

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY:217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Monday, May 23, 2011
10:30 a.m.

1020 South Spring Street
Springfield, Illinois
and via videoconference
James R. Thompson Center – Suite 14-100
Chicago, Illinois

Roll call.

1. Approval of the minutes from April 19 and May 6 meetings. (pgs.1-9)
2. Report of the General Counsel
 - a. Campaign Disclosure;
Appeal of campaign disclosure fines – carryover from April – hearing officer recommendation appeal be denied
 - 1) *SBE v. Friends of Jehan Gordon, 20886, 10AG017; (pgs.10-13)*Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
 - 2) *SBE v. Sangamon County Democratic Central Committee, 317, 10AG039; (pgs.14-20)*
 - 3) *SBE v. Kankakee County Democratic Central Committee, 325, 10AG040; (pgs.21-25)*
 - 4) *SBE v. Chicago Teachers Union PAC, 444, 10AG041; (pgs.26-40)*
 - 5) *SBE v. Monroe County Democratic Central Committee, 1162, 10AG044; (pgs.41-44)*
 - 6) *SBE v. United Party of Justice/Citizens Party, 4331, 11DS022; (pgs.45-52)*
 - 7) *SBE v. Friends of Jim Laski, 9079, 11DS036; (pgs.53-63)*
 - 8) *SBE v. Citizens for Classrooms Plainfield School Dist 202, 11061, 11DS040; (pgs.64-78)*
 - 9) *SBE v. Citizens for Steven Burriss, 15394, 11DS059; (pgs.79-81)*
 - 10) *SBE v. Friends of Adam Brown, 21520, 10AG020; (pgs.82-90)*
 - 11) *SBE v. Citizens for Kevin Horstman, 21902, 11DS167; (pgs.91-99)*Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
 - 12) *SBE v. Citizens for William J. Mueller, 9332, 11DS037; (pgs.100-113)*
 - 13) *SBE v. Citizens for Francisco Rodriguez, 19234, 11DS092; (pgs.114-116)*

- 14) *SBE v. The Committee to Elect Donald G. Wiseman Treasurer, 19521, 11DS093; (pgs.117-123)*
- 15) *SBE v. Friends of Bud Sous, 19856, 11MA007; (pgs.124-126)*
- 16) *SBE v. Campaign to Elect Dave Bartley, 21797, 11DS162; (pgs.127-129)*
- 17) *SBE v. Friends to Elect Patty Gustin, 21909, 11DS168; (pgs.130-135)*
- 18) *SBE v. People for Schroeder, 22047, 11DS170; (pgs.136-138)*
- 19) *SBE v. Citizens for Jill Bush, 23274, 11D1003; (pgs.139-141)*
- Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted & denied
- 20) *SBE v. Citizens for Deborah Sims, 11768, 10AP092; (pgs.142-150)*
- Failure to comply with a board order
- 21) *SBE v. Citizens for Bassi, 11481, 10AP037; (pg.151)*
- 22) *SBE v. Citizens for James Michael Bailey, 22332, 10AP071; (pg.152)*
- 23) *Marino v. Friends of Sherman Jones, 11CD012; (pg.153)*
- Other campaign disclosure items
- 24) *Assessments/Final Orders; (pgs.154-160)*
- 25) *Payment of civil penalties – informational; (pg.161)*
- Complaints following closed preliminary hearing (separate packet)
- 26) *French & Kurth v. Citizens to Elect Willie B. Cochran, 11CD014; (pgs.1-19)*
- 27) *Osman v. Sanders, Simmons, McFadden & Goodman, 11CD021; (pgs.20-41)*
- b. *Consideration of proposed rule – procedures for internet voters' guide. (pgs.162-164)*
3. **Report of the Executive Director**
 - a. *Report on Advisory Committee meeting; (pgs.165-166)*
 - b. *Precincts reporting late from the Consolidated Election; (pgs.167-168)*
 - c. *Consideration of delegate selection for the 2012 Democratic National Convention; (pgs.169-173)*
 - d. *Report on electronic canvass; (pg.174)*
 - e. *Report on meeting with General Accounting Office regarding weekend voting proposal; (pgs.175-193)*
 - f. *Counting of State Employee Retirement System Trustee Election ballots – informational; (pg.194)*
 - g. *Review of executive session minutes; (pg.195)*
 - h. *Legislative update; (oral report)*
 - i. *IVRS update – informational; (pgs.196-198)*
 - j. *Census data versus registered voters; (pg.199)*
 - k. *Final HAVA Audit Report for period January 1, 2006 through August 31, 2010 – informational; (pgs.200-213)*
 - l. *Amnesty proposal for first quarterly report delinquent filers; (pgs.214-215)*
 - m. *Assessment of civil penalties for exceeding contribution limits; (pgs.216-218)*
 - n. *Springfield office facility update; (pgs.219-221)*
 - o. *Lump Sum update; (pg.222)*
 - p. *Fiscal status reports – informational;*
 - 1) *FY11 – month ending April 30; (pgs.223-230)*
 - 2) *Help Illinois Vote Fund; (pgs.231-236)*
 - q. *Two year plan of staff activity for the months of May & June – informational. (pgs.239)*
4. **Follow up**
 - a. *Consideration of Request for information for VOSS – April Agenda; (pgs.240-246)*
5. **Comments from the general public. (pg.247)**
6. **Next Board meeting, Tuesday, June 14, 2011 in Chicago. (pg.247)**
7. **Executive session. (pgs.248-271)**

STATE BOARD OF ELECTIONS

April 19, 2011

MINUTES

PRESENT:

Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady, Member
John R. Keith, Member
Albert S. Porter, Member
William M. McGuffage, Member
Jesse R. Smart, Member
Robert J. Walters, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
Steve Sandvoss, General Counsel
Darlene Gervase, Administrative Assistant II

Chairman Schneider called the meeting to order at 9:37 a.m. and led everyone in the Pledge of Allegiance to the flag. A quorum was present with Chairman Schneider, Vice Chairman Rednour, Members Keith, Porter, McGuffage and Smart in the Chicago office and Member Walters present in Springfield via videoconference. Member Porter arrived in the Chicago office at 10:53 a.m. Chairman Schneider held Member Brady's proxy until his arrival at 11:01.

The first order of business was to recess to executive session to discuss pending litigation. Member Keith so moved and Vice Chairman Rednour seconded the motion that passed unanimously by 8 ayes in unison. The Board recessed into Executive Session at 9:39 a.m.

The Board returned to public session at 10:59 a.m. Roll call was taken to ensure a quorum. A quorum was present with Chairman Schneider, Vice Chairman Rednour, Members Keith, Porter, McGuffage and Smart in the Chicago office and Member Walters present in Springfield via videoconference in Springfield. Member Brady arrived at 11:01 a.m.

The Chairman asked for motions following Executive Session. Member Keith moved to direct the General Counsel to contact outside legal counsel and coordinate with them to formulate the position and advocate the position adopted in Executive Session relative to the discussed pending litigation. Member Smart seconded the motion which passed unanimously by roll call vote.

Mr. Keith moved that the Chairman and Vice Chairman each appoint one member to a subcommittee to coordinate the Board's position with the General Counsel relative to that litigation who would then consult with outside counsel. Mr. Smart was the Chairman's choice and Mr. McGuffage, was the Vice Chairman's choice. Member Smart seconded the motion which passed 8-0 by roll call vote.

Chairman Schneider asked for a motion to adopt the minutes from the March 21 regular meeting and the March 31 special board meeting as amended by Member Keith. Vice Chairman Rednour so moved and Member Smart seconded the motion, which passed by 8 ayes in unison.

The Chairman indicated that an item on the Executive Director's report would be addressed first, as Kyle Thomas, who was presenting the report had experienced a death in the family and needed to return to Springfield. The Board offered its condolences and wanted to see him return to his family quickly. Mr. Thomas began by explaining the chart showing census data versus registered voters by election authority jurisdictions within the state. He found that in 15 jurisdictions the registered voters exceeded 100% the voting age population and letters were sent to those jurisdictions. Some responses related to purges not performed every 2 years as required by law. He added that in Clinton County, whose registration numbers were so high, all voter registrations regardless of status were submitted. Mr. Thomas will submit a monthly reporting regarding these 15 jurisdictions until their numbers are cleaned up. The Board again thanked Mr. Thomas and again offered sympathy for his loss.

Returning to the General Counsel's report, the Chairman called 2(a) 1 through 21 wherein the hearing officer recommended the appeals be granted and General Counsel Sandvoss concurred. Noted for the record were

appearances from Bridget Mitchell for the respondent in *SBE v. Citizens to Elect Judge Raymond W. Mitchell*, 22125, 11AP061; Michael Kasper for the respondent in *SBE v. Citizens for Fred Crespo*, 18583, 10AG016; and Anjana Hansen for the respondent in *SBE v. Patrick for Illinois*, 22116, 10AP060. The attorneys for the respondents agreed with the recommendations. Member Keith moved to accept the recommendations of the General Counsel and hearing officer in Items 1 through 21 and order that reports required to be filed according to the recommendation in Cases 15, 18, 19, 21 and any other reports be filed before close of business on May 20, 2011. Member Smart seconded the motion which passed 8-0 by roll call vote. Appeals were granted in: *SBE v. Citizens to Elect Judge Raymond W. Mitchell*, 22125, 10AP061; *SBE v. IL Coin Machine Operators Association PAC*, 712, 10AG005; *SBE v. Citizens for Lou Lang*, 1330, 10AP089; *SBE v. Ogle County Republican Central Committee*, 5091, 10GE009; *SBE v. Citizens for Viverito*, 6053, 10AG007; *SBE v. Friends for Lauzen*, 7463, 10AP091; *SBE v. Downers Grove Township Republican Ticket*, 8939, 11DS035; *SBE v. Citizens for Leuchtefeld*, 11445, 10AG009; *SBE v. Citizens to Elect LaShawn K. Ford*, 14021, 10AG012; *SBE v. Meijer PAC of Illinois*, 15453, 11DS060; *SBE v. Friends for Verschoore*, 17579, 10AG014; *SBE v. Friends for Lee Ann Goodson*, 18169, 10GE019; *SBE v. Citizens for Fred Crespo*, 18583, 10AG016; *SBE v. Committee to Elect Bill Berry*, 19193, 11DS091; *SBE v. State Council of IL Sheet Metal Workers PAC*, 19987, 10AG057; *SBE v. Patrick for Illinois*, 22116, 10AP060; *SBE v. Citizens for Jeffrey L. Junkas*, 22127, 10AG024; *SBE v. Friends of Robyn Gabel*, 22260, 10AP099; *SBE v. Citizens for Ray Pendzinski*, 22429, 10AG059; *SBE v. Committee to Elect Mike Cook*, 22570, 10GE040; *SBE v. Friends of Lynn Scott Pearson*, 22725, 10JS208.

New appeals of campaign disclosure fines wherein the hearing officer recommended the appeals be denied were considered. Chairman Schneider called items 2.a.26, *SBE v. Citizens for Sig*, 9379, 10JS222 and noted that Mr. Sig Vaznelis was present. Mr. Sandvoss summarized the appeal and agreed with the hearing officer that the appeal be denied and a penalty be assessed. A correction was made to the fine assessment as \$3,525 rather than the previous fine of \$8,225. Mr. Vaznelis indicated that they had problems with the software and had to fax the reports. Discussion ensued among the Board and Member Keith moved to adopt the recommendation of the hearing officer and General Counsel and deny the appeal. Vice Chairman Rednour seconded the motion which passed 7-1 by roll count vote with Member Walters voting in the negative.

Chairman Schneider called 2.a.27, *SBE v. Citizens for Thaddeus Jones*, and noted for the record that Michael Kasper was present for the respondent. Mr. Sandvoss presented the case and agreed with the hearing officer's recommendation to deny the appeal and assess a penalty. Mr. Kasper spoke to the problems the committee encountered when attempting to file the Report and he indicated that a hearing was not held and only the documents presented constituted the appeal. Mr. Kasper stated that he was asked to represent the committee following the submission of the appeal. At the suggestion of Member Keith, he requested the matter be sent to a hearing officer for further hearing. Chairman Schneider ordered, without objection that the matter be referred to a hearing officer for consideration of the appeal.

The General Counsel continued with Number 29, *SBE v. Citizens for David E. Miller*, 14923, 10AP043 and indicated it was an appeal of a civil penalty assessed for a late Schedule A-1 filing resulting in a \$3,000 civil penalty. The committee had requested a hearing, but failed to appear. The hearing officer based her recommendation on the appeal affidavit and recommended the appeal be denied for lack of an adequate defense but that the penalty be reduced to 10% of the original assessment or \$300. Mr. Sandvoss concurred and added that the lifting of the stay of a previously assessed penalty would result in a \$350 total penalty. The Chairman noted that Mr. James P. Nally was present on behalf of the committee. Mr. Nally agreed to abide by the hearing officer's recommendation. Member Smart moved to accept the hearing officer's and General Counsel's recommendations and deny the appeal. Member Porter seconded the motion which passed 8-0 by roll call vote.

The Chairman called Number 30, *SBE v. Zenovia G. Evans*, 10MA022. Mr. Sandvoss summarized the appeal and concurred with the hearing officer to deny the appeal and impose a civil penalty of \$13,625. Mrs. Zenovia Evans, Chairwoman of the committee was present and stated that they would like to dissolve the committee which had approximately \$1,500 in funds available, but they also have other outstanding debt. Andy Nauman, Assistant Director of Campaign Finance and Disclosure was asked to assist the Chairwoman in dissolving their committee. This matter was tabled pending discussion between the Chairwoman and Mr. Nauman.

Moving on to Number 31, *SBE v. Friends for State Representative Anthony DeLuca*, 10AG013. Mr. Sandvoss concurred with the hearing officer that four contributions were received one day late; that this was a second violation; that the appeal be denied for lack of an adequate defense; and that the penalty be reduced by 50% to

\$3,750. Mr. Michael Kasper, attorney for the respondent, stated that the contributions should be treated as one violation and the penalty should be 10% for a first violation. Member Smart moved to accept Mr. Kasper's argument and reduce the penalty to 10% of the total amount of the four contributions. Member McGuffage stated it was possible that the respondent misunderstood the new statute, which is rather confusing, and therefore agreed with Mr. Smart and seconded the motion. The Committee was assessed a \$750 penalty which is due and owing within 30 days of the Order. The motion was adopted 8-0 by roll call vote.

The Board considered Number 32, *SBE v. Little Rock 8 Republican PAC*, 11D101. In this case, the committee filed a statement of organization on January 25th, but did not exceed the \$3,000 threshold until April 20th. The committee argued they should be not penalized. Mr. Sandvoss recommended that although the Report at issue was not received by the SBE, since the committee stated under oath that the D-1 was sent on January 28th, the appeal should be granted. He also noted that if the report had been a semi-annual or pre election report they would have been eligible for an excusal under current rule. Deanna Mool, representing the committee was present in the Springfield office and agreed with the General Counsel. Member Walters moved to accept the recommendation of the General Counsel and Member Keith seconded. The motion passed unanimously by roll call vote.

Mr. Walters advised the Board that Adam Brown was present in the Springfield office for Number 55, *SBE v. Friends of Adam Brown*, 21520, 10AG020. The hearing officer recommended the appeal be granted in part and denied in part. This appeal involved three in-kind contributions that were received in October, 2010. The chairman claimed the committee mistakenly used the dates listed on the in-kind notification form, rather than the dates the notification forms were actually received by the committee. Mr. Sandvoss concurred to grant the appeal as to two of the filings, but deny as to the third and apply the 10% reduction, which would result in a penalty of \$832; with such penalty being stayed. Mr. Brown disagreed with the hearing officer and general counsel's recommendations and requested the matter be returned to the hearing officer so he could present clarifying evidence. There being no objection, Chairman Schneider remanded the matter back to the hearing officer following the Mr. Brown's request to have an opportunity to secure counsel.

The Chairman recalled Number 30. Mrs. Evans indicated that she was prepared to dissolve the committee and turn the remaining funds over as a settlement of the fines in the amount of approximately \$1,588. Member Keith added that the fines will be abated if the committee remained inactive for two years. Member Keith so moved and Member Smart seconded the motion. Mrs. Evans confirmed that the committee intended to dissolve within 30 business days. The motion was adopted unanimously by roll call vote.

As to Number 35, *SBE v. Friends of Jehan Gordon*, the committee requested a postponement due to an emergency. Mr. Sandvoss had no objection and the Chairman ordered that matter be placed on the May regular Board meeting Agenda.

Chairman Schneider called *SBE v. Citizens to Elect Nicholas Karas*, 10AP074, and noted that Mr. James Nally was present for the respondent. Mr. Sandvoss concurred with the hearing officer that the appeal be denied as the law, at that time, stated that contributions received within any time between the beginning of the year and the date of the primary election had to be reported on a Schedule A-1. That law changed January 1, 2010. Mr. Nally argued that the Statute on Statutes made this filing unnecessary as it was outside the 30 days before an election, and it was timely filed on the D-2. Mr. Keith moved and Vice Chairman Rednour seconded the motion to deny the appeal resulting in an assessment of \$4,500, but that the assessment be stayed as a first violation. The motion passed 8-0 by roll call vote.

Mr. Sandvoss continued with Number 47, *SBE v. Friends of Peter Kramer*, 10AP080. The hearing officer recommended denial of the appeal for delinquently filing a Schedule A-1 before the 2010 General Primary. The committee contended it was received outside the 30-day window and therefore did not have to be file. As with the previous case, the hearing officer denied the appeal and recommended the penalty be reduced to 10% which would reduce the fine to \$6,000 and stayed as a first violation. Member Keith moved to adopt the recommendation of the hearing officer and General Counsel and deny the appeal. The motion passed 8-0 by roll call vote.

In the next appeal, *SBE v. Citizens for Frederick Collins*, 10AG033, a civil penalty was assessed for failing to file Schedule A-1s in connection with the 2010 General Election. One Schedule A-1 was received 13 days late; the other was 7 days late. The total assessment was \$8,575. The committee was advised that it had to file electronically as paper filings would not be accepted and be considered non-filings. Mr. Sandvoss agreed with the hearing

officer's recommendation to deny the appeal but reduce it to 10% of the original assessment resulting in a \$858 fine. Frederick Collins, the candidate and chairman of the committee was present. Mr. Collins disagreed with the recommendation and stated that he did not receive a letter from the Board stating that all future filings had to be made electronically. He indicated that they had problems filing A-1s on the old system and that no one could work the bugs out to allow the A-1 filings. Mr. Collins argued that there was no other way to file the A-1s so he faxed them (and stated that SBE staff told him this was permissible) and has the confirmations that they were timely faxed. Discussion was had by the Board. Member Smart moved to grant the appeal, seconded by Member Porter. The motion passed 6-2 by roll call vote. Members Keith and Walters voted in the negative.

Chairman Schneider called Number 48, *SBE v. Friends of Marla Wilson*, 10AG030 and noted that Michael Kasper was present for the respondent. Mr. Sandvoss stated that this was an appeal of a civil penalty for the late filing of a Schedule A-1 report involving two contributions received in October 2010. Respondent and Counsel attended the appeal hearing. Counsel indicated an A-1 was filed as soon as the respondent received notification of a wire transfer to or from another committee. On the basis that there was a substantial media buy transfer, the hearing officer inferred that respondent had some foreknowledge of the amount donated. The General Counsel concurred with the recommendation. Mr. Kasper indicated that the committee is currently active, but has no intention to remain active. Consistent with the previous discussion, he asked for a motion to adopt the recommendation of the hearing officer and General Counsel, assessing the penalty with the understanding that the funds available today will be paid within 30 days and the committee will dissolve within 30 days. Member Smart so moved and Vice Chairman Rednour seconded the motion. The motion passed unanimously by roll call vote.

The Chairman called Number 54 *SBE v. Friends of Dan Hynes*, 10AP039 and recognized Michael Kasper as present for the respondent. The treasurer appeared at the appeal hearing, which considered the four contributions that were not listed on a Schedule A-1 in connection with the 2010 General Primary Election. The hearing officer recommended granting the appeal as to the \$30,000 IPACE contribution based on the defense given and recommended the committee amend the report indicating the correct date of receipt. As to the remaining 3 others, he recommended that the appeal be denied, as no defense was offered, but to apply the 50% reduction which would result in a penalty of \$1,011, (a combination of these 3 assessments and the previous assessment). Mr. Sandvoss concurred. Member Keith moved to adopt the recommendation of the hearing officer and General Counsel, deny the appeal in part, grant the appeal in part, and order an amended report be filed by May 20, 2011. Member Smart seconded the motion which passed 7-0-1 by roll call vote. Member McGuffage voted Present.

