for \$19,045, and 9/21/12 for \$7,113.80, resulting in a civil penalty assessment of \$42,896.90. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for failing to file a Schedule A-1 for the 2011 General Election. The total assessment is \$42,946.90.

The Respondent was represented by attorney Michael Kasper at the May 20<sup>th</sup> appeal hearing. Helen Young, the treasurer, and Dave Koehler, the candidate, were contacted via telephone during the hearing.

Mr. Kasper stated that the committee has no record of receiving a notice regarding the SDVF in-kind contribution or the 3 Democratic Party of Illinois in-kind contributions. He stated that the committee was not made aware of these contributions until 10/15/12. Mr. Kasper stated that since the committee was not aware of these contributions until the day they reported them to the State Board of Elections that the contributions should be deemed received timely. In relation to the Personal PAC contribution Mr. Kasper acknowledged that the candidate, Mr. Koehler, received an in-kind notification and the committee inadvertently failed to file a Schedule A-1 for this contribution.

In relation to the SDVF contribution and the 3 Democratic Party of Illinois contributions Mr. Kasper stated the committee did not have knowledge of the in-kind contributions because the committee has no record of a notice ever being received. However, it appears the Jim Hanson, the campaign manager, had knowledge of the in-kind contributions because he e-mailed the treasurer the required information on 10/15/12. Mr. Kasper stated that Mr. Hanson was not employed by the committee, he was never on the committee's payroll, and he was employed by a Democratic group rather than the committee therefore he should not be considered part of the committee. However, the hearing officer believes a campaign manager would be part of a political committee and it doesn't matter who paid him or if he was even paid at all. I recommend the appeal be denied in relation to the SDVF and the Democratic Party of Illinois contributions for lack of an adequate defense. In addition, I also recommend the appeal for the Personal PAC contribution be denied for lack of an adequate defense for the committee acknowledged that they failed to file a Schedule A-1 for this contribution. Furthermore, since there is no indication that these violations were anything other than inadvertent and unintentional, and this is the second quarter for which the committee failed to file a Schedule A-1, I also recommend that the penalty be reduced to 50% of the original assessment, or \$21,448.45. If this recommendation is accepted by the Board, the stay will be lifted from the previously assessed \$50 civil penalty and the total amount of \$21,498.45 will be due and owing. (As of 3/31/12, this Committee reported a funds available balance of \$40,914.18.)

  
Andy Nauman – Hearing Officer  
June 17, 2013

STATE BOARD OF ELECTIONS

13 APR -8 AM 11:29

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Dave Koehler )  
Respondent(s). )

Case No. 12AS067

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)  
Friends of Dave Koehler  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The reasons and defenses will be presented at the hearing.

Signed and Sworn to by:

Michael J. Kasper  
before me this 13<sup>th</sup> Day of

April, 2013

Dorene M Egan  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
March 15, 2013  
ID# 19230

Friends of Dave Koehler  
1020 NE Glen Oak Ave  
Peoria, IL 61603-3259

7011 2970 0003 6442 9300

Dear Friends of Dave Koehler:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
SDVF	7/18/2012	\$20,600	*		\$10,300
Democratic Party of Illinois	9/17/2012	\$19,990	*		\$9995
Democratic Party of Illinois	9/18/2012	\$19,045	*		\$9522.5
Democratic Party of Illinois	9/20/2012	\$19,045	*		\$9522.50
Personal PAC	9/21/2012	\$7113.80	*		\$3556.90

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$42,896.90 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$21,448, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through March 31 2011	Schedule A-1	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$21,498</b>

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

13AD 067

Friends of Dave Koehler (ID19230)  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for the Failure to File Schedule A-1 Reports

During the 4<sup>th</sup> Quarter of 2012, the Committee received 11 contributions of \$1,000 or more, totaling \$110,235.75 and failed to report them on a Schedule A-1 Report, resulting in a \$55,138.00 civil penalty assessment. As a third or subsequent Schedule A-1 violation, the penalty is assessed at \$55,138 or 100% of the fine. Additionally this Committee was assessed a \$50 civil penalty (not appealed, not paid, stayed) for failing to file a Schedule A-1 Report during the 2011 General Election and a \$21,448 civil penalty (appeal pending) for failing to file five Schedule A-1 Reports during the 3<sup>rd</sup> Quarter of 2012. The total fine is \$76,636.00.

Michael Kasper, Attorney, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 16, 2013.

Attorney Michael Kasper appeared on behalf of the Respondent. Mr. Kasper stated that the Committee relied on Helen Young to file the Committee's reports. In 2011, Ms. Young was diagnosed with colon cancer has undergone surgery, radiation and chemotherapy treatments. She is currently on maintenance chemotherapy. The effects of the treatments have made it difficult for her to file the Committee's reports timely. Mr. Kasper submitted a letter from Victoria Hineman Loberg, a friend of Ms. Young, detailing Ms. Young's condition.

I understand that Ms. Young's condition was her primary concern but I recommend that the appeal be denied for lack of an adequate defense. There is no indication that this violation was anything other than inadvertent and unintentional, therefore I recommend that the committee is assessed a fine of \$55,138. This Committee was previously assessed a \$21,448 civil penalty pending. (As of 6/30/13, this Committee reported a funds available balance of \$36,622.70.)



---

Tara Molnar – Hearing Officer

August 8, 2013

State of Illinois )  
County of: Cook )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

13 JUN 26 PM 12:14

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Dave Koehler )  
Respondent(s). )

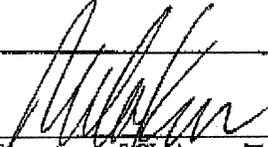
Case No. 13 AD067

APPEAL AFFIDAVIT

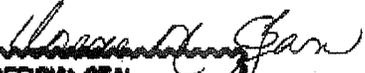
I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)  
Friends of Dave Koehler  
(Name of the Committee)

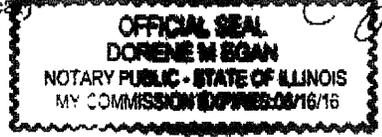
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

  
Signature of Chairman/Treasurer  
Attorney

Signed and Sworn to by:  
Michael J. Kasper  
before me this 26<sup>th</sup> Day of June, 2013

Notary Public  
(see )



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 19230

Friends of Dave Koehler  
1020 NE Glen Oak Ave  
Peoria, IL 61603-3259

7011 2970 0003 6442 7054

Dear Friends of Dave Koehler:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Democratic Party of Illinois	10/17/2012	\$36,670	*	57	\$18,355
	10/29/2012	\$35,500	*	49	\$17,750
Guha Seshadri	10/31/2012	\$1440.75	*	47	\$720.37
Senate Democratic Victory Fund	10/30/2012	\$20,000	*	48	\$10,000
UAW IL PAC	11/13/2012	\$1500	*	36	\$750
Associated Fire Fighters of IL PAC Fund	11/13/2012	\$5000	*	36	\$2500
Wayne Baum Misc Acct	11/13/2012	\$1500	*	36	\$750
SEIU IL Council PAC Fund	11/13/2012	\$4125	*	36	\$2062.50
Federal PAC Fund	11/13/2012	\$2000	*	36	\$1000
Friends of Jehan Gordon	11/13/2012	\$1500	*	36	\$750
Midwest Generation	11/13/2012	\$1000	*	36	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$55,138 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$55,138, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through March 31 2011	Schedule A-1	\$50
July 1 through September 30 2012	Schedule A-1	\$21,448 (appeal pending)
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$76,636</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

12 SQ 207

Committee to Elect John H Vogt State's Attorney (ID 19507)  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquent Filing the 2012 September Quarterly Report

The report was received on January 14, 2013, 59 days late, resulting in a \$2,950 civil penalty. Additionally, this Committee was assessed a \$550 civil penalty (not appealed, not paid, stayed) for delinquent filing the 2011 September Quarterly Report. The total fine is \$3,500.00

John H. Vogt, Candidate, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on May 17, 2013.

Mr. Vogt stated this Committee was formed to support his candidacy for Stephenson County State's Attorney and was completely funded by personal loans. The Committee ceased activity in 2009 and a Final Report was submitted on January 14, 2013. Mr. Vogt stated that the report was late due to an oversight and no intent was made against the spirit of the law. Additionally, he believes the penalty is exorbitant, accounting for 51% of the total funds ever held by the Committee.

Although Mr. Vogt made an oversight, which resulted in a late filing, this is not a valid defense. Therefore I recommend the appeal be denied. If the Board accepts this recommendation, a \$3,500 civil penalty will be assessed. Since the Committee filed a Final Report, I further recommend that the assessment will be abated two years following the effective date of the Final Board Order if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b). (As of 1/14/13, this Committee reported a funds available balance of \$295.38.)



Tara Molnar – Hearing Officer

August 5, 2013

State of Illinois )  
County of : \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

13 APR 10 PM 12:13

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )

Vs. )  
Committee to Elect John H. Vogt )  
Respondent(s). State's Atty )

Case No. 12SQ207

APPEAL AFFIDAVIT

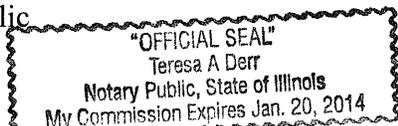
I, John H. Vogt, the Chairman & Treasurer of the  
(Name) (Chairman/Treasurer)  
Committee to Elect John H. Vogt State's Attorney  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

see attached

John H. Vogt  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Teresa A. Derr  
before me this 8<sup>th</sup> Day of April, 2013

Notary Public  
(seal) 

I formed this committee in 2005 because it was required to be done. The only amounts of money ever possessed by the committee were those loaned to the committee by John H. Vogt. That total was \$6,840.00. The committee did not accept any contributions primarily because I did not want any contributor to believe that they were owed any consideration in any decisions of the State's Attorney. Of the \$6,840.00, \$295.38 was left in 2009. It remained the same until January, 2013 when I ended the committee. I was a one person committee, holding all positions in the committee. The reports of the committee were not intentionally untimely or for any reason that was against the spirit and intent of the law. The proposed penalty constitutes over 51% of all the money that was possessed by the committee over its approximate 7 years of existence. In short, I understand the campaign disclosure laws and their importance. However, I wish the Board of Elections to consider the contents of this affidavit in light of the purpose of the law.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller  
March 15, 2013

Committee to Elect John H Vogt State's Attorney ID# 19507  
1420 Woodside Dr  
Freeport, IL 61032-6726

7011 2970 0003 6442 9522

Dear Committee to Elect John H Vogt State's Attorney:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures  
Report Period: July 1, 2012 through September 30, 2012  
Filing Period: October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 14, 2013, 59 day(s) late. As such, this committee has been assessed a fine of \$2950.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through September 30 2011	Quarterly	\$550
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$3500</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

  
Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

12 SQ 208

Crete Township Democrats (ID 19976)

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing the 2012 September Quarterly Report

The report was received on January 15, 2013, 60 day late, resulting in a \$3,000 civil penalty. Additionally, this Committee was assessed a \$100 civil penalty (not appealed, not paid) for delinquently filing the 2011 June Quarterly Report and a \$100 civil penalty (not appealed, not paid) for delinquently filing a Schedule A-1 Report during the 2<sup>nd</sup> Quarter of 2011. The total assessment is \$3,200.

Sherry Newquist, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The hearing was held on May 16, 2013.

The Respondent did not appear at the Appeal Hearing. This Hearing Examiner reviewed the Appeal Affidavit submitted by Ms. Newquist in preparation of this report. Ms. Newquist stated that the Committee was inactive during the 2012 election cycle. The report was filed late due to Committee member job changes, illness and Ms. Newquist's duties out of state with the Obama campaign. No one from the Committee was available to file the report in her absence. Since this delinquent filing, the Committee has re-organized and has filed all subsequent reports timely.

All active political committees, through its Treasurer, is required to file Campaign Disclosure Reports timely. I understand that the Committee was inactive and that Ms. Newquist was out of the state; however this is an internal matter and not a valid defense. Therefore I recommend the appeal be denied. If the Board accepts this recommendation, a \$3,200 civil penalty will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$1,001.31.)



Tara Molnar – Hearing Officer

August 5, 2013

State of Illinois )  
County of: Will )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 APR 15 PM 2:34**  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Crete Township Democrats )  
Respondent(s). )

Case No. 12SQ 208

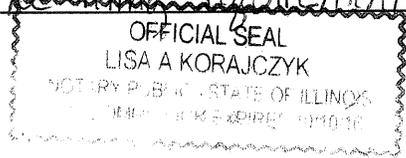
APPEAL AFFIDAVIT

I, Sherry Neuzjust, the Chairman of the  
(Name) (Chairman/Treasurer)  
Crete Township Democrats  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our township committee was inactive for most of 2012 due to job changes + illness of some members, and my prolonged absence from the state while working for the Obama campaign. There was no activity during this time, and no one was readily available who understood

~~the filing~~ requirements. We are re-organizing, and have filed on-time since my return.



Also, it was my understanding that the \$200 fines were waived previously.

Sherry Neuzjust  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Lisa A. Korajczyk

before me this 10 Day of April, 2013

Notary Public  
(seal)

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
March 15, 2013  
ID# 19976

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Crete Township Democrats  
c/o Tom Dal Santo  
26116 S Cambridge Dr  
Crete, IL 60417-4694

7011 2970 0003 6442 9539

Dear Crete Township Democrats:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures  
Report Period: July 1, 2012 through September 30, 2012  
Filing Period: October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 15, 2013, 60 day(s) late. As such, this committee has been assessed a fine of \$3000.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$100
April 1 through June 30 2011	Schedule A-1	\$100
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$3200</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

  
Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

13 DQ 239

Lake County Life PAC 22333

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
The December 2012 Quarterly Report

The December 2012 Quarterly Report was received by the Board on 2/21/13, 24 days late, resulting in a civil penalty of \$4,800. In addition, the Committee has previously been assessed a \$525 civil penalty (appealed, denied, reduced, paid) for delinquently filing the March 2011 Quarterly Report; a \$50 civil penalty (appealed, denied, paid) for delinquently filing the June 2011 Quarterly Report; a \$50 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 during the 1<sup>st</sup> Quarter of 2012; a \$825 civil penalty (appealed, denied, paid) for delinquently filing the June 2012 Quarterly Report. The total assessment is \$4,800.

The Respondent was represented by Peter Breen at the August 8<sup>th</sup> appeal hearing. Roderick Bobinski, the treasurer, was also in attendance.

Mr. Breen stated that the prior treasurer who was terminated by the committee refused to turn over the committee's books to the new treasurer, Mr. Bobinski. Mr. Breen stated that the books were eventually turned over however while the prior treasurer was refusing to turn over the books the committee considered taking legal action against the former treasurer. The delay in receiving the books ultimately put the current treasurer Mr. Bobinski in a bind because he did not want to file or put his name on a report that was not accurate and without the books he could not file an accurate report. Mr. Bobinski stated that the books were eventually given to him in mid February and he completed the reports as soon as he was able to piece everything together because the committee had a good amount of activity during that period of time. The Respondent requests the Board to be lenient on the committee because of their inability to obtain their books and the current fine amount would wipe them out. The committee has a new treasurer and they ask the Board for mercy.

The Respondents stated that the former treasurer refused to turn over the committee's books and it was not until mid February that the books were ultimately turned over. In the opinion of the hearing officer the failure of an officer to turn over the books is an unfortunate internal matter that has no bearing on the responsibility of timely filing quarterly reports. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board the total assessment of \$4,800 will become due and owing. (As of 6/31/13, this Committee reported a funds available balance of \$5,045.50.)



Andy Nauman – Hearing Officer

August 12, 2013



13 JUL -8 AM 8:37

State of Illinois )  
County of LAKE )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )

Vs. )  
LAKE COUNTY LIFE PAC )  
Respondent(s). )

Case No. 13DQ239

APPEAL AFFIDAVIT

I, Roderick Drobinshi, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Lake County Life PAC  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

*There was a change over in treasurers during this period, due to the prior treasurer having filing issues. Because of that, there were difficulties in getting all the documentation & records over to the new treasurer, and the new treasurer did not want to file what might have been inaccurate filings, which would be sworn as accurate and, thus, file fraudulent reports with the Board.*

Signed and Sworn to by:  
Chris Narbone  
before me this 2 Day of  
July, 2013  
Chris Narbone  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 22333

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485

Lake County Life PAC  
100 N Atkinson Rd, Ste 112C  
Grayslake, IL 60030-7805

7011 2970 0003 6442 6606

Dear Lake County Life PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 21, 2013, 24 day(s) late. As such, this committee has been assessed a fine of \$4800.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 MA 048

Committee to Elect Will Guzzardi 23729

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing the March 2013 Quarterly Report  
And Failing to file a Schedule A-1 for the 3<sup>rd</sup> Quarter of 2012

The March 2013 Quarterly Report was received on May 2, 2013, 13 days late, resulting in an assessment of \$975. This Committee also received a \$2,500 contribution on 7/12/12, but failed to report this contribution on a Schedule A-1, resulting in a civil penalty assessment of \$1,250. Additionally, the Committee had previously been assessed a \$1,775 civil penalty (not appealed, not paid) for delinquently filing the September 2012 Quarterly Report; and a \$550 civil penalty (not appealed, not paid) for delinquently filing the December 2012 Quarterly Report. The total assessment is \$4,550.

Will Guzzardi, the candidate, attended the appeal hearing on 8/8/13.

Will Guzzardi stated that his campaign manager terminated his contract with the entity that was filing the reports on behalf of the committee without his knowledge after the June 2012 Quarterly Report was filed. The campaign manager also failed to change the address of the committee and the candidate with the State Board of Elections because the campaign office closed and Mr. Guzzardi moved. As a result the committee was delayed in filing its reports because they did not receive a notice to file the reports until after the reports should have been filed once the mail was forwarded. Mr. Guzzardi stated that he mailed the reports in as soon as he became aware of the problem. However, he was later informed by the State Board of Elections that his committee was required to file their reports electronically. Mr. Guzzardi however did not have a Windows based computer to install the IDIS program which he said further delayed the filings. Mr. Guzzardi realizes that the violations took place in separate reporting periods however he believes they were the result of continuing circumstances. Mr. Guzzardi stated that the committee attempted in good faith to resolve the delinquent filings as quickly as possible and the committee had very little activity to report after the March 2012 Primary. The Respondent asks the Board to waive the penalties because he had clerical and computer errors.

Mr. Guzzardi stated that the March 2013 Quarterly Report was delinquently filed because the campaign office closed, the candidate moved, and the committee had a problem finding a computer to install the IDIS program. However the hearing officer does not believe these circumstances have anything to do with the March 2013 Quarterly Report being delinquently filed. It is my understanding that the campaign office closed in 2012 and the candidate moved in 2012. Furthermore, the committee filed the September 2012 and the December 2012 Quarterly Reports electronically on 1/31/13 which means they would have found a computer to install the IDIS program on it prior to the filing deadline for the March 2013 Quarterly Report that should have been filed by 4/15/13. I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation the amount of \$975 will be due and owing in relation to the March 2013 Quarterly Report.

In relation to the Schedule A-1 violation Mr. Guzzardi stated that his campaign manager terminated the contract with the entity that was filing their reports for them without his knowledge. The hearing officer is of the opinion that the lack of communication between the campaign manager and the candidate in their internal dealings of the political committee is an internal matter that has no bearing on the responsibility of timely filing Schedule A-1 Report. Therefore, I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that this violation was anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$125. If these recommendations are accepted by the Board, the amount of \$1,100 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$262.11.)



Andy Nauman – Hearing Officer

August 13, 2013

13MA048

STATE BOARD OF ELECTION

13 JUL -1 PM 2:20

APPEAL AFFIDAVIT

I, Will Guzzardi, the Chairman of the Committee to Elect Will Guzzardi, first being duly sworn, depose and state that I represent that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committee to Elect Will Guzzardi has engaged in very little activity since the March 2012 primary. It received one large contribution last year, and otherwise has been paying its bills and doing little else.