New appeals of campaign disclosure fines wherein the hearing officer recommended the appeals be denied were considered. General Counsel Sandvoss concurred with the recommendations of the hearing officers. Member Keith moved to adopt the recommendation of the hearing officers and the General Counsel and deny the appeals in cases 22, 23, 24, 25, 28, 33, 34, 36, 37, 39, 40, 41, 42, 43, 46, 50, 51 and 52. Mr. Smart seconded the motion which passed unanimously. Those cases are: *SBE v. Jackson County Republican Central Committee*, 358, 10AG002; *SBE v. Gallatin County Republican Central Committee*, 380, 10GE003; *SBE v. North Suburban Teachers Union COPE*, 450, 10GE004; *SBE v. ICA PAC*, 4253, 10GE007; *SBE v. Will County Young Republicans*, 14432, 11DS055; *SBE v. Vote Bob Scott*, 20381, 11DS108; *SBE v. Committee to Elect Marty P. Leffler*, 20736, 11DS116; *SBE v. Citizens for Mark Walker*, 20977, 10AG018; *SBE v. Friends of David Zimmerman*, 21158, 11DS131; *SBE v. Citizens for DuPar*, 21233, 11DS133; *SBE v. Friends of Eddie Lee Jackson*, 21760, 10AP098; *SBE v. Brady PAC-Illinois*, 22097, 11MA002; *SBE v. Citizens for Wallace Davis III*, 22266, 10AP068; *SBE v. Friends of Harris*, 22270, 10AG026; *SBE v. Friends of Terri Bryant*, 22931, 10AG023; *SBE v. Friends to Elect Richard Rodriguez*, 22985, 11DS248; *SBE v. Strobeck-Eckersall for Assessor*, 23073, 11DS258.

General Counsel Sandvoss indicated that on Number 38, *SBE v. McDonough Democratic Coalition*, 11DS132, and Number 44, *SBE v. Maureen Berkowitz Supervisor of Assessments*, 10GO38, he disagreed with the hearing officer and recommended the appeals be granted. He said that both committees were not required to file electronically, but attempted to so and ran into difficulty in doing so. Therefore, his recommendation, which is consistent with the previous cases that the Board granted, would be not to penalize those committees who in good faith attempt to file electronically. Member Smart moved to grant the appeals as recommended by the General Counsel. Vice Chairman Rednour seconded the motion which passed unanimously by roll call vote.

The two remaining appeals of campaign disclosure fines where the hearing officer recommended such appeals be granted in part and denied in part with the General Counsel concurring, were considered next. Member Keith moved as to Number 53, *SBE v. Friends of Saviano*, 7684, 10AP035, to adopt the recommendation of the General

Counsel and the hearing officer and grant the appeal in part in and order that the committee file an amended report no later than then close of business on May 20, 2011; and to adopt the recommendation of the General Counsel and the hearing officer to grant in part and deny in part the appeal in Number 56, *SBE v. Committee to Elect Joseph Berrios Assessor*, 22442, 10AP101. Vice Chairman Rednour seconded the motion which passed by roll call vote. Member McGuffage recused himself with respect to item 56.

Number 56-1/2, *Lifsics v. Concerned Citizens of the 50th Ward*, 11CD004, was presented. A closed preliminary hearing was held and the Board determined that justifiable grounds existed as to the allegations contained in the complaint. The complainant alleged that the D-1 was incorrect and misstated the purpose of the committee and that Schedule A-1s were falsely reported as to the identity of the contributors and there was a false attribution of source on campaign literature. A public hearing was held and the hearing officers found that the complainant had proven the allegations and he recommended that the matter be turned over to the State's Attorney for further prosecution if the Board deems it warranted and that the Board impose penalties in regards to any reports that were not timely filed. Mr. Sandvoss concurred with the recommendation and made a technical correction to page 4 of the recommendation and further recommended that the committee be ordered to amend its statement or organization so that the statement lists the true purpose of the committee; and also that the board order the committee to refrain from any further violations of the Act and if the committee re-engages in such activities they would face a penalty not to exceed \$5,000. However he disagreed with sending this matter to the State's Attorney as he feels the respondents admitted their errors; have taken steps to correct all of the misreporting and with the imposition of a civil penalty, it would conclude the Board's involvement and no further action would be necessary. James P. Nally was present for the complainant and had nothing to add, other than he believed the public interest had been served and the public hearing brought out the true sponsoring entity of the committee. He agreed with the General Counsel's recommendation. Adam Lasker was present for the Respondents, as well as Erik Avila and Adam Quader. Mr. Lasker indicated that the committee issued a verified statement of admissions for the public hearing and presented all the required documentary evidence and that the two individuals involved have paid the price and are also personally liable for \$14,730 bills to vendors. Mr. Nally added that Mr. Lasker served his clients well and the General Counsel's recommendation is well taken from the complainant's point of view. Mr. Lasker concluded that the reports have been amended and corrected including listing the Stone Campaign Committee as the sponsoring entity for this Concerned Citizens group. Vice Chairman Rednour moved to follow the General Counsel's recommendation. Member Smart seconded the motion which passed 7-1 by roll call vote with Member Keith voting no.

The Chairman continued with Number 57, a request from the Friends of William J. Kelly, 22238, for an extension of the deadline to file an appeal. Mr. Sandvoss indicated this was a request to appeal the penalty beyond the 30 day appeal period. The committee's written explanation was that they inadvertently misplaced the appeal materials and Mr. Sandvoss did not believe it was an adequate explanation to warrant extension of the appeal deadline in this case. Mr. Andrew Finko was present for the petitioner and said that the committee did not have possession of the paperwork within the timeline, but they now have the paperwork. He added that this is a first time offense and if the appeal is not granted, the committee would like to offer a settlement. Member Porter moved to grant the motion for extension of the deadline to file an appeal within 30 days. Member McGuffage seconded the motion which passed 5-3 with Members Brady, Keith and Walters voting no.

Mr. Sandvoss next presented a list of committees specified on pages 314, 315 and 316 of the Board's packet that are ready for issuance of Final Orders assessing various penalties. Member Smart moved to concur and issue the orders. Vice Chairman Rednour seconded the motion. Mr. Sandvoss indicated the Sangamon County Democratic Central Committee paid their fine and that such committee should be removed from the list; and the previous committee, the William J. Kelly committee has been given an extension to file an appeal so it should be removed as well. The Board passed on this item until the fine for the William Beavers' committee could be confirmed.

Before a vote to recess to executive session was taken, Richard Means an attorney for the complainant in Number 65 advised the Board that both sides waived confidentiality.

Chairman Schneider called *Sherman v. Indiana Trails Public Library District/Friends of Indian Trails Library District*, 11CD019. Roger Ritzman, attorney for the respondent, also waived the right for the complaint to be heard in closed hearing. The complainant alleged that the Indian Trails Public Library District made illegal expenditures of public funds to support a referendum involving the library and failed to properly report contributions that were received by the public library district. Further, complainant alleged the Friends of the Indian Trails Public Library, a

political committee, failed to properly report contributions; improperly named the committee; and did not indicate it was supporting or opposing a referendum. As far as the library district, the hearing officer did not think they violated 9-25.1 or 9-8.10 as the material at issue was not an electioneering communication as defined under the statute. Further, the hearing officer stated that the library district did not have to file a statement of organization because they did not raise or spend more than \$3,000 in support of or opposition to the referendum. With respect to the Friends of the Indiana Trails Public Library District, simply having the same address as the library was not a *per se* violation and therefore neither Section 9-25.10 or 9-8.10 were violated. The hearing officer recommended that justifiable grounds existed as to the name of the committee as it did not indicate it was supporting a referendum and that justifiable grounds existed as to the way it reported its beginning funds. The General Counsel concurred with the recommendation. Discussion was had among the board and both sides were asked and answered several questions. Member Keith moved to find that the complaint was filed on justifiable grounds, but on representation that the reports had been filed there was no reason to proceed to a public hearing. Vice Chairman Rednour seconded the motion. The motion passed unanimously by roll call vote.

The parties in Agenda number 64, *Maywood United Party v. Ratley*, 11CD017 also waived confidentiality and the matter was heard in open session. The complainant alleged that the respondent failed to file a statement of organization and a D-2 report and that amounts spent in support of Mr. Ratley's candidacy likely exceeded \$3,000. The hearing officer, after listening to the complainant's and respondent's case in chief determined that the complaint was not filed on justifiable grounds as the expenditures alleged did not exceed \$3,000. He also recommended that no further action was required. General Counsel Sandvoss concurred with the recommendation. James P. Nally represented the complainant and Mr. Ratley was present *pro se*. The Board heard both sides argue their case and discussion was had among the Board Members. Member McGuffage moved that this matter be referred to a public hearing. Member Brady seconded the motion. The motion failed by a vote of 2-4 with 2 members voting present. Members Keith, Smart, Vice Chairman Rednour and Chairman Schneider voted no; Member McGuffage held Member Porter's proxy and voted him present and Member Walters voted present. Member Keith moved to adopt the recommendation of the General Counsel and hearing officer and find the matter was not filed on justifiable grounds and not proceed to public hearing. Member Smart seconded the matter which passed 6-2 with Members McGuffage and Walters voting in the negative and the motion was thus adopted.

The Chairman returned to Number 58, Assessments and Final Orders. It was confirmed that the fine for William Beavers Committee was \$150. Also, the Sangamon County Democrat Central Committee would be sent a Final Order assessing the penalty as they withdrew their check with the intention of resubmitting it at another time. The Chairman asked for a motion to impose final Board orders in those cases on pages 314, 315, and 316 of the Board's packet. Member Smart so moved and Member McGuffage seconded the motion. The motion passed unanimously by roll call vote. Member Keith recused himself from the vote on the Sangamon County Democratic Central Committee.

Member Keith moved to recess to Executive Session for complaints following closed preliminary hearing. Member Smart seconded the motion which passed unanimously by 8 ayes in unison.

The Board recessed into Executive Session at 1:42 p.m. and reconvened at 2:15 p.m.

Roll call was taken to ensure a quorum. A quorum was present with Chairman Schneider, Vice Chairman Rednour, Members Brady, Keith, Porter, McGuffage and Smart in the Chicago office and Member Walters present in Springfield via videoconference in Springfield.

Member Keith moved to accept the agreed order tendered by the parties and consent to the Chairman executing same and issuing orders accordingly in *Stern v. College of DuPage Foundation*, 10CD085. Vice Chairman Rednour seconded the motion which passed unanimously by roll call vote.

In *Albers v. Pro-Library Committee*, 11CD009, Member Keith moved to grant the motion to dismiss for want of prosecution as made on behalf of the respondent. Member Smart seconded the motion which passed unanimously by roll call vote.

In the matter of *Dorado v. Women for Stronger Neighborhoods* 11CD015 consolidated with 11CD020, Member Keith moved and Member Smart seconded a motion to grant the motion to dismiss for want of prosecution as made on behalf of the respondent. The motion passed 8-0 by roll call vote.

In the case of *Dorado v. Magnolia Malden Block Club*, 11CD016, Member Keith moved and Member Smart seconded a motion to grant the motion to dismiss for want or prosecution as made on behalf of the respondent. The motion passed 8-0 by roll call vote.

Mr. Sandvoss continued with Item B, consideration of a proposed rule concerning the electronic filing defense. He indicated that the suggested language would specify circumstances under which a defense of computer issues would be considered and granted, or denied by the Board. Several scenarios contained in the proposed rule were based on past situations and such rule is designed to ensure consistency when granting or denying appeals. This matter was on the agenda last month and it was carried over to allow the Board extra time to look at the language. Member Keith suggested changes which have been incorporated into this version. If there were no other changes, Mr. Sandvoss asked for a motion adopting the language as written. After lengthy discussion among the Board, Member Smart moved to approve these as guidelines, rather than rules and Vice Chairman Rednour seconded the motion. The motion passed 7-1 with Member Porter voting no.

Executive Director, Rupert Borgsmiller, reported that the election was very quiet. There were not many question or issues and even the follow up after the election was completed.

Mr. Borgsmiller continued with Mrs. Felts report on pre-tests. She indicated some problems occurred, but the issues were corrected before they completed their visit.

For informational purposes, judges' training schools were included. Many attended; reaction to the schools was positive; and these schools will be continued in the future.

The Executive Director indicated that the SBE appear before the House Appropriation Committee Wednesday, April 27th at 4:00 p.m. He asked Cris Cray, Legislative Liaison to continue with the legislative update and the FVAP score.

Ms. Cray confirmed that the General Assembly was on spring break this week; the House will return on the 26th; and the Senate does not return until May 3rd. Twelve election bills have moved, 5 in the house and 7 in the Senate. The only item she knows that will be in the omnibus bill is military legislation. Ms. Cray was happy to report the FVAP 96.5% score, but was not able to get scores of other states.

Mr. Borgsmiller reported that the Campaign Finance Reform Task Force was meeting Thursday, April 21st via video conference between the Chicago and Springfield offices. We have not received legislative proposals or an agenda for the meeting. The next meeting is scheduled for June 3, 2011, again via videoconference.

The next item considered was the dates for FY12 Board meetings, he requested one change for the September meeting, moving it from Monday to Tuesday, the 20th. Member Smart moved to approve the schedule with the meeting on September 19th, moved to the 20th. Member McGuffage seconded the motion which passed unanimously by 8 ayes in unison.

Item 3.h, the report for the new Springfield office facility indicated that CMS would start processing the SBE's request for a new facility once the December leases that CMS were responsible for had been completed. CMS would submit an RFI to the SBE for our review regarding our request for a new facility.

On the lump sum payout, there was nothing new to report. However, the SBE is waiting for the jurisdictions to submit vouchers for election judges' reimbursements. It is felt that the lump sum amounts would cover the judges for April. A lot of money will disappear once the election judges' reimbursement is processed. Some money is being used from the electronic canvassing implementation and our hope is to have a target sampling from the March 2012 General Primary. Member Keith asked if the lump sums can be transferred into the regular budget. The response was no and the categories, awards and grants, would be forfeited. Member McGuffage was concerned about the extra hour at the end of the day for voting. He believes it's unnecessary since we now have early voting. Eliminating that last hour would decrease the election judges' reimbursement. Mr. Borgsmiller agreed and indicated that in 2010 over 27% of the ballots were cast before Election Day. It was agreed that this matter would be reconsidered for the veto session or next year.

The Executive Director reported on the parameters of the electronic canvassing project and indicated that Mark Mossman, Brent Davis and Catalyst met with the Pew Foundation to review the information. No jurisdictions have been identified as possible team members. Chairman Schneider asked for a follow up at the May meeting.

The fiscal reports were presented and the agency is in good shape for the remainder of the fiscal year. Member Keith questioned the possibility of a "bonus pool". Mr. Borgsmiller will gather the information and report in the form of a follow-up in May.

The Two year plan of staff activity was presented for informational purposes.

In Item 4.a., Follow up of reporting of in-kind contributions, the Director reported that several discussion have been held, but what it comes down to is how the recipient receives the information from the contributor. Lengthy discussion ensued among the Board, several scenarios were considered, and this matter will be revisited in May.

The next follow up item was the Federal Consent Decree. Legal Counsel, Bernadette Harrington, outlined how the SBE intends to proceed regarding the UOCAVA ballot. The 45-day deadline is a very hard deadline to meet and the election calendar will contain that date as well as the fact that if you are not open on the 45th day before the election, you must send it out the 46th day. A meeting with the county clerks and zone meeting are planned at which time we will find out what counties are not willing to comply with the Consent Decree. The Attorney General has indicated she will take those election jurisdictions to court, if necessary, to ensure their compliance with the requirements. Mr. McGuffage asked if this was the legislation Ms. Cray spoke of in the omnibus bill. Mr. Borgsmiller responded that yes, the general election is the only election that is still at 60 days -- it is 45 days for all other elections. The Chairman complimented Ms. Harrington on the great work and added that his concern remains getting the election authorities to respond that they understand their obligations. The Director indicated that the new clerks that were elected have responded positively to the information we sent regarding the February and April elections.

There was nothing new on the penalty assessments under the new law and this item was skipped until further notice.

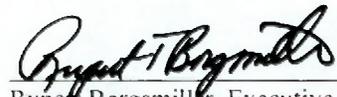
The VOSS Request for Information (RFI) was presented for questions and comments. Mr. Borgsmiller asked for comments and suggestions to be submitted to him by May 2nd for inclusion in the May packet. Those comments will be incorporated and he will present a revised version to approve or change at the May meeting.

Mr. Borgsmiller finished his report with a reminder of the Special Board meeting, Friday, May 6 at 9:00 a.m. to certify the canvass from the Fox Waterway Agency Directors' Election.

The regular meeting of the State Board of Elections will be held primarily in Springfield on May 16, 2011 at 10:30 a.m. Member McGuffage moved and Member Smart seconded the motion to adjourn to May 6, Friday, at 9:00 a.m. The motion carried by 8 ayes in unison. The meeting adjourned at 3:09 p.m.

DATED: April 27, 2011

Respectfully submitted,



Rupert Borgsmiller, Executive Director



Darlene Gervase, Administrative Assistant II

STATE BOARD OF ELECTIONS
Special Board Meeting Via Videoconference
Friday, May 6, 2011

MINUTES

PRESENT: Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady, Member
John R. Keith, Member
William M. McGuffage, Member
Jesse R. Smart, Member
Robert J. Walters, Member

ABSENT: Albert S. Porter, Member

ALSO PRESENT: Rupert Borgsmiller, Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The special meeting of the State Board of Elections was called to order via videoconference means at 9:05 a.m. with seven Members present. Chairman Schneider and Members Brady and McGuffage were present in Chicago, Members Keith and Smart were present in Springfield and Vice Chairman Rednour and Member Walters participated via teleconference. Member Porter was absent and Member McGuffage held his proxy.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

The first item of business was certification of the canvass for Fox Waterway Agency Directors (Lake and McHenry Counties). Mark Mossman explained there was one uncontested race in Lake County and three candidates in McHenry County and recommended certification of the results. Member McGuffage moved to certify the canvass as presented. Member Brady seconded the motion which passed by roll call vote of 8-0.

Member Brady moved to recess to executive session to discuss pending litigation and personnel matters. Member McGuffage seconded the motion which passed unanimously. The meeting recessed at 9:10 a.m. and reconvened at 9:20 a.m. Upon reconvening, a second roll call was taken with all Members present as noted in the first roll call.

Member Keith moved to direct the legislative liaison to take action regarding specific litigation as adopted in executive session in the event that that legislation becomes pending before the General Assembly and to treat it as if it were a part of SBE's legislative package and promote the passage of it. Member Brady seconded the motion which passed by roll call vote of 8-0.

With there being no further business before the Board Member Brady moved to adjourn until Monday, May 23, 2011 at 10:30 a.m. or until call of the Chairman whichever occurs first. Member McGuffage seconded the motion which passed unanimously. The meeting adjourned at 9:25 a.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert Borgsmiller, Executive Director

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 017

Friends of Jehan Gordon ID# 20886

Respondent

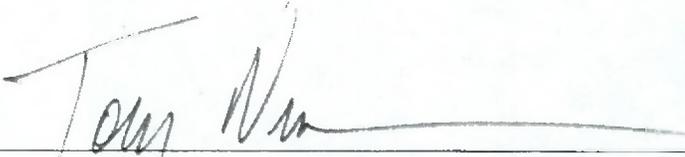
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing Schedule A-1s for the 2010 General Election

The Committee received an \$11,106.15 in-kind contribution on 10/17/10, a \$5000 in-kind contribution on 10/18/10, and a \$2353.11 in-kind contribution on 10/19/10 and listed all three on a Schedule A-1 received by the Board on 10/27/10, 4, 5, and 6 days late respectively. The total assessment is \$18,459.26.

Jean Polk, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Polk admits the A-1 filings were late, which she says was partly the result of the Committee's use of pro bono services of an outside accounting firm to file the Committee's reports. She says confusion about the reporting requirements along with a large volume of contributions during the campaign ultimately led to the late filings.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, I also recommend the penalty be reduced to 10% of the original amount, or \$1846. If this recommendation is accepted by the Board, the \$1846 civil penalty will be due and owing. (As of 12/31/10, this Committee reported a funds available balance of \$18,256.11.)