At the end of my campaign, I was under the impression that the treasury firm we had contracted would continue to file our disclosure reports. It turned out that my campaign manager, in closing the books on the campaign, terminated our contract with the firm without my knowledge following the April-June filing period. She also failed to change the address on our committee's paperwork with the state.

As a result, letters indicating that the committee was behind in its filing were considerably delayed in reaching me. As soon as I became aware of the problem, I moved to resolve it as quickly as possible. After retrieving the necessary paperwork from my bank, I filled out the disclosure forms and mailed them in, only to find that I in fact needed to file the forms electronically. I don't have a Windows computer onto which I could install the disclosure software, which caused further delays. Ultimately, all the reports (including an A-1 dating to the period in which reports were being neglected) were filed correctly and accurately.

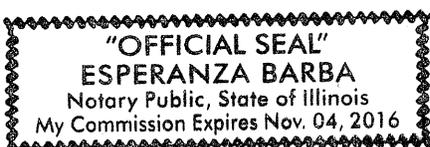
Given that the committee attempted in good faith to resolve the violation; that it was the result of clerical and computer errors; that the amounts in these reports were relatively insignificant; and that the Committee has been diligent to the utmost in its filings up to this point; I ask that the Board waive the penalties in accordance with Section 125.425 subsection (d)(5)(C)(ii), owing to the factors laid out in (d)(5)(D).

Signed and Sworn to by:

William Spector Guzzardi

before me this 21 Day of June, 2013

Notary Public (seal) Esperanza Barba



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller  
June 3, 2013  
ID# 23729

Committee to Elect Will Guzzardi  
2455 N Milwaukee Ave #3F  
Chicago, IL 60647

7011 2970 0003 6442 6668

Dear Committee to Elect Will Guzzardi:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 2, 2013, 13 day(s) late. As such, this committee has been assessed a fine of \$975.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Anne Guzzardi	7/12/2012	\$2500	*	110	\$1250

The committee is fined a **total** of \$1250 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$1100.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed July 3. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

STATE OF ILLINOIS  
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

v.

13 MQ 140

Citizens for Ronald Duebbert  
Cmte ID: 24169  
**Respondent**

**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment  
For Delinquently Filing the March 2013 Quarterly Report

The Report was received on May 8th, 2013, 17 days late, resulting in a fine of \$425. The Committee was previously assessed a total of \$3125 for failing to file five (5) Schedule A-1 Reports during the third quarter of 2012.

Maria Duebbert, the Treasurer of the Committee, filed an Appeal Affidavit in this matter. She also testified in person at a hearing at 10am Wednesday, August 7th, 2013, at the Board's Springfield office, 2329 S. MacArthur Blvd.

Ms. Duebbert stated in the affidavit that the Committee was unaware of the ongoing need to file Quarterly Reports, even when there was no activity during the three months in question. She noted she has worked for the Committee as a volunteer and promptly filed the Report once she was notified of its delinquency. At the hearing, Ms. Duebbert acknowledged the missed deadline was simply the result of an oversight on the Committee's part and reiterated there was no attempt to deceive by the Committee.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the total assessment would be \$3,550. On May 8<sup>th</sup>, 2013, the Committee filed a Final Report (in addition to the delinquent March 2013 Quarterly Report). The Final Report had an ending balance of \$0.00 and has been reviewed and accepted by Board staff. Accordingly, should the Committee remain dissolved for a period of 2 years following the date of the Final Order imposing the penalty, the fine will be abated.



---

John Levin – Hearing Officer  
August 7th, 2013

State of Illinois )  
County of: St. Clair )

STATE BOARD OF ELECTIONS

13 JUN 28 AM 11:28

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. Citizens for Ronald Diebent )

Case No. 13 MQ 140

Respondent(s). )

APPEAL AFFIDAVIT

I, Maria M. Diebent, the Treasurer of the

(Name)

(Chairman/Treasurer)

Citizens for Ronald Diebent

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

As there was absolutely no activity on the committee or any account, donation, etc, we were unaware we were required to file a report. Please see included/attached supplemental letter.

Maria Diebent

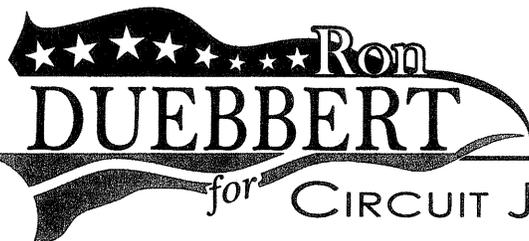
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]

before me this 26 Day of June, 20 13

Notary Public  
(seal)



  
for CIRCUIT JUDGE

June 24, 2013

State Board of Elections  
State of Illinois  
2329 S. MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
fax: 217/782-5959

Re: CITIZENS FOR RONALD DUEBBERT, ID# 24169  
Notice of Appeal

Dear Sirs and/or Madames:

Your letter to CITIZENS FOR RONALD DUEBBERT dated May 1, 2013, informed us that we had failed to file a Quarterly Report of Campaign Contributions and Expenditures for the Report Period January 1, 2013 through March 31, 2013, whose filing period was April 1, 2013 through April 15, 2013. It seems as if our Committee is plagued by errors in reporting, despite our best efforts to completely comply with the State Board of Elections regulations at all times.

**At no time** did the CITIZENS TO ELECT RONALD DUEBBERT intend to **not** disclose contributions and/or expenditures. There was absolutely NO ACTIVITY for the Committee during this period. As such, we were unaware that the Illinois State Board of Elections required a report be filed. When your letter arrived, and we became aware that this error had been made, in good faith and with all urgency we corrected all omissions and/or mistakes by filing the required Quarterly Report.

Because the CITIZENS FOR RONALD DUEBBERT was not aware that our reporting to the Illinois State Board of Elections was being done incorrectly until after we received your correspondence dated May 1, 2013, unfortunately, we continued to be, until the date of receipt of your letter, in violation. Again, as of the date we received your notice, corrections were *immediately* made, and the required Quarterly Report was immediately filed/sent. While I am surely aware that ignorance is not bliss, in all good faith and conscience, I, the Treasurer, attempted to follow your rules scrupulously and to the letter. However, I am simply a volunteer, whose line of work IS NOT campaigning IN ANY WAY. In spite of my best efforts and due diligence, I made a mistake. It seems unfair that the Candidate, who himself could not, in

A copy of our report filed with the State Board of Elections is (or will be) available for purchase from the State Board of Elections, Springfield, Illinois 62706.

To make DONATIONS to:  
Ron Duebbert for Circuit Judge,  
call 618-235-0800, or

Send your donations to:  
23 Public Square, Suite 210  
Belleville, Illinois 62220

Make checks payable to:  
Citizens to Elect Ron Duebbert

[www.ronduebbertforjudge.com](http://www.ronduebbertforjudge.com)

PAID FOR BY CI

80

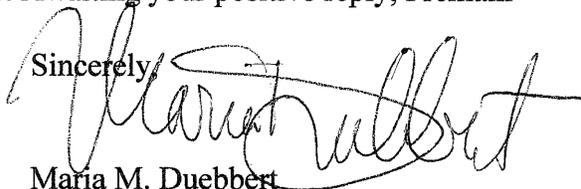
RON DUEBBERT



compliance with Judicial Canons, have anything whatsoever to do with money or financial matters, be punished for the misdeeds of this Treasurer. Again, please note that when the Committee became aware of the oversight in knowing a Quarterly Report needed to be filed, all steps necessary were immediately taken to correct said error, and every attempt has been made to ensure no further errors were and are committed.

If you have any further questions, or if I can be of any further assistance with this matter, please do not hesitate to contact me. Awaiting your positive reply, I remain

Sincerely,

A handwritten signature in black ink, appearing to read 'Maria M. Duebbert', written over the word 'Sincerely,'.

Maria M. Duebbert  
Treasurer, CITIZENS FOR RONALD DUEBBERT

MMD/mmd  
SBE notice of appeal 3

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 24169

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Citizens for Ronald Duebbert  
23 Public Sq, Ste 210  
Belleville, IL 62220-1627

7011 2970 0003 6442 4831

Dear Citizens for Ronald Duebbert:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contribution and Expenditures  
Report Period: January 1, 2013 through March 31, 2013  
Filing Period: April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 8, 17 day(s) late. As such, this committee has been assessed a fine of \$425.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through September 30 2012	Schedule A-1	\$3125
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$3550</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

  
Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

12 AS 080

Friends of Xavier Roman 24447

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 3<sup>rd</sup> Quarter of 2012

This committee received a \$1,500 contribution on 7/2/12, and reported this contribution to the Board 66 business days late, resulting in a civil penalty assessment of \$750. This committee also received a \$2,500 contribution on 9/12/12, and reported this contribution to the Board 16 business days late, resulting in a civil penalty assessment of \$1,750. The total assessment is \$2,500.

Xavier Roman, the chairman, treasurer, and candidate of the committee appeared at the 8/8/13 appeal hearing. Also in attendance was Tony Lovero.

Xavier Roman stated that this was his first time running for office and his first time being involved in a political committee. He stated that he was not aware of the Schedule A-1 filing requirements and the failure to file was an honest error that was not done intentionally. Mr. Roman said the contributions were reported on the Quarterly Report. Mr. Roman apologized for not filing the Schedule A-1 and asks the Board for a pardon in this matter. He stated that he is now aware of the filing requirements regarding the Schedule A-1 Reports and he will file them accordingly going forward.

The treasurer is responsible for the filing of all reports and in the opinion of the hearing officer not understanding the filing requirements would not be an adequate defense. I recommend the appeal be denied. However, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$250. If this recommendation is accepted by the Board the amount of \$250 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$78.95).



Andy Nauman – Hearing Officer  
August 13, 2013

State of Illinois

County of: Cook

2013 JUL -2 AM 11:38

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Friends of Xavier Roman

Respondent(s).

Case No.

12AS080  
ID#: 24447

APPEAL AFFIDAVIT

I, Xavier Roman, the

(Name)

Chairman

(Chairman/Treasurer)

of the

Friends of Xavier Roman

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. This is my first time running for office.
2. This is my first time having/being a Political Commit
3. I have no legal representation nor accountant assisting.
4. This was an honest error and not intentional.
5. I did eventually report it and did not realize the time deadline of reporting.
6. I apologize and ask your pardon.

[Signature]  
Signature of Chairman/Treasurer

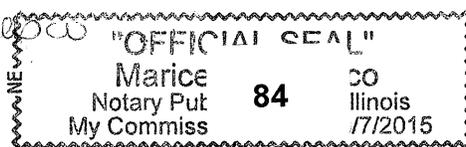
Signed and Sworn to by:

Xavier Roman

before me this 2nd Day of July, 2013

Notary Public  
(seal)

Maricela Canales



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 24447

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of Xavier Roman  
10749 S Ewing Ave  
Chicago, IL 60617-6606

7011 2970 0003 6442 6514

Dear Friends of Xavier Roman:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
John Roeser	7/2/2012	\$1500	10/12/2012	66	\$750
John Roeser	9/12/2012	\$2500	10/12/2012	16	\$1750

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2500 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

13 MA 051

Friends of Fred Tetter (ID 24812)  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for the Delinquent Filing of the 2012 December and 2013 March  
Quarterly Reports

The 2012 December Quarterly Report was received by the Board on February 19, 2013, 22 days late, resulting in a \$550 civil penalty assessment. The 2013 March Quarterly Report was received by the Board on April 18, 2013, 3 days late, resulting in a \$150 civil penalty assessment. The total assessment is \$700.

Monique Howery, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 7, 2013.

Monique Howery appeared on behalf of the Respondent. Ms. Howery stated the candidate was given a D-5, Notice of Obligation by the county clerk at the time he filed his nominating petitions. Mr. Tetter's campaign was unaware of the \$3,000 threshold and prematurely filed the D-1 Statement of Organization on 12/17/12, prior to raising or spending campaign funds. The committee was unaware that they had to file subsequent D-2 Reports of Campaign Contributions and therefore filed the reports late upon receiving notice from the Board. As of this hearing date, the committee has yet to raise or spend \$3,000 which would qualify them as a political committee under the Act.

I understand that the candidate assumed that he was required to file the D-1 based on the information he was provided in the D-5 Notice of Obligation. Although the committee had not met the \$3,000 threshold, it became a political committee and agreed to abide by the Campaign Financing Act when it filed the D-1 Statement of Organization. It is unfortunate that Mr. Tetter or Ms. Howery did not understand the filing requirements; however as officers of the Committee, each person has a responsibility to know and understand the statute. Therefore, I recommend that the appeal be denied. If the Board accepts this recommendation, \$700 will be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$10.96.)



Tara Molnar – Hearing Officer

August 26, 2013

State of Illinois )  
County of: Kankakee )

~~CHARGE~~

2013 JUL -2 PM 2:21

BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Fred Tetter )  
Respondent(s). )

Case No. 13MA051

APPEAL AFFIDAVIT

I, Monique B Howery, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Friends of Fred Tetter  
(Name of the Committee)

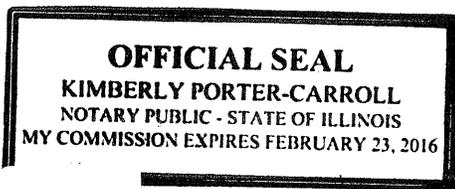
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

D-1 Statement of Organization was filed in error on  
December 22, 2012. The committee has not officially  
been created as the committee, as of 4/15/13 has not  
yet exceeded \$3000 in either receipts or expenditures.

Respondent seeks leave to file an amended D-1 or in the  
alternative waiver of any assessed penalties.

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Kimberly Porter-Carroll  
before me this 2<sup>nd</sup> Day of July, 2013  
Notary Public  
(seal)



STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

June 3, 2013

ID# 24812

Friends of Fred Tetter  
PO Box 211  
Kankakee, IL 60901-0211

7011 2970 0003 6442 6699

Dear Friends of Fred Tetter:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures  
Report Period: October 1, 2012 through December 31, 2012  
Filing Period: January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 19, 2013, 22 day(s) late. As such, this committee has been assessed a fine of \$550.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contribution and Expenditures  
Report Period: January 1, 2013 through March 31, 2013  
Filing Period: April 2, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 18, 2013, 3 day(s) late. As such, this committee has been assessed a fine of \$150.

**The total for all new assessments is \$700.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director, Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

13 MA 052

Friends of Jarman Myron Porter 24813

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for Delinquently Filing the Statement of Organization, the December 2012 Quarterly Report and the March 2013 Quarterly Report

The Statement of Organization was received by the Board on 1/4/13, 2 days late, resulting in a civil penalty assessment of \$100. The December 2012 Quarterly Report was received by the Board on 2/19/13, 22 days late, resulting in a civil penalty assessment of \$550. The March 2013 Quarterly Report was received by the Board on 4/18/13, 3 days late, resulting in a civil penalty assessment of \$300. The total assessment is \$950.

Monique Howery, the treasurer of the Committee, appeared on August 9<sup>th</sup> for the appeal hearing.

Monique Howery stated that she recognizes that the reports were filed late. When the candidate filed his nominating petitions he received a letter that urged him to file the Statement of Organization. The Statement of Organization was completed and filed with the State Board of Elections however the committee was under the impression that they were not required to file any additional paperwork because they had not received receipts or expenditures in excess of \$3,000. The committee used an arbitrary date as the creation date and they did not realize that once the Statement of Organization was filed the committee would be required to file reports. The candidate lost the elections and the committee has filed a Final report.

The committee filed the D-1, Statement of Organization, on 1/4/13 and listed an arbitrary creation date of 12/17/12. I recommend the committee amend the Statement of Organization within 30 days of the Final Order to correctly report the creation date as 1/4/13, the day they voluntarily entered into the system, and to report an accurate funds available as of the creation date. I also recommend that the committee amend the March 2013 Quarterly Report to correspond to the amended creation date and the adjusted funds available at the beginning of the reporting period within 30 days of the Final Order. Contingent on the committee filing these two amendments I recommend the appeal be granted in relation to the Statement of Organization and the December 2012 Quarterly Report. Furthermore, I recommend the appeal be denied for lack of an adequate defense in relation to the March 2013 Quarterly Report. However, contingent on the amended March 2013 Quarterly Report being filed within 30 days of the Boards Final Order I recommend a \$150 civil penalty be assessed in relation to the delinquent filing of the March 2013 Quarterly Report. Contingent on the amended report being filed and the Board accepting this recommendation the \$150 penalty would be stayed. However, since the committee has filed a Final

Report, I further recommend that should the committee remain dissolved for a period of two years following the Board order imposing the fine, the fine be abated.

In the alternative, if the committee does not file the amended reports within 30 days of the Boards Final Order I recommend all three civil penalties be denied for lack of an adequate defense and a \$100 civil penalty be assessed for the Statement of Organization, a \$550 civil penalty be assessed for the December 2012 Quarterly Report, and a \$300 civil penalty be assessed for the March 2013 Quarterly Report. If this recommendation is accepted by the Board the total assessment of \$950 will become due and owing. Furthermore, the committee's reports show that the committee had at least a balance of \$1,546 at the time they were assessed these violations. As per Section 100.110(d) the committee would be required to pay these civil penalties to the State Board of Elections prior to being allowed to exit the reporting system. However, the committee filed a Final Report 7/15/13 and reported 2 itemized transactions were made on 7/7/13 for a total of \$1,546. Therefore, I believe it would be appropriate for the Board to order the committee to make a \$950 civil penalty payment to the State Board of Elections before the committee is allowed to exit the reporting system.



Andy Nauman  
Andy Nauman – Hearing Examiner

August 21, 2013

State of Illinois )  
County of: Kankakee )

OFFICIAL SEAL

2013 JUL -2 PM 2:20

BEFORE THE STATE BOARD OF ELECTIONS OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Jarman M. Porter )  
Respondent(s). )

Case No. 13MA052

APPEAL AFFIDAVIT

I, Monique B. Howerly, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Friends of Jarman Myron Porter  
(Name of the Committee)

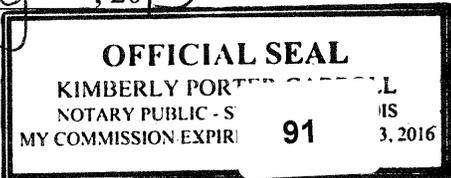
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The D-1 Statement of Organization was filed in error on 1/4/13.  
The committee had not been officially created until after 2/18/13  
when committee receipts and expenditures exceeded \$3000. Further,  
the assessed penalty for the 10/1/12 - 12/31/12 quarterly report  
is wrong. Respondent seeks leave to Amend D-1 statement of org. and  
wavier of any/all assessed penalties.

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]  
before me this 2<sup>nd</sup> Day of July, 2013

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 24813

Friends of Jarman Myron Porter  
PO Box 211  
Kankakee, IL 60901-0211

7011 2970 0003 6442 6705

Dear Friends of Jarman Myron Porter:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 4, 2013, 2 day(s) late. As such, this committee has been assessed a fine of \$100.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 19, 2013, 22 day(s) late. As such, this committee has been assessed a fine of \$1100.

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 2, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 18, 2013, 3 day(s) late. As such, this committee has been assessed a fine of \$300.

**The total for all new assessments is \$1500.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

13 MA 056

HACIA PAC 25109  
**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
The Statement of Organization, the December 2012 Quarterly Report

The D-1 Statement of Organization was received by the Board on 2/22/13, 80 days late, resulting in a civil penalty assessment of \$4,000. The December 2012 Quarterly Report was received by the Board on 2/25/13, 26 days late, resulting in a civil penalty assessment of \$1,300. A Schedule A-1 was received by the Board on 2/25/13, 71 days late, resulting in a civil penalty assessment of \$2,500. The Committee paid the civil penalty associated with the Schedule A-1 violation on 6/25/13 however they are appealing the assessment as well. The total civil penalty assessment prior to the 6/25/13 payment being applied is \$7,800.

The Respondent was represented by attorney Homero Tristan at the August 9<sup>th</sup> appeal hearing. Also in attendance was Jorge Perez, the chairman and treasurer of the committee.

Homero Tristan stated that the HACIA PAC is a new political action committee and this was Jorge Perez's first time being involved in a committee with respect to campaign disclosure filings. The Respondent did not understand or know the filing requirements and they believe their error in not timely filing should be considered one occurrence rather than multiple violations. Mr. Perez stated the late filings were not made on purpose. Since the committee has become aware of the filing requirements they have sought the assistance of an attorney and their staff has been trained regarding campaign disclosure matters. The Respondent requests that the Board accept the \$250 fine payment that has already been made as payment for all three violations or in the alternative they request that each violation be assessed at a more appropriate amount of \$250. The committee has less than \$2,500 and any civil penalty above this amount would essentially wipe them out.

The Respondent did not dispute that the reports were delinquently filed. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the assessment is \$4,000 in relation to the Statement of Organization, and \$1,300 in relation to the December 2012 Quarterly Report. The committee has already paid the State Board of Elections \$250 in relation to the Schedule A-1 violation. The total assessment would be \$5,550 in which the committee has already paid \$250 therefore \$5,300 would be due and owing. (As of 6/30/13, this Committee reported a funds available balance of \$2,481.27.)

I also recommend the Board not accept either of the proposed requests that were made by the Respondent. The Respondent requests that since this is a new political committee and the people within the organization were unaware of the filing requirements that the three civil penalties be considered as one violation since they all took place at nearly the same time and the Board accept

the \$250 fine payment the committee has already made as full payment. In the alternative the Respondent requests that the Board accept a \$750 settlement offer (the \$250 that has already been paid and an additional \$500).



---

Andy Nauman – Hearing Examiner

August 14, 2013



6. HACIA PAC filed a December Quarterly Report on February 25, 2013, twenty-six days late.
7. For failing to file a timely December Quarterly Report HACIA PAC was assessed a fine of \$1,300.00.
8. Under the Election Code, a committee is required to file a report of any contribution of \$1,000.00 or more with the Board of Elections within five (5) business days after receipt of the contribution. 10 ILCS 5/9-10(c).
9. On October 30, 2012 HACIA PAC received a \$5,000.00 contribution from d'Escoto Inc., an Illinois for-profit corporation.
10. HACIA PAC disclosed this contribution with the State Board of Election on February 25, 2013, seventy-one days late.
11. For failure to file a timely for a contribution of \$1,000 or more HACIA PAC was assessed a civil penalty of \$2,500.00.
12. Since this was HACIA PACs first delinquent Schedule A-1 filing, the civil penalty was reduced to \$250.00.
13. In total, HACIA PAC was assessed \$5,550.00 in civil penalties.
14. On June 25, 2013 the State Board of Elections received a \$250.00 check from HACIA PAC for the purposes of paying the alleged Schedule A-1 violation.
15. HACIA PACs current outstanding balance is \$5,300.00.
16. HACIA PAC appeals the decision of this alleged failure to file a timely D-1 Statement of Organization, a December Quarterly Report, and file a timely Schedule A-1 Report.
17. HACIA PAC asks this Board to consider Section 125.425(d)(4)(A) in assessing fines for this alleged violation.

13 JUL -8 PM 2:06

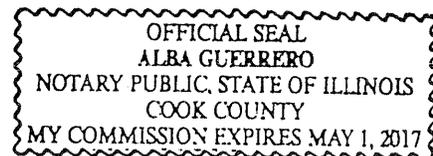
18. Section 125.425(d)(4)(A) reads, "When considering the amount of the civil penalty to be imposed, the Board shall consider all relevant facts including but not limited to whether, in the Board's opinion, the violation was committed inadvertently, negligently, knowingly, or intentionally."
19. I affirm that these violations, if true, were inadvertent or at the very most, negligent.
20. As of HACIA PACs most recent filing on March 31, 2013, HACIA's total assets of \$2,619.63 are greatly exceeded by the fines assessed (\$5,500).
21. If the penalties assessed are fully enforced HACIA PACs balance will be \$-2,880.37.
22. HACIA PAC asks this Board for leniency with respect to the severity of the penalties imposed.
23. Further Affiant sayeth not.

  
\_\_\_\_\_  
Jorge Pérez  
HACIA PAC

Subscribed and sworn before me  
This 3<sup>rd</sup> day of July, 2013.

  
\_\_\_\_\_  
Notary Public

Seal:



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
June 3, 2013  
ID# 25109

HACIA PAC  
901 W Jackson Blvd., Suite 205  
Chicago, IL 60607

7011 2970 0003 6442 6743

Dear HACIA PAC:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 22, 2013, 80 day(s) late. As such, this committee has been assessed a fine of \$4000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 25, 2013, 26 day(s) late. As such, this committee has been assessed a fine of \$1300.

Additionally, this committee failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
d'Escoto Inc	10/30/2012	\$5000	2/25/2013	71	\$2500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2500 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all new assessments is \$5550.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

13 AD 034

Committee to Elect Linda Moore (ID 21578)  
**Respondent**

**REPORT OF HEARING OFFICER  
Appeal of Civil Penalty Assessment for the Failure to File Schedule A-1 Reports**

During the 4<sup>th</sup> Quarter of 2012, the Committee received three contributions of \$1,000 or more, totaling \$6,500, and failed to report them on a Schedule A-1 Report, resulting in a \$3,250 civil penalty assessment. As a first Schedule A-1 violation, the fine is reduced to \$325, or 10% of the above-referenced amount.

Linda Moore, Candidate/Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on June 26, 2013.

Linda Moore and David Moore, Treasurer, appeared on behalf of the Respondent. The contributions assessed consisted of personal funds transferred to the committee from Mr. and Mrs. Moore's joint checking account. They consisted of two \$1,000 contributions donated on 11/20/12 and 12/14/12 and a \$4,500 contribution donated on 12/22/12. Mr. Moore stated that the Committee's reports were filed by another person who abruptly quit during the 4<sup>th</sup> Quarter of 2012. Mr. Moore did not receive the electronic file from the previous Submitter. To file the 2012 December Quarterly Report, he had to re-create the Committee's electronic file and re-enter the records for the quarter. At the time of the filing, he was not aware that contributions from the candidate were required to be filed on a Schedule A-1. He also was not aware of the proper way to report contributions from their joint account. Mrs. Moore indicated the Committee considers contributions made from their joint checking account as two separate contributions from Mr. and Mrs. Moore respectively. On May 6, 2013, Mr. Moore filed an amended 2012 December Quarterly Report correcting the assessed contributions as two separate contributions from him and Linda Moore. Additionally, since receiving this violation, Mr. Moore received a Board notice dated 5/17/13, granting the Committee a one-time amnesty for failing to file a Schedule A-1 for contributions donated by the candidate during the 1<sup>st</sup> Quarter of 2013 (see attached).

The Committee filed an amended report and explained that the assessed contributions consisted of personal funds, specifically four separate \$500 donations received on 11/20/12 and 12/14/12 from Linda Moore and \$500 received on 11/20/12 and 12/14/12 from David Moore. Since these contributions are under the reporting threshold of \$1,000 and therefore not required to be filed on a Schedule A-1 Report, I recommend that the appeal be granted for the two contributions of \$1,000 only. The amended Quarterly Report reflects that on 12/22/12 two contributions of \$2,250 were received from Mr. & Mrs. Moore respectively, totaling \$4,500, however the Committee failed to file a Schedule A-1 Report. I recommend that the appeal be denied for lack of an adequate defense. I further recommend that these contributions be treated as one violation, in this one instance only, since the committee did received the one-time amnesty for contributions received after this appeal. If the Board accepts this recommendation, the Committee will be assessed a \$4,500 civil penalty. Furthermore, I recommend that there is no indication that this violation was anything other than inadvertent and unintentional and since this is the committee's first violation involving a Schedule A-1, I also recommend that the penalty be reduced to 10% of the original assessment or \$450. As a first violation, the penalty is stayed. (As of 3/31/13, this Committee reported a funds available balance of \$1,685.40).



Tara Molnar – Hearing Officer

July 5, 2013

State of Illinois )  
County of : \_\_\_\_\_ )

CHICAGO

2013 APR 12 PM 4:49

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Committee to Elect Linda Moore )  
Respondent(s). )

Case No. 13 AD 034

APPEAL AFFIDAVIT

I, Linda Moore, the Chairman of the  
(Name) (Chairman/Treasurer)  
Committee to Elect Linda Moore  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The 2012 4<sup>th</sup> quarter filing was the first filing that was not completed by a hired professional. David Moore personally went to the Thompson Center to file this report electronically. This was his first time and he asked for lots of help. He asked many times if he had done everything required and no state employee told him he needed to file A-1 forms. We are not professionals and have no experience at this. We really trusted the state employees to fully inform David of the rules. The funds for this campaign are 99% from our personal earnings. Upon learning what the fine was for we immediately complied with the rules once we understood. Upon further review, it appears the rules have not been applied consistently.

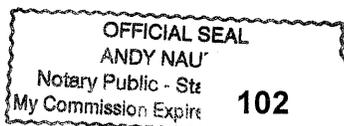
Linda Moore  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Linda Moore

before me this 14 Day of April, 2013

Notary Public (seal) Andy Nau



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
March 15, 2013  
ID# 21578

Committee to Elect Linda Moore  
13904 Harmony Rd  
Huntley, IL 60142-9202

7011 2970 0003 6442 9843

Dear Committee to Elect Linda Moore:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
David Moore	11/20/2012	\$1000	*		\$500
David Moore	12/14/2012	\$1000	*		\$500
David Moore	12/22/2012	\$4500	*		\$2250

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3250 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$325, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

\* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE BOARD OF ELECTIONS**  
**2329 S. MacArthur Blvd**  
**Springfield, Illinois 62708**  
**217/782-4141**

**Sharon Steward**

**Director, Campaign Disclosure Division**

---

To: Rupert T Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: September 4, 2013

---

The following committees have made payment of outstanding civil penalties for the period of 8/9/2013 -

- Friends of Chris Benson - \$50.00
- Citizens to Elect Brad Popurella - \$625.00
- Friends of Robin Kelly - \$100.00
- Citizens to Elect Bellar - \$500.00
- Citizens to Elect William Boyd - \$4028.36
- Friends of Lance Tyson - \$625.00
- Jobs First – Supporting the Belville Referendum - \$650.00
- Jones for State Representative - \$300.00
- Thornton Twp Young Democrats - \$1875.00
- Committee to Elect Steve Watkins - \$5750.00
- Friends of James Sexton - \$1525.00
- Italian-American Political Coalition - \$500.00
- The Alliance PAC - \$3200.00
- Plumbing Council of Chicagoland PAC - \$500.00
- Citizens for Karen McConnaughay - \$500.00

**TOTAL        \$20,728.36**

**Year to Date Totals:**

12/7/12 to 1/11/13: \$28,761.50

1/11/13 to 2/7/13: \$15,818.43

2/8/13 to 3/5/13: \$8751.14

3/5/13 to 4/3/13: \$17,820.45

4/3/13 to 5/8/13: 17594.50

5/9/2013 to 6/6/2013: \$6880.00

6/7/13 to 6/20/13: \$22,588.50

6/21/13 to 8/7/13: \$36,771.07

8/7/13 to 9/4/13: \$20,728.36

# **STATE BOARD OF ELECTIONS**

---

**MEMORANDUM**

*From the desk of:*  
*Rupert T. Borgsmiller, Executive Director*

**TO:** Members of the SBE Advisory Committee

**SUBJECT:** Meeting

**DATE:** September 5, 2013

---

Attached you will find an agenda for the meeting of the SBE Advisory Committee on Monday, September 16, 2013 scheduled to begin at **9:00 a.m.** in our Springfield office. We will have a video connection if anyone would like to attend in our Chicago office located on the 14<sup>th</sup> Floor of the Thompson Center.

The regular monthly Board meeting will begin at 10:30 a.m.

In addition to the agenda items listed feel free to bring anything else to the table, and hopefully we will have time to discuss any and all items of concern to you during the course of the meeting.

We look forward to seeing you on the 16th.

Attachment

**STATE BOARD OF ELECTIONS**

**STATE OF ILLINOIS**

2329 S. MacArthur Blvd  
Springfield, Illinois 62704-4503  
217/782-4141 TTY: 217/782-1518  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph St, Ste 14-100  
Chicago, Illinois 60601-3232  
312/814-6440 TTY: 312/814-6431  
Fax: 312/814-6485



**EXECUTIVE DIRECTOR**  
Rupert T. Borgsmiller

**BOARD MEMBERS**  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

**ADVISORY COMMITTEE  
AGENDA**

**Monday, September 16, 2013**

**2329 S. MacArthur Blvd.  
Springfield, Illinois  
9:00 a.m.**

- 1. Provisional Ballot rules (attached).**
- 2. Legislation.**
- 3. Post election survey.**
- 4. Online Voter Registration.**
- 5. Electronic canvassing - update.**
- 6. Other business.**
- 7. Next meeting: SBE January Board meeting is in Chicago January 9. When is Clerks winter meeting going to be held?**

**The Advisory Committee will also be connected to the State Board of Elections Chicago office via videoconference.**

**The State Board of Elections Regular monthly meeting will begin at 10:30 a.m.**

**SBE ADVISORY COMMITTEE  
2013 – 2015**

**ZONE 1**

Larry Reinhardt  
Jackson County

Steve Fox  
Marion County Clerk

Alice Mullinax  
Richland County Clerk

**ZONE 3**

Augie Griffin  
Edgar County Clerk

Sally Litterly  
Logan County Clerk

Georgia England  
Moultrie County Clerk

**ZONE 2**

Jim Nelson  
Fulton County Clerk

Scott Erickson  
Knox County

Debbie Ming-Mendoza  
Madison County Clerk

**ZONE 4**

JoAnn Carretto  
LaSalle County Clerk

Kathie Schultz  
McHenry County Clerk

Margie Mullins  
Winnebago County Clerk

**BOARDS OF ELECTION COMMISSIONERS**

Paul Shannon, Executive Director – Bloomington Board of Election Commissioners

Bob Saar, Executive Director – DuPage County Board of Election Commissioners

**ASSOCIATION PRESIDENTS**

Brian Woessner, Carroll County Clerk (IACCR) (First Vice President)

Linda Fechner, Executive Director – Aurora Board of Election Commissioners (AECOI)

**AT LARGE MEMBERS**

David Orr, Cook County Clerk

Terri Braun, Fayette County Clerk

Linda Kellerhals, Ford County Clerk

Joe Aiello, Sangamon County Clerk

Lance Gough, Chicago Board of Election Commissioners

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
PO Box 4187  
Springfield, Illinois 62704  
217/782-4141 TTY: 217/782-1518  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph Street, Ste 14-100  
Chicago Illinois 60601  
312/814-6440 TTY: 312/814-6431  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest C. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

MEMORANDUM

**To:** Chairman Smart, Vice Chairman Scholz, Members of the Board  
Executive Director Rupert T. Borgsmiller

**From:** Steve Sandvoss, General Counsel

**Re:** Seven Day Calendar

**Date:** September 4, 2013

---

On the following pages is a revised proposal of an amendment to the language in Section 9-21 of the Election Code, pertaining to the "7 day calendar" issue discussed at last month's meeting. Please note that the two changes I made were 1) changing the \$250 filing fee to \$50, applicable to all complaints, (as opposed to those filed just before an election) which would be less burdensome on would be complainants while creating a disincentive to filing complaints of a frivolous nature and 2) increasing the blackout period from 11 days prior to an election to 15 days prior thereto, reducing the pressure on the SBe to administer last minute complaints. I have forwarded this proposal to Michael Kasper and to John Fogarty, attorneys affiliated with the Democratic and Republican leadership in the State. Both indicated that they had no issue with the proposal

(10 ILCS 5/9-20) (from Ch. 46, par. 9-20)

Sec. 9-20. Any person who believes a violation of this Article has occurred may file a verified complaint with the Board. Such verified complaint shall be directed to a candidate or the chairman or treasurer of a political committee, and shall be subject to the following requirements:

- (1) The complaint shall be in writing.
- (2) The complaint shall state the name of the candidate or chairman or treasurer of a political committee against whom the complaint is directed.
- (3) The complaint shall state the statutory provisions which are alleged to have been violated.
- (4) The complaint shall state the time, place, and nature of the alleged offense.

The complaint shall be verified, dated, and signed by the person filing the complaint in substantially the following manner: VERIFICATION:

"I declare that this complaint (including any accompanying schedules and statements) has been examined by me and to the best of my knowledge and belief is a true and correct complaint as required by Article 9 of The Election Code. I understand that the penalty for willfully filing a false complaint shall be a fine not to exceed \$500 or imprisonment in a penal institution other than the penitentiary not to exceed 6 months, or both fine and imprisonment."

.....  
(date of filing)

(signature of person filing the complaint)

The complaint shall be accompanied by a \$50 filing fee. If the filing fee is not tendered at the time the complainant presents the complaint, either in person or by mail, the complaint shall not be accepted.

(Source: P. A. 78-1183.)

(10 ILCS 5/9-21) (from Ch. 46, par. 9-21)

Sec. 9-21. Upon receipt of such complaint, the Board shall hold a closed preliminary hearing to determine whether or not the complaint appears to have been filed on justifiable grounds. Such closed preliminary hearing shall be conducted as soon as practicable after affording reasonable notice, a copy of the complaint, and an opportunity to testify at such hearing to both the person making the complaint and the person against whom the complaint is directed. If such complaint is received between 31 and 15 days before an election in reference to which the complaint is filed, the Board shall at a minimum, hold the closed preliminary hearing and make the determination of whether the complaint was filed upon justifiable grounds, prior to said election. If the Board finds that the complaint was filed upon justifiable grounds and determines that a public hearing is necessary, such hearing may be set prior to the election if time permits. If time does not so permit, such hearing shall take place as soon as practicable after said election. Such complaint must be personally served on the respondent by the Complainant and proof of such service must be included with the complaint filed with the Board. In addition, such complaint must be accompanied by the \$50 filing fee. If the complainant fails to personally serve the respondent and provide said proof, or fails to pay the filing fee, the Board shall not accept the complaint. Complaints involving any conduct that relates to an upcoming election shall not be accepted 14 or fewer business days prior to that election, however such complaints may be filed at any time subsequent to that election. If the Board fails to determine that the complaint has been filed on justifiable grounds, it shall dismiss the complaint without further hearing.

Whenever in the judgment of the Board, after affording due notice and an opportunity for a public hearing, any person has engaged or is about to engage in an act or practice which constitutes or will constitute a violation of any provision of this Article or any regulation or order issued thereunder, the Board shall issue an order directing such person to take such action as the Board determines may be necessary in the public interest to correct the violation. ~~In addition, if the act or practice engaged in consists of the failure to file any required report within the time prescribed by this Article, the Board, as part of its order, shall further provide that if, within the 12-month period following the issuance of the order, such person fails to file within the time prescribed by this Article any subsequent report as may be required, such person may be subject to a civil penalty pursuant to Section 9-23. Except as provided above, the Board shall render its final judgment within 60 days of the date the complaint is filed, except that during the 60 days preceding the date of the election in reference to which the complaint is filed, the Board shall render its final judgment within 7 days of the date the complaint is filed, and during the 7 days preceding such election, the Board shall render such judgment before the date of such election, if possible.~~

At any time prior to the issuance of the Board's final judgment, the parties may dispose of the complaint by a written stipulation, agreed settlement or consent order. Any such stipulation, settlement or order shall, however, be submitted in writing to the Board and shall become effective only if approved by the Board. If the act or practice complained of consists of the failure to file any required report within the time prescribed by this Article, such stipulation, settlement or order may provide that if, within the 12-month period following the approval of such stipulation, agreement or order, the person complained of fails to file within the time prescribed by this Article any subsequent reports as may be required, such person may be subject to a civil penalty pursuant to Section 9-23.