Tom Newman – Hearing Officer
March 16, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends of Jehan Gordon
Helen King
3403 N. Peoria Ave.
Peoria, IL 61603

ID# 20886

Dear Friends of Jehan Gordon;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Democratic Party of Illinois	10/17/2010	\$11,106.15	\$11,106.15	10/27/2010	6
Democratic Party of Illinois	10/18/2010	\$5000	\$5000	10/27/2010	5
Democratic Party of Illinois	10/19/2010	\$2353.11	\$2353.11	10/27/2010	4

The committee is fined a **total** of \$18,459.26.00 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1846.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

State of Illinois)
County of Peoria)

STATE BOARD OF ELECTIONS

11 FEB 10 PM 12:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Jehan Gordon)
Respondent(s).)

Case No. 10 AG 07

APPEAL AFFIDAVIT

I, Jean Polk, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Jehan Gordon
(Name of the Committee)

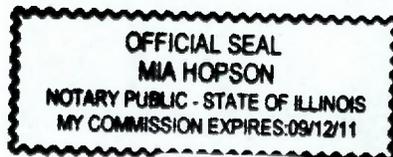
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached Memo

Signed and Sworn to by:

before me this 8th Day of
February, 2011
Mia Hopson
Notary Public

Jean A. Polk
(Signature of Chairman/Treasurer)



Memorandum

20886

To: State Board of Elections
From: Jean Polk, Treasurer, Friends of Jehan Gordon
Date: 1/30/2011
Re: Case 20886 Appeal Affidavit

To Whom it May Concern:

This committee admits to late filing of "In-Kind" contributions, which required A-1 filings within 2 business days of receipt as required by Illinois Campaign Disclosure Act. However, this Committee requests the State Board of Elections to consider the following extenuating circumstances:

1. The Friends of Jehan Gordon Committee uses pro bono services of an outside accounting firm to file the committee's reports with the State Board's IDIS system. As a result, during the final few weeks of the most recent campaign season, the volume of contributions in general and the "in-kind" contributions in particular tested the systems employed to report A-1s. For example, during the campaign, the Democratic Party of Illinois was making "in-kind" contributions that were not necessarily known to the Treasurer because an on-site Campaign Manager had assumed control of campaign activities. As such, "in-kind" contributions were made in Springfield, reported to the Campaign Office in Peoria, forwarded to the Treasurer and ultimately emailed or faxed to the accounting firm for reporting to the State Board of Elections via the IDIS system.
2. The three "in-kind" contributions identified on the Assessment letter dated January 20, 2011, were made on a Sunday, Monday and Tuesday in October. The contributions were not properly handled by the accounting firm's administrative staff. The staff person did not understand the significance of the faxes in contrast to other similar communications received during the year. As a result, they sat in a file until discovered by the CPA charged with the responsibility for filing the A-1s. It simply was a mistake and not an egregious intentional act to deceive the State Board or the public. The proof of this is the fact that all three were reported on the same day.
3. During the campaign, the method developed to handle monetary contributions involved a scanning and emailing process that proved to be much more efficient. The "in-kind" contributions, because of their nature, became a more awkward process and that awkwardness showed at the end. In the next 30-day period other systems will be created to prevent another occurrence. This committee's history and intent is to always report timely.

Therefore, on behalf of the Friends of Jehan Gordon, I respectfully request a reprieve from the penalties assessed as the committee believes this to be a unique and infrequent human error.

CONFIDENTIAL

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 039

Sangamon County Democratic Central Committee

ID 317

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1
For the 2010 General Election

The Committee received a \$250 contribution on 10/19/10, and a subsequent \$500 contribution from the same contributor on 10/27/10, but failed to report it on a Schedule A-1. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, unpaid) for delinquent filings of two Schedule A-1s for the 2010 General Election. The total assessment is \$700.

John Karnes, the Treasurer of the Committee, filed a Request for Hearing and submitted at the hearing held on May 4, 2011 the following:

At the hearing, Mr. Karnes stated that although the Committee did receive two contributions from the same contributor, the date of the first contribution was incorrectly reported. He says the first contribution was received in August of 2010 in connection with the Committee's fish fry fundraiser, but the check was misplaced by a Committee volunteer and not discovered and deposited until October. The receipt was then reported on the Semi-Annual Report with the October 19 deposit date, rather than the actual August 23 date of receipt. Mr. Karnes offered copies of the check and deposit slip, as well as the second check dated October 25 and was deposited on October 27.

Since the initial contribution was received outside the A-1 reporting period, the aggregate contribution during that period from the contributor was \$500. Therefore, no A-1 filing was required for the second contribution. I recommend the appeal be granted. If this recommendation is accepted by the Board, the outstanding \$200 civil penalty would still be due and owing. I further recommend the Committee be ordered to amend its Semi-Annual Report within 30 days of the date of the Final Board Order to reflect the correct date of receipt. (As of March 31, 2011, the Committee reported a cash available balance of \$1766.54.)



Tom Newman – Hearing Examiner

May 4, 2010

State of Illinois)
County of SANGAMON)

STATE BOARD OF ELECTIONS

11 APR -6 AM 10:42

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
SANGAMON COUNTY DEMOCRATIC)
Respondent(s). CENTRAL)
COMMITTEE)

Case No. 10AG 039

APPEAL AFFIDAVIT

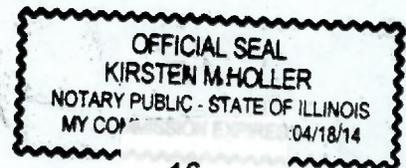
I, JOHN M. KARNES, the TREASURER of the
(Name) (Chairman/Treasurer)
SANGAMON COUNTY DEMOCRATIC CENTRAL COMMITTEE
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE ATTACHMENT I
SEE ATTACHMENT II
SEE ATTACHMENT III

Signed and Sworn to by:
Kirsten M Holler
before me this 6 Day of
April, 2011
Kirsten M Holler
Notary Public

John M. Karnes
(Signature of Chairman/Treasurer)



ATTACHMENT I

The Sangamon County Democratic Central Committee affirms that we did not **receive** a contribution in an aggregate of more than \$500.00 as regards your Civil Penalty Assessments (Section 125.425) pertaining to your allegation that the Sangamon County Democratic Central Committee has "failed to comply with the provisions of 10 ILCS5/9-10(b.5) of the Election Code" dated March 9, 2010.

A fundraiser was held on behalf of the Sangamon County Democratic Central Committee in May, 2010, referred to internally as "The Fish Fry". The contributions and expenditures associated with that event were identified by that fundraiser's name. Any checks received on or after those dates were deposited (or bills received and paid) were accounted for by the events name. During that period we had a volunteer working at the Sangamon County Democratic Central Committee headquarters. That volunteer **accepted**, as a representative of the Sangamon County Democratic Central Committee, two (2) checks, both dated August 23, 2010 as contributions to the aforementioned "Fish Fry". Our Office Manager found these two checks at headquarters and these were then presented to the Treasurer for deposit on October 19, 2010 (one from the Carpenter's Local Union No 16 dated August 23, 2010 for the aforementioned "Fish Fry" and previously accepted at Democratic Headquarters). (See Attachment II- copies of the checks and deposit ticket.)

Another fundraiser was held during the month of October by the Sangamon County Democratic Central Committee for the GOTV effort. As part of that effort, internally referred to as the Get Out the Vote Fundraiser, the Carpenter's Local Union No 16 contributed \$500.00. (See Attachment III- copy of the check.) The check was dated October 25, 2010 and was **received** by the Sangamon County Democratic Central Committee and deposited on October 27, 2010.

These two checks from the Carpenter's Local Union No. 16 were not **received** within the same month; were not related to the same fundraising effort; but, were, due to the ineptness of a volunteer, deposited in the same month.

The 2010 Campaign Disclosure Calendar distributed by the State Board of Elections and dated August 26, 2009 states, as regards reporting Schedule A-1 "contributions **received** within the 30 day period preceding an election in an aggregate of more than \$500 must be filed within two business days".

The Sangamon County Democratic Central Committee carefully reviewed all checks **received** during that 30 day period preceding the election to monitor any aggregate contributions over the \$500 threshold. Of the two (2) checks referred to from the Carpenter's Local Union No. 16 only one (1) was **received** (October 27, 2010) within the 30 day period preceding an election and was not for more than \$500.00.

Therefore, the Sangamon County Democratic Central Committee did not **receive** a contribution in an aggregate of more than \$500.00 from the Carpenter's Local Union No 16 within the 30 day period preceding the election.

SANGAMON COUNTY DEMOCRATS
P.O. BOX 2
SPRINGFIELD, IL 62705

CURRENCY ▶

COIN ▶

CHECKS ▶

70-933-711

DATE October 10 2010
DEPOSIT TICKET

260.00
260.00

INB Illinois National Bank
Springfield, IL 62701

Fred Fry

42

ORIGINAL DOCUMENT PRINTED ON CHEMICAL REACTIVE PAPER WITH MICROPRINTED BORDER

POLITICAL FUND
CARPENTERS LOCAL UNION NO. 16
211 W. LAWRENCE AVE.
SPRINGFIELD, IL 62704

BANK OF SPRINGFIELD
SPRINGFIELD, ILLINOIS

70-855/711

6664

6664

NUMBER

***** Two Hundred Fifty & 00/100 Dollars

DATE

AMOUNT

08/23/10

*****250.00

PAY
TO THE
ORDER
OF

Sangamon County Democratic Party
1020 West Lawrence Avenue
Springfield, IL 62704

INVALID AFTER 60 DAYS

David Kelly
Fred Fry
AUTHORIZED SIGNATURES

THIS DOCUMENT CONTAINS HEAT SENSITIVE INK. TOUCH OR PRESS HERE - RED IMAGE DISAPPEARS WITH HEAT.

STANLEY L. MORRIS
KAY F. MORRIS
3104 KENSINGTON PH. 217-793-3075
SPRINGFIELD, IL 62702

70-933/711

6844

DATE August 23, 2010

PAY TO THE ORDER OF

Sangamon Co Democrats \$ 10.00
Kay F. Morris 10/100 DOLLARS

INB Illinois National Bank
Springfield, IL 62701

PREMIER 50

MEMO

August 29,

Kay F. Morris

ATTACHMENT II

10/25/10

Check #: 6673

6673

Vendor ID: SANGCODEMPAR Vendor Name: Sangamon County Democratic Party

Invoice No.	Date	Invoice Amount	Amount Paid	Discounts Taken	Credits Taken	Net Amount
10 CONTRIBUTION	10/25/10	500.00	500.00	0.00	0.00	500.00
Net Check Amt						500.00

ORIGINAL DOCUMENT PRINTED ON CHEMICAL REACTIVE PAPER WITH MICROPRINTED BORDER

POLITICAL FUND
CARPENTERS LOCAL UNION NO. 16
211 W. LAWRENCE AVE.
SPRINGFIELD, IL 62704

BANK OF SPRINGFIELD
SPRINGFIELD, ILLINOIS

6673

6673
NUMBER

70-855/711

***** Five Hundred & 00/100 Dollars

DATE

AMOUNT

10/25/10

*****500.00

DATE
OF
ORDER

Sangamon County Democratic Party
P.O. Box 2
Springfield, IL 62705

INVALID AFTER 60 DAYS

[Signature]
[Signature]
MP
MP
AUTHORIZED SIGNATURES

THIS DOCUMENT CONTAINS HEAT SENSITIVE INK. TOUCH OR PRESS HERE. RED IMAGE DISAPPEARS WITH HEAT.

ATTACHMENT III

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

10 AG 039

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Sangamon County Democratic Central Committee
James M Karnes
528 Richmond
Chatham, IL 62629

ID# 317

Dear Sangamon County Democratic Central Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Carpenters Local 16	10/19/2010	**	\$250		
Carpenters Local 16	10/27/2010	\$500	\$500	*	

The committee is fined a **total** of \$500 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 040

Kankakee County Democratic Central Committee

ID# 325

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Failure to File a Schedule A-1 for the 2010 General Election

The Committee received a \$480.69 contribution on 10/11/10 and a second contribution of \$300 from the same contributor on 10/28, but did not report the second contribution on a Schedule A-1; a \$480.69 from another contributor on 10/12/10 and a second contribution of \$400 from that same contributor on 10/12/10, but did not report the second contribution on a Schedule A-1; and a \$2500 contribution on 11/1/10 that was not reported on a Schedule A-1. Additionally, the Committee had previously been assessed a \$150 civil penalty (appealed, appeal denied, stayed) for delinquently filing the 2008 December Semi-Annual Report. The total assessment is \$3350.

Michael Cobbs, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Cobbs states that the two \$480.69 contributions were both reimbursements from other political committees for shared office space. In regards to the \$2500 contribution, Mr. Cobbs says the Committee inadvertently used the date listed on the check, rather than the date the contribution was actually received or deposited into the bank. He includes a copy of the check showing a date of 11/1/10 and a bank deposit date of 11/15/10.

The Committee is correct in its belief that reimbursements for shared office space would not be considered as traditional contributions. Therefore they would not be considered to be part of the contributor's aggregate amount when determining the need for a Schedule A-1 filing. As a result, no A-1s were needed for the \$300 or \$400 contributions. As for the \$2500 contribution, the evidence provided seems to support the claim that the contribution was not actually received or deposited until after the A-1 filing period, so no A-1 was necessary for that contribution either. I recommend the appeal for all three assessments be granted. I further recommend the Committee be required to amend its Semi-Annual Report within 30 days of the date of the Final Board Order, to show the reimbursement payments under Part 4 – Other Receipts, rather than Transfers In. If these recommendations are accepted by the Board, the \$150 civil penalty for the earlier assessment would again be stayed. (As of 12/31/10, this Committee reported a funds available balance of \$1,908.51.)


Tom Newman – Hearing Officer

April 7, 2011

STATE BOARD OF ELECTIONS

11 MAR 24 AM 10:50

State of Illinois)
)
County of Kankakee)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
Kankakee County Democratic Central Cmte)
)
Respondent(s).)

Case No. 10 AG 040

APPEAL AFFIDAVIT

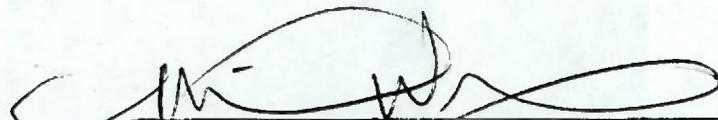
I, Michael Cobbs, the Treasurer of the
(Name) (Chairman/Treasurer)
Kankakee County Democratic Central Cmte
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached exhibit A

Signed and Sworn to by:
Michael Cobbs

before me this 22nd Day of
March, 2011
Nickolas P. Allen
Notary Public


(Signature of Chairman/Treasurer)

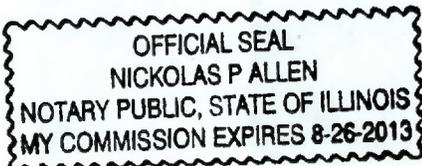


Exhibit A

The Kankakee County Democratic Central Committee (“the Committee”) was fined for contributions on October 28, 2010 from Friends for Lisa Dugan and on October 12, 2010 from Friends of Toi Hutchinson. These fines were for lack of filing an A-1 Report. The only reason an A-1 would be necessary is because of prior contributions from both committees of \$480.69. Both contributions of \$480.69 were for reimbursement to the Committee for expenses resulting from a shared campaign office. Therefore, they are not traditional contributions the Committee could use to conduct the business of the Committee. The Committee respectfully requests the fine be waived.

The Committee was also fined for a contribution that was listed on our report as dated November 1, 2010 from the Illinois Democratic County Chairman’s Association. This was an error in our reporting. Said contribution was not received until November 3, 2010, as is evidenced by the attached cashed check, showing the deposit was made on November 15, 2010. The Committee respectfully requests the fine be waived due to our oversight in reporting.

DEMOCRATIC COUNTY CHAIRMENS ASSOCIATION OF ILLINOIS 06/78 2849
 P.O. BOX 3445
 SPRINGFIELD, IL 62708

DATE 11.1.10 7P-2108718 771

PAY TO THE ORDER OF Kankakee Co. Democrats \$ 2500.00
Two thousand five hundred and 00/100 DOLLARS

PNC BANK
 PNC Bank, N.A. 071
 (Bank)

FOR [Redacted] Jessica Belmont
 @0000 250000

Transit - 11/15/2010

HOMESTAR BANK 01 [Redacted]

EM 03 91

N0719920104
 IN 5413 0000
 00/100 2500 50
 00/100 2500 00 00

6 1 5 9 2 1 6 5 9

ENDORSE HERE

CREDITED TO THE ACCOUNT
 OF THE WITHIN NAMED PAYEE
 Absence of Endorsement Guaranteed
 HomeStar Bank & Financial Services
 071972010

DO NOT WRITE IN THESE SPACES

Second Party Payment: A payment made to a payee by a bank on behalf of a payer. The payee must endorse the check to receive the funds. If the payee does not endorse the check, the funds will be returned to the payer. This service is available for all checks payable to the payee's account at HomeStar Bank & Financial Services.

Transit - 11/15/2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Kankakee County Democratic Central Committee
John Willard
2034 S 10000 W Rd
Bonafiel, IL 60913

ID# 325

Dear Kankakee County Democratic Central Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Friends for Lisa Dugan	10/11/2010	**	\$480.69		
Friends for Lisa Dugan	10/28/2010	\$300	\$300	*	
Friends of Toi Hutchinson	10/12/2010	**	480.69		
Friends of Toi Hutchinson	10/12/2010	\$400	\$400	*	
Illinois Democratic County Charimen's Assoc	11/1/2010	\$2,500	\$2,500		

The committee is fined a **total** of \$3200 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$320, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

10 AG 041

Chicago Teachers Union PAC
Cmte ID: 444
Respondent

REPORT OF HEARING OFFICER

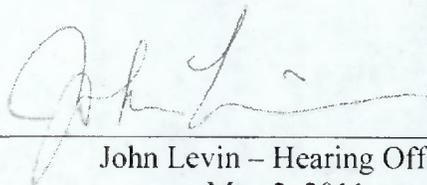
Appeal of Civil Penalty Assessment
For Failing to File Schedule A-1s for the November 2010 General Election

The Committee failed to file ten (10) Schedule A-1 Reports with respect to the November 2010 General Election, leading to a total assessment of \$41,021.52. The Committee was previously assessed a total of \$7,800 for failing to file ten (10) A-1s with respect to the November 2004 General Election (reduced on appeal, payment by Committee).

Karen GJ Lewis, the Chairwoman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Lewis stated the preparer of the Committee's Semi-Annual Report for the last half of 2010 entered erroneous dates of receipt with respect to the In Kind donations that are the basis for the complaint. In Kind notification occurred on November 5, 2010, three days after the General Election. Copies of the In Kind notification provided by the Chicago Teachers Union to the Committee were made part of the appeal affidavit.

I recommend the appeal be granted. The Committee has submitted documentation sufficient to indicate that this appears to be a clerical error. It has been resolved by the Committee filing an amended Semi-Annual Report for the last half of 2010 that lists each of the In Kind donations in question as being received on November 5, 2010. As of March 31, 2011, the Committee's reported cash balance was \$296,227.38.



John Levin – Hearing Officer
May 2, 2011

11 MAR 29 AM 11:36

State of Illinois)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Chicago Teachers Union PAC)
Respondent(s).)

No. 10 AG 041

APPEAL AFFIDAVIT

I, Karen GJ Lewis, the Chairman of the
(Name) (Chairman/Treasurer)
Chicago Teachers Union PAC
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached sheet.



Subscribed and Sworn to Before me
This 24 Day Of MARCH, 2011

Carolyn D. Fulton
NOTARY PUBLIC

Karen GJ Lewis
(Signature of Chairman/Treasurer)

ATTACHMENT TO APPEAL AFFIDAVIT FILED BY THE CHICAGO TEACHERS UNION PAC

We are in receipt of your letter dated March 9, 2011 in which we were advised that we had been assessed a penalty for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. We respectfully request that this penalty be abated. The information that was entered as "in-kind" contributions on the semi-annual filing of our D-2 was in error. We will explain the situation in this appeal.