# STATE BOARD OF ELECTIONS



*From the desk of... Kyle Thomas  
Director of Voting and Registration Systems  
Phone: 217-782-1590  
Email: kthomas@elections.il.gov*

**To:** Rupert T. Borgsmiller; Executive Director  
**Re:** Monthly Online Voter Registration Update  
**Date:** September 4, 2013

Progress continues on the Online Voter Registration (OVR) project mandated by the omnibus elections bill. Following the transmission of a small test file to the Secretary of State, SOS IT staff processed that file against their drivers license/ID database and returned a file to us containing the match results. All data previously agreed to was received in the proper format. This file included the electronic signature images which were of a much higher quality than expected. Additionally, SBE IT staff made significant progress on overall system design and have begun modifications to internal applications necessary for OVR functionality.

Another key component to the success of the OVR project is completion of documentation detailing the specifications of changes to be made to the local Election Management Systems (EMS). Included in this documentation will be information regarding necessary system changes, unrelated to the online registration system, contained in the omnibus elections bill and House Bill 226, which legalizes 17-year-old voting. Working closely with SBE IT staff, we are nearing completion of this documentation and anticipate forwarding this information to election authorities and vendors in early September. Subsequently, we will hold discussions with the jurisdictions and their vendors surrounding the implementation of these modifications.

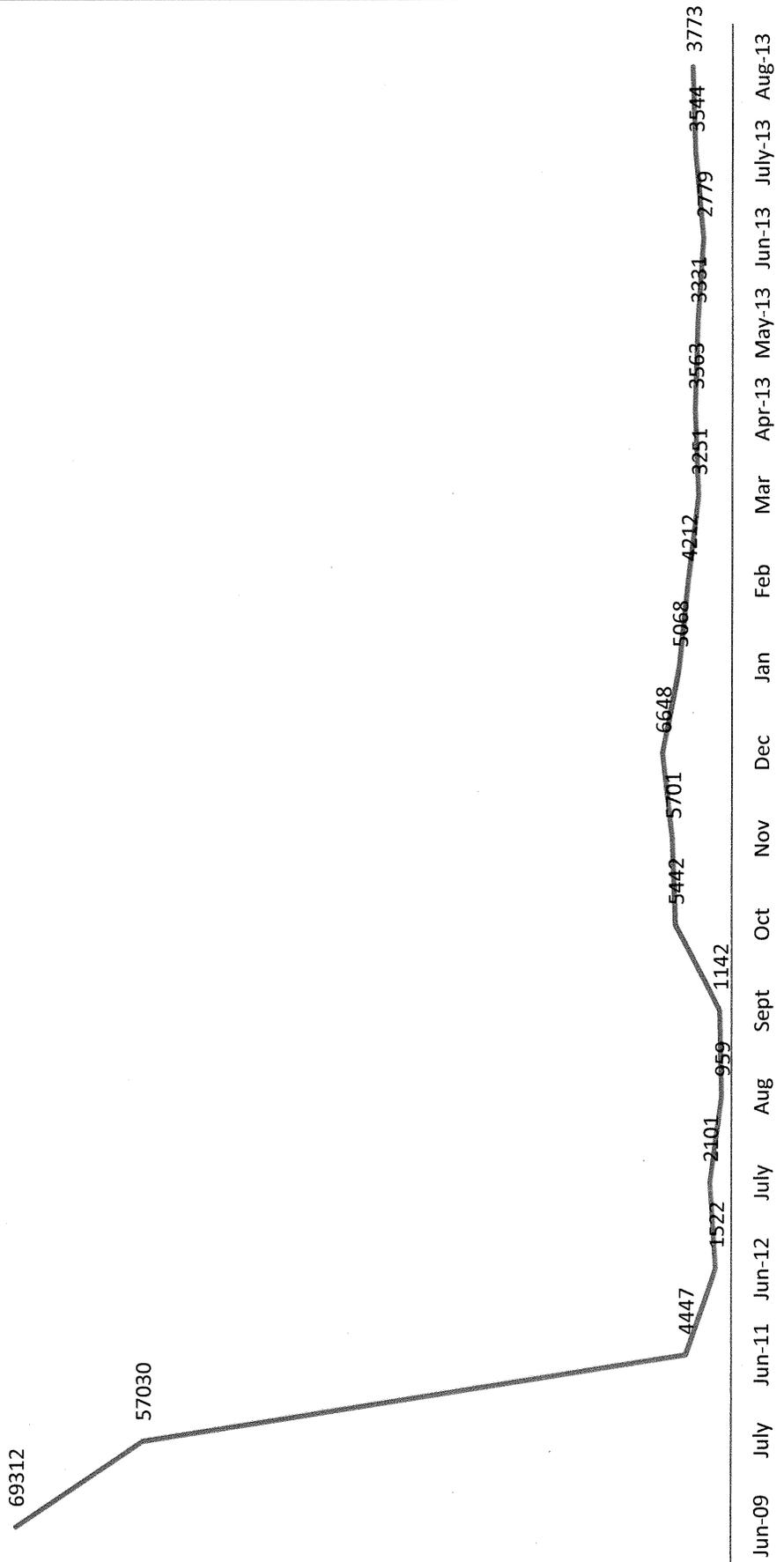
Jurisdiction	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	Oldest Match Date
Adams County	5	1	6	6	5	6	9	1	14	20	28	29	21	15	11	11	13	14	14	21	21	10/9/2012
Alexander County	1	1	2	2	4	5	3	3	2	4	4	6	6	6	2	2	1	1	1	2	5	2/6/2009
Bond County	4	8	9	8	7	11	13	15	15	19	26	27	29	26	27	29	30	24	24	31	7	2/29/2012
Boone County	11	13	3	5	8	5	6	7	7	6	13	15	20	3	5	4	8	8	7	4	5	2/6/2009
Brown County	0	0	2	2	1	1	1	3	0	1	7	7	6	7	0	0	1	3	3	3	2	5/7/2013
Bureau County	5	2	2	1	4	2	1	3	1	0	2	2	2	5	2	1	7	6	1	3	8	10/9/2012
Calhoun County	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Carrroll County	0	0	1	2	3	4	3	4	4	3	6	7	6	10	4	3	4	5	4	2	2	7/30/2013
Cass County	0	4	2	2	3	3	2	8	5	4	10	9	6	7	3	3	5	5	5	1	1	5/11/2012
Champaign County	64	72	78	83	16	26	28	54	26	81	499	502	548	326	263	209	204	183	170	203	226	2/6/2009
Christian County	2	4	5	2	3	4	3	3	2	2	4	2	4	3	1	1	1	2	1	1	1	7/30/2012
Clark County	4	3	4	4	3	1	1	1	1	1	9	5	2	1	2	2	2	1	2	7	1	8/27/2013
Clay County	26	27	39	33	36	39	39	39	37	44	46	46	50	53	54	49	43	43	42	31	26	2/6/2009
Clinton County	1	3	10	6	9	15	14	13	8	11	18	25	19	25	29	15	18	18	18	16	13	5/11/2012
Coles County	5	2	3	0	2	2	3	6	3	2	27	23	23	27	18	15	15	14	14	14	16	10/9/2012
Cook County	1,391	793	535	329	168	106	191	247	40	51	1,033	1,067	1,325	754	533	464	532	535	533	823	1,007	2/6/2009
Crawford County	19	18	7	8	4	5	5	8	4	6	6	7	8	9	13	10	4	5	5	8	8	5/18/2012
Cumberland County	0	0	0	0	0	0	0	2	1	0	1	3	0	0	0	0	2	0	0	0	0	n/a
DeKalb County	9	7	24	4	5	2	10	12	10	39	49	49	62	53	44	14	13	16	12	30	17	9/6/2012
DeWitt County	6	2	9	2	3	7	4	12	10	2	7	12	12	12	9	9	10	11	11	10	9	2/6/2009
Douglas County	3	1	1	1	2	0	0	1	0	0	7	11	10	5	5	6	2	2	2	0	2	8/19/2013
DuPage County	35	26	40	46	15	10	15	44	6	47	275	286	351	391	296	53	58	41	43	123	152	2/6/2009
Edgar County	2	2	2	2	3	3	5	8	1	1	4	3	5	6	7	8	8	12	3	9	5	7/30/2013
Edwards County	3	2	1	1	1	2	2	2	2	1	1	1	1	1	2	1	1	1	1	1	1	2/6/2009
Effingham County	1	1	4	3	1	3	2	4	0	1	3	5	3	1	1	0	1	3	0	1	0	n/a
Fayette County	1	2	3	6	6	3	3	2	1	3	1	5	7	11	8	9	13	12	10	14	9	10/18/2012
Ford County	0	1	2	0	0	2	5	12	4	3	1	5	6	9	2	1	11	3	3	8	8	2/6/2009
Franklin County	10	0	3	5	4	5	7	14	14	20	26	28	30	6	9	1	6	9	2	4	6	7/30/2013
Fulton County	8	6	16	17	19	5	4	10	9	10	11	13	15	12	14	4	5	7	8	9	7	2/6/2009
Gallatin County	0	0	1	1	2	0	0	0	0	0	0	0	0	0	3	1	0	1	0	0	0	n/a
Greene County	0	0	0	0	2	2	2	2	1	3	6	8	10	9	4	3	4	5	4	7	6	9/6/2012
Grundy County	1	10	17	8	2	2	3	7	5	8	30	29	18	25	23	7	5	8	1	2	2	7/30/2013
Hamilton County	1	1	0	0	1	1	1	2	2	0	0	2	4	3	2	0	0	2	1	1	0	n/a
Hancock County	3	1	0	0	0	0	0	0	0	0	1	0	1	1	0	0	2	1	1	0	0	n/a
Hardin County	0	1	0	1	2	0	3	0	0	0	0	0	0	2	7	4	5	3	0	2	0	n/a
Henderson County	0	0	0	0	1	0	0	2	1	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Henry County	2	0	8	7	8	9	10	15	14	18	25	26	28	1	3	3	8	8	8	13	11	1/9/2013
Iroquois County	1	3	1	1	2	1	2	5	2	6	6	9	6	3	3	2	2	4	4	6	8	2/6/2009
Jackson County	6	5	12	5	5	10	11	5	3	13	31	33	37	23	21	17	18	19	16	16	10	8/27/2009
Jasper County	6	5	1	1	1	2	1	2	0	1	1	1	1	1	1	2	2	3	1	4	4	10/17/2012
Jefferson County	1	1	0	1	4	5	2	4	3	7	7	9	12	12	4	3	3	3	4	3	1	8/23/2013
Jersey County	22	22	22	22	23	27	26	28	27	29	34	35	45	42	41	40	41	48	47	53	52	2/6/2009
JoDaviss County	0	0	0	0	0	0	0	0	0	0	3	3	4	5	0	0	0	1	0	1	1	8/21/2013
Johnson County	0	0	1	1	1	0	0	0	0	0	0	0	1	1	2	0	1	1	0	3	3	7/30/2013

Jurisdiction	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	Oldest Match Date
Kane County	28	33	24	26	17	8	10	52	10	12	70	76	115	124	90	38	52	31	20	39	52	3/25/2011
Kankakee County	7	16	10	8	12	17	16	14	12	24	29	31	35	33	27	14	35	20	14	28	38	2/6/2009
Kendall County	6	15	13	2	1	9	8	21	4	8	73	83	99	86	45	23	32	6	5	18	16	10/9/2012
Knox County	15	18	0	1	2	5	5	8	7	12	4	5	7	6	9	1	5	5	5	9	1	2/6/2009
Lake County	76	80	27	34	19	70	98	165	11	16	85	94	126	150	78	64	66	78	63	100	114	2/6/2009
LaSalle County	12	17	8	4	5	16	20	25	22	38	49	53	45	41	18	10	15	8	6	11	15	10/26/2012
Lawrence County	0	1	1	1	1	1	1	1	1	3	3	2	2	3	2	2	1	1	1	2	2	7/30/2013
Lee County	1	0	1	0	0	1	2	1	0	0	6	9	3	2	1	1	7	1	0	2	1	7/30/2013
Livingston County	1	2	2	3	0	4	1	8	5	4	11	11	13	14	6	2	7	2	2	13	13	2/6/2009
Logan County	6	7	1	1	2	4	2	8	3	3	8	11	16	10	11	5	9	4	4	4	4	7/30/2013
Macon County	26	33	51	12	6	23	12	15	12	41	86	80	92	93	102	99	16	8	9	23	33	2/6/2009
Macoupin County	3	1	2	2	2	0	0	4	1	5	9	10	12	10	2	1	5	11	12	22	19	10/29/2010
Madison County	15	16	15	19	17	21	23	31	26	28	46	50	55	47	41	38	40	43	41	36	35	2/6/2009
Marion County	8	9	20	15	16	16	14	15	12	14	24	28	16	22	28	15	16	17	18	18	18	2/6/2009
Marshall County	2	2	0	1	3	2	0	0	0	3	0	0	2	1	1	1	4	4	3	0	2	8/27/2013
Mason County	0	2	2	1	2	0	1	1	1	1	0	1	2	1	2	1	2	1	1	4	1	7/30/2013
Massac County	2	4	4	4	1	1	1	2	2	2	2	2	1	2	6	4	1	0	0	0	2	8/6/2013
McDonough County	4	2	0	0	1	1	0	3	1	0	20	19	21	18	13	10	10	11	11	11	6	10/9/2012
McHenry County	74	30	7	22	35	57	73	30	16	30	54	64	98	89	88	12	28	17	11	34	14	11/13/2009
McLean County	9	10	32	31	32	48	51	72	38	18	27	46	50	44	52	52	66	19	24	38	39	2/6/2009
Menard County	4	0	4	3	4	2	4	3	3	3	3	3	4	5	3	3	4	3	2	2	2	6/10/2011
Mercer County	11	5	9	9	10	5	4	7	7	10	11	11	17	7	10	13	12	13	13	17	12	2/6/2009
Monroe County	0	4	4	3	3	4	6	8	5	5	20	21	16	11	11	12	12	13	15	3	1	11/5/2012
Montgomery County	0	2	1	1	1	1	1	1	1	1	1	2	5	3	4	3	2	2	2	2	2	11/20/2012
Morgan County	2	0	0	0	1	0	0	3	3	4	15	16	17	14	6	6	13	14	15	12	9	10/29/2012
Moultrie County	1	1	2	2	0	0	0	1	0	1	5	1	1	1	2	0	1	2	3	3	3	6/7/2013
Ogle County	2	4	1	1	1	1	0	0	0	2	8	11	8	7	4	3	5	4	1	7	4	7/30/2013
Peoria County	8	5	6	3	9	9	3	7	8	6	14	13	21	17	18	19	17	20	18	17	4	2/6/2009
Perry County	0	0	0	0	4	0	0	1	0	1	2	2	3	1	4	0	1	1	0	1	1	7/30/2013
Piatt County	0	1	1	1	2	2	3	5	4	4	6	6	7	9	2	2	1	3	6	13	17	5/17/2013
Pike County	2	0	1	1	3	3	3	5	5	8	11	11	14	15	13	12	13	12	12	12	10	5/18/2012
Pope County	0	2	1	0	0	0	1	0	0	0	2	2	1	0	1	0	0	0	0	0	0	n/a
Pulaski County	5	2	3	3	3	3	2	3	3	5	9	9	8	9	9	9	8	4	4	3	5	2/6/2009
Putnam County	0	1	1	0	0	1	0	1	0	0	1	2	0	0	1	0	1	0	0	0	0	n/a
Randolph County	3	6	8	7	10	7	6	9	9	10	17	20	28	24	27	20	18	18	19	7	2	5/11/2012
Richland County	5	5	5	6	4	3	2	2	2	2	3	3	5	4	7	6	7	7	6	7	6	2/6/2009
Rock Island County	33	32	47	44	50	52	54	53	52	66	99	97	105	31	31	33	37	45	46	61	57	2/4/2010
Saline County	1	0	0	2	6	0	2	0	0	0	1	2	1	1	12	1	2	3	2	1	1	7/31/2013
Sangamon County	26	23	19	18	12	12	16	14	8	22	38	40	46	30	26	25	28	25	26	27	25	2/6/2009
Schuyler County	0	2	3	0	0	1	1	2	2	0	3	7	4	3	1	1	1	1	2	3	3	10/9/2012
Scott County	2	0	0	0	0	3	3	4	3	6	5	7	5	5	4	4	4	5	5	3	2	5/18/2012
Shelby County	0	1	0	3	1	2	3	1	5	1	3	5	3	4	4	3	2	3	2	2	1	10/18/2012
Shelby County	0	0	0	0	0	0	0	0	0	0	1	2	2	2	2	3	4	4	4	3	2	11/21/2012
St. Clair County	6	17	22	23	26	36	35	47	44	47	107	113	124	125	112	99	100	101	102	19	16	9/3/2010

Jurisdiction	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	Oldest Match Date
Stephenson County	7	15	16	16	20	24	25	28	28	34	53	53	56	53	50	50	51	48	30	8	8	10/22/2010
Tazewell County	52	38	100	58	35	13	15	28	23	21	16	21	45	19	26	16	18	16	13	25	9	2/6/2009
Union County	15	8	11	13	12	13	13	14	11	12	26	22	25	22	21	16	9	7	6	4	4	10/9/2012
Vermilion County	10	13	5	6	8	5	13	4	2	10	16	16	18	19	10	10	20	14	7	23	19	2/29/2012
Wabash County	1	0	0	0	1	3	3	5	4	5	2	2	0	1	2	1	1	2	2	3	1	5/8/2013
Warren County	5	6	2	2	3	2	3	6	6	3	17	15	8	5	5	5	5	5	5	5	3	2/6/2009
Washington County	4	3	7	6	7	10	3	4	4	8	7	8	7	5	7	1	2	3	3	4	5	10/16/2012
Wayne County	0	3	8	8	10	12	11	12	12	16	22	21	23	27	25	14	5	6	2	0	1	8/20/2013
White County	1	0	2	2	3	5	5	4	4	2	0	2	1	2	1	2	1	2	2	3	1	5/8/2013
Whiteside County	1	1	2	2	3	3	4	3	2	4	20	27	26	12	8	6	8	7	5	10	6	10/8/2012
Will County	38	99	69	39	36	65	47	90	29	67	449	465	515	517	310	220	232	227	35	108	122	2/6/2009
Williamson County	15	2	5	6	5	13	15	21	18	19	20	20	24	26	27	4	11	12	4	12	9	2/29/2012
Winneshago County	5	18	6	6	14	7	10	9	8	8	51	57	86	17	21	11	21	24	11	6	4	6/11/2010
Woodford County	4	2	7	7	17	15	9	12	4	4	5	7	7	5	8	4	4	6	5	8	2	8/23/2013
City of Aurora	33	43	5	5	5	2	19	22	0	25	119	124	129	125	89	84	102	10	9	22	32	10/9/2012
City of Bloomington	11	5	15	16	15	21	22	30	10	4	8	19	22	16	29	21	29	10	11	24	26	2/6/2009
City of Chicago	1,557	960	541	383	267	285	348	502	125	234	1,212	1,225	1,452	1,061	1,059	1,028	1,118	1,147	958	1,064	1,206	2/6/2009
City of Danville	4	3	2	6	10	3	5	3	3	3	0	9	11	6	3	4	10	6	7	6	5	2/6/2009
City of East St. Louis	5	7	2	2	2	8	2	1	0	0	15	13	16	20	12	14	14	11	11	2	5	7/30/2013
City of Galesburg	8	7	3	2	2	2	2	2	3	8	16	16	10	8	7	3	4	6	6	8	4	3/29/2010
City of Peoria	35	40	101	61	27	25	8	22	19	11	25	29	45	34	36	44	51	54	52	58	15	2/6/2009
City of Rockford	12	34	17	17	25	9	13	16	11	11	84	94	123	32	41	18	28	27	14	14	13	2/6/2009
	3,888	2,769	2,160	1,608	1,240	1,332	1,522	2,101	959	1,442	5,442	5,701	6,648	5,068	4,212	3,252	3,563	3,331	2,779	3,544	3,773	