The Chicago Teachers Union PAC sponsors its LEAD dinner every year, normally on the last Friday of October. This year was no exception. The LEAD dinner was held on Friday, October 29, 2010. The costs of the LEAD dinner are incurred by the Chicago Teachers Union. After all of the costs of the dinner were known and scheduled for payment by the Union, an In-Kind Contribution Notice was generated by the Union and given to the Chicago Teachers Union PAC. This occurred on Friday, November 5, 2010. Please find attached copies of the In-Kind Contribution Notices that were given to the Chicago Teachers Union PAC by the Union. It should be noted that this was done within the five business days of the date of the event.

When the Semi-Annual Form D-2 for the period ending December 31, 2010 was completed, the preparer entered the wrong date on the Schedule I for each of the in-kind contributions that was made by the Union. Instead of entering the date of the in-kind contribution, November 5, 2010, the preparer erroneously entered the date of the invoice that supported the in-kind contribution.

Since the clerical error of entering the wrong date of the in-kind contribution on the Schedule I caused the assessment of the penalty, we respectfully request that this penalty be abated. We have a history of timely filing all reports and have corrected our procedures so that this type of error will not occur in the future.

If you require any additional information regarding this matter, please do not hesitate to contact us. We have also attached an executed Waiver of Appearance form.

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

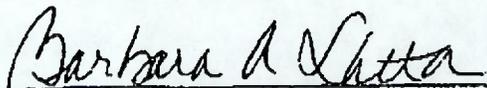
If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

<p>To:</p> <p><u>Chicago Teachers Union PAC</u></p> <p><u>222 Merchandise Mart Plaza</u></p> <p><u>Suite 400</u></p> <p><u>Chicago, IL 60654-1005</u></p>	<p>From:</p> <p><u>Chicago Teachers Union</u></p> <p><u>222 Merchandise Mart Plaza</u></p> <p><u>Suite 400</u></p> <p><u>Chicago, IL 60654-1005</u></p>
<p><u>Occupation & Employer (if applicable)</u></p>	

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
<p>At Your Service, Inc.</p> <p>4541 N. Ravenswood Ave.</p> <p>Chicago, IL 60640</p>	<p>11/05/2010</p>	<p>\$600.00</p>
<p>Description</p> <p>Bartending services for event</p>		

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


Signature of Contributor

Revised 5/12/09

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Chicago Teachers Union PAC
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

From: Chicago Teachers Union
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Board for Balloons 739 E. 100th Place Chicago, IL 60628	11/05/2010	\$2,210.00
	Description	
	Balloon decorations for event	

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Barbara A. Klette
 Signature of Contributor

Revised 3/2000

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To: Chicago Teachers Union PAC
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

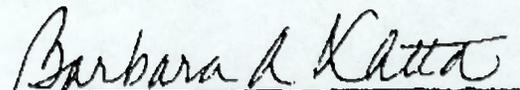
From: Chicago Teachers Union
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Chip Gdalmn 1826 Evergreen Homewood, IL 60430	11/05/2010	\$600.00
Description Entertainment for event		

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


Signature of Contributor

Revised 3/12/00

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To:
Chicago Teachers Union PAC
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

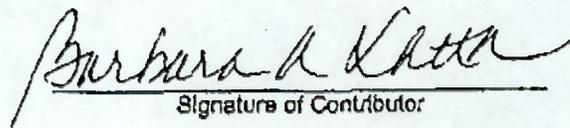
From:
Chicago Teachers Union
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

Occupation & Employer (if applicable)

In-Kind Contribution

<u>Full Name, Mailing Address and Zip Code of Vendor (if applicable)</u>	<u>Date</u>	<u>Value</u>
Doolin Amusement Supplies 511 N. Halsted Chicago, IL 60742	11/05/2010	\$470.77
<u>Description</u>		
Menu stands and party supplies for event		

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


Signature of Contributor

Revised 9/12/00

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Chicago Teachers Union PAC
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

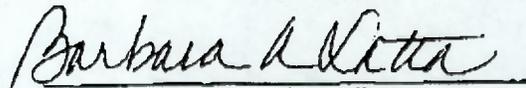
From: Chicago Teachers Union
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

Occupation & Employer (if applicable)

In-Kind Contribution

<u>Full Name, Mailing Address and Zip Code of Vendor (if applicable)</u>	<u>Date</u>	<u>Value</u>
Hall's Rental Service, Inc. 6130 W. Howard St. Niles, IL 60714	11/05/2010	\$5,399.83
	<u>Description</u>	
	Table and chair rentals for event	

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


Signature of Contributor

Revised 5/12/00

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To:	Chicago Teachers Union PAC	From:	Chicago Teachers Union
	222 Merchandise Mart Plaza		222 Merchandise Mart Plaza
	Suite 400		Suite 400
	Chicago, IL 60654-1005		Chicago, IL 60654-1005
			Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Harrington's Catering & Deli 5685 N. Milwaukee Ave. Chicago, IL 60646	11/05/2010	\$21,521.57
	Description	
	Catering for event	

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


Signature of Contributor

Revised 5/12/00

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: Chicago Teachers Union PAC
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

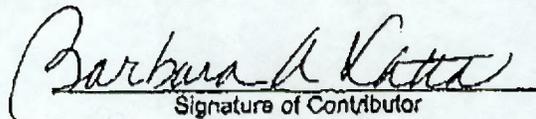
From: Chicago Teachers Union
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

Occupation & Employer (if applicable)

In-Kind Contribution

<u>Full Name, Mailing Address and Zip Code of Vendor (if applicable)</u>	<u>Date</u>	<u>Value</u>
I.U.O.E. Local 399 Building Corp. 2260 S. Grove Chicago, IL 60616	11/05/2010	\$5,000.00
	<u>Description</u>	
	Hall rental for event	

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


Signature of Contributor

Revised 2/12/00

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

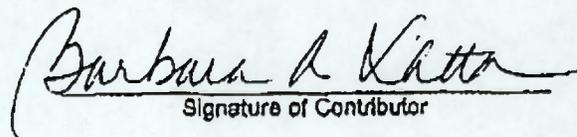
If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

<p>To:</p> <p><u>Chicago Teachers Union PAC</u></p> <p><u>222 Merchandise Mart Plaza</u></p> <p><u>Suite 400</u></p> <p><u>Chicago, IL 60654-1005</u></p>	<p>From:</p> <p><u>Chicago Teachers Union</u></p> <p><u>222 Merchandise Mart Plaza</u></p> <p><u>Suite 400</u></p> <p><u>Chicago, IL 60654-1005</u></p> <p><u>Occupation & Employer (if applicable)</u></p>
--	--

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Moving 2 P.O. Box 496453 Chicago, IL 60649	11/05/2010	\$350.00
Description Shuttle service for event		

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


 Signature of Contributor

Revised 2/12/00

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To: Chicago Teachers Union PAC
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

From: Chicago Teachers Union
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Printable Promotions 358 W. Ontario, Suite 2W Chicago, IL 60654	11/05/2010	52,484.32
Description Candy party favors for event.		

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Barbara A. Katta
 Signature of Contributor

Revised 3/12/00

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To:

Chicago Teachers Union PAC
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

From:

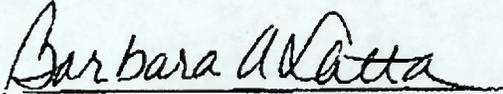
Chicago Teachers Union
222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1005

Occupation & Employer (if applicable)

In-Kind Contribution

<u>Full Name, Mailing Address and Zip Code of Vendor (if applicable)</u>	<u>Date</u>	<u>Value</u>
Progress Printing Corporation 3324 S. Halsted Street Chicago, IL 60608	11/05/2010	\$2,385.00
	<u>Description</u>	
	Printing of invitations and envelopes for event.	

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.


Signature of Contributor

Revised 5/12/00

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Chicago Teachers Union PAC
Karen Lewis
222 Merchandise Mart Plaza, Ste 400
Chicago, IL 60654-1005

ID# 444

Dear Chicago Teachers Union PAC;

This committee has failed to file the following Schedule A-1's. Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Chicago Teachers Union	10/28/2010	\$600	\$600	**	
	10/28/2010	\$2,210	\$2,210	**	
	10/28/2010	\$600	\$600	**	
	10/18/2010	\$470.77	470.77	**	
	10/28/2010	\$5,399.83	\$5,399.83	**	
	10/28/2010	\$21,521.57	\$21,521.57	**	
	10/28/2010	\$5,000	\$5,000	**	
	10/28/2010	\$350	\$350	**	
	10/7/2010	\$2,484.35	\$2,484.35	**	
	10/6/2010	\$2,385	\$2,385	**	

The committee is fined a **total** of \$41,021.52 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

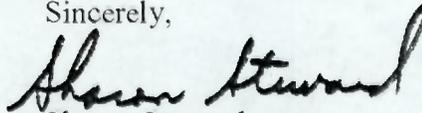
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$4103, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the December 2010 Semi-Annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500" threshold and was used as the basis for the fine assessment.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

10 AG 044

Monroe County Democratic Central Committee
Cmte ID: 1162
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

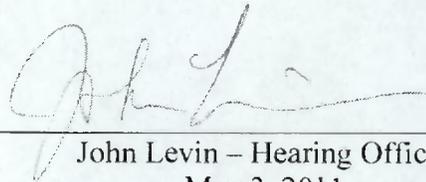
For Failing to File Schedule A-1 Reports for the November 2010 General Election

The Committee failed to file two (2) Schedule A-1s with respect to receipts dated 10/13/2010 and 11/1/2010. The receipts total \$4204, which is the assessment amount. The Committee was previously fined a total of \$200 (payments by Committee) for delinquent filing the December 1998 Semi-Annual Report and the December 2000 Semi-Annual Report.

Catherine Mikolay, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Mikolay explained the receipts in question were initially and erroneously reported as Transfers In from the Monroe County Democratic Club. In fact, she stated, they were both reimbursements to the Committee for expenses incurred during the 2010 elections. The Committee filed an amended December 2010 Semi-Annual Report that placed the receipts under Section A, Part 4, Other Receipts.

I recommend the appeal be granted. It appears from all available evidence the Committee simply misidentified the receipts on its original version of the December 2010 Semi-Annual Report. As of March 31, 2011, the Committee's reported cash balance was \$13,755.45.



John Levin – Hearing Officer
May 3, 2011

State of Illinois)
County of MONROE)

STATE BOARD OF ELECTIONS
11 MAR 30 AM 9:35

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
MONROE COUNTY)
DEMOCRATIC CENTRAL COMMITTEE)
Respondent(s).)

Case No. 10 AG 044

APPEAL AFFIDAVIT

I, CATHERINE MIKOLAY, the TREASURER of the
(Name) (Chairman/Treasurer)
MONROE COUNTY DEMOCRATIC CENTRAL COMMITTEE
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE TWO RECEIPTS WHICH WERE IDENTIFIED BY THE ISBE AS BEING CONTRIBUTIONS OF GREATER THAN \$1000 THUS REQUIRING THE SCHEDULE A-1 FILING WERE NOT CONTRIBUTIONS. WE MISS IDENTIFIED THEM AS TRANSFERS IN, HOWEVER THEY WERE BOTH REIMBURSEMENTS FROM THE MONROE COUNTY DEMOCRATIC CLUB FOR EXPENSES INCURRED DURING THE 2010 MID-TERM ELECTIONS. THEY WERE NOT POLITICAL CONTRIBUTIONS. WEVE CORRECTED THESE TWO

ENTRIES, IDENTIFYING THEM CORRECTLY AS OTHER RECEIPTS, WHICH DO NOT REQUIRE SCHEDULE A-1 FILING.

Signed and Sworn to by:
Catherine Mikolay
before me this 28th Day of
MARCH, 2011
Barbara J Brandmeyer
Notary Public

Catherine Mikolay
(Signature of Chairman/Treasurer)



MONROE COUNTY DEMOCRATIC CENTRAL COMMITTEE

www.monroecountydemocrats.com

Alan Pirtle

Chairman

Jon Lewis

Vice-Chairman

Catherine Mikolay

Treasurer

Gary Roever

Secretary

c/o 1022 Arizona Drive
Columbia, Illinois 62236
Telephone: (618) 281-6833
info@monroecountydems.com

State Board of Elections, State of Illinois
1020 South Spring Street
P.O. Box 4187
Springfield, IL 62708

March 17, 2011

To Whom It May Concern;

The Monroe County Democratic Central Committee is in receipt of a notice stating that during the third quarter of 2010, the Committee failed to file a Schedule A-1 for Campaign contributions of greater than \$500. I have been in touch with John Levin at the State Board of Elections regarding this matter.

We submit the following as an explanation why the Schedule A-1 was not filed and ask that you reconsider the penalty assessment based on our explanation. The two receipts identified in the notice were misidentified as "Transfers-In" from the Monroe County Democratic Club. They represented reimbursements of expenses incurred during the mid-term elections. They were not political contributions. We can provide documentation to support this fact. The two transactions should have identified as "Other Receipts" instead of "Transfers-In". The "Other Receipts" category does not require a Schedule A-1, and most appropriately reflects these two transactions, per John Levin.

I will be amending those two entries and respectfully request that you take our explanation into consideration and dismiss both the Schedule A-1 filing requirement and the penalty assessment against the Monroe County Democratic Central Committee. The Monroe County Democratic Central Committee has been in operation since October 1974, and as your letter states, this is the first delinquency that has ever been asserted against us. We very much want to correct this misunderstanding and maintain our outstanding record of compliance with the law and the State Board of Election.

Respectfully yours,



Catherine Mikolay

Treasurer, Monroe County Democratic Central Committee

A copy of our report filed with the State Board of Elections is (or will be) available on the Board's official website, www.elections.il.gov, or for purchase from the State Board of Elections, Springfield, Illinois.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Monroe County Democratic Central Committee ID# 1162
Alan Pirtle
1022 Arizona Dr.
Columbia, IL 62236-1511

Dear Monroe County Democratic Central Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Monroe County Democratic Club	10/13/2010	\$1,050	\$1,050	*	
	11/1/2010	\$3,154	\$3,154	*	

The committee is fined a **total** of \$4204 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$421, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 022

United Party of Justice/Citizens Party
Cmte ID: 4331
Respondent

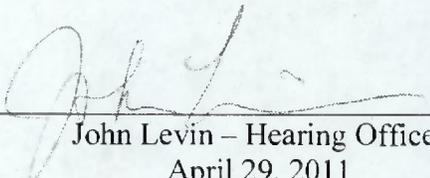
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received January 27, 2011, five days late, resulting in an assessment of \$500. The Committee was previously assessed \$50 (not appealed, stayed) for delinquently filing the June 2010 Semi-Annual Report.

Edward G. Shilka, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Shilka stated the Board's required notification of the pending Report was sent to the e-mail address of a former Treasurer of the Committee. The Committee changed officers by filing an amended D-1 Statement of Organization received at the Board's Chicago office on October 8, 2010. The amended D-1 included a clearly-marked change of address intended to direct Committee mailings to Mr. Shilka's address. Mr. Shilka stated he had been unaware the report was due until being notified after the deadline that it was delinquent and promptly filed it.

I recommend the appeal be granted. Mr. Shilka has presented a sufficient case to argue in favor of leniency, based on the likelihood the Committee did not receive its required notification in advance of the filing period for the Report. The Report in question also contained only two transactions. If the Board accepts this recommendation, the stay on the \$50 fine for the late June 2010 Semi-Annual Report would remain in place. As of March 31, 2011, the Committee's reported cash balance was \$4,981.54.



John Levin – Hearing Officer
April 29, 2011

State of Illinois)
)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
)
Vs.)
United Party of Justice/Citizens Party)
Respondent(s).)

Case No. 11 DS 022

WAIVER OF APPEARANCE

I, Edward G. Shilka, the Chairman of the
(Name) (Chairman/Treasurer)
United Party of Justice/Citizens Party
(Name of Committee)

Committee, appeals on behalf of the said committee the assessment of civil penalty proposed in this matter and submits in support of that appeal the accompanying affidavit. The said committee waives personal appearance before the State Board of Elections for hearing on this appeal and agrees that the State Board of Elections may enter its order with respect to this appeal in the absence of a representative of the committee.

Edward G. Shilka
(Signature of Chairman/Treasurer)

Edward G. Shilka
(708) 458-6824

Edward G. Shilka, Chairman, first being duly sworn deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The prior Treasurer and Chairman of the Committee, James M. Lurquin, resigned both positions on September 27, 2010 with a letter of resignation mailed to the State Board of Elections, 1020 S. Spring Street, Springfield, IL 62704. (Attachment #1)

An amended Statement of Organization (D-1 as Attachment #2) was filed with the State Board of Elections and received by them on October 8, 2010. (Receipt Attachment #3). Said D-1 provided the names, addresses, and e-mail addresses of the new Chairman (Edward G. Shilka) and Treasurer (Robin Sparr).

The D-2 Statement of Financial Disclosure for the period July 1, 2010 to October 3, 2010 was filed on time, electronically, with the e-mail address onetrustee@comcast.net which belongs to the committee treasurer.

In a telephone conversation between Clinton from the State Board of Elections Disclosure Department and the Committee Chairman, Edward G. Shilka, Clinton stated that the July 1, 2010 to December 31, 2010 semi-annual report was past due and it should be filed as soon as possible. Mr. Shilka stated that the committee was unaware of the filing deadline of January 20, 2011 because the new officers of the committee were never notified either by mail, e-mail, or telephone prior to the filing deadline that this report was due. In conversation, it was mentioned that notice was sent by e-mail to jmb13@aol.com, the e-mail address of the former Treasurer and Chairman of the committee, James M. Lurquin (who resigned September 27, 2010).

It is the Committees contention that the State Board of Elections was negligent in updating their records with the information provided to them by the committee's filing of their current D-1 and subsequently the incorrect people were notified of the filing deadline status. Notice was not made until Clinton of the Disclosure staff contacted the Committee Chairman by telephone at his home, the number obtained from the new D-1 statement, after the fact. The report for July 1, 2010 to December 31, 2010 was filed immediately upon notification.

Therefore, it is my belief that the civil penalty assessment of \$500 together with the previous fine amount of \$50 previously stayed, have been assessed in error.

x Edward G. Shilka

JAMES M. LURQUIN

8301 S. 88th Avenue
Justice, IL 60458
(708) 563-0596
jmbl3@aol.com

Attachment #1

September 27, 2010

State Board of Elections
Disclosures
1020 S. Spring Street
Springfield, IL 62704

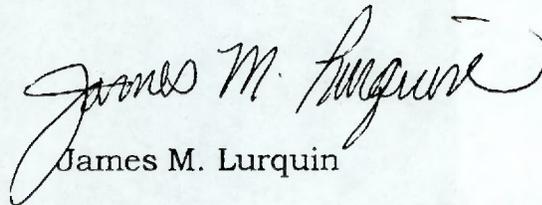
Re: Local Committee S788 - United Party of Justice/Citizens Party

To Whom It May Concern:

I have served as Chairman and Treasurer of this committee for some time. However, as of this date I hereby resign as Chairman and Treasurer of this committee and request that you remove my name from any mailing list or document list kept by the State Board of Elections. This should be effective immediately.

Further information concerning this committee should be addressed to Richard Sparr, 8711 S. Garden Lane, Justice, IL 60458, the new chairman of the United Party of Justice/Citizens Party.

Sincerely,



James M. Lurquin



FORM D-1	STATEMENT OF ORGANIZATION <small>PLEASE TYPE OR PRINT IN BLACK INK</small>	FOR OFFICE USE ONLY <div style="font-size: 2em; font-weight: bold; opacity: 0.5;">RECEIVED</div> OCT - 8 2010 State Board of Elections POLITICAL COMMITTEE IDENTIFICATION No. 4331-12
-------------------------------	--	--

Full name and complete mailing address of Political Committee:

United Party of Justice/Citizens Party
 c/o Edward G. Shilka
 7136 S. 87th Ave.
 Justice, IL 60458

E-MAIL ADDRESS:

CHECK HERE IF ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1.	DATE COMMITTEE CREATED:	2.	AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE :\$ _____
3.	<input type="checkbox"/> NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.) <input checked="" type="checkbox"/> AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF <u>ANY</u> CHANGES. ENTER ONLY THOSE CHANGES FROM LAST D-1 ON FILE.) <input type="checkbox"/> REACTIVATING		
4.	POLITICAL COMMITTEE'S DESIGNATION: ALL COMMITTEES CHOOSE ONE: <input type="checkbox"/> CANDIDATE POLITICAL COMMITTEE* <input checked="" type="checkbox"/> POLITICAL ACTION COMMITTEE <input type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE ALL NEW COMMITTEES MUST ALSO CHOOSE ONE: <input type="checkbox"/> STATE POLITICAL COMMITTEE <input type="checkbox"/> STATE & LOCAL POLITICAL COMMITTEE <input type="checkbox"/> LOCAL POLITICAL COMMITTEE <small>*For purposes of contribution limits and reporting requirements a single Candidate Political Committee supporting a candidate for multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is:</small> _____		
5.	POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION. A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S): <small>(not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives)</small> Cook County _____ B. POLITICAL PARTY AFFILIATION: <u>n/a</u> C. NAME AND ADDRESS OF EACH SPONSORING ENTITY: n/a		
6.	PURPOSE OF THE POLITICAL COMMITTEE. To support candidates for election to municipal office.		
7.	CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)		

NAME AND ADDRESS	SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
	<input type="checkbox"/>	<input type="checkbox"/>		

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

318
23

COMMITTEE NAME: United Party of Justice/Citizens Party
(Delete all previous officers)

POLITICAL COMMITTEE IDENTIFICATION NUMBER
4331-1020

8. REQUIRED COMMITTEE OFFICERS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN	Edward G. Shilka (Delete all previous officers)	7136 S. 87th Ave., Justice, IL 60458 (708) 458-6824 e.srestorationinc@yahoo.com
TREASURER	Robin Sparr	7811 S. Garden Lane, Justice, IL 60458 (708) 563-0558 one trustee@comcast.net

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS

10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME	MAILING ADDRESS AND PHONE NUMBER
Bridgeview Bank Group	7940 S. Harlem Ave. Bridgeview, IL 60455

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
- TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____
- TRANSFER TO A CHARITABLE ORGANIZATION: _____

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY, ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION, THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL BEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

EDWARD G SHILKA

Edward G. Shilka

10-7-10

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

DATE

VERIFICATION: ALL POLITICAL COMMITTEES INCLUDING BALLOT INITIATIVE COMMITTEES

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

ROBIN SPARR

Robin Sparr

10-7-10

PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE

DATE

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
PO BOX 4187
1020 S SPRING ST
SPRINGFIELD, IL 62708-4187
fax: 217-557-5630

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232
fax: 312-814-6485

LOCAL POLITICAL COMMITTEES AND
STATE & LOCAL POLITICAL
COMMITTEES RETURN ORIGINAL TO:
STATE BOARD OF ELECTIONS
AND A COPY TO EACH APPROPRIATE
COUNTY CLERK.

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

10/20/2010

United Party of Justice/Citizens Party
c/o Edward G. Shilka
7136 S 87th Ave
Justice, IL 60458-1118

State of Illinois
State Board of Elections
Campaign Disclosure Division

1020 South Spring St.
PO Box 87
Springfield, Illinois 62708

100 West Randolph St.
Suite 14-100
Chicago, Illinois 60601

Committee ID: 4331
United Party of Justice/Citizens Party

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee.
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

10/8/2010 9:02:35AM

Received in Chicago

D-1 Statement of Organization

Amendment

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

United Party of Justice/Citizens Party
Edward Shilka
7136 S 87th Ave
Justice, IL 60458-1118

ID# 4331

Dear United Party of Justice/Citizens Party;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 27, 2011, 5 day(s) late. As such, this committee has been assessed a fine of \$500.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1, 2010 to June 30, 2010	Semi-Annual Report	\$50
TOTAL AMOUNT NOW DUE		\$550

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division r

SS: jr
Enclosure(s) appeal documents

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 036

Friends of Jim Laski ID 9079

Respondent

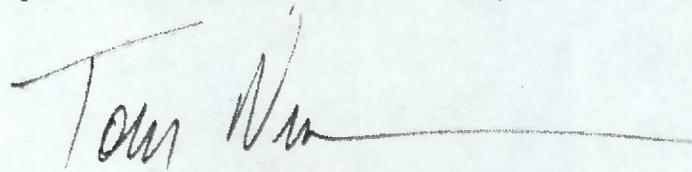
REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment for Delinquently Filing
The December 2010 Semi-Annual Report

The Report was received by the Board on February 10, 2011, 15 days late, resulting in a civil penalty assessment of \$375.

James Laski, the Chairman of the Committee, filed a Request for Hearing and submitted at the hearing held on May 5, 2011 the following:

Mr. Laski was represented at the hearing by Carol Higgason (whom he authorized to represent him), who files the Committee's reports. Ms. Higgason indicated that at the time of the Report filing she had been a beta tester for the Board's new IDIS electronic filing software and that she filed reports for six committees in all. She said that on January 18, after filing reports for several of those committees, she noticed that her software still showed the reports as due. After contacting Board staff, Ms. Higgason says she was told there was some kind of software "glitch" that was being worked on, and that she would need to re-file the reports. She believed she then did so, but apparently missed re-filing the Report for Friends of Jim Laski. Ms. Higgason says she only learned about the missed filing when she received a late notice from the Board, at which time she re-filed the Report.

A review of the other Committees for which Ms. Higgason files reports shows the Semi-Annual Reports for those Committees were all filed on January 18, which adds credibility to her claim that the re-filing of the Report for this particular Committee was simply overlooked. It is also possible her difficulty in filing the Report was solely related to a software issue, rather than any error on the part of the Committee. In any case, in order to be consistent with previous Board rulings where an electronic filing issue was raised as a defense, and since this Committee has not previously offered such a defense, I recommend the appeal be granted. (As of March 31, 2011, the Committee reported a cash available balance of \$0.)



Tom Newman – Hearing Examiner

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 MAR 31 PM 4:31

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Friends of Jim Laski)
Respondent(s).)

State 6366
Local 4835
Committee ID # 9079
No. _____

APPEAL AFFIDAVIT

I, James Laski, Jr., the Chairman of the
(Name) (Chairman/Treasurer)
Friends of Jim Laski
(Name of the Committee)

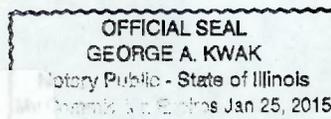
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see Attachment A

Subscribed and Sworn to Before me
This 26 Day Of March, 2011
George A. Kwak
NOTARY PUBLIC

James Laski, Jr.
(Signature of Chairman/Treasurer)

#150739



March 24, 2011

To the Members of the Board of Elections:

Friends of Jim Laski has been one of the committees in the Beta Testing Group. The campaign reports of Friends of Jim Laski and James Laski Jr. Campaign Committee have been electronically filed in the last 3-4 years by Carol Higgason who resides in Springfield and volunteered to be in the original Beta Group.

According to Ms. Higgason, she filed all report in a timely manner. However, this report came back that it was due immediately after Ms. Higgason filed it on January 18 even though she got a "filed successfully" on her computer screen. Ms. Higgason then called the Board of Elections Campaign Disclosure to ask why the report showed that it was due when she had just filed it. Ms. Higgason was told that there was a problem with some filings and to refile the report, which Ms. Higgason said she did. As you will note, my other report was filed timely on January 18.

Ms. Higgason states that she filed the following reports (all part of the Beta Group) at the same time; Friends of Kent DeLay, Friends for Molaro, The People for Carol CJ Higgason, and Friends of Ken Dunkin.

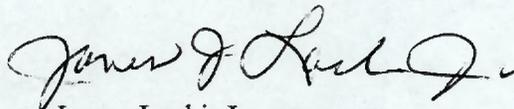
According to Ms. Higgason, she has been working closely with your staff to be sure that these reports are timely and without error. At this time, Ms. Higgason is working to finalize the closure of my reports as they have been closed to a zero balance. Ms. Higgason will be happy to meet with you or a member of your staff to explain this further, if necessary.

I would greatly appreciate your favorable consideration of reversing this fine since my committee has a zero balance and this error was not intentional in any way. Also, I hope that your Board will take into consideration that this Committee was part of the original Beta Testing group for your new program, and that we joined that group in order to avoid errors such as this.

Please feel free to contact me through Mr. George Kwak at 773-847-5131 or Ms. Higgason at 217-638-5337.

Thank you for your consideration of my request to remove this fine.

Sincerely,



James Laski, Jr.

March 24, 2011

I, Carol J. Higgason, affirm that I was a member of the Beta Testing group for the new IDIS electronically filing system at the State Board of Elections.

On or about January 18, 2011, I filed reports for Friends for Molaro, The People for Carol J. "CJ" Higgason, Friends of Kent Delay, Friends of Ken Dunkin, James J. Laski Jr. Campaign Committee, and Friends of Jim Laski.

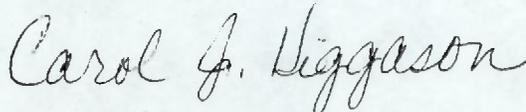
At the time of filing on January 18, after filing several of the above reports, I noticed that the bottom of my screen on the report page show "report due" in red even though I had just filed the reports. I contacted the Board of Elections and spoke with someone in Campaign Disclosure about this. I believe I spoke with Tom or John, but I did not write this down. I was instructed to refile the reports because there was some kind of "glitch" in the system that was being worked on. I had several communications with the Board that day, including emails with Kim Matrisch about computer technical questions or problems that I was encountering. Everyone at the Board was exceptionally helpful as they have always been.

I take the matter of filing timely reports very seriously and do not want to cause any problems for the candidates for whom I file. This error was unintentional. Your staff has worked with me a lot to help me understand the new system and to help me with any problems that I encountered during the testing period.

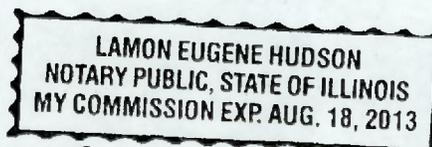
I am asking that you please dismiss this fine for Friends of Jim Laski. I have filed timely reports and have improved the reporting of many of the committees I am now filing. Your favorable consideration of Mr. Laski's request will be greatly appreciated by me. Please be assured that I will work even harder to avoid this error in the future.

Thank you for your consideration.

Sincerely,



Carol J. Higgason



2-18-11 3/24/2011

James J Laski Jr Campaign Committee

Purpose: To support the candidacy of James J Laski Jr, for public office.

6029 S McVicker Ave
Chicago, IL 60638-
4323

Active
State 8860
Local 5974
Committee ID 10531

Creation Date: 7/20/1994

Officers Previous Officers Candidates Propositions

Report Type	Reporting Period	Filed	Pages	Clarification
Letter / Correspondence		2/4/2011 10:23:35 AM Filed on paper	2	
D-2 Semiannual Report	7/1/2010 to 12/31/2010	1/18/2011 4:05:01 PM Filed electronically	3	
D-2 Pre-election Report (Amendment)	2010 GE 7/1/2010 to 10/3/2010	1/18/2011 3:47:06 PM Filed electronically	1	
D-2 Semiannual Report (Amendment)	1/1/2010 to 6/30/2010	1/18/2011 3:45:07 PM Filed electronically	3	
D-2 Semiannual Report (Amendment)	7/1/2009 to 12/31/2009	1/18/2011 3:44:04 PM Filed electronically	4	
D-2 Pre-election Report	2010 GE 7/1/2010 to 10/3/2010	10/18/2010 2:33:29 PM Filed electronically	1	
D-2 Semiannual Report	1/1/2010 to 6/30/2010	7/20/2010 3:20:19 PM Filed electronically	3	
D-2 Semiannual Report	7/1/2009 to 12/31/2009	1/20/2010 6:29:55 PM Filed electronically	3	
D-2 Semiannual Report	1/1/2009 to 6/30/2009	7/20/2009 1:40:05 PM Filed electronically	6	

D-2 Non Participation Report	2009 CE	3/19/2009 2:06:30 PM Filed electronically	1
D-2 Non Participation Report	2009 CP	2/5/2009 2:22:04 PM Filed electronically	1
D-2 Semiannual Report	7/1/2008 to 12/31/2008	1/20/2009 8:28:34 PM Filed electronically	7
D-2 Semiannual Report (Amendment)	1/1/2008 to 6/30/2008	11/10/2008 7:37:33 PM Filed electronically	4
D-2 Non Participation Report	2008 GE	10/16/2008 7:03:04 PM Filed electronically	1
D-2 Semiannual Report	1/1/2008 to 6/30/2008	7/18/2008 9:33:41 PM Filed electronically	4
D-1 Statement of Organization (Amendment)		6/9/2008 10:34:44 AM Filed on paper	2
D-1 Statement of Organization (Amendment)		6/3/2008 1:58:01 PM Filed on paper	2
Letter / Correspondence		6/3/2008 1:58:00 PM Filed on paper	1
D-2 Semiannual Report (Amendment)	7/1/2007 to 12/31/2007	2/15/2008 8:54:18 AM Filed electronically	4
D-2 Semiannual Report	7/1/2007 to 12/31/2007	1/21/2008 8:56:12 PM Filed electronically	4
D-2 Semiannual Report	1/1/2007 to 6/30/2007	7/18/2007 1:04:57 PM Filed electronically	3
D-2 Non Participation Report	2007 CE	4/16/2007 11:16:06 AM Filed on paper	1
D-2 Non Participation Report	2007 CP	2/12/2007 6:27:22 PM Filed electronically	1
D-2 Semiannual Report	7/1/2006 to 12/31/2006	1/30/2007 6:51:19 PM	4

		Filed electronically	
D-2 Pre-election Report	2006 GE 7/1/2006 to 10/8/2006	10/24/2006 8:29:45 AM Filed electronically	1
D-2 Semiannual Report	1/1/2006 to 6/30/2006	7/30/2006 9:42:39 PM Filed electronically	6
D-2 Non Participation Report	2006 GP	3/4/2006 3:27:36 PM Filed electronically	1
D-2 Semiannual Report	7/1/2005 to 12/31/2005	1/31/2006 5:13:04 PM Filed electronically	6
D-2 Semiannual Report (Amendment)	1/1/2005 to 6/30/2005	11/19/2005 5:15:21 PM Filed electronically	9
D-2 Semiannual Report	1/1/2005 to 6/30/2005	8/1/2005 5:11:15 PM Filed electronically	11
D-1 Statement of Organization		3/23/2005 1:24:41 PM Filed on paper	2
D-2 Pre-election Report	2005 CE 1/24/2005 to 3/6/2005	3/19/2005 4:19:42 PM Filed electronically	8
D-2 Non Participation Report	2005 CP	2/22/2005 7:19:00 PM Filed electronically	1
D-2 Semiannual Report	7/1/2004 to 12/31/2004	1/31/2005 6:00:34 PM Filed electronically	4
D-2 Pre-election Report	2004 GE 7/1/2004 to 10/3/2004	10/17/2004 8:59:56 PM Filed electronically	2
D-2 Semiannual Report	1/1/2004 to 6/30/2004	7/29/2004 6:43:30 PM Filed electronically	15
D-2 Non Participation Report	2004 GP	3/3/2004 3:44:19 PM Filed electronically	1
D-2 Semiannual Report (Amendment)	7/1/2003 to 12/31/2003	1/29/2004 8:44:55 PM Filed electronically	5
	7/1/2003 to	1/29/2004	

D-2 Semiannual Report	12/31/2003	6:52:42 PM Filed electronically	5	
D-2 Semiannual Report	1/1/2003 to 6/30/2003	7/30/2003 9:55:47 AM Filed electronically	29	
Letter / Correspondence		4/3/2003 1:47:16 PM Filed on paper	2	
D-2 Non Participation Report	2003 CE	3/10/2003 11:15:29 AM Filed electronically	1	
A-1 (\$500+ 30 days prior)	2003 CP	2/24/2003 4:25:48 PM Filed electronically	1	
A-1 (\$500+ 30 days prior)	2003 CP	2/5/2003 8:47:38 PM Filed electronically	1	
A-1 (\$500+ 30 days prior)	2003 CP	1/30/2003 5:11:34 PM Filed electronically	1	
D-2 Pre-election Report	2003 CP 1/1/2003 to 1/26/2003	1/29/2003 11:02:31 PM Filed electronically	11	
D-2 Semiannual Report	7/1/2002 to 12/31/2002	1/19/2003 6:56:07 PM Filed electronically	7	
D-2 Non Participation Report	2002 GE	10/15/2002 8:22:48 PM Filed electronically	1	
D-2 Semiannual Report (Amendment)	1/1/2002 to 6/30/2002	10/10/2002 12:23:17 PM Filed electronically	16	
D-2 Pre-election Report (Amendment)	2002 GP 1/1/2002 to 2/17/2002	10/10/2002 11:56:02 AM Filed electronically	12	

[[First](#) [Previous](#) [Next](#) [Last](#)]

[Return to Committee Search](#)

PUBWEB1

Friends of Jim Laski

Purpose: The support of Democratic candidates for various state, local & federal offices

6029 S McVicker Ave
Chicago, IL 60638-4323

Active
State 6366
Local 4835
Committee ID 9079

Creation Date: 2/10/1993

Officers Previous Officers Candidates Propositions

Report Type	Reporting Period	Filed	Pages	Clarification
D-2 Semiannual Report	7/1/2010 to 12/31/2010	2/10/2011 4:45:36 PM Filed electronically	1	
D-2 Non Participation Report (Amendment)	2010 GE	2/10/2011 4:45:10 PM Filed electronically	1	
Letter / Correspondence		2/9/2011 2:12:36 PM Filed on paper	1	
D-2 Pre-election Report	2010 GE 7/1/2010 to 10/3/2010	10/17/2010 9:09:46 PM Filed electronically	1	
D-2 Semiannual Report	1/1/2010 to 6/30/2010	7/19/2010 4:40:30 PM Filed electronically	1	
D-2 Semiannual Report	7/1/2009 to 12/31/2009	1/20/2010 6:22:55 PM Filed electronically	1	
D-2 Semiannual Report	1/1/2009 to 6/30/2009	7/20/2009 9:24:40 AM Filed electronically	2	
D-2 Non Participation Report	2009 CE	3/19/2009 2:04:16 PM Filed electronically	1	
D-2 Non Participation Report	2009 CP	2/5/2009 2:17:48 PM	1	

From : ROBERT S MOLARO & ASSOC.

PHONE No. : 773 254 8269

Apr. 05 2011 11:40AM P01

Memo

From
GEORGE A KWAK

To TO WHOM IT MAY CONCERN.....
PLEASE BE ADVISED THAT I AUTHORIZE
MR CAROLINE GAGNON, AS MY SUBSTITUTION
FOR THE STATE BOARD OF EXECUTIONS MEETING

Carolanne Gagnon
Carolanne Gagnon

DATED THIS 5th day of April 2011

Subscribed and Sworn to me this 5th day of April 2011

George A Kwak
George A Kwak Notary Public

#150739

OFFICIAL SEAL
GEORGE A KWAK
Notary Public State of Illinois
My Commission Expires Jan 25, 2015

ARISTOCRAT ACCOUNTING SVUCE
4204 S ARCHER AVE
CHICAGO IL 60692-2613
773 847 5181

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Friends of Jim Laski
Jim Laski
6029 S McVicker Ave
Chicago, IL 60638-4323

ID# 9079

Dear Friends of Jim Laski;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 10, 2011, 15 day(s) late. As such, this committee has been assessed a fine of \$375.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 040

Citizens for Classrooms Plainfield School Dist 202
Cmte ID: 11061
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received on February 7, 2011, 12 days late, resulting in a civil penalty assessment of \$600. The Committee was previously assessed \$2600 for delinquently filing the December 2007 Semi-Annual Report and \$450 (appeal denied) for delinquently filing the June 2009 Semi-Annual Report.

Melanie Jones, the Chairman and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Jones explained in great detail the lengths the Committee went to in attempting to file the Report in a timely manner. Her challenges included a computer hard drive crash and difficulty downloading the new IDIS 2.0 software. She wound up sending in a Report on paper, but it was not accepted because the Committee is required to file electronically under the Act and had been given a prior warning under similar circumstances.

Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." The Committee appears to have made a good faith effort to timely file the Report. In keeping with past Board practice, I recommend the appeal be granted as a one-time waiver of the assessment because of electronic filing issues. If the Board accepts this recommendation, a total of \$3050 for the previous assessments would be due and owing. The Committee's reported balance as of March 31, 2011, was \$972.93.



John Levin - Hearing Officer
May 12, 2011

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS

11 APR -5 PM 3:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)

Vs.)
)
Citizens for Classrooms)
)
Respondent(s).)
Plainfield School District 202)

Case No. 11 DS 040

APPEAL AFFIDAVIT

I, Melanie Jones, the Chairman/Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Classrooms Plainfield School District 202
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached.