# Statewide Duplicate Voter Registrations June 2009 - August 2013

— Series1



<u>County</u>	Total Voters Registered as of 9/4/2013	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 8/6/13	% Reg. vs. 18 and Over as of 9/4/2013
ALEXANDER	5,632	8,238	68.37%	6,353	88.38%	88.65%
CLARK	11,568	16,335	70.82%	12,617	98.03%	91.69%
CLINTON	24,066	37,762	63.73%	29,180	82.55%	82.47%
HARDIN	3,134	4,320	72.55%	3,444	92.07%	91.00%
JASPER	6,825	9,698	70.38%	7,494	91.18%	91.07%
MASSAC	11,116	15,429	72.05%	11,911	93.08%	93.33%
MENARD	8,413	12,705	66.22%	9,704	86.98%	86.70%
MERCER	11,856	16,434	72.14%	12,714	93.39%	93.25%
OGLE	33,235	53,497	62.12%	40,253	83.33%	82.57%
PULASKI	4,560	6,161	74.01%	4,756	96.03%	95.88%
RICHLAND	11,586	16,233	71.37%	12,625	92.29%	91.77%
ROCK ISLAND	88,944	147,546	60.28%	114,359	77.80%	77.78%
STARK	4,176	5,994	69.67%	4,583	90.92%	91.12%
UNION	12,051	17,808	67.67%	13,980	86.24%	86.20%
City of East St. Louis	18,773	27,006	69.51%	19,098	103.52%	98.30%

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<u>AGENCY TOTALS</u> <u>MONTH ENDING: Aug. 31, 2013 (FINAL)</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$4,204,300.00	\$3,807,971.67	\$0.00	\$396,328.33	90.57%
STATE PAID RETIREMENT	\$168,200.00	\$149,233.58	\$0.00	\$18,966.42	88.72%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$321,200.00	\$282,035.90	\$0.00	\$39,164.10	87.81%
CONTRACTUAL SERVICE	\$1,072,100.00	\$763,179.82	\$36,682.32	\$272,237.86	71.19%
TRAVEL	\$125,900.00	\$79,151.54	\$0.00	\$46,748.46	62.87%
PRINTING	\$41,000.00	\$17,398.68	\$0.00	\$23,601.32	42.44%
COMMODITIES	\$39,600.00	\$21,643.94	\$0.00	\$17,956.06	54.66%
EQUIPMENT	\$138,600.00	\$130,949.38	\$0.00	\$7,650.62	94.48%
TELECOMMUNICATIONS	\$145,500.00	\$127,044.46	\$0.00	\$18,455.54	87.32%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$2,433.83	\$0.00	\$2,466.17	49.67%
ELECTION CODE BOOKS	\$15,000.00	\$10,000.00	\$0.00	\$5,000.00	66.67%
IVRS LUMP SUM - OPERATIONS	\$461,300.00	\$320,890.89	\$0.00	\$140,409.11	69.56%
INTEREST PAYMENTS	\$3,100.00	\$2,795.20	\$0.00	\$304.80	0.00%
<b>SUB-TOTAL (OPERATIONS)</b>	<b>\$6,740,700.00</b>	<b>\$5,714,728.89</b>	<b>\$36,682.32</b>	<b>\$989,288.79</b>	<b>84.78%</b>
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$320,316.90	\$9,067.00	\$220,616.10	58.24%
CO CLERK & RECORDER STIPENDS	\$644,800.00	\$642,841.11	\$0.00	\$1,958.89	99.70%
SUPP APPROP - STIPENDS (802 FUND)	\$161,200.00	\$158,689.05	\$0.00	\$2,510.95	98.44%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$1,347,100.00	\$0.00	\$0.00	100.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,119,100.00	\$1,109,588.10	\$0.00	\$9,511.90	99.15%
REDISTRICTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$300,000.00	\$4,066.98	\$0.00	\$295,933.02	1.36%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$63,239.25	\$7,090.75	\$29,670.00	0.00%
SUPP. APPROP. - IVRS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUPP. APPROP. - ELECTION DAY JUDGES					
<b>SUB-TOTAL (GRANTS) (GRF ONLY)</b>	<b>\$4,061,000.00</b>	<b>\$3,487,152.34</b>	<b>\$16,157.75</b>	<b>\$557,689.91</b>	<b>85.87%</b>
<b>TOTAL APPROPRIATION (GRF ONLY)</b>	<b>\$10,801,700.00</b>	<b>\$9,201,881.23</b>	<b>\$52,840.07</b>	<b>\$1,546,978.70</b>	<b>85.19%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>BOARD</b> <b>MONTH ENDING: Aug. 31, 2013 (FINAL)</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>CONTRACTUAL SERVICE</b>				
1205 Freight Express & Drayage	\$1,100.00	\$679.41	\$420.59	61.76%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$0.00		\$0.00	0.00%
1239 Rental, NEC	\$200.00	\$196.68	\$3.32	98.34%
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$15,200.00	\$14,301.80	\$898.20	94.09%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$0.00		\$0.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00		\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$800.00		\$800.00	0.00%
<b>TRAVEL</b>	<b>\$22,700.00</b>	<b>\$21,627.56</b>	<b>\$1,072.44</b>	<b>95.28%</b>
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$600.00		\$600.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments	\$100.00	\$52.99	\$47.01	52.99%

<b>BOARD GRAND TOTAL</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>
<b>CONTRACTUAL SERVICE</b>	<b>\$17,900.00</b>	<b>\$15,177.89</b>		<b>\$2,722.11</b>
<b>TRAVEL</b>	<b>\$22,700.00</b>	<b>\$21,627.56</b>		<b>\$1,072.44</b>
<b>EQUIPMENT</b>	<b>\$600.00</b>	<b>\$0.00</b>		<b>\$600.00</b>
<b>INTEREST PAYMENTS</b>	<b>\$100.00</b>	<b>\$52.99</b>		<b>\$47.01</b>
<b>TOTAL</b>	<b>\$41,300.00</b>	<b>\$36,858.44</b>	<b>\$0.00</b>	<b>\$4,441.56</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

ADMINISTRATION MONTH ENDING: Aug. 31, 2013 (FINAL)	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
<b>PERSONAL SERVICE</b>				
1129 State Paid Retirement	\$742,400.00	\$578,930.27	\$163,469.73	77.98%
1161 Retirement	\$29,700.00	\$23,204.91	\$6,495.09	78.13%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$56,800.00	\$42,574.28	\$14,225.72	74.95%
<b>CONTRACTUAL SERVICE</b>				
1201 Petty Cash	\$500.00	\$105.22	\$394.78	21.04%
1205 Freight Express & Drayage	\$700.00	\$700.00	\$700.00	100.00%
1221 Repair/Maint. Furn./Office Equipment	\$11,100.00	\$8,724.79	\$2,375.21	78.60%
1223 Repair/Maint. Real Property	\$2,000.00	\$630.46	\$1,369.54	31.52%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$26,400.00	\$2,823.39	\$23,576.61	10.69%
1232 Rental, Motor Vehicles	\$7,200.00	\$7,200.00	\$0.00	100.00%
1233 Rental, Real Property	\$392,000.00	\$391,995.00	\$5.00	100.00%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00	\$490.00	\$510.00	49.00%
1240 Statistical & Tabulation Services	\$21,200.00	\$20,928.02	\$271.98	98.72%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$75,000.00	\$52,042.34	\$22,957.66	69.39%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$2,799.00	\$1.00	99.96%
1276 Reg. Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00	\$1,236.50	\$963.50	56.20%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$4,478.78	\$7,021.22	38.95%
<b>TRAVEL</b>				
1291 In-State	\$12,000.00	\$3,870.38	\$8,129.62	32.25%
1292 Out-of-State	\$800.00		\$800.00	0.00%
1295 Mileage	\$1,200.00	\$1,176.65	\$23.35	98.05%
<b>PRINTING</b>	\$9,800.00	\$9,335.67	\$464.33	95.26%
<b>COMMODITIES</b>				
1304 Office/Library Supplies	\$14,200.00	\$5,561.39	\$8,638.61	39.16%
1306 Food for Human Consumption				
1335 Rock Salt	\$100.00	\$22.99	\$77.01	22.99%
1360 Food for Human Consumption	\$100.00	\$33.80	\$66.20	33.80%
1391 Household & Cleaning Supplies	\$500.00	\$456.82	\$43.18	91.36%
1394 Office/Library Equip., Not exc. \$100	\$100.00	\$31.98	\$68.02	31.98%
1395 Small Tools Not Exceeding \$100	\$100.00	\$9.99	\$90.01	9.99%
1398 Equipment, NEC	\$400.00	\$161.26	\$238.74	40.32%
1399 Commodities, NEC	\$600.00	\$516.09	\$83.91	86.02%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$5,000.00	\$2,657.37	\$2,342.63	53.15%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
<b>TELECOMMUNICATIONS</b>				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$49,380.22	\$1,619.78	96.82%
1722 Rental, Data Comm. Serv. & Equip.	\$82,800.00	\$70,892.04	\$11,907.96	85.62%
1728 Videoconferencing	\$7,200.00	\$6,772.20	\$427.80	94.06%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
<b>OPERATION OF AUTO EQUIPMENT</b>				
1893 Repair & Maint., Auto. Equipment	\$1,800.00		\$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$2,433.83	\$466.17	83.93%
1899 Auto. Expenses, NEC				
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				
<b>ADMINISTRATION GRAND TOTAL</b>				
	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF BALANCE EXPENDITURE
PERSONAL SERVICE	\$742,400.00	\$578,930.27	\$163,469.73	77.98%
STATE PAID RETIREMENT	\$29,700.00	\$23,204.91	\$6,495.09	78.13%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$56,800.00	\$42,574.28	\$14,225.72	74.95%
CONTRACTUAL SERVICE	\$555,900.00	\$493,453.50	\$62,446.50	88.77%
TRAVEL	\$14,000.00	\$5,047.03	\$8,952.97	36.05%
PRINTING	\$9,800.00	\$9,335.67	\$464.33	95.26%
COMMODITIES	\$16,100.00	\$6,794.32	\$9,305.68	42.20%
EQUIPMENT	\$6,000.00	\$2,657.37	\$3,342.63	44.29%
TELECOMMUNICATIONS	\$145,500.00	\$127,044.46	\$18,455.54	87.32%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$2,433.83	\$2,466.17	49.67%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%
<b>TOTAL</b>	<b>\$1,581,100.00</b>	<b>\$1,291,475.64</b>	<b>\$0.00</b>	<b>\$289,624.36</b> <b>81.68%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>ELECTIONS</b>	<b>FY13</b>	<b>YEAR TO DATE</b>	<b>% OF</b>
<b>MONTH ENDING: Aug. 31, 2013 (FINAL)</b>	<b>APPROPRIATION</b>	<b>EXPENDITURE</b>	<b>BALANCE EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$1,658,900.00	\$1,504,437.80	\$154,462.20 90.69%
1129 State Paid Retirement	\$66,400.00	\$57,624.91	\$8,775.09 86.78%
1161 Retirement	\$0.00	\$0.00	\$0.00 0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00 0.00%
1170 Social Security	\$127,000.00	\$111,724.29	\$15,275.71 87.97%
<b>CONTRACTUAL SERVICE</b>			
1145 Contractual Payroll			
1202 Contractual reimbursement To Employee			
1205 Freight Express & Drayage	\$2,600.00		\$2,600.00 0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00 0.00%
1224 Repair/Maint. Machinery & Mechanical Equip	\$500.00		\$500.00 0.00%
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00 0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00 50.00%
1242 Auditing & Management Services			
1245 Professional & Artistic Services, NEC	\$24,000.00		\$24,000.00 0.00%
1266 Court Reporting & Filing Services	\$200.00	\$108.50	\$91.50 54.25%
1273 Advertising			
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$0.00	\$4,000.00 0.00%
1275 Subscription & Information Services	\$1,000.00	\$258.00	\$742.00 25.80%
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,600.00	\$459.00	\$3,141.00 12.75%
1277 Association Dues	\$2,200.00	\$637.00	\$1,563.00 28.95%
1279 Employee Tuition & Fees	\$800.00		\$800.00 0.00%
1280 Copying, Photographic & Printing Services	\$1,500.00		\$1,500.00 0.00%
1284 Software	\$100.00	\$59.95	\$40.05 59.95%
1285 Operating Taxes, Licenses & Fees			
1286 Travel, Non-state Employees			
1289 Contractual Services, NEC	\$400.00		\$400.00 0.00%
<b>TRAVEL</b>	\$55,000.00	\$30,853.75	\$24,146.25 56.10%
<b>PRINTING</b>	\$10,300.00	\$2,506.21	\$7,793.79 24.33%
<b>EQUIPMENT</b>			
1510 Office Furniture & Equipment	\$3,300.00	\$2,425.25	\$874.75 73.49%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>			
1991 Interest Payments	\$900.00	\$852.89	\$47.11 94.77%
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$320,316.90	\$229,683.10 58.24%
<b>ELECTION CODE BOOKS</b>	\$15,000.00	\$10,000.00	\$5,000.00 66.67%
<b>Redistricting</b>			
Election Judge Reimbursements	\$1,347,100.00	\$1,347,100.00	\$0.00 100.00%
Stipends	\$644,800.00	\$642,841.11	\$1,958.89 99.70%
Supp. Approp. - Stipends (802 Fund)	\$161,200.00	\$158,689.05	\$2,510.95 98.44%
Early Voting Election Judges			
State HAVA Match			
Voting System Integrity Center			
Electronic Canvassing Implementation	\$300,000.00	\$4,066.98	\$295,933.02 1.36%
Electronic Canvassing Interest Payments			
IDIS Replacement System	\$100,000.00	\$63,239.25	\$36,760.75 0.00%
Supp. Approp. - Election Day Judge	\$0.00		\$0.00 0.00%

<b>ELECTIONS GRAND TOTAL</b>	<b>FY13</b>	<b>YEAR TO DATE</b>	<b>OBLIGATED</b>	<b>% OF</b>
	<b>APPROPRIATION</b>	<b>EXPENDITURE</b>	<b>MONEY</b>	<b>BALANCE EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$1,658,900.00	\$1,504,437.80	\$154,462.20	90.69%
<b>STATE PAID RETIREMENT</b>	\$66,400.00	\$57,624.91	\$8,775.09	86.78%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$127,000.00	\$111,724.29	\$15,275.71	87.97%
<b>CONTRACTUAL SERVICE</b>	\$41,400.00	\$1,622.45	\$39,777.55	3.92%
<b>TRAVEL</b>	\$55,000.00	\$30,853.75	\$24,146.25	56.10%
<b>PRINTING</b>	\$10,300.00	\$2,506.21	\$7,793.79	24.33%
<b>EQUIPMENT</b>	\$3,300.00	\$2,425.25	\$874.75	73.49%
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$320,316.90	\$9,067.00	\$220,616.10 58.24%
<b>ELECTION CODE BOOKS</b>	\$15,000.00	\$10,000.00	\$5,000.00	66.67%
<b>Redistricting</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>Election Judge Reimbursements</b>	\$1,347,100.00	\$1,347,100.00	\$0.00	100.00%
<b>Stipends</b>	\$644,800.00	\$642,841.11	\$1,958.89	99.70%
<b>Early Voting Election Judges</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>State HAVA Match</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>Voting System Integrity Center</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>Electronic Canvassing Implementation</b>	\$300,000.00	\$4,066.98	\$295,933.02	1.36%
<b>Electronic Canvassing Interest Payments</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>IDIS Replacement System</b>	\$100,000.00	\$63,239.25	\$7,090.75	\$29,670.00 0.00%
<b>Supp. Approp. - Stipends (802 Fund)</b>	\$161,200.00	\$158,689.05	\$2,510.95	0.00%
<b>INTEREST PAYMENTS</b>	\$900.00	\$852.89	\$47.11	0.00%
<b>TOTAL</b>	<b>\$5,081,300.00</b>	<b>\$4,258,300.84</b>	<b>\$16,157.75</b>	<b>\$806,841.41 83.80%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>GENERAL COUNSEL</b> <b>MONTH ENDING: Aug. 31, 2013 (FINAL)</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$304,400.00	\$304,370.00	\$30.00	99.99%
1129 State Paid Retirement	\$12,200.00	\$12,184.68	\$15.32	99.87%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$23,100.00	\$22,710.69	\$389.31	98.31%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$500.00		\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$98,100.00	\$23,317.68	\$74,782.32	23.77%
1245 Professional & Artistic Services, NEC	\$20,000.00		\$20,000.00	0.00%
1266 Court Reporting & Filing Services	\$16,000.00	\$9,288.25	\$6,711.75	58.05%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$2,000.00	\$419.00	\$1,581.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$133.42	\$866.58	13.34%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$502.00	\$498.00	0.00%
1277 Association Dues	\$1,200.00	\$986.00	\$214.00	82.17%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$6,300.00		\$6,300.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$7,200.00	\$7,153.42	\$46.58	99.35%
<b>TRAVEL</b>	\$12,000.00	\$7,723.21	\$4,276.79	64.36%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$2,000.00	\$148.35	\$1,851.65	7.42%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments	\$200.00	\$102.05	\$97.95	51.03%

<b>GENERAL COUNSEL GRAND TOTAL</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$304,400.00	\$304,370.00		\$30.00	99.99%
<b>STATE PAID RETIREMENT</b>	\$12,200.00	\$12,184.68		\$15.32	99.87%
<b>RETIREMENT</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$23,100.00	\$22,710.69		\$389.31	98.31%
<b>CONTRACTUAL SERVICE</b>	\$153,300.00	\$41,799.77	36,682.32	\$74,817.91	27.27%
<b>TRAVEL</b>	\$12,000.00	\$7,723.21		\$4,276.79	64.36%
<b>EQUIPMENT</b>	\$2,000.00	\$148.35		\$1,851.65	7.42%
<b>INTEREST PAYMENTS</b>	\$200.00	\$102.05		\$97.95	0.00%
<b>TOTAL</b>	<b>\$507,200.00</b>	<b>\$389,038.75</b>	<b>\$36,682.32</b>	<b>\$81,478.93</b>	<b>76.70%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>CAMPAIGN DISCLOSURE</b> <b>MONTH ENDING: Aug. 31, 2013 (FINAL)</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$787,600.00	\$709,249.40	\$78,350.60	90.05%
1129 State Paid Retirement	\$31,600.00	\$28,378.75	\$3,221.25	89.81%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$60,300.00	\$52,057.67	\$8,242.33	86.33%
<b>CONTRACTUAL SERVICE</b>				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,200.00	\$1,060.00	\$140.00	88.33%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)	\$300.00	\$300.00	\$0.00	100.00%
1277 Association Dues				
1279 Employee Tuition and Fees	\$200.00		\$200.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
<b>TRAVEL</b>				
1291 In-State	\$5,800.00	\$622.68	\$5,177.32	10.74%
1292 Out-of-State	\$3,300.00	\$2,013.12	\$1,286.88	61.00%
1295 Mileage	\$2,200.00	\$2,101.66	\$98.34	95.53%
<b>PRINTING</b>	\$20,200.00	\$5,556.80	\$14,643.20	27.51%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$800.00	\$599.59	\$200.41	74.95%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments	\$100.00	\$50.30	\$49.70	50.30%