Signed and Sworn to by:
Melanie Jones
before me this 4 Day of
April, 2011
Andrea Fazio DiVecchio
Notary Public

Melanie Jones
(Signature of Chairman/Treasurer)



March 30, 2011

State Board of Elections
Attn: Campaign Disclosure
1020 S Spring Street
Springfield, IL 62704

Dear Board of Elections:

I am writing to respectfully request that you consider the circumstances detailed below as valid and adequate reasons and defenses as to why the Semi-Annual Report for the period of July 1, 2010 through December 31, 2010 was not filed electronically for the Citizens for Classrooms Plainfield School District 202 and was determined as not having been filed within the requisite filing period.

Due to critical computer technical issues, I was not able to submit electronically within the requisite filing period deadline. My computer hard drive began having problems in November and completely stopped working in late November. We worked diligently to attempt to retrieve the data from the hard drive, but to no avail. I lost everything including pictures of my children for the last 10 years, personal tax files, statements, documents, all data backups, everything. We contacted the computer manufacturer and data retrieval companies, but data retrieval was not a possibility since it was extremely cost-prohibitive, typically in the thousands of dollars. In addition, I work at a school and am not able to complete "political" paperwork or filings using the school district computers. Fortunately, my hard drive was under warranty and IBM/Lenovo agreed to ship a new one. Please see the attached documentation from IBM/Lenovo.

Once my hard drive was installed on January 15, 2011, I immediately attempted to download the new IDIS software so that I could complete the Semi-Annual Report electronically. I had multiple technical issues downloading the software, could not access previous committee data and was not able to migrate committee information. I was not sure how to proceed. Since I was having so many technical issues and the filing deadline was fast approaching, I believed that completing and submitting the Semi-Annual Report on paper was the best option. The timing of these events was over a holiday weekend, so it was not possible to contact anyone at the Campaign Disclosure Division office for assistance. It was my understanding that the Semi-Annual Report needed to have a specific postmark in order to meet filing requirements. Therefore, I completed the report on paper and paid to have it shipped via USPS Priority so that

it would be postmarked appropriately and arrive within the required timeframe. Please see the attached mailing receipt and the attached receipt from the State Board of Elections noting the postmark date of January 18, 2011.

The "Quarterly Reports Checklist" notes that "A report postmarked more than 72 hours prior to the filing deadline will be considered a timely filing." I believed the postmark of January 18, 2011 would meet the 72 hours requirement since the day prior was a legal holiday and it was not possible to obtain a postmark on that day. Prior to January 18, 2011, I was struggling with installing a new hard drive and technical issues with the new IDIS software. I had finally resolved to completing the Semi-Annual Report on paper and was not able to obtain a postmark prior to January 18, 2011.

After receiving the receipt dated January 24, 2011, from the Board of Elections, I received a letter dated January 25, 2011, that stated, "Although your committee did file this report on paper, it had previously been informed of the need to file all reports electronically." It also stated "...the paper report is now considered as never having been filed." On the "Quarterly Reports Checklist," which has a revision date of 1/11/11, it is noted in bold, all caps and underlined that "All committees which exceed \$10,000 in receipts, expenses, or balance at any time during the reporting period must file their reports electronically (IDIS). Since the Citizens for Classroom committee has funds of only \$973 and has been essentially inactive since 2006, it therefore does not meet the \$10,000 threshold for receipts, expenses or balance and I understood this to mean it was allowable to file the Semi-Annual Report on paper.

In the next correspondence from the Board of Elections dated January 28, 2011, the letter states, "Our records indicate that your committee has failed to file the following document during the filing period." It then states, "Your Semi-Annual Report was received on 1/21/2011 at 2:44pm." A few lines later, it states, "After your report is received in this office, you will be mailed..." So, did this mean it had been received or had not been received?

After receiving these multiple correspondences the first week of February, I worked to resolve the technical download issues and contacted the Campaign Disclosure office on February 7, 2011 for assistance. I was told to create a new committee utilizing the new IDIS software and send another report electronically as soon as possible. I expressed concern over the lack of previous committee data that had been lost on my hard drive, but was told it was acceptable to create a new committee and submit the Semi-Annual report without the previous data. I submitted the Semi-Annual report electronically on February 7, 2011.

I then received two more letters from the Board of Elections, both dated March 9, 2010. One stated that the Semi-Annual report had been received on January 21, 2011 and noted an assessment of a fine of \$50. The other stated that the report had been received on February 7, 2011 and noted an assessment of a fine of \$600. By this point, I was even more concerned and confused as to the appropriate course of action.

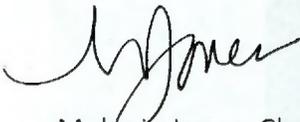
The Citizens for Classrooms committee has been essentially inactive since 2006, other than to pay off existing obligations, and has funds of only \$973. The committee chooses not to file a Final Report and the committee's checking account remains open because there may be a need for a future referendum and should a referendum become necessary, it reduces the startup requirements for the next group of members.

As you can see, this committee is small in terms of dollars and activity. We do not have the funds to pay a fine and I respectfully request that you determine the above mentioned defenses as adequate. Please consider this appeal and stay the proposed assessed penalty.

I requested a waiver of appearance since it would be very difficult for me to appear in person in either Chicago or Springfield. I respectfully request that you contact me if you have questions, need additional information or if it is possible for me to attend the hearing via teleconference. My cell number is 815.260.6358. You may also call me at work at 815.577.5587 and I can then step out and call you back immediately on my cell phone.

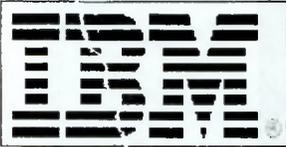
Thank you very much for your understanding and consideration.

Sincerely,



Melanie Jones, Chairperson
Citizens for Classrooms #11061
5305 Whispering Oaks Dr
Plainfield, IL 60586
Cell 815.260.6358

Packing Slip



501 Innovation Avenue
Morrisville, North Carolina 27560

PACKING SLIP DATE: 11/12/2010 12.00.09 AM
ORDER NUMBER: I787635
CUSTOMER REFERENCE: CMT14SXE1-8584440
CUSTOMER PO #: 10VKBK02
PROGRAM #: EDI

SHIP TO:

ANDREW JONES
MELLANI JONES
5305WHISPERING OAKS

PLAINFIELD, IL 60586
US

Pick List No:



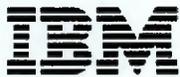
19737784

Carrier Method: UPI UPS RED
Freight Terms: P PREPAID-FREIGHT IN UNIT PRICE

Total Lines: 1
Total Quantity: 1

LINE NO	PART NUMBER	LOCATION NO	REV NO	QTY
1	03W7116	R4-04-01		1
	IBM RECOVERY CD		1	

Attempt at data recovery



Accounts Receivable

International Business Machines Corporation
1360 BOUL RENE-LEVESQUE O
STE 400 H3G2W6 MONTREAL
CANADA

Mellani Jones
5305 Whispering Oaks Dr
Plainfield, IL 60586-6635

March 10, 2011

Account No. 5728990

Dear IBM Customer:

Our records indicate that we have not received payment for the invoice(s) listed below:

Invoice Number	Invoice Date	Invoice Amount	Reference	
P4N4837	01/31/11	\$286.88	CMT14SXX1	-

This invoice(s) is for CRU(Customer Replacement Unit) or Warranty Part that should have been returned to IBM.

If you have returned the part(s), please provide us with the tracking information. If the part(s) has not been returned, kindly return it with the return label that was provided with the new part(s). If you wish to keep the part(s), you will need to forward payment.

Should you have any questions or require a new label to return the part(s), you can contact ASKUSAR@CA.IBM.COM or call at 1-877-426-6006 option 2.

Sincerely,

Customer Support Representative

Invoice for hard drive received in January.

CFC

PostNet IL131
1147 Brook Forest Ave.
815-230-2222 fax 815-230-2223

Store: IL131 Clerk: 052094 Register: 1
01/18/2011 12:50:06
Transaction#: 33533

Number Name

Melanie Jones

USPS Priority
Trk#: Ref#:ad
No Value Declared
Scale Wgt: 0.140 lb
State Board of Elections
Post: 62708 Zn: 3 Rt Wgt : 16.0 oz
10 9.99 9.99

SubTotal: 9.99

Please take our survey to receive 10%
off shipping (UPS®, FedEx®, DHL® only).
Valid at participating PostNet Centers.
Some restrictions may apply. Offer
Number: 9907911

Call 1-800-451-7420
www.RatePostNet.com

Enter Survey Code:
28131

Validation code: _____

Offer valid for 30 days
from date of purchase.

Minimum purchase required.

Balance Due: 9.99
CCard: 9.99

Change: 0.00

US Postal Rates are Subject to
Surcharge
Track your shipment at
www.postnet.com/il131
We appreciate your business!

1/24/2011

Citizens for Classrooms Plainfield School Dist 202
5305 Whispering Oaks Dr
Plainfield, IL 60586-6635

State of Illinois
State Board of Elections
Campaign Disclosure Division

1020 South Spring St.
PO Box 4187
Springfield, Illinois 62708

100 West Randolph St.
Suite 14-100
Chicago, Illinois 60601

11061 12
Citizens for Classrooms Plainfield School Dist 202

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee.
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

1/21/2011 2:44:16PM

Received in Springfield D-2 Semiannual Report
Postmarked 1/18/11

QUARTERLY REPORTS CHECKLIST

QUARTERLY REPORTS MUST BE FILED DURING THE PERIODS:

April 1 thru April 15, 2011, July 1 thru July 15, 2011,
October 1 thru October 17, 2011, January 1 thru January 17, 2012.

STATUTE DOES NOT ALLOW FOR EXTENSIONS.

1. Have you circled the appropriate Quarterly Report number on the D-2 or indicated the report being amended?
2. Have you verified that the name and mailing address on the preprinted D-2 form is correct? **Please note that we have updated your address of record to USPS formatting to include your nine digit zip code.**
3. Is the reporting period (or date of creation) listed on the report?
4. Does the Quarterly Report's beginning balance match the ending balance of your last Semi-Annual/Quarterly Report (or the beginning balance on the D-1 form, Statement of Organization)?
5. Have you completed Section A 'Receipts' and included the supporting Schedule A(s)? Did you include **Employer/Occupation** for those individuals who contributed more than \$500 in aggregate for the reporting period?
6. Are all In-Kind contributions reported on Schedule I and under Section A 'Receipts', Part 5?
7. Are the purpose and beneficiary of expenditures fully explained on the Schedule B(s) and reported under Section B 'Expenditures'?
8. Have you reported independent expenditures in excess of \$150 on the Schedule B-9 and listed in part 9 of Section B on the Schedule D-2?
9. Have you listed all persons collecting or accepting contributions from 5 or more people in aggregate of \$3000 or more on the Schedule E? See Section 10 ILCS 5/9-6(a) for exceptions.
10. If your committee carried a debt (including unpaid debts from previous years), have you attached a Schedule C and reported the total under Section C 'Debts and Obligations'?
11. Do all schedules contain the name of the committee, committee identification number, reporting period and aggregate amounts?
10. Have you double-checked your addition and subtraction? Are the totals from the sections transferred properly to Section D 'Cash Balance'?
11. Have you shown tangible assets acquired/liquidated by the committee whose values exceed \$150 on an Assets Schedule, for **Final Report only**?
12. Have you reported funds invested on an Investment Schedule and indicated the value as of the ending date of this report? This figure must be reported on line F of Section D on the D-2 form. Proceeds from investments will be disclosed in Part 4 of the D-2 form. **For additional information please contact the Campaign Disclosure staff.**
13. **Have you dated and signed (treasurer or candidate) the report?**
14. Your committee identification number has been printed in the POLITICAL COMMITTEE IDENTIFICATION NO. box on the D-2 form. Please put this ID number in the space on the attached schedules under POLITICAL COMMITTEE IDENTIFICATION NO. .
15. **Do not** staple the report. **Do not** include blank schedules or schedules on which you have nothing to report.

****ALL COMMITTEES WHICH EXCEED \$10,000 IN RECEIPTS, EXPENSES, OR BALANCE AT ANY TIME DURING THE REPORTING PERIOD MUST FILE THEIR REPORTS ELECTRONICALLY (IDIS).****

Any change in the information on your current D-1 (except in box 2 'funds available for campaign expenditures...') requires an amended D-1, Statement of Organization, which **must be filed within 10 days of the change.**

If the committee is no longer active, you may finalize the committee (even with a Debt and Obligation) at this time. **Your ending balance must come down to zero (\$0).** Mark the box "FINAL REPORT."

Reports may be filed in either the Springfield or Chicago offices or electronically.

A report postmarked more than 72 hours prior to the filing deadline will be considered a timely filing.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

Citizens for Classrooms Plainfield School Dist 202 (ID#11061)
5305 Whispering Oaks Dr
Plainfield, IL 60586

January 25, 2011

Dear Political Committee:

Our records indicate your committee has failed to electronically file the following document as required by 10 ILCS 5/9-28 of the Election Code:

Report Type: Semi-Annual Report of Campaign Contributions and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Although your committee did file this report on paper, it had previously been informed of the need to file all reports electronically. Therefore, under Section 100.150 of the Board's Rules and Regulations the paper report is now considered as never having been filed. ?

Based upon your committee's failure to comply with the filing requirements, it is being assessed a civil penalty for each day this report was unfiled. The penalty for late filing will accrue from the date of the original report filing deadline, and will continue until such time as this reports is filed electronically.

After your report is received electronically in this office, you will be mailed an assessment notice, advising you of the amount of the civil penalty being assessed against this committee. You will also be provided with forms with which to appeal such penalty, if you feel you have been assessed such penalty in error. Notice of Appeal must be filed within 30 days of the mailing of the assessment notice. If you fail to file a Notice of Appeal within this 30 day period of time, you forfeit the right to contest the assessment notice at any time in the future.

The assessed civil penalty shall be stayed for a first time violation. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of another penalty as provided in Section 125.425 of the Rules and Regulations, with that civil penalty and any previously assessed penalty to be paid within 30 days.

Please remember that your committee is required to file all reports electronically. Paper reports from your committee will be considered non-filings.

If you have any questions or need assistance please contact the Campaign Disclosure Division at (217) 782-4141.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward
Director of Campaign Disclosure

Called 2-7-11
1:41pm - Spoke to Sharon

Form new committee w/ new software

- Send report ASAP - then appeal ASAP
electronically

What about previous data?

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

BOARD MEMBERS

Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440
Fax: 312/814-6485

Citizens for Classrooms Plainfield School
Dist 202
5305 Whispering Oaks Dr
Plainfield, IL 60586-6635

1/28/2011

Citizens for Classrooms Plainfield School Dist 202
Committee ID: 11061

Dear Political Committee:

Our records indicate that your committee has failed to file the following document during the filing period.

Report Type: Semiannual Report of Campaign Contributions and Expenditures
Report Period: Jul 1, 2010 through Dec 31, 2010
Filing Period: Jan 3, 2011 through Jan 20, 2011

Your Semiannual Report was received on 1/21/2011 at 2:44 PM

Based upon your committee's failure to comply with the provisions of 10 ILCS 5/9-10 of the Election Code, it is being assessed a civil penalty for each day this report was unfiled.

After your report is received in this office, you will be mailed an assessment notice, advising you of the amount of the civil penalty being assessed against this committee. You will also be provided with forms with which to appeal such penalty, if you feel you have been assessed such penalty in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period of time, you forfeit the right to contest this assessment notice at any time in the future.

The assessed civil penalty shall be stayed for a first time violation. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of another penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days.

My staff and I are available to provide assistance in this matter.

Sincerely yours,

Sharon Steward
Director of Campaign Disclosure

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
March 9, 2010

Citizens for Classrooms Plainfield School Dist. 202
Melanie Jones
5305 Whispering Oaks Dr
Plainfield, IL 60586-6635

ID# 11061

*Disregard
- Duplicate & incorrect fine
Per Jennifer to 3.30.11
9:57am*

Dear Citizens for Classrooms Plainfield School Dist. 202;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 21, 2011, 1 day(s) late. As such, this committee has been assessed a fine of \$50.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
January 1, 2009 to June 30, 2009	Semi-Annual	\$450
TOTAL AMOUNT NOW DUE		\$500

If you have any questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 9, 2010

Citizens for Classrooms Plainfield School Dist. 202

ID# 11061

Melanie Jones
5305 Whispering Oaks Dr
Plainfield, IL 60586-6655

Dear Citizens for Classrooms Plainfield School Dist. 202:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 7, 2011, 12 day(s) late. As such, this committee has been assessed a fine of \$600.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
January 1, 2009 to June 30, 2009	Semi-Annual Report	\$450
TOTAL AMOUNT NOW DUE		\$1050

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

3.30.11
Called Jennifer
9:54am

Sincerely,
Sharon Steward

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

itsus.gov
FedEx receipt
Hard drive receipt
Innocent Due 4/8
Postmarked

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

Citizens for Classrooms Plainfield School Dist. 202
Melanie Jones
5305 Whispering Oaks Dr
Plainfield, IL 60586-6635

ID# 11061

Dear Citizens for Classrooms Plainfield School Dist. 202;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 7, 2011, 12 day(s) late. As such, this committee has been assessed a fine of \$600.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1, 2009 to June 30, 2009	Semi-Annual Report	\$450
TOTAL AMOUNT NOW DUE		\$1050

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads 'Sharon Steward'.

Sharon Steward
Director, Campaign Disclosure Division r

SS: jr
Enclosure(s) appeal documents

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

11 DS 059

Citizens for Steven Burris 15394
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
The 2010 December Semi-Annual Report

The Report was received by the Board on January 31, 2010, 7 days late, resulting in a civil penalty assessment of \$350. In addition, this Committee had previously been assessed a \$25 civil penalty (not appealed, expired) for delinquently filing the June 2004 Semi-Annual Report; a \$500 civil penalty (not appealed, expired) for delinquently filing the Pre-Election Report for the 2007 Consolidated Election; a \$50 civil penalty (not appealed, stayed) for delinquently filing the December 2009 Semi-Annual Report. The total assessment is \$400.

Steven Burris, the candidate and chairman of the committee appeared for the May 4, 2011 appeal hearing.

In the hearing Steven Burris indicated that his computer crashed around 2 weeks prior to January 20, 2011. Mr. Burris took his computer into the Computer Doctor, a smaller computer repair shop, and was informed he lost everything on the computer. Mr. Burris had the computer fixed and everything had to be reinstalled from the operating system down. The computer was at the Computer Doctor for over 2 weeks. Once the computer was fixed Mr. Burris attempted to install IDIS 2.0, but he accidentally installed the wrong version of IDIS for his operating system. Once this installation issue was resolved Mr. Burris then had issues operating IDIS 2.0. It sounded like Mr. Burris had problems with the migration process from what he explained to me during the appeal process. I assume Mr. Burris clicked Yes on the Confirm Reporting Information window when the information was not correct, because to my knowledge that is the only way he could have possibly run into the issues that he had explained. Mr. Burris had to contact the State Board of Elections to resolve these matters and the report was filed 7 days late.

In order to be consistent with previous Board decisions when an electronic filing issue is used as a defense in regards to a delinquent filing, I recommend that the appeal be granted. It is unfortunate that Mr. Burris's personal computer crashed, he had installation issues, he did not completely understand the migration process and he needed assistance with filing the report. I am slightly troubled that Mr. Burris did not use the State Board of Elections computer lab when he realized he was not going to be able to file the reports timely, considering he has used it in the past, but do recommend granting the appeal based upon the computer issues he was having. However, since these problems are resolved, it is doubtful that the Board would look favorably upon the use of an electronic filing defense a second time. If this recommendation is accepted by the Board the \$50 civil penalty from the December 2009 Semi-Annual Report will continue to be stayed. (As of 3/31/11, this committee reported a funds available balance of \$500.)


Andy Nauman - Hearing Officer

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS
11 APR 11 PM 1:41

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR STEVEN BURRIS)
Respondent(s).)

Case No. 11 DS 059

APPEAL AFFIDAVIT

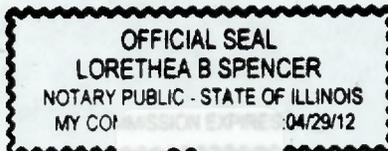
I, STEVEN BURRIS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CITIZENS FOR STEVEN BURRIS
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

My computer crashed to where the hard drive was completely lost. ALL DATA WAS LOST AND I HAD TO WAIT TO BUY ANOTHER COMPUTER. THEN I LOANED THE 2.0 WRONG AND I HAD TO WORK WITH A STAFF MEMBER.