<b>CAMPAIGN DISCLOSURE GRAND TOTAL</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$787,600.00	\$709,249.40		\$78,350.60	90.05%
<b>STATE PAID RETIREMENT</b>	\$31,600.00	\$28,378.75		\$3,221.25	89.81%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$60,300.00	\$52,057.67		\$8,242.33	86.33%
<b>CONTRACTUAL SERVICE</b>	\$1,800.00	\$1,360.00		\$440.00	75.56%
<b>TRAVEL</b>	\$11,300.00	\$4,737.46		\$6,562.54	41.92%
<b>PRINTING</b>	\$20,200.00	\$5,556.80		\$14,643.20	27.51%
<b>EQUIPMENT</b>	\$800.00	\$599.59		\$200.41	74.95%
<b>INTEREST PAYMENTS</b>	\$100.00	\$50.30		\$49.70	0.00%
<b>TOTAL</b>	<b>\$913,700.00</b>	<b>\$801,989.97</b>	<b>\$0.00</b>	<b>\$111,710.03</b>	<b>87.77%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>INFORMATION TECHNOLOGY</b>	<b>FY13</b>	<b>YEAR TO DATE</b>		<b>% OF</b>	
<b>MONTH ENDING: Aug. 31, 2013 (FINAL)</b>	<b>APPROPRIATION</b>	<b>EXPENDITURE</b>	<b>BALANCE</b>	<b>EXPENDITURE</b>	
<b>PERSONAL SERVICE</b>	\$711,000.00	\$710,984.20	\$15.80	100.00%	
1129 State Paid Retirement	\$28,300.00	\$27,840.33	\$459.67	98.38%	
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1170 Social Security	\$54,000.00	\$52,968.97	\$1,031.03	98.09%	
<b>CONTRACTUAL SERVICE</b>					
1145 Contractual Payroll					
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment	\$13,300.00		\$13,300.00	0.00%	
1223 Repair/Maint. Real Property					
1225 Repair/Maint. EDP Equipment	\$66,700.00	\$14,496.93	\$52,203.07	21.73%	
1230 In-House Repair of Equipment					
1234 Rental, Machinery and Mech. Equip					
1239 Rental, NEC	\$1,600.00	\$1,375.57	\$224.43	85.97%	
1242 Auditing & Management Services					
1244 Legal Fees					
1245 Professional & Artistic Services, NEC	\$16,100.00		\$16,100.00	0.00%	
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$584.00	\$416.00	58.40%	
1272 Travel & Expense Reimbursement (Vendor)					
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%	
1275 Subscription & Information Services	\$2,800.00		\$2,800.00	0.00%	
1276 Reg. Fees & Conf. Expenses (Employee)					
1277 Association Dues					
1279 Employee Tuition and Fees	\$8,000.00	\$7,321.00	\$679.00	91.51%	
1284 Computer Software	\$166,400.00	\$166,176.65	\$223.35	99.87%	
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$19,900.00	\$19,812.06	\$87.94	99.56%	
<b>TRAVEL</b>					
1291 In-State	\$4,800.00	\$4,475.79	\$324.21	93.25%	
1292 Out-of-State	\$5,400.00	\$4,260.90	\$1,139.10	78.91%	
1295 Mileage	\$700.00	\$425.84	\$274.16	60.83%	
<b>PRINTING</b>	\$700.00		\$700.00	0.00%	
<b>COMMODITIES</b>					
1304 Office/Library Supplies	\$22,200.00	\$13,643.61	\$8,556.39	61.46%	
1332 Industrial & Shop Materials					
1394 Office & Library Equipment Under \$100	\$100.00	\$48.69	\$51.31	48.69%	
1395 Small Tools < \$100					
1398 Equipment, NEC	\$1,200.00	\$1,157.32	\$42.68	96.44%	
1399 Commodities, NEC					
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment	\$300.00	\$274.95	\$25.05	91.65%	
1515 EDP Equipment	\$125,600.00	\$124,843.87	\$756.13	99.40%	
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments	\$1,800.00	\$1,736.97	\$63.03	96.50%	
<b>INFORMATION TECHNOLOGY GRAND TOTAL</b>	<b>FY13</b>	<b>YEAR TO DATE</b>	<b>OBLIGATED</b>	<b>% OF</b>	
	<b>APPROPRIATION</b>	<b>EXPENDITURE</b>	<b>MONEY</b>	<b>EXPENDITURE</b>	
<b>PERSONAL SERVICE</b>	\$711,000.00	\$710,984.20	\$15.80	100.00%	
<b>STATE PAID RETIREMENT</b>	\$28,300.00	\$27,840.33	\$459.67	98.38%	
<b>RETIREMENT</b>	\$0.00	\$0.00	\$0.00	0.00%	
<b>SOCIAL SECURITY</b>	\$54,000.00	\$52,968.97	\$1,031.03	98.09%	
<b>CONTRACTUAL SERVICE</b>	\$301,800.00	\$209,766.21	\$92,033.79	69.51%	
<b>TRAVEL</b>	\$10,900.00	\$9,162.53	\$1,737.47	84.06%	
<b>PRINTING</b>	\$700.00	\$0.00	\$700.00	0.00%	
<b>COMMODITIES</b>	\$23,500.00	\$14,849.62	\$8,650.38	63.19%	
<b>EQUIPMENT</b>	\$125,900.00	\$125,118.82	\$781.18	99.38%	
<b>INTEREST PAYMENTS</b>	\$1,800.00	\$1,736.97	\$63.03	0.00%	
<b>TOTAL</b>	<b>\$1,257,900.00</b>	<b>\$1,152,427.65</b>	<b>\$0.00</b>	<b>\$105,472.35</b>	<b>91.62%</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b><u>IVRS LUMP SUM</u></b>	<b><u>YEAR TO DATE</u></b>
<b><u>MONTH ENDING: Aug. 31, 2013 (FINAL)</u></b>	<b><u>EXPENDITURE</u></b>
<b>PERSONAL SERVICE</b>	\$276,478.50
1129 State Paid Retirement	\$11,059.54
1161 Retirement	
1170 Social Security	\$20,121.32
<b>CONTRACTUAL SERVICE</b>	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	\$1,249.28
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$9,501.60
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
<b>TRAVEL</b>	\$1,518.66
<b>PRINTING</b>	\$498.50
<b>COMMODITIES</b>	
1304 Office Supplies	\$183.50
1398 Equipment Less than \$100	
<b>EQUIPMENT</b>	
1510 Office Furniture & Equipment	\$279.99
<b>LUMP SUMS &amp; OTHER PURPOSES</b>	
1991 Interest Payments	
 <b>LOCAL GRANTS</b>	
4453 Reimbursement to Governmental Units	\$1,109,588.10
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
 <b>SUPP. APPROP. - IVRS</b>	
<b>LUMP SUM - OPERATIONS APPROP FOR YEAR</b>	<b>\$461,300.00</b>
<b>TOTAL LUMP SUM - OPERATIONS EXPENDITURES</b>	<b>\$320,890.89</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$140,409.11</b>
 <b>LUMP SUM - GRANTS APPROP FOR YEAR</b>	<b>\$1,119,100.00</b>
<b>TOTAL LUMP SUM - GRANT EXPENDITURES</b>	<b>\$1,109,588.10</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$9,511.90</b>
 <b>LUMP SUM TOTAL APPROPRIATION</b>	<b>\$1,580,400.00</b>
<b>TOTAL LUMP SUM EXPENDITURES</b>	<b>\$1,430,478.99</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$149,921.01</b>
 <b>SUPP. APPROP - IVRS</b>	<b>\$0.00</b>
<b>TOTAL SUPP. APPROP. - IVRS</b>	<b>\$0.00</b>
<b>REMAINING SUPP. APPROP. - IVRS</b>	<b>\$0.00</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>AGENCY TOTALS</b> <b>MONTH ENDING: Aug. 31, 2013</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
PERSONAL SERVICE	\$4,279,100.00	\$640,847.17	\$0.00	\$3,638,252.83	14.98%
STATE PAID RETIREMENT	\$171,200.00	\$25,274.09	\$0.00	\$145,925.91	14.76%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$327,700.00	\$46,747.10	\$0.00	\$280,952.90	14.27%
CONTRACTUAL SERVICE	\$1,102,800.00	\$126,673.28	\$297,225.00	\$678,901.72	11.49%
TRAVEL	\$116,400.00	\$6,105.41	\$0.00	\$110,294.59	5.25%
PRINTING	\$37,600.00	\$831.46	\$0.00	\$36,768.54	2.21%
COMMODITIES	\$40,400.00	\$3,104.24	\$0.00	\$37,295.76	7.68%
EQUIPMENT	\$142,100.00	\$398.99	\$0.00	\$141,701.01	0.28%
TELECOMMUNICATIONS	\$143,700.00	\$0.00	\$0.00	\$143,700.00	0.00%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$104.28	\$0.00	\$4,795.72	2.13%
ELECTION CODE BOOKS	\$17,500.00	\$0.00	\$0.00	\$17,500.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$478,600.00	\$52,663.25	\$0.00	\$425,936.75	11.00%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
<b>SUB-TOTAL (OPERATIONS)</b>	<b>\$6,862,000.00</b>	<b>\$902,749.27</b>	<b>\$297,225.00</b>	<b>\$5,662,025.73</b>	<b>13.16%</b>
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$34,375.32	\$217,624.68	\$298,000.00	6.25%
CO CLERK & RECORDER STIPENDS	\$806,000.00	\$0.00	\$0.00	\$806,000.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$2,300,000.00	\$0.00	\$0.00	\$2,300,000.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,221,400.00	\$0.00	\$0.00	\$3,221,400.00	0.00%
REDISTRICTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$65,000.00	\$0.00	\$0.00	\$65,000.00	0.00%
IDIS SYSTEM REPLACEMENT	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
<b>SUB-TOTAL (GRANTS)</b>	<b>\$6,942,400.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$6,942,400.00</b>	<b>0.00%</b>
<b>TOTAL APPROPRIATION</b>	<b>\$13,804,400.00</b>	<b>\$902,749.27</b>	<b>\$297,225.00</b>	<b>\$12,604,425.73</b>	<b>6.54%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>BOARD</b>	<b>FY14</b>	<b>YEAR TO DATE</b>		<b>% OF</b>
<b><u>MONTH ENDING: Aug. 31, 2013</u></b>	<b><u>APPROPRIATION</u></b>	<b><u>EXPENDITURE</u></b>	<b><u>BALANCE</u></b>	<b><u>EXPENDITURE</u></b>
<b>CONTRACTUAL SERVICE</b>				
1205 Freight Express & Drayage	\$3,000.00	\$89.86	\$2,910.14	3.00%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$3,600.00		\$3,600.00	0.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$18,000.00	\$171.00	\$17,829.00	0.95%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$28.90	\$471.10	5.78%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%
<b>TRAVEL</b>	<b>\$19,000.00</b>	<b>\$3,035.09</b>	<b>\$15,964.91</b>	<b>15.97%</b>
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$700.00	\$129.00	\$571.00	18.43%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<b><u>BOARD GRAND TOTAL</u></b>	<b><u>FY14</u></b>	<b><u>YEAR TO DATE</u></b>	<b><u>OBLIGATED</u></b>	<b><u>BALANCE</u></b>
	<b><u>APPROPRIATION</u></b>	<b><u>EXPENDITURE</u></b>	<b><u>MONEY</u></b>	
<b>CONTRACTUAL SERVICE</b>	<b>\$27,200.00</b>	<b>\$289.76</b>		<b>\$26,910.24</b>
<b>TRAVEL</b>	<b>\$19,000.00</b>	<b>\$3,035.09</b>		<b>\$15,964.91</b>
<b>EQUIPMENT</b>	<b>\$700.00</b>	<b>\$129.00</b>		<b>\$571.00</b>
<b>INTEREST PAYMENTS</b>	<b>\$0.00</b>	<b>\$0.00</b>		<b>\$0.00</b>
<b>TOTAL</b>	<b>\$46,900.00</b>	<b>\$3,453.85</b>	<b>\$0.00</b>	<b>\$43,446.15</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

ADMINISTRATION MONTH ENDING: Aug. 31, 2013	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
<b>PERSONAL SERVICE</b>	\$754,400.00	\$99,002.26	\$655,397.74	13.12%
1129 State Paid Retirement	\$30,200.00	\$3,970.38	\$26,229.62	13.15%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$57,800.00	\$7,261.26	\$50,538.74	12.56%
<b>CONTRACTUAL SERVICE</b>				
1201 Petty Cash	\$400.00		\$400.00	0.00%
1205 Freight Express & Drayage	\$600.00		\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$204.72	\$14,295.28	1.41%
1223 Repair/Maint. Real Property	\$2,000.00	\$502.57	\$1,497.43	25.13%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$32,800.00	\$175.02	\$32,624.98	0.53%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,800.00	\$2,800.00	39.13%
1233 Rental, Real Property	\$396,000.00	\$98,550.00	\$297,450.00	24.89%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00		\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$21,600.00		\$21,600.00	0.00%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$76,700.00		\$76,700.00	0.00%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$2,600.00	\$653.64	\$1,946.36	25.14%
1276 Reg.Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00		\$2,200.00	0.00%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00		\$11,500.00	0.00%
<b>TRAVEL</b>				
1291 In-State	\$12,600.00	\$560.86	\$12,039.14	4.45%
1292 Out-of-State	\$1,500.00		\$1,500.00	0.00%
1295 Mileage	\$300.00	\$237.30	\$62.70	79.10%
<b>PRINTING</b>	\$9,800.00	\$807.00	\$8,993.00	8.23%
<b>COMMODITIES</b>				
1304 Office/Library Supplies	\$15,000.00	\$497.87	\$14,502.13	3.32%
1306 Food for Human Consumption				
1335 Rock Salt				
1391 Household & Cleaning Supplies	\$300.00	\$83.16	\$216.84	27.72%
1394 Office/Library Equip., Not exc. \$100				
1395 Small Tools Not Exceeding \$100				
1398 Equipment, NEC	\$500.00		\$500.00	0.00%
1399 Commodities, NEC	\$600.00		\$600.00	0.00%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$5,000.00		\$5,000.00	0.00%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
<b>TELECOMMUNICATIONS</b>				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00		\$51,000.00	0.00%
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00		\$81,000.00	0.00%
1728 Videoconferencing	\$7,200.00		\$7,200.00	0.00%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
<b>OPERATION OF AUTO EQUIPMENT</b>				
1893 Repair & Maint., Auto. Equipment	\$1,800.00		\$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$104.28	\$2,795.72	3.60%
1899 Auto. Expenses, NEC				
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				
<b>ADMINISTRATION GRAND TOTAL</b>	<b>FY14 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>	<b>% OF BALANCE EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$754,400.00	\$99,002.26	\$655,397.74	13.12%
<b>STATE PAID RETIREMENT</b>	\$30,200.00	\$3,970.38	\$26,229.62	13.15%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$57,800.00	\$7,261.26	\$50,538.74	12.56%
<b>CONTRACTUAL SERVICE</b>	\$568,800.00	\$101,885.95	\$297,225.00	17.91%
<b>TRAVEL</b>	\$14,400.00	\$798.16	\$13,601.84	5.54%
<b>PRINTING</b>	\$9,800.00	\$807.00	\$8,993.00	8.23%
<b>COMMODITIES</b>	\$16,400.00	\$581.03	\$15,818.97	3.54%
<b>EQUIPMENT</b>	\$6,000.00	\$0.00	\$6,000.00	0.00%
<b>TELECOMMUNICATIONS</b>	\$143,700.00	\$0.00	\$143,700.00	0.00%
<b>OPERATION OF AUTO EQUIPMENT</b>	\$4,900.00	\$104.28	\$4,795.72	2.13%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>TOTAL</b>	<b>\$1,606,400.00</b>	<b>\$214,410.32</b>	<b>\$297,225.00</b>	<b>\$1,094,764.68 13.35%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>ELECTIONS</b> <b>MONTH ENDING: Aug. 31, 2013</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$1,702,500.00	\$253,188.91	\$1,449,311.09	14.87%
1129 State Paid Retirement	\$68,100.00	\$9,748.39	\$58,351.61	14.31%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$130,300.00	\$18,260.02	\$112,039.98	14.01%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$4,300.00		\$4,300.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip				
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$2,200.00	\$100.00	\$2,100.00	4.55%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$13,000.00		\$13,000.00	0.00%
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$3,400.00		\$3,400.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,700.00	\$800.00	\$900.00	47.06%
1277 Association Dues	\$1,200.00	\$340.00	\$860.00	28.33%
1279 Employee Tuition & Fees	\$200.00		\$200.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,000.00		\$1,000.00	0.00%
1284 Software	\$100.00	\$49.95	\$50.05	49.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$5,400.00	\$158.00	\$5,242.00	2.93%
<b>TRAVEL</b>	\$51,300.00	\$1,581.98	\$49,718.02	3.08%
<b>PRINTING</b>	\$6,900.00	\$24.46	\$6,875.54	0.35%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$3,300.00		\$3,300.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$34,375.32	\$515,624.68	6.25%
<b>ELECTION CODE BOOKS</b>	\$17,500.00		\$17,500.00	0.00%
Redistricting				
Election Judge Reimbursements (Fund 802)	\$2,300,000.00		\$2,300,000.00	0.00%
Stipends (Fund 802)	\$806,000.00		\$806,000.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation	\$65,000.00		\$65,000.00	0.00%
Electronic Canvassing Interest Payments				
IDIS Replacement System				

<b>ELECTIONS GRAND TOTAL</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$1,702,500.00	\$253,188.91		\$1,449,311.09	14.87%
<b>STATE PAID RETIREMENT</b>	\$68,100.00	\$9,748.39		\$58,351.61	14.31%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$130,300.00	\$18,260.02		\$112,039.98	14.01%
<b>CONTRACTUAL SERVICE</b>	\$33,400.00	\$1,447.95		\$31,952.05	4.34%
<b>TRAVEL</b>	\$51,300.00	\$1,581.98		\$49,718.02	3.08%
<b>PRINTING</b>	\$6,900.00	\$24.46		\$6,875.54	0.35%
<b>EQUIPMENT</b>	\$3,300.00	\$0.00		\$3,300.00	0.00%
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$34,375.32	\$217,624.68	\$298,000.00	6.25%
<b>ELECTION CODE BOOKS</b>	\$17,500.00	\$0.00		\$17,500.00	0.00%
<b>Redistricting</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>Election Judge Reimbursements</b>	\$2,300,000.00	\$0.00		\$2,300,000.00	0.00%
<b>Stipends</b>	\$806,000.00	\$0.00		\$806,000.00	0.00%
<b>Early Voting Election Judges</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>State HAVA Match</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>Voting System Integrity Center</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>Electronic Canvassing Implementation</b>	\$65,000.00	\$0.00		\$65,000.00	0.00%
<b>Electronic Canvassing Interest Payments</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>IDIS Replacement System</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$5,734,300.00</b>	<b>\$318,627.03</b>	<b>\$217,624.68</b>	<b>\$5,198,048.29</b>	<b>5.56%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