Signed and Sworn to by:
Lorethea B. Spencer
before me this 7th Day of
April 2011
Lorethea B. Spencer
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

Citizens for Steven R Burris
Steven Burris, LaWanna Barnes
18716 S. Chestnut Ave
Country Club Hills, IL 60478-5523

ID# 15394

Dear Citizens for Steven R Burris;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 31, 2011, 7 day(s) late. As such, this committee has been assessed a fine of \$350.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1, 2009 to December 31, 2009	Semi-Annual Report	\$50
TOTAL AMOUNT NOW DUE		\$400

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 020

Friends of Adam Brown

ID# 21520

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing a Schedule A-1 for the 2010 General Election

The Committee received three in-kind contributions from the Illinois Republican Party: the first, totaling \$8316.02, was received on 10/4/10 and reported on a Schedule A-1 on 10/11/10, 3 days late; the second, totaling \$1986.72, was received on 10/12/10 and reported on a Schedule A-1 on 10/15/10, 1 day late; the third, totaling \$2959.75 was received on 10/12/10 and reported on a Schedule A-1 on 10/15/10, 1 day late. The total assessment is \$13,262.49.

Adam Brown, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Brown states the Committee committed a clerical error by entering the dates listed on the in-kind contribution notification forms received from the Illinois Republican Party rather than the dates the notification forms were actually received by the Committee. He includes copies of the in-kind contribution notification forms, and states the notification for the contribution originally reported as being received on 10/4/10 was actually received on 10/11/10, and the other two notification forms were both received on 11/5/10.

My initial recommendation was that the appeal be denied in regards to contribution #1 and accepted in regards to contributions #2 and #3. This was based on the apparent dates of receipt of the in-kind contribution notification forms from the contributor. The matter was remanded back to the Hearing Officer by the Board, to allow Mr. Brown to provide additional information to better explain his case.

The appeal hearing was held on May 12, with Mr. Brown present and accompanied by his attorney, John Fogarty. At the hearing, Mr. Brown reiterated that a clerical error resulted in the dates for the in-kind contributions being reported using the dates listed on the notification forms rather than the dates those forms were actually received by his committee. He also corrected some information from his initial appeal – specifically, that the date of receipt of the notification form for the first contribution was 10/11/10, and the other two forms were received on 10/15/10, rather than the 11/5/10 date he had initially states. Mr. Brown said he listed the

wrong receipt date as a result of a typographical error on the assessment notice he received from the Board; the notice indicated a receipt date of 10/5/11 and he assumed at the time that the correct date should instead have been 11/5/11. Mr. Brown affirmed that the correct date was actually 10/15/11 and that all three notification forms were received by his Committee through the mail and reported on Schedule A-1s on the dates the forms were received. As a result of this new information, it seems consistent and likely that the in-kind contributions were actually received on the dates originally reported by the Committee, meaning the Schedule A-1s were timely filed. I recommend the appeal be granted (for all three contributions.) (As of 3/31/11, this Committee reported a funds available balance of \$1934.53.)

A handwritten signature in cursive script, appearing to read "Tom Newman", written in black ink. The signature is positioned above a horizontal line.

Tom Newman – Hearing Officer
May 12, 2011

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
Complainant(s),)
)
Vs.) 10 AG 020
)
Friends of Adam Brown)
Respondent(s).)

ORDER

TO: Friends of Adam Brown ID# 21520
P.O. Box 950
Decatur, IL 62523-0950

This matter coming to be heard this 19th day of April, 2011 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. In case number 10 AG 020, a \$13,262.49 civil penalty was initially assessed against the Respondent for the delinquent filing of Schedule A-1 reports in conjunction with the November 2010 election; appeal was taken from this assessment, and
2. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be GRANTED in regards to the contributions totaling \$4946.47 and DENIED in regards to the \$8316.02 contribution for lack of adequate defense, but that pursuant to section 9-10 of the Code, the assessment be reduced to \$832.00 (10% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. That the matter be remanded back to the Hearing Officer in order to obtain additional information regarding the contributions in question; and
2. The effective date of this order is April 21, 2011.

DATED: 4/21/2011


Bryan A. Schneider, Chairman

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 020

Friends of Adam Brown

ID# 21520

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing a Schedule A-1 for the 2010 General Election

The Committee received three in-kind contributions from the Illinois Republican Party: the first, totaling \$8316.02, was received on 10/4/10 and reported on a Schedule A-1 on 10/11/10, 3 days late; the second, totaling \$1986.72, was received on 10/12/10 and reported on a Schedule A-1 on 10/15/10, 1 day late; the third, totaling \$2959.75 was received on 10/12/10 and reported on a Schedule A-1 on 10/15/10, 1 day late. The total assessment is \$13,262.49.

Adam Brown, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Brown states the Committee committed a clerical error by entering the dates listed on the in-kind contribution notification forms received from the Illinois Republican Party rather than the dates the notification forms were actually received by the Committee. He includes copies of the in-kind contribution notification forms, and states the notification for the contribution originally reported as being received on 10/4/10 was actually received on 10/11/10, and the other two notification forms were both received on 11/5/10.

The evidence provided by the Committee appears to refute the claim that the wrong dates were used to report the in-kind contributions. If the notification forms for the two contributions dated 10/12/10 were not actually received until 11/5/10, how was the Committee able to report the contributions on a Schedule A-1 on 10/15/10? While the Committee's claim is more plausible for the first contribution (dated 10/4/10), I am disinclined to believe it based on the example of the other two contributions. It therefore appears the Committee did in fact accurately report the dates of the in-kind contributions on the Schedule A-1 reports (and Semi-Annual Report) it filed. However, Section 100.120(c) of the Board's Rules and Regulations states in part, "*An in-kind contribution of goods or services, possession of which is not actually obtained by the recipient committee shall be deemed to be received 2 days after the date the certificate required by subsection (a) of this Section is received...*" Although the wording of this rule has since changed, it was in place at the time of these filings. Therefore, the in-kind contribution

given on 10/4/10 would be considered to be received by the Committee on 10/6/10 and the two in-kind contributions given on 10/12/10 would be considered to be received by the Committee on 10/14/10. This means the latter two filings would be considered timely, although the first in-kind contribution, totaling \$8,316.02 would still be 1 day late. As a result, I recommend the appeal be denied in regards to contribution #1 and accepted in regards to contributions #2 and #3. Since there is no indication the violation was anything other than inadvertent and unintentional, I further recommend the penalty be reduced to 10% of the original assessment, or \$832. (This figure is arrived at based on the granting of the appeal for contributions #2 and #3.) As a first violation, the \$832 penalty is stayed. (As of 12/31/10, this Committee reported a funds available balance of -\$13,993.09.)

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman – Hearing Officer
February 23, 2011

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To: Friends of Adam Brown

P.O. Box 950

Decatur, IL 62523

From: Illinois Republican Party

205 W. Randolph St.

Suite 1245

Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Date inadvertently posted.

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Curtis Scott Advertising, Inc. 2100 Clearwater Drive Suite 102 Oak Brook, IL 60523	10/04/2010	\$8,316.02
	Description	
	Mail Production & Postage	

*Date of receipt by Friends of Adam Brown:
10/11/2010*

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Curt Conrad

Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To: Friends of Adam Brown

 P.O. Box 950

 Decatur, IL 62523

From: Illinois Republican Party

 205 W. Randolph St.

 Suite 1245

 Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Date inadvertently posted.

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Express Mailing Services, Inc. P.O. Box 256 Itasca, IL 60143	10/12/2010	\$2,959.75
Description Mail Production & Postage		

Date of receipt by Friends of Adam Brown: 11/05/2010

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To: Friends of Adam Brown

P.O. Box 950

Decatur, IL 62523

From: Illinois Republican Party

205 W. Randolph St.

Suite 1245

Chicago, IL 60606

Occupation & Employer (if applicable)

In-Kind Contribution

Date inadvertently posted.

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Graphic Image Corporation 2035 W. Grand Ave. Chicago, IL 60612	10/12/2010	\$1,936.72
	Description	
	Mail Production & Postage	

Date of receipt by Friends of Adam Brown:
10/05/2010

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.



Signature of Contributor

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Friends of Adam Brown
P.O. Box 950
Decatur, IL 62523-0950

ID# 21520

Dear Friends of Adam Brown;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Illinois Republican Party	10/4/2010	\$8316.02	\$8316.02	10/11/2010	2
Illinois Republican Party	10/12/2010	\$1986.72	\$1986.72	10/5/2010	1
Illinois Republican Party	10/12/2010	\$2959.75	\$2959.75	10/5/2010	1

The committee is fined a **total** of \$13,262.49.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1327.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 167

Citizens for Kevin Horstman
Cmte ID: 21902
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received January 21, 2011, 1 day late, resulting in an assessment of \$50. The Committee was previously fined \$100 for delinquently filing the June 2009 Semi-Annual Report.

Jessica Horstman, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Horstman stated the Report was mailed January 19, 2011, with guaranteed next-day delivery from the U.S. Postal Service. It was addressed to the Board's Springfield post office box. She included documentation indicating the envelope containing the Report arrived at the post office in Springfield, IL, on the deadline date of January 20th. Board staff did not pick up the envelope until the next day. The timeline with respect to the delivery and collection of the envelope is set forth in a U.S. Postal Service document included with the affidavit.

I recommend the appeal be granted. The affidavit was sufficiently detailed in support of the argument that the Committee had the Report in a location available to the Board on the deadline date, and the Committee appears to have made a concerted effort to ensure the Report would be timely. As of December 31, 2010, the Committee's reported cash balance was \$24.78.



John Levin – Hearing Officer
May 3, 2011

State of Illinois)
County of Winnebago)

STATE BOARD OF ELECTIONS

11 MAR 22 AM 9:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Citizens for Kevin Horstman)
#21902 Respondent(s).)

Case No. 11 DS 167

APPEAL AFFIDAVIT

I, Jessica Horstman, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Kevin Horstman (ID#21902)
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Semi Annual Report
2010 (July 1, 2010 - Dec 31, 2010) Filed/Delivered
by the U.S.P.S on time.

See Attached
Summary + Exhibits A, B, C, D, & E

Signed and Sworn to by:
J Horstman
before me this 18th Day of
March, 2011
Debra E. Johnson
Notary Public

J Horstman
(Signature of Chairman/Treasurer)
Cell # 815-670-7655
E jessica@kevinhorstman.org



March 18, 2011

SUMMARY OF EVIDENCE

Illinois State Board of Elections
1020 S Springfield St
Springfield, IL 62708
(217) 782-4141

RE: Appeal Affidavit for Civil Penalty Assessments; Citizens for Kevin Horstman ID#21902

Dear State Board of Elections,

Fact Situation

01/19/11 - 11:36am	<u>EXHIBIT A</u> Committee paid for next day guaranteed delivery by noon via the United States Post Office fulfilling its due diligence of timely filing.
01/20/11 - 10:18am	<u>EXHIBIT B</u> 1 st delivery attempt, no authorized recipient available, "notice of delivery" left by the USPS; report delivered on January 20 th by noon as guaranteed by the USPS
01/21/11 - 8:18am	<u>EXHIBIT C</u> Report signed for by R Turner 1 day after the filing deadline
01/28/11	<u>EXHIBIT D</u> Notice given to Committee re: late filing; Committee given instructions to wait for appeal instructions and documents
03/09/11	<u>EXHIBIT E</u> Appeal directives given to Committee with paperwork to file
03/17/11	Appeal completed, notarized, and mailed to Board of Elections via Certified Mail

SUMMARY

1. The Committee did not have blatant disregard for the provisions of 10ILCS 5/9-10 of the Election Code.
2. The Committee executed its due diligence to ensure timely delivery of the semi-annual report.
3. Although the USPS delivered the report as promised on January 20th by noon, there was not an *authorized agent* present to sign. This is outside the control of the Committee, therefore the Committee should not be penalized as such.

The Committee should not be held liable for an authorized agent not being present. The report was in fact delivered on time, simply not "accepted" until 1 day after the filing deadline.

Citizens for Kevin Horstman respectfully requests waiver of penalty fees on the basis that 1) it did its due diligence to file the report on time and 2) the United States Post Office delivered the report on time as guaranteed.

Cordially,



Jessica Horstman, Treasurer
Citizens for Kevin Horstman (ID#21902)
6900 Woodcrest Pkwy | Rockford, IL 61109
C 815-670-7655 E jessica@kevinhorstman.org

TOTAL ORIGINAL CHANGE 16.15 .00

**** U.S. POSTAL SERVICE ****
 KINGSTON 66145-9998
 164158 92.00
 CHRIS # 07
 01-19-11 11:36:13

CUSTOMER RECEIPT

EM dest 62708 time 11:35
 EM197617462US
 Guaranteed 01/20/2011 Noon
 109 POST VAL IMP 16.15

TOTAL 16.15
 CASH T 16.15
 CHANGE .00

*** THANK YOU ***



EH 197617462 US



Customer Copy
 Label 11-B, March 2004

UNITED STATES POSTAL SERVICE®

Post Office To Addressee

DELIVERY (POSTAL USE ONLY)

Delivery Attempt	Time	<input type="checkbox"/> AM	Employee Signature
Mo. Day		<input type="checkbox"/> PM	
Delivery Attempt	Time	<input type="checkbox"/> AM	Employee Signature
Mo. Day		<input type="checkbox"/> PM	
Delivery Date	Time	<input type="checkbox"/> AM	Employee Signature
Mo. Day		<input type="checkbox"/> PM	

CUSTOMER USE ONLY

PAYMENT BY ACCOUNT
 Express Mail Corporate Acct. No. **WAIVER OF SIGNATURE (Domestic Mail Only)**
 Additional merchandise insurance is void if customer requests waiver of signature.
 I wish delivery to be made with out obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY
 Weekend Holiday Mailer Signature

ORIGIN (POSTAL SERVICE USE ONLY)

P ZIP Code 60145	City of Delivery X	Postage \$ 16.15
Date Accepted 11/19/11	Scheduled Date of Delivery 11/20/11	Return Receipt Fee \$
Mo. Day Year 11 19 11	Scheduled Time of Delivery X Noon <input type="checkbox"/> 3 PM	COD Fee \$
Time Accepted 11:35 AM	Military <input type="checkbox"/>	Insurance Fee \$ 15
Flat Rate <input type="checkbox"/> or Weight 25 lbs.	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Total Postage & Fees \$ 16.15
	Int'l Alpha Country Code	Authorized Emp. Initials PK

FROM: (PLEASE PRINT) PHONE: 815 979-7174
 KEVIN HORSTMAN
 6900 WOODCREST PKWY
 ROCKFORD, IL 61109

TO: (PLEASE PRINT) PHONE:
 STATE BOARD OF ELECTIONS
 PO BOX 4187
 SPRINGFIELD, IL 62708-4187

ZIP + 4 (U.S. ADDRESSES ONLY. DO NOT USE FOR FOREIGN POSTAL CODES.)
 6 2 7 0 8 + 4 1 8 7

FOR PICKUP OR TRACKING

Visit www.usps.com

Call 1-800-222-1811



FC 94 COUNTRY NAME BELOW



[Track & Confirm](#)

[FAQs](#)

Track & Confirm

Search Results

Label/Receipt Number: **EH19 7617 462U S**
Guaranteed Delivery Date/Time: **January 20, 2011, 12:00 PM**
Class: **Express Mail®**
Status: **Delivered**

Track & Confirm

Enter Label/Receipt Number.

[Go >](#)

Your item was delivered at 8:18 am on January 21, 2011 in SPRINGFIELD, IL 62703. The item was signed for by R TURNER.

Detailed Results:

- **Delivered, January 21, 2011, 8:18 am, SPRINGFIELD, IL 62703**
- **Notice Left (No Authorized Recipient Available), January 20, 2011, 10:18 am, SPRINGFIELD, IL 62708**
- **Arrival at Post Office, January 20, 2011, 9:47 am, SPRINGFIELD, IL 62703**
- **Processed through Sort Facility, January 19, 2011, 6:46 pm, CAROL STREAM, IL 60199**
- **Acceptance, January 19, 2011, 11:35 am, KINGSTON, IL 60145**

Notification Options

Proof of Delivery

Verify who signed for your item by email, fax, or mail. [Go >](#)

[Site Map](#)

[Customer Service](#)

[Forms](#)

[Gov't Services](#)

[Careers](#)

[Privacy Policy](#)

[Terms of Use](#)

[Business Customer Gateway](#)

Copyright© 2010 USPS. All Rights Reserved.

No FEAR Act EEO Data

FOIA



Small text below icon



Small text below shield icon



Date: 03/16/2011

Jessica Horstman:

The following is in response to your 03/16/2011 request for delivery information on your Express Mail(R) item number EH19 7617 462U S. The delivery record shows that this item was delivered on 01/21/2011 at 08:18 AM in SPRINGFIELD, IL 62703 to R TURNER. The scanned image of the recipient information is provided below.

Signature of Recipient:

A handwritten signature in black ink, appearing to read "Ryan Turner". The signature is written in a cursive style with a large initial "R".

Address of Recipient:

A handwritten number "4187" in black ink, likely representing a street address.

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local Post Office or postal representative.

Sincerely,

United States Postal Service

1020 South Spring Street, P.O. Box 4187
 Springfield, Illinois 62708
 217/782-4141
 Fax: 217/782-5959

James R. Thompson Center
 100 West Randolph, Suite 14-100
 Chicago, Illinois 60601
 312/814-6440
 Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
 Rupert T. Borgsmiller

BOARD MEMBERS

Bryan A. Schneider, Chairman
 Wanda L. Rednour, Vice Chairman
 Patrick A. Brady
 John R. Keith
 William M. McGuffage
 Albert S. Porter
 Jesse R. Smart
 Robert J. Walters

Chairman Dick Crosby
 551 N Mulford Rd
 Rockford, IL 61107

1/28/2011

Citizens for Kevin Horstman
 Committee ID: 21902

Dear Chairman Dick Crosby:

Our records indicate that your committee has failed to file the following document during the filing period.

Report Type: Semiannual Report of Campaign Contributions and Expenditures
 Report Period: Jul 1, 2010 through Dec 31, 2010
 Filing Period: Jan 3, 2011 through Jan 20, 2011

Your Semiannual Report was received on 1/21/2011 at 2:27 PM

Based upon your committee's failure to comply with the provisions of 10 ILCS 5/9-10 of the Election Code, it is being assessed a civil penalty for each day this report was unfiled.

After your report is received in this office, you will be mailed an assessment notice, advising you of the amount of the civil penalty being assessed against this committee. You will also be provided with forms with which to appeal such penalty, if you feel you have been assessed such penalty in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period of time, you forfeit the right to contest this assessment notice at any time in the future.

The assessed civil penalty shall be stayed for a first time violation. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of another penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days.

My staff and I are available to provide assistance in this matter.

Sincerely yours,

Sharon Steward
 Director of Campaign Disclosure

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

EXHIBIT E

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

Citizens for Kevin Horstman
Jessica Horstman
6900 Woodcrest Pkwy
Rockford, IL 61109-5182

ID# 21902

Dear Citizens for Kevin Horstman;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

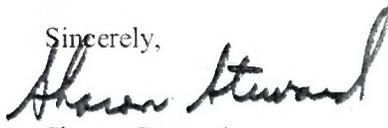
Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 21, 2011, 1 day(s) late. As such, this committee has been assessed a fine of \$50.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
January 1, 2009 to June 30, 2009	Semi-Annual Report	\$100
TOTAL AMOUNT NOW DUE		\$150

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

Citizens for Kevin Horstman
Jessica Horstman
6900 Woodcrest Pkwy
Rockford, IL 61109-5182

ID# 21902

Dear Citizens for Kevin Horstman;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 21, 2011, 1 day(s) late. As such, this committee has been assessed a fine of \$50.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.*

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
January 1, 2009 to June 30, 2009	Semi-Annual Report	\$100
TOTAL AMOUNT NOW DUE		\$150

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads 'Sharon Steward'.

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 037

Citizens for William J Mueller
Cmte: 9332
Respondent

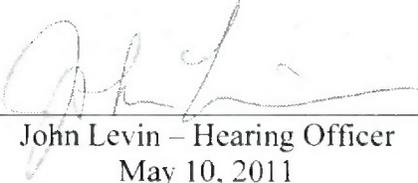
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received on January 24, 2011, 2 days late, resulting in an assessment of \$100. The Committee was previously fined \$725 for delinquently filing the December 2009 Semi-Annual Report.