GENERAL COUNSEL MONTH ENDING: Aug. 31, 2013	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
<b>PERSONAL SERVICE</b>	\$315,100.00	\$52,170.00	\$262,930.00	16.56%
1129 State Paid Retirement	\$12,600.00	\$2,088.52	\$10,511.48	16.58%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$24,200.00	\$3,837.24	\$20,362.76	15.86%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$500.00		\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$100,000.00		\$100,000.00	0.00%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00		\$15,000.00	0.00%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00		\$1,000.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,500.00		\$1,500.00	0.00%
1277 Association Dues	\$2,000.00		\$2,000.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$700.00		\$700.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$7,000.00	\$522.39	\$6,477.61	7.46%
<b>TRAVEL</b>	\$9,500.00	\$442.86	\$9,057.14	4.66%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<u>GENERAL COUNSEL GRAND TOTAL</u>	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
<b>PERSONAL SERVICE</b>	\$315,100.00	\$52,170.00		\$262,930.00	16.56%
<b>STATE PAID RETIREMENT</b>	\$12,600.00	\$2,088.52		\$10,511.48	16.58%
<b>RETIREMENT</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$24,200.00	\$3,837.24		\$20,362.76	15.86%
<b>CONTRACTUAL SERVICE</b>	\$128,700.00	\$522.39		\$128,177.61	0.41%
<b>TRAVEL</b>	\$9,500.00	\$442.86		\$9,057.14	4.66%
<b>EQUIPMENT</b>	\$500.00	\$0.00		\$500.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$490,600.00</b>	<b>\$59,061.01</b>	<b>\$0.00</b>	<b>\$431,538.99</b>	<b>12.04%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>CAMPAIGN DISCLOSURE</b> <b>MONTH ENDING: Aug. 31, 2013</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$779,600.00	\$120,825.00	\$658,775.00	15.50%
1129 State Paid Retirement	\$31,200.00	\$4,834.80	\$26,365.20	15.50%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$59,700.00	\$8,823.31	\$50,876.69	14.78%
<b>CONTRACTUAL SERVICE</b>				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,600.00		\$1,600.00	0.00%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
<b>TRAVEL</b>				
1291 In-State	\$7,500.00		\$7,500.00	0.00%
1292 Out-of-State	\$3,800.00		\$3,800.00	0.00%
1295 Mileage				
<b>PRINTING</b>	\$20,200.00		\$20,200.00	0.00%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments				

<b>CAMPAIGN DISCLOSURE GRAND TOTAL</b>	<b>FY14</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$779,600.00	\$120,825.00		\$658,775.00	15.50%
<b>STATE PAID RETIREMENT</b>	\$31,200.00	\$4,834.80		\$26,365.20	15.50%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$59,700.00	\$8,823.31		\$50,876.69	14.78%
<b>CONTRACTUAL SERVICE</b>	\$2,300.00	\$0.00		\$2,300.00	0.00%
<b>TRAVEL</b>	\$11,300.00	\$0.00		\$11,300.00	0.00%
<b>PRINTING</b>	\$20,200.00	\$0.00		\$20,200.00	0.00%
<b>EQUIPMENT</b>	\$500.00	\$0.00		\$500.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$904,800.00</b>	<b>\$134,483.11</b>	<b>\$0.00</b>	<b>\$770,316.89</b>	<b>14.86%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>INFORMATION TECHNOLOGY</b>	<b>FY14</b>	<b>YEAR TO DATE</b>		<b>% OF</b>	
<b>MONTH ENDING: Aug. 31, 2013</b>	<b>APPROPRIATION</b>	<b>EXPENDITURE</b>	<b>BALANCE</b>	<b>EXPENDITURE</b>	
<b>PERSONAL SERVICE</b>	\$727,500.00	\$115,661.00	\$611,839.00	15.90%	
1129 State Paid Retirement	\$29,100.00	\$4,632.00	\$24,468.00	15.92%	
1161 Retirement	\$0.00		\$0.00	0.00%	
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%	
1170 Social Security	\$55,700.00	\$8,565.27	\$47,134.73	15.38%	
<b>CONTRACTUAL SERVICE</b>					
1145 Contractual Payroll					
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment	\$10,200.00		\$10,200.00	0.00%	
1223 Repair/Maint. Real Property	\$1,600.00	\$1,566.84	\$33.16	97.93%	
1225 Repair/Maint. EDP Equipment	\$88,300.00	\$7,944.50	\$80,355.50	9.00%	
1230 In-House Repair of Equipment					
1234 Rental, Machinery and Mech. Equip					
1239 Rental, NEC	\$13,668.00	\$279.70	\$13,388.30	2.05%	
1242 Auditing & Management Services					
1244 Legal Fees					
1245 Professional & Artistic Services, NEC	\$94,332.00		\$94,332.00	0.00%	
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$618.00	\$382.00	61.80%	
1272 Travel & Expense Reimbursement (Vendor)					
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%	
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%	
1276 Reg. Fees & Conf. Expenses (Employee)					
1277 Association Dues					
1279 Employee Tuition and Fees	\$8,000.00		\$8,000.00	0.00%	
1284 Computer Software	\$117,700.00	\$12,118.19	\$105,581.81	10.30%	
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%	
<b>TRAVEL</b>					
1291 In-State	\$5,500.00	\$247.32	\$5,252.68	4.50%	
1292 Out-of-State	\$5,400.00		\$5,400.00	0.00%	
1295 Mileage					
<b>PRINTING</b>	\$700.00		\$700.00	0.00%	
<b>COMMODITIES</b>					
1304 Office/Library Supplies	\$21,600.00	\$207.71	\$21,392.29	0.96%	
1332 Industrial & Shop Materials					
1394 Office & Library Equipment Under \$100					
1395 Small Tools < \$100					
1398 Equipment, NEC	\$2,400.00	\$2,315.50	\$84.50	96.48%	
1399 Commodities, NEC					
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment					
1515 EDP Equipment	\$131,100.00	\$269.99	\$130,830.01	0.21%	
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments					
<b>INFORMATION TECHNOLOGY GRAND TOTAL</b>	<b>FY14</b>	<b>YEAR TO DATE</b>	<b>OBLIGATED</b>	<b>% OF</b>	
	<b>APPROPRIATION</b>	<b>EXPENDITURE</b>	<b>MONEY</b>	<b>EXPENDITURE</b>	
<b>PERSONAL SERVICE</b>	\$727,500.00	\$115,661.00	\$611,839.00	15.90%	
<b>STATE PAID RETIREMENT</b>	\$29,100.00	\$4,632.00	\$24,468.00	15.92%	
<b>RETIREMENT</b>	\$0.00	\$0.00	\$0.00	0.00%	
<b>SOCIAL SECURITY</b>	\$55,700.00	\$8,565.27	\$47,134.73	15.38%	
<b>CONTRACTUAL SERVICE</b>	\$342,400.00	\$22,527.23	\$319,872.77	6.58%	
<b>TRAVEL</b>	\$10,900.00	\$247.32	\$10,652.68	2.27%	
<b>PRINTING</b>	\$700.00	\$0.00	\$700.00	0.00%	
<b>COMMODITIES</b>	\$24,000.00	\$2,523.21	\$21,476.79	10.51%	
<b>EQUIPMENT</b>	\$131,100.00	\$269.99	\$130,830.01	0.21%	
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00	\$0.00	0.00%	
<b>TOTAL</b>	<b>\$1,321,400.00</b>	<b>\$154,426.02</b>	<b>\$0.00</b>	<b>\$1,166,973.98</b>	<b>11.69%</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>IVRS LUMP SUM</b>	<b>YEAR TO DATE</b>
<b><u>MONTH ENDING: Aug. 31, 2013</u></b>	<b><u>EXPENDITURE</u></b>
<b>PERSONAL SERVICE</b>	\$47,150.00
1129 State Paid Retirement	\$1,886.15
1161 Retirement	
1170 Social Security	\$3,382.67
<b>CONTRACTUAL SERVICE</b>	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
<b>TRAVEL</b>	\$244.43
<b>PRINTING</b>	
<b>COMMODITIES</b>	
1304 Office Supplies	
1398 Equipment Less than \$100	
<b>EQUIPMENT</b>	
1510 Office Furniture & Equipment	
<b>LUMP SUMS &amp; OTHER PURPOSES</b>	
1991 Interest Payments	
 <b>LOCAL GRANTS</b>	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
 <b>SUPP. APPROP. - IVRS</b>	
<b>LUMP SUM - OPERATIONS APPROP FOR YEAR</b>	<b>\$478,600.00</b>
<b>TOTAL LUMP SUM - OPERATIONS EXPENDITURES</b>	<b>\$52,663.25</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$425,936.75</b>
 <b>LUMP SUM - GRANTS APPROP FOR YEAR</b>	<b>\$3,221,400.00</b>
<b>TOTAL LUMP SUM - GRANT EXPENDITURES</b>	<b>\$0.00</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$3,221,400.00</b>
 <b>LUMP SUM TOTAL APPROPRIATION</b>	<b>\$3,700,000.00</b>
<b>TOTAL LUMP SUM EXPENDITURES</b>	<b>\$52,663.25</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$3,647,336.75</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 Discretionary Funds	SECTION 102 Entitlement Payments	SECTION 261 EAID Disbursements	SECTION 251 Requirements	SECTION 251 Additional Requirements	TOTAL Fund Activity
<b>MONTH ENDING: Aug. 31, 2013 (FINAL)</b>						
<b>CASH BALANCE AT BEGINNING OF YR</b>	<b>\$927,591.71</b>	<b>\$0.00</b>	<b>-\$53,938.40</b>	<b>\$5,854,560.12</b>	<b>\$7,358,754.80</b>	<b>\$14,086,968.23</b>
Program Revenues from Federal Govt			\$328,826.30			\$328,826.30
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$2,827.62			\$20,290.55	\$24,408.83	\$47,527.00
Interest Penalties Received					\$34.65	\$34.65
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
<b>TOTAL CASH REVENUES</b>	<b>\$2,827.62</b>	<b>\$0.00</b>	<b>\$328,826.30</b>	<b>\$20,290.55</b>	<b>\$24,443.48</b>	<b>\$376,387.95</b>
<b>YEAR TO DATE CASH EXPENDITURES</b>						
PERSONAL SERVICE	\$78,670.00	\$0.00	\$0.00	\$0.00	\$0.00	\$78,670.00
STATE-PAID RETIREMENT	\$3,148.00	\$0.00	\$0.00	\$0.00	\$0.00	\$3,148.00
RETIREMENT	\$29,894.88	\$0.00	\$0.00	\$0.00	\$0.00	\$29,894.88
SOCIAL SECURITY	\$5,735.64	\$0.00	\$0.00	\$0.00	\$0.00	\$5,735.64
GROUP INSURANCE	\$28,521.84	\$0.00	\$0.00	\$0.00	\$0.00	\$28,521.84
CONTRACTUAL SERVICE	\$1,020.00	\$0.00	\$0.00	\$875,734.71	\$0.00	\$876,754.71
TRAVEL	\$13,802.61	\$0.00	\$0.00	\$0.00	\$0.00	\$13,802.61
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$413.27	\$0.00	\$0.00	\$0.00	\$0.00	\$413.27
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$192,294.68	\$0.00	\$7,294.93	\$860,597.82	\$0.00	\$1,060,187.43
<b>SUB-TOTAL CASH EXPENDITURES (OPERATIONS)</b>	<b>\$353,500.92</b>	<b>\$0.00</b>	<b>\$7,294.93</b>	<b>\$1,736,332.53</b>	<b>\$0.00</b>	<b>\$2,097,128.38</b>
AWARDS & GRANTS	\$0.00	\$0.00	\$267,592.97	\$0.00	\$2,053,663.83	\$2,321,256.80
<b>TOTAL CASH EXPENDITURES</b>	<b>\$353,500.92</b>	<b>\$0.00</b>	<b>\$274,887.90</b>	<b>\$1,736,332.53</b>	<b>\$2,053,663.83</b>	<b>\$4,418,385.18</b>
<b>CASH BALANCE AT END OF MONTH</b>	<b>\$576,918.41</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,138,518.14</b>	<b>\$5,329,534.45</b>	<b>\$10,044,971.00</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

<u>SECTION 101 - DISCRETIONARY FUNDS</u> <u>MONTH ENDING: Aug. 31, 2013 (FINAL)</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	
<b>PERSONAL SERVICE</b>	\$78,670.00	\$78,670.00	
1129 State Paid Retirement	\$3,148.00	\$3,148.00	
1161 Retirement	\$29,894.88	\$29,894.88	
1170 Social Security	\$5,735.64	\$5,735.64	
1180 Group Insurance	\$28,521.84	\$28,521.84	
<b>CONTRACTUAL SERVICE</b>			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC			
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)	\$990.00	\$990.00	
1276 Registration Fees & Conf. Exp. (Employee)	\$30.00	\$30.00	
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
<b>TRAVEL</b>			
1291 In-State & 1293 In-State (to vendor)	\$2,730.78	\$2,730.78	
1292 Out-of-State	\$8,219.24	\$8,219.24	
1295 Mileage	\$2,852.59	\$2,852.59	
<b>PRINTING</b>			
<b>COMMODITIES</b>			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
<b>EQUIPMENT</b>			
1510 Office Furniture & Equipment			
1515 EDP Equipment	\$0.00		
1561 Training Equipment			
6625 Leasehold Improvements			
<b>TELECOMMUNICATIONS</b>			
1721 Rental, Telephone Serv. & Equip.	\$413.27	\$413.27	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
<b>OPERATION OF AUTO EQUIPMENT</b>			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
<b>INDIRECT COST REFUNDS</b>			
1993 Indirect Cost Allocation Refund	\$192,294.68	\$192,294.68	
<b>GRANTS</b>			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)			
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
<b>SECTION 101 GRAND TOTAL</b>	<b>FY13</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>
<b>PERSONAL SERVICE</b>	\$78,670.00	\$78,670.00	
<b>STATE PAID RETIREMENT</b>	\$3,148.00	\$3,148.00	
<b>RETIREMENT</b>	\$29,894.88	\$29,894.88	
<b>SOCIAL SECURITY</b>	\$5,735.64	\$5,735.64	
<b>GROUP INSURANCE</b>	\$28,521.84	\$28,521.84	
<b>CONTRACTUAL SERVICE</b>	\$1,020.00	\$1,020.00	
<b>TRAVEL</b>	\$13,802.61	\$13,802.61	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$413.27	\$413.27	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>INDIRECT COST REFUNDS</b>	\$192,294.68	\$192,294.68	
<b>GRANTS</b>	\$0.00	\$0.00	
<b>TOTAL</b>	<b>\$353,500.92</b>	<b>\$353,500.92</b>	<b>\$0.00</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

**SECTION 102 - ENTITLEMENT PAYMENTS**  
**MONTH ENDING: Aug. 31, 2013 (FINAL)**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

**CONTRACTUAL SERVICE**

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

**TRAVEL**

- 1291 In-State
- 1292 Out-of-State

**PRINTING**

**COMMODITIES**

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

**EQUIPMENT**

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

**TELECOMMUNICATIONS**

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

**GRANTS**

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

**SECTION 102 GRAND TOTAL**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

<b>PERSONAL SERVICE</b>	\$0.00	\$0.00
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00
<b>RETIREMENT</b>	\$0.00	\$0.00
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00
<b>CONTRACTUAL SERVICE</b>	\$0.00	\$0.00
<b>TRAVEL</b>	\$0.00	\$0.00
<b>PRINTING</b>	\$0.00	\$0.00
<b>COMMODITIES</b>	\$0.00	\$0.00
<b>EQUIPMENT</b>	\$0.00	\$0.00
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00
<b>GRANTS</b>	\$0.00	\$0.00

**TOTAL** \$0.00

**\$0.00** **\$0.00**

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

**SECTION 261 - EAID DISBURSEMENTS**  
**MONTH ENDING: Aug. 31, 2013 (FINAL)**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

**CONTRACTUAL SERVICE**

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

**TRAVEL**

- 1291 In-State
- 1292 Out-of-State

**PRINTING**

**COMMODITIES**

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not exc. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

**EQUIPMENT**

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

**TELECOMMUNICATIONS**

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

- 1993 Indirect Cost Allocation Refund \$7,294.93 \$7,294.93

**GRANTS**

- 4453 Reimbursement to Governmental Units
- 4470 Grants to Local Governments (VAID II)
- 4470 Grants to Local Governments (VAID III)
- 4470 Grants to Local Governments (VAID IV) \$267,592.97 \$267,592.97

**SECTION 261 GRAND TOTAL**

**FY13**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$7,294.93	\$7,294.93	
GRANTS	\$267,592.97	\$267,592.97	

<b>TOTAL</b>	<b>\$274,887.90</b>	<b>\$274,887.90</b>	<b>\$0.00</b>
--------------	---------------------	---------------------	---------------

**STATE BOARD OF ELECTIONS  
FY13 MONTHLY FISCAL REPORT  
Help Illinois Vote Fund**

<u>SECTION 251 - REQUIREMENTS</u> <u>MONTH ENDING: Aug. 31, 2013 (FINAL)</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	
<b>PERSONAL SERVICE</b>			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
<b>CONTRACTUAL SERVICE</b>			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$827,135.72	\$827,135.72	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$15,948.26	\$15,948.26	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$32,650.73	\$32,650.73	
1289 Contractual Services, NEC			
<b>TRAVEL</b>			
1291 In-State			
1292 Out-of-State			
<b>PRINTING</b>			
<b>COMMODITIES</b>			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
<b>EQUIPMENT</b>			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
<b>TELECOMMUNICATIONS</b>			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
<b>OPERATION OF AUTO EQUIPMENT</b>			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
<b>INDIRECT COST REFUNDS</b>			
1993 Indirect Cost Allocation Refund	\$860,597.82	\$860,597.82	
<b>GRANTS</b>			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)			
4453 Reimbursement to Govt Units (ALA)	\$0.00		
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			
<b>SECTION 251 (OLD REQ) GRAND TOTAL</b>			
	<b>FY13</b>	<b>YEAR TO DATE</b>	<b>OBLIGATED</b>
	<b>APPROPRIATION</b>	<b>EXPENDITURE</b>	<b>MONEY</b>
<b>PERSONAL SERVICE</b>	\$0.00	\$0.00	
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00	
<b>RETIREMENT</b>	\$0.00	\$0.00	
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00	
<b>GROUP INSURANCE</b>	\$0.00	\$0.00	
<b>CONTRACTUAL SERVICE</b>	\$875,734.71	\$875,734.71	\$317,497.45
<b>TRAVEL</b>	\$0.00	\$0.00	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>INDIRECT COST REFUNDS</b>	\$860,597.82	\$860,597.82	
<b>GRANTS</b>	\$0.00	\$0.00	
<b>TOTAL</b>	<b>\$1,736,332.53</b>	<b>\$1,736,332.53</b>	<b>\$317,497.45</b>

**STATE BOARD OF ELECTIONS**  
**FY13 MONTHLY FISCAL REPORT**  
Help Illinois Vote Fund

<b>SECTION 251 - ADDITIONAL REQUIREMENTS</b>	<b>FY13</b>	<b>YEAR TO DATE</b>	
<b>MONTH ENDING: Aug. 31, 2013 (FINAL)</b>	<b>APPROPRIATION</b>	<b>EXPENDITURE</b>	
<b>PERSONAL SERVICE</b>			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
<b>CONTRACTUAL SERVICE</b>			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC			
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1289 Contractual Services, NEC			
<b>TRAVEL</b>			
1291 In-State			
1292 Out-of-State			
<b>PRINTING</b>			
<b>COMMODITIES</b>			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
<b>EQUIPMENT</b>			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
<b>TELECOMMUNICATIONS</b>			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
<b>OPERATION OF AUTO EQUIPMENT</b>			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
<b>INDIRECT COST REFUNDS</b>			
1993 Indirect Cost Allocation Refund			
<b>GRANTS</b>			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)			
4453 Reimbursement to Govt Units (ALA)			
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)	\$2,053,663.83	\$2,053,663.83	
4479 Payments to Other State Agencies			
<b>SECTION 251 (NEW REQ) GRAND TOTAL</b>	<b>FY13</b>	<b>YEAR TO DATE</b>	<b>OBLIGATED</b>
	<b>APPROPRIATION</b>	<b>EXPENDITURE</b>	<b>MONEY</b>
<b>PERSONAL SERVICE</b>	\$0.00	\$0.00	
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00	
<b>RETIREMENT</b>	\$0.00	\$0.00	
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00	
<b>GROUP INSURANCE</b>	\$0.00	\$0.00	
<b>CONTRACTUAL SERVICE</b>	\$0.00	\$0.00	
<b>TRAVEL</b>	\$0.00	\$0.00	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>INDIRECT COST REFUNDS</b>	\$0.00	\$0.00	
<b>GRANTS</b>	\$2,053,663.83	\$2,053,663.83	
<b>TOTAL</b>	<b>\$2,053,663.83</b>	<b>\$2,053,663.83</b>	<b>\$0.00</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>E A I D</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: Aug. 31, 2013</u>						
<b>CASH BALANCE AT BEGINNING OF YR</b>	<b>\$576,918.41</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,138,518.14</b>	<b>\$5,329,534.45</b>	<b>\$10,044,971.00</b>
Program Revenues from Federal Govt						\$0.00
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$220.48			\$1,687.77	\$2,036.75	\$3,945.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
<b>TOTAL CASH REVENUES</b>	<b>\$220.48</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$1,687.77</b>	<b>\$2,036.75</b>	<b>\$3,945.00</b>
<b>YEAR TO DATE CASH EXPENDITURES</b>						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$898.00	\$0.00	\$0.00	\$2,903.53	\$0.00	\$3,801.53
TRAVEL	\$4,988.82	\$0.00	\$0.00	\$0.00	\$0.00	\$4,988.82
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>SUB-TOTAL CASH EXPENDITURES (OPERATIONS)</b>	<b>\$5,886.82</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,903.53</b>	<b>\$0.00</b>	<b>\$8,790.35</b>
AWARDS & GRANTS	\$0.00	\$0.00	\$0.00	\$16,877.11	\$43,592.13	\$60,469.24
<b>TOTAL CASH EXPENDITURES</b>	<b>\$5,886.82</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$19,780.64</b>	<b>\$43,592.13</b>	<b>\$69,259.59</b>
<b>CASH BALANCE AT END OF MONTH</b>	<b>\$571,252.07</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,120,425.27</b>	<b>\$5,287,979.07</b>	<b>\$9,979,656.41</b>

**STATE BOARD OF ELECTIONS  
FY14 MONTHLY FISCAL REPORT  
Help Illinois Vote Fund**

SECTION 101 - DISCRETIONARY FUNDS  
MONTH ENDING: Aug. 31, 2013

FY14  
APPROPRIATION                      YEAR TO DATE  
EXPENDITURE

**PERSONAL SERVICE**

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security
- 1180 Group Insurance

**CONTRACTUAL SERVICE**

- 1205 Freight Express/Drayage
- 1223 Repair & Maintenance Real Property
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1232 Rental, Motor Vehicles
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1273 Advertising
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1286 Travel, Reimb. to Non-State Employees
- 1289 Contractual Services, NEC

\$898.00                      \$898.00  
\$0.00

**TRAVEL**

- 1291 In-State & 1293 In-State (to vendor)
- 1292 Out-of-State
- 1295 Mileage

\$0.00                      \$4,678.07  
\$4,678.07                      \$310.75  
\$310.75

**PRINTING**

**COMMODITIES**

- 1304 Office/Library Supplies
- 1308 Educational & Instructional Materials
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

**EQUIPMENT**

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1561 Training Equipment
- 6625 Leasehold Improvements

\$0.00

**TELECOMMUNICATIONS**

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

\$0.00

**OPERATION OF AUTO EQUIPMENT**

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

- 1993 Indirect Cost Allocation Refund

\$0.00

**GRANTS**

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments (PPA)
- 4470 Grants to Local Governments (EAI)
- 4470 Grants to Local Governments (AAG)
- 4470 Grants to Local Governments
- 4479 Payments to Other State Agencies

**SECTION 101 GRAND TOTAL**

FY14                      YEAR TO DATE                      OBLIGATED  
APPROPRIATION                      EXPENDITURE                      MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$898.00	\$898.00	
TRAVEL	\$4,988.82	\$4,988.82	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	

<b>TOTAL</b>	<b>\$5,886.82</b>	<b>\$5,886.82</b>	<b>\$0.00</b>
--------------	-------------------	-------------------	---------------

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 102 - ENTITLEMENT PAYMENTS**  
**MONTH ENDING: Aug. 31, 2013**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

**CONTRACTUAL SERVICE**

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

**TRAVEL**

- 1291 In-State
- 1292 Out-of-State

**PRINTING**

**COMMODITIES**

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

**EQUIPMENT**

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

**TELECOMMUNICATIONS**

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

**GRANTS**

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

**SECTION 102 GRAND TOTAL**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

<b>PERSONAL SERVICE</b>	\$0.00	\$0.00
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00
<b>RETIREMENT</b>	\$0.00	\$0.00
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00
<b>CONTRACTUAL SERVICE</b>	\$0.00	\$0.00
<b>TRAVEL</b>	\$0.00	\$0.00
<b>PRINTING</b>	\$0.00	\$0.00
<b>COMMODITIES</b>	\$0.00	\$0.00
<b>EQUIPMENT</b>	\$0.00	\$0.00
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00
<b>GRANTS</b>	\$0.00	\$0.00

**TOTAL** \$0.00

**TOTAL** \$0.00 \$0.00

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

**SECTION 261 - EAID DISBURSEMENTS**  
**MONTH ENDING: Aug. 31, 2013**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

**CONTRACTUAL SERVICE**

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

**TRAVEL**

- 1291 In-State
- 1292 Out-of-State

**PRINTING**

**COMMODITIES**

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not exc. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

**EQUIPMENT**

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

**TELECOMMUNICATIONS**

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

- 1993 Indirect Cost Allocation Refund \$0.00

**GRANTS**

- 4453 Reimbursement to Governmental Units
- 4470 Grants to Local Governments (VAID II)
- 4470 Grants to Local Governments (VAID III)
- 4470 Grants to Local Governments (VAID IV) \$0.00

**SECTION 261 GRAND TOTAL**

**FY14**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**

\$0.00

\$0.00

**STATE PAID RETIREMENT**

\$0.00

\$0.00

**RETIREMENT**

\$0.00

\$0.00

**SOCIAL SECURITY**

\$0.00

\$0.00

**CONTRACTUAL SERVICE**

\$0.00

\$0.00

**TRAVEL**

\$0.00

\$0.00

**PRINTING**

\$0.00

\$0.00

**COMMODITIES**

\$0.00

\$0.00

**EQUIPMENT**

\$0.00

\$0.00

**TELECOMMUNICATIONS**

\$0.00

\$0.00

**OPERATION OF AUTO EQUIPMENT**

\$0.00

\$0.00

**INDIRECT COST REFUNDS**

\$0.00

\$0.00

**GRANTS**

\$0.00

\$0.00

**TOTAL**

\$0.00

\$0.00

\$0.00

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 251 - REQUIREMENTS**  
**MONTH ENDING: Aug. 31, 2013**

	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	
<b>PERSONAL SERVICE</b>			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
<b>CONTRACTUAL SERVICE</b>			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$2,903.53	\$2,903.53	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1289 Contractual Services, NEC			
<b>TRAVEL</b>			
1291 In-State			
1292 Out-of-State			
<b>PRINTING</b>			
<b>COMMODITIES</b>			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
<b>EQUIPMENT</b>			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
<b>TELECOMMUNICATIONS</b>			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
<b>OPERATION OF AUTO EQUIPMENT</b>			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
<b>INDIRECT COST REFUNDS</b>			
1993 Indirect Cost Allocation Refund			
<b>GRANTS</b>			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)	\$16,877.11	\$16,877.11	
4453 Reimbursement to Govt Units (ALA)			
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			
<b>SECTION 251 (OLD REQ) GRAND TOTAL</b>			
	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
<b>PERSONAL SERVICE</b>	\$0.00	\$0.00	
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00	
<b>RETIREMENT</b>	\$0.00	\$0.00	
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00	
<b>GROUP INSURANCE</b>	\$0.00	\$0.00	
<b>CONTRACTUAL SERVICE</b>	\$2,903.53	\$2,903.53	
<b>TRAVEL</b>	\$0.00	\$0.00	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>INDIRECT COST REFUNDS</b>	\$0.00	\$0.00	
<b>GRANTS</b>	\$16,877.11	\$16,877.11	
<b>TOTAL</b>	\$19,780.64	\$19,780.64	\$0.00

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

SECTION 251 - ADDITIONAL REQUIREMENTS  
 MONTH ENDING: Aug. 31, 2013

FY14  
 APPROPRIATION

YEAR TO DATE  
 EXPENDITURE

- PERSONAL SERVICE
  - 1129 State Paid Retirement
  - 1161 Retirement
  - 1170 Social Security
  - 1180 Group Insurance
- CONTRACTUAL SERVICE
  - 1205 Freight Express/Drayage
  - 1223 Repair & Maintenance Real Property
  - 1225 Repair & Maintenance, EDP Equip.
  - 1231 Rental, Office Equipment
  - 1232 Rental, Motor Vehicles
  - 1237 Rental, Film & Audio/Visual Aids
  - 1239 Rental, NEC
  - 1242 Auditing & Management Services
  - 1243 Book Binding & Processing Services
  - 1244 Legal Fees
  - 1245 Professional & Technical Services, NEC
  - 1261 Postage
  - 1266 Court Reporting & Filing Services
  - 1273 Advertising
  - 1274 Registration Fees & Conf. Exp. (Vendor)
  - 1276 Registration Fees & Conf. Exp. (Employee)
  - 1280 Copying, Photographic & Printing Serv.
  - 1284 Computer Software
  - 1289 Contractual Services, NEC
- TRAVEL
  - 1291 In-State
  - 1292 Out-of-State
- PRINTING
- COMMODITIES
  - 1304 Office/Library Supplies
  - 1308 Educational & Instructional Materials
  - 1394 Office/Library Equip., Not Exceed. \$100
  - 1398 Equipment, NEC
  - 1399 Commodities, NEC
- EQUIPMENT
  - 1510 Office Furniture & Equipment
  - 1515 EDP Equipment
  - 1561 Training Equipment
  - 6625 Leasehold Improvements
- TELECOMMUNICATIONS
  - 1721 Rental, Telephone Serv. & Equip.
  - 1722 Rental, Data Comm. Serv. & Equip.
  - 1728 Video Conferencing
  - 1729 Rental, Other Comm. Serv. & Equip.
  - 1730 Parts & Supplies for Telephone
  - 1740 Answering & Pag. Comm. Serv. Equip.
  - 1750 Telephone, Data, Radio & Other Equip.
  - 1799 Telecommunication Services, NEC
- OPERATION OF AUTO EQUIPMENT
  - 1893 Repair & Maint., Automotive Equipment
  - 1894 Parts & Fittings, Automotive Equipment
  - 1896 Gasoline, Oil & Antifreeze
  - 1898 Automotive Services, NEC
  - 1899 Automotive Expenses, NEC
- INDIRECT COST REFUNDS
  - 1993 Indirect Cost Allocation Refund
- GRANTS
  - 4453 Reimbursement to Govt Units (AVE)
  - 4453 Reimbursement to Govt Units (Phase II)
  - 4453 Reimbursement to Govt Units (ALA)
  - 4453 Reimbursement to Govt Units (EDG)
  - 4470 Grants to Local Governments (VRS)
  - 4479 Payments to Other State Agencies

\$43,592.13

\$43,592.13

**SECTION 251 (NEW REQ) GRAND TOTAL**

FY14  
 APPROPRIATION

YEAR TO DATE  
 EXPENDITURE

OBLIGATED  
 MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$43,592.13	\$43,592.13	

**TOTAL**

\$43,592.13

\$43,592.13

\$0.00

<b>Start Date</b>	<b>End Date</b>	<b>Activity</b>	<b>Division</b>
8 /30/2013		Complete 2 year plan for 2014-2015. Project started 7/1/2013.	ET&RD
8 /30/2013		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
9 /3 /2013		Complete updating the SBE GUIDE FOR POLLWATCHERS. 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /3 /2013		Complete updating the DEPUTY REGISTRAR GUIDELINES booklet. Project began on 7/1/2013 10 ILCS 5/1A-8 (1, 2, 12)	ET&RD
9 /9 /2013		Begin to develop 2014 SBE Legislative Program - 98th General Assembly - for the upcoming legislative session, beginning January 2014. 10 ILCS 5/1A-8 (8)	LEG
9 /9 /2013		Begin to update the COUNTY OFFICERS book for the year 2014. (Target completion date: 12/5/2013.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /9 /2013		Begin to update the FEDERAL AND STATE OFFICERS book for the year 2014. 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /16/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
9 /16/2013		Complete updating and printing all agency BROCHURES. (Project began 7/1/2013.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
10/1 /2013		First day that any political committee shall file its 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/15/2013		Last day that a political committee shall file its 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/22/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/29/2013	10/31/2013 (date subject to change)	Veto Session	LEG

<b>Start Date</b>	<b>End Date</b>	<b>Activity</b>	<b>Division</b>
11/1 /2013		Begin preparation of packet materials for 2013 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed December 2, 2012. 10 ILCS 5/9-15.	CAMP DISC
11/4 /2013		Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
11/4 /2013		Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	VOSS
11/12/2013	11/14/2013	(date subject to change) Veto Session	LEG
11/15/2013		The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2013 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.	CAMP DISC
11/18/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/18/2013		Notify Department of Public Health for a list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act. This is to obtain a current list for the March 18th, 2014 General Primary Election. 10 ILCS 5/19-12.2	ET&RD
11/25/2013	12/2 /2013	Candidate filing period for General Primary (March 18,2014). 10 ILCS 5/7-12	EL INFO
12/2 /2013		Notify election authorities of requirement to submit computerized voter registration information for the December 15, 2013 submission in a format prescribed by the SBE	VRS

**From:** Sharon Meroni - Defend the Vote [mailto:sharon@defendthevote.com]  
**Sent:** Friday, August 23, 2013 2:28 PM  
**To:** Borgsmiller, Rupert; Menzel, Ken; Sandvoss, Steve; Glazier, Becky  
**Subject:** RE: Tuesday's Board Meeting

Mr. Smart and the Illinois State Board of Elections:

The attached letter is from Argonne.

Now that you cannot threaten to take away my right to speak at the meeting, please allow me to respond to your statement that I was rude to Rupert Borgsmiller through email. Since you decided to scold me in your capacity as Chairman and threatened to silence me so I was not free to respond to your outrageous claim when it was made, I believe it is only fair to allow the public to see the truth on how the ISBE treats those who ask questions about election security. Video evidence will show how we are treated at the ISBE Board meetings. I don't mind including a copy of our email correspondence, either.

The emails (below) say what I wanted to say. The Board routinely denies my requests to get on the agenda. The Board openly disrespects public inquiry and refuses to address the important security issues I raise, and in general treats Defend the Vote with incredible disrespect. The truth is, this Board behaves as if they are the Kingdom and we are their subjects.

Sadly, I still do not have answers to the questions I asked about the machines and their testing. I was also denied the right to speak on the ES&S certification. What exactly are you afraid of? The question or the answer?

If the Board wants to start a new dialogue, then let's do so. I would like to be on the Agenda for the September 21<sup>st</sup> meeting. My topic will be: ***Election Security and the Vulnerability Assessment of Security in Relation to the Illinois State Board of Elections – A follow-up report.***

Elections are public events and the public ALWAYS has standing to ask questions and receive answers from those who are administering the vote.

Respectfully,  
Sharon Meroni



**Roger Johnston, Ph.D., CPP**  
Senior Systems Engineer & Section Manager

**Vulnerability Assessment Team**  
**Nuclear Engineering Division**  
Argonne National Laboratory  
9700 South Cass Avenue, Bldg. 206  
Argonne, IL 60439-4840

1-630-252-6168 phone  
1-630-252-7323 fax  
1-630-788-4713 mobile  
rogerj@anl.gov

October 15, 2012

Sharon Meroni  
Defend the Vote

Dear Sharon:

This letter is to verify that the Technical Services Agreement P-12095 to provide your organization with advice and vulnerability assessments on election security has been fully approved by Argonne senior management. It is now an official Argonne project. We in the Argonne Vulnerability Assessment Team (VAT) are available to assist you with offering suggestions to election jurisdictions and election officials on practical ways to improve election security.

In addition to upcoming news stories at CNNMoney, Harper's Magazine, and the Huffington Post, information about our work with election security can be found here (among other places):

Argonne VAT Election Security web page: <http://www.ne.anl.gov/capabilities/vat/election-security>

RT News live interview, <http://www.youtube.com/watch?v=Ksvd7FjtNuU&list=UUczrL-2b-gYK3l4yDld4XlQ&index=5&feature=plcp>

Bill Mego, *Naperville Sun Times*, October 2, 2012, <http://napervillesun.suntimes.com/news/15493042-418/counting-votes-should-be-a-transparent-process.html>

Laura Spadanuta, "Machine Politics", *Security Management*, September 2012, <http://securitymanagement.com/article/machine-politics-0010437?page=0%2C0>

Etan Trex and Matt Soniak, "How Secure are Electronic Voting Machines?", *Mental Floss* 11 (1), January/February 2012, pg 50.

Kane Farabaugh, "U.S. Lab Says Electronic Voting Machines Easy to Hack", <http://www.voanews.com/english/news/usa/US-Lab-Says-Electronic-Voting-Machines-Easy-to-Hack-132016698.html>

David Gewirtz, "The Scary Truth About Voting Machine Hacking Risk", <http://www.zdnet.com/blog/government/the-scary-truth-about-voting-machine-hacking-risk-exclusive-video/10945>

Salon.com, "Diebold voting machines can be hacked by remote control", <http://www.salon.com/news/politics/elections/2011/09/27/votinghack>

Brad Friedman, <http://www.bradblog.com/?p=8785> and <http://www.bradblog.com/?p=8790> and <http://www.bradblog.com/?p=8818>

Jaikumar Vijayan, "Argonne researchers 'hack' Diebold e-voting system", [http://www.computerworld.com/s/article/9220356/Argonne\\_researchers\\_hack\\_Diebold\\_e\\_voting\\_system\\_?taxonomyId=85](http://www.computerworld.com/s/article/9220356/Argonne_researchers_hack_Diebold_e_voting_system_?taxonomyId=85)

News stories about our work on security in general can be found at, for example:

The Argonne VAT web page: <http://www.ne.anl.gov/capabilities/vat>

Phil Rogers, "Most Security Measures Easy to Breach", (NBC Video), <http://www.youtube.com/watch?v=frBBGJqkz9E>

Michael Kassner, "Getting Paid to Break Into Things: How Vulnerability Assessors Work at Argonne National Lab", [http://www.techrepublic.com/blog/security/getting-paid-to-break-into-things-how-vulnerability-assessors-work-at-argonne-national-lab/5072?tag=mantle\\_skin;content](http://www.techrepublic.com/blog/security/getting-paid-to-break-into-things-how-vulnerability-assessors-work-at-argonne-national-lab/5072?tag=mantle_skin;content)

Eric Parizo, "Vulnerability Researcher on Layered Security Plan Mistakes", September 14, 2012, <http://searchsecurity.techtarget.com/video/Vulnerability-researcher-on-layered-security-plan-mistakes>

Kori Chambers, "Hackers Using TRENDnet Webcams to Spy on People", February 10, 2012, [http://www.myfoxchicago.com/dpp/news/special\\_report/webcam-trendnet-camera-hackers-privacy-watching-people-undressing-bedrooms-security-breach-20120209](http://www.myfoxchicago.com/dpp/news/special_report/webcam-trendnet-camera-hackers-privacy-watching-people-undressing-bedrooms-security-breach-20120209)

We are looking forward to working with you and with election officials.

Sincerely,

Roger G. Johnston, Ph.D., CPP  
Vulnerability Assessment Team  
Argonne National Laboratory  
9700 S. Cass Avenue  
Building 206, Room D133  
Argonne, IL 60439 USA  
phone: 630-252-6168, mobile: 630-788-4713  
email: [rogerj@anl.gov](mailto:rogerj@anl.gov)

5. **Comments from the general public.**
  
6. **Next regular Board meeting at 10:30 a.m. on Tuesday, October 22, 2013 in Chicago.**
  
7. **Executive session.**