William Mueller, the Candidate, as well as the Chairman and Treasurer of the Committee, filed a Waiver of Appearance and Appeal Affidavit in this matter.

On the Affidavit, Mr. Mueller stated the Committee is largely inactive, said he didn't realize he had to file Reports electronically and that he consistently has to seek assistance in so doing. He also stated he is being treated for cancer. The affidavit included insurance documentation with respect to Mr. Mueller's health issues.

While I certainly sympathize with Mr. Mueller, I recommend that the appeal be denied for lack of an adequate defense. The Committee has been filing electronically since 2009, and Board staff is available to assist with electronic filing. The Report in question should have taken only minutes to file. If the Board accepts this recommendation, the stay on the assessment for the late December 2009 Semi-Annual report would be lifted, and a total of \$825 would be due and owing. As of March 31, 2011, the Committee reported cash on hand of \$1,036.06.



John Levin – Hearing Officer
May 10, 2011

State of Illinois)
County of DU PAGE)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS ^{11 APR -4 AM 11:38}
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 11 DS 037

CITIZENS FOR WILLIAM MUELLER
Respondent(s).)

APPEAL AFFIDAVIT

I, WILLIAM MUELLER, the CANDIDATE, CHAIRMAN, TREASURER of the
(Name) (Chairman/Treasurer)

CITIZENS FOR WILLIAM MUELLER

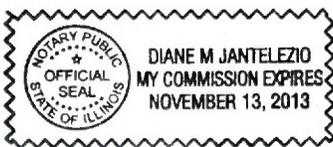
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I AM REQUESTING THAT ANY PENALTIES OR FINES BE WAIVED FOR ANY LATE FILING. I AM AN INDEPENDENT VILLAGE PRESIDENT/MAYOR WITH NO ACTIVE CHAIRMAN, TREASURER OR COMMITTEE. I DO NOT KNOW HOW TO FILE ELECTRONICALLY AND ALWAYS HAVE TO SEEK HELP TO DO SO. I AM BEING TREATED FOR MULTIPLE MYELOMA CANCER & TAKE CHEMO ALL DAY & CHEMO FOLLOWS EVERY 2 WEEKS. PRIOR TO COMMITTEE I PASSED OUT AND WAS TAKEN TO THE HOSPITAL FOR TESTS. IT WAS DETERMINED I HAD A HEART PROBLEM AND NEEDED A PAPER. I AM ENCLOSED WITH IT PROOF. IT WAS BECAUSE OF MY CURRENT HEALTH PROBLEM THAT I FILED LATE. SORRY
SEE ATTACHED DOCUMENTATION

Signed and Sworn to by:
Diane M Jantelezio
before me this 15th Day of
April, 2011
Diane M Jantelezio
Notary Public

William Mueller
(Signature of Chairman/Treasurer)





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Customer Service: 1-800-624-1723

**WILLIAM J MUELLER
556 CEDAR LN
LOMBARD IL 60148-3174**

Date: 02-23-11
Group No.: [REDACTED]
Identification No.: [REDACTED]
Patient: WILLIAM J MUELLER
Claim No.: [REDACTED]
Provider: ELMHURST MEMORIAL HEALTHCARE

**This is not a bill.
Explanation of Blue Cross Blue Shield Benefits**

Service Description	Service Date	Amount Billed	Medicare Approved	BC/BS Approved	MSG Code
Medical Visit	01-25-11	169.00	113.41	113.41	(1)
Totals		\$169.00	\$113.41	\$113.41	(*)

(*) Your Blue Cross and Blue Shield payment of \$22.68 is being sent to ELMHURST MEMORIAL HEALTHCARE.

(1) When a provider accepts assignment of medical insurance by Medicare, as in this case, neither you nor your health care plan is considered responsible for the charges that are over Medicare's approved amount.

INFORMATION YOU SHOULD KNOW

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and Blue Shield. Medicare automatically sends us your file for further consideration.

SUMMARY

Total Billed	\$169.00	Medicare Approved	\$113.41
Total Benefits Approved	\$22.68	Medicare Deductible	\$0.00
Amount You May Owe Provider	\$0.00	Medicare Paid	\$90.73





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Explanation of Benefits (EOB). This is not a bill.
BLUE CROSS/BLUE SHIELD
02-22-11

WILLIAM J MUELLER
556 CEDAR LN
LOMBARD IL 60148-3174

Customer Service: 1-800-624-1723

Claim Information

Member Name: **WILLIAM J MUELLER**
Group No.: **[REDACTED]**
Identification No.: **[REDACTED]**
Claim No.: **[REDACTED]**
Patient Name: **WILLIAM MUELLER**

SUMMARY

Total Billed: \$17803.70
Total Benefits Approved: \$17803.70
Amount You May Owe Provider: \$0.00

SERVICE INFORMATION

	Service Date	Amount Billed	Not Covered	Covered
ELMHURST MEMORIAL HOSPITAL				
Drugs	01-04-11	145.00		145.00
Spec. Medical Visit	01-04-11	131.00		131.00
Spec. Medical Visit	01-04-11	427.00		427.00
Spec. Medical Visit	01-04-11	215.00		215.00
Drugs	01-04-11	216.71		216.71
Drugs	01-04-11	5727.60		5727.60
Spec. Medical Visit	01-17-11	131.00		131.00
Spec. Medical Visit	01-17-11	349.00		349.00
Spec. Medical Visit	01-17-11	427.00		427.00
Spec. Medical Visit	01-17-11	215.00		215.00
Drugs	01-17-11	216.71		216.71
Drugs	01-17-11	3585.08		3585.08
Drugs	01-17-11	290.00		290.00
Drugs	01-17-11	5727.60		5727.60
Totals		\$17803.70		\$17803.70

COVERAGE INFORMATION

Totals	\$17803.70	\$0.00	\$17803.70
Total Benefits Approved			\$17803.70
Amount You May Owe Provider			\$0.00
Total covered benefits approved for this claim: \$646.21 to ELMHURST MEMORIAL HOSPITAL on 02-22-11.			

Information You Should Know

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Customer Service: 1-800-624-1723

**WILLIAM J MUELLER
556 CEDAR LN
LOMBARD IL 60148-3174**

Date: 01-12-11
Group No.: [REDACTED]
Identification No.: [REDACTED]
Patient: WILLIAM J MUELLER
Claim No.: [REDACTED]
Provider: MIDWEST HEART SPECIALIS

**This is not a bill.
Explanation of Blue Cross Blue Shield Benefits**

Service Description	Service Date	Amount Billed	Medicare Approved	BC/BS Approved	MSG Code
Medical Visits	01-04-11	262.00	145.70	145.70	(1)
Totals		\$262.00	\$145.70	\$145.70	(*)

(*) Your Blue Cross and Blue Shield payment of \$145.70 is being sent to MIDWEST HEART SPECIALISTS.

(1) When a provider accepts assignment of medical insurance by Medicare, as in this case, neither you nor your health care plan is considered responsible for the charges that are over Medicare's approved amount.

INFORMATION YOU SHOULD KNOW

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and Blue Shield. Medicare automatically sends us your file for further consideration.

SUMMARY

Total Billed	\$262.00	Medicare Approved	\$145.70
Total Benefits Approved	\$145.70	Medicare Deductible	\$145.70
Amount You May Owe Provider	\$0.00	Medicare Paid	\$0.00





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Customer Service: 1-800-624-1723

**WILLIAM J MUELLER
556 CEDAR LN
LOMBARD IL 60148-3174**

Date: 01-26-11
Group No.: [REDACTED]
Identification No.: [REDACTED]
Patient: WILLIAM J MUELLER
Claim No.: [REDACTED]
Provider: MIDWEST HEART SPECIALISTS

**This is not a bill.
Explanation of Blue Cross Blue Shield Benefits**

Service Description	Service Date	Amount Billed	Medicare Approved	BC/BS Approved	MSG Code
Ekg Outpatient	12-27-10	118.00	34.07	34.07	(1)
Ekg Outpatient	12-27-10	60.00	29.55	29.55	(1)
Totals		\$178.00	\$63.62	\$63.62	(*)

(*) Your Blue Cross and Blue Shield payment of \$12.72 is being sent to MIDWEST HEART SPECIALISTS.

(1) When a provider accepts assignment of medical insurance by Medicare, as in this case, neither you nor your health care plan is considered responsible for the charges that are over Medicare's approved amount.

INFORMATION YOU SHOULD KNOW

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and Blue Shield. Medicare automatically sends us your file for further consideration.

SUMMARY

Total Billed	\$178.00	Medicare Approved	\$63.62
Total Benefits Approved	\$12.72	Medicare Deductible	\$0.00
Amount You May Owe Provider	\$0.00	Medicare Paid	\$50.90





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099

Date: 01-18-11
Group No.: [REDACTED]
Identification No.: [REDACTED]
Patient: WILLIAM J MUELLER
Claim No.: [REDACTED]
Provider: RADIOLGISTS OF DUPAGE S C

**This is not a bill.
Explanation of Blue Cross Blue Shield Benefits**

Service Description	Service Date	Amount Billed	Medicare Approved	BC/BS Approved	MSG Code
X-Ray Services	12-19-10	283.00	64.94	64.94	(1)
Mri Inpatient	12-19-10	527.00	127.59	127.59	(1)
Other Charges	12-19-10	0.00	0.00	0.00	
Other Charges	12-19-10	0.00	0.00	0.00	
Totals		\$810.00	\$192.53	\$192.53	(*)

(*) Your Blue Cross and Blue Shield payment of \$38.51 is being sent to RADIOLGISTS OF DUPAGE S C.

(1) When a provider accepts assignment of medical insurance by Medicare, as in this case, neither you nor your health care plan is considered responsible for the charges that are over Medicare's approved amount.

INFORMATION YOU SHOULD KNOW

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and Blue Shield. Medicare automatically sends us your file for further consideration.

SUMMARY

Total Billed	\$810.00	Medicare Approved	\$192.53
Total Benefits Approved	\$38.51	Medicare Deductible	\$0.00
Amount You May Owe Provider	\$0.00	Medicare Paid	\$154.02





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099

Date: 01-14-11
Group No.: [REDACTED]
Identification No.: [REDACTED]
Patient: WILLIAM J MUELLER
Claim No.: [REDACTED]
Provider: MIDWEST HEART SPECIALISTS

**This is not a bill.
Explanation of Blue Cross Blue Shield Benefits**

Service Description	Service Date	Amount Billed	Medicare Approved	BC/BS Approved	MSG Code
Medical Visits	12-19-10	120.00	73.45	73.45	(1)
Totals		\$120.00	\$73.45	\$73.45	(*)

(*) Your Blue Cross and Blue Shield payment of \$14.69 is being sent to MIDWEST HEART SPECIALISTS.

(1) When a provider accepts assignment of medical insurance by Medicare, as in this case, neither you nor your health care plan is considered responsible for the charges that are over Medicare's approved amount.

INFORMATION YOU SHOULD KNOW

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and Blue Shield. Medicare automatically sends us your file for further consideration.

SUMMARY

Total Billed	\$120.00	Medicare Approved	\$73.45
Total Benefits Approved	\$14.69	Medicare Deductible	\$0.00
Amount You May Owe Provider	\$0.00	Medicare Paid	\$58.76





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Customer Service: 1-800-624-1723

**WILLIAM J MUELLER
556 CEDAR LN
LOMBARD IL 60148-3174**

Date: 01-19-11
Group No.: [REDACTED]
Identification No.: [REDACTED]
Patient: WILLIAM J MUELLER
Claim No.: [REDACTED]
Provider: RADIOLOGISTS OF DUPAGE S C

**This is not a bill.
Explanation of Blue Cross Blue Shield Benefits**

Service Description	Service Date	Amount Billed	Medicare Approved	BC/BS Approved	MSG Code
Diag. Medical Exam	12-18-10	209.00	32.97	32.97	(1)
Other Charges	12-18-10	0.00	0.00	0.00	
Totals		\$209.00	\$32.97	\$32.97	(*)

(*) Your Blue Cross and Blue Shield payment of \$6.59 is being sent to RADIOLOGISTS OF DUPAGE S C.

(1) When a provider accepts assignment of medical insurance by Medicare, as in this case, neither you nor your health care plan is considered responsible for the charges that are over Medicare's approved amount.

INFORMATION YOU SHOULD KNOW

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and Blue Shield. Medicare automatically sends us your file for further consideration.

SUMMARY

Total Billed	\$209.00	Medicare Approved	\$32.97
Total Benefits Approved	\$6.59	Medicare Deductible	\$0.00
Amount You May Owe Provider	\$0.00	Medicare Paid	\$26.38





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Customer Service: 1-800-624-1723

**WILLIAM J MUELLER
556 CEDAR LN
LOMBARD IL 60148-3174**

Date: 01-14-11
Group No.: [REDACTED]
Identification No.: [REDACTED]
Patient: WILLIAM J MUELLER
Claim No.: [REDACTED]
Provider: MIDWEST HEART SPECIALIS

**This is not a bill.
Explanation of Blue Cross Blue Shield Benefits**

Service Description	Service Date	Amount Billed	Medicare Approved	BC/BS Approved	MSC Code
Medical Visits	12-18-10	171.00	105.61	105.61	(1)
Totals		\$171.00	\$105.61	\$105.61	(*)

(*) Your Blue Cross and Blue Shield payment of \$21.12 is being sent to MIDWEST HEART SPECIALISTS.

(1) When a provider accepts assignment of medical insurance by Medicare, as in this case, neither you nor your health care plan is considered responsible for the charges that are over Medicare's approved amount.

INFORMATION YOU SHOULD KNOW

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and Blue Shield. Medicare automatically sends us your file for further consideration.

SUMMARY

Total Billed	\$171.00	Medicare Approved	\$105.61
Total Benefits Approved	\$21.12	Medicare Deductible	\$0.00
Amount You May Owe Provider	\$0.00	Medicare Paid	\$84.49





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Explanation of Benefits (EOB). This is not a bill.
BLUE CROSS/BLUE SHIELD
01-11-11

**WILLIAM J MUELLER
556 CEDAR LN
LOMBARD IL 60148-3174**

Customer Service: 1-800-624-1723

Claim Information

Member Name: **WILLIAM J MUELLER**
Group No.: **[REDACTED]**
Identification No.: **[REDACTED]**
Claim No.: **[REDACTED]**
Patient Name: **WILLIAM MUELLER**

SUMMARY

Total Billed: \$18415.00
Total Benefits Approved: \$3350.00
Amount You May Owe Provider: \$0.00

SERVICE INFORMATION

	Service Date	Amount Billed	Not Covered	Covered
ADVOCATE HEALTH AND HOSPITAL CORP				
Rm Two Beds Or More	12-18-10 to 12-19-10	930.00		930.00
Drugs	12-18-10 to 12-19-10	651.00	481.00 (1)	170.00
Med/Surg Supplies	12-18-10 to 12-19-10	157.00		157.00
Laboratory Services	12-18-10 to 12-19-10	81.00		81.00
Laboratory Services	12-18-10 to 12-19-10	1768.00	1598.00 (1)	170.00
Laboratory Services	12-18-10 to 12-19-10	254.00	84.00 (1)	170.00
X-Ray Services	12-18-10 to 12-19-10	303.00	133.00 (1)	170.00
Cat Scan	12-18-10 to 12-19-10	1624.00	1454.00 (1)	170.00
Emer Room Services	12-18-10 to 12-19-10	1794.00	1624.00 (1)	170.00
Diag. Medical Exam	12-18-10 to 12-19-10	142.00		142.00
Diag. Medical Exam	12-18-10 to 12-19-10	2094.00	1924.00 (1)	170.00
Mri Inpatient	12-18-10 to 12-19-10	3876.00	3706.00 (1)	170.00
Mri Inpatient	12-18-10 to 12-19-10	1707.00	1537.00 (1)	170.00
Ekg Inpatient	12-18-10 to 12-19-10	448.00	278.00 (1)	170.00
Ekg Inpatient	12-18-10 to 12-19-10	1556.00	1386.00 (1)	170.00
Diag. Medical Exam	12-18-10 to 12-19-10	1030.00	860.00 (1)	170.00
Totals		\$18415.00	\$15065.00	\$3350.00

COVERAGE INFORMATION

Totals	\$18415.00	\$15065.00	\$3350.00
Total Benefits Approved			\$3350.00
Amount You May Owe Provider			\$0.00
Total covered benefits approved for this claim: \$1,100.00 to ADVOCATE HEALTH AND HOSPITAL CORP DBA ADVOCATE GOOD SAMARITAN HOSP on 01-11-11.			





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Customer Service: 1-800-624-1723

**WILLIAM J MUELLER
556 CEDAR LN
LOMBARD IL 60148-3174**

Date: 01-13-11
Group No.: [REDACTED]
Identification No.: [REDACTED]
Patient: WILLIAM J MUELLER
Claim No.: [REDACTED]
Provider: DUPAGE EMERGENCY PHYS L

**This is not a bill.
Explanation of Blue Cross Blue Shield Benefits**

Service Description	Service Date	Amount Billed	Medicare Approved	BC/BS Approved	MSG Code
Medical Emerg Care	12-17-10	689.00	184.07	184.07	(1)
Diag. Medical Exam	12-17-10	54.00	9.73	9.73	(1)
Diag. Medical Exam	12-17-10	55.00	0.00	0.00	(2)
Other Charges	12-17-10	0.01	0.00	0.00	(3)
Totals		\$798.01	\$193.80	\$193.80	(*)

(*) Your Blue Cross and Blue Shield payment of \$38.76 is being sent to DUPAGE EMERGENCY PHYS LTD.

- (1) When a provider accepts assignment of medical insurance by Medicare, as in this case, neither you nor your health care plan is considered responsible for the charges that are over Medicare's approved amount.
- (2) Medicare did not approve these services because the charges are for expenses that Medicare has determined you have no obligation to pay. In other words, neither you nor your health care plan is responsible for payment.
- (3) This expense/service is not covered under the terms and conditions of your Health Care Plan. No payment can be made.

INFORMATION YOU SHOULD KNOW

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and Blue Shield. Medicare automatically sends us your file for further consideration.





**BlueCross BlueShield
of Illinois**

300 East Randolph
Chicago, Illinois 60601-5099



Customer Service: 1-800-624-1723

**WILLIAM J MUELLER
556 CEDAR LN
LOMBARD IL 60148-3174**

Date: 01-21-11
Group No.: [REDACTED]
Identification No.: [REDACTED]
Patient: WILLIAM J MUELLER
Claim No.: [REDACTED]
Provider: LOMBARD PARAMEDIC PROGR

**This is not a bill.
Explanation of Blue Cross Blue Shield Benefits**

Service Description	Service Date	Amount Billed	Medicare Approved	BC/BS Approved	MSG Code
Ambulance	12-17-10	580.00	425.64	425.64	(1)
Ambulance	12-17-10	30.00	20.61	20.61	(1)
Totals		\$610.00	\$446.25	\$446.25	(*)

(*) Your Blue Cross and Blue Shield payment of \$89.25 is being sent to LOMBARD PARAMEDIC PROGRAM VILLAGE OF LOMBARD.

(1) When a provider accepts assignment of medical insurance by Medicare, as in this case, neither you nor your health care plan is considered responsible for the charges that are over Medicare's approved amount.

INFORMATION YOU SHOULD KNOW

Less paperwork! When you receive a Medicare Summary Notice saying, "This information is being sent to your private insurer(s)," do not file a separate claim with Blue Cross and Blue Shield. Medicare automatically sends us your file for further consideration.

SUMMARY

Total Billed	\$610.00	Medicare Approved	\$446.25
Total Benefits Approved	\$89.25	Medicare Deductible	\$0.00
Amount You May Owe Provider	\$0.00	Medicare Paid	\$357.00



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

Citizens for William Mueller
William Mueller
556 Cedar Ln.
Lombard, IL 60148-3174

ID# 9332

Dear Citizens for William Mueller;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 24, 2011, 2 day(s) late. As such, this committee has been assessed a fine of \$100.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1, 2009 to December 31, 2009	Semi-Annual Report	\$725
TOTAL AMOUNT NOW DUE		\$825

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appcal documents

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 DS 092

Citizens for Francisco Rodriguez 19234

Respondent

REPORT OF HEARING EXAMINER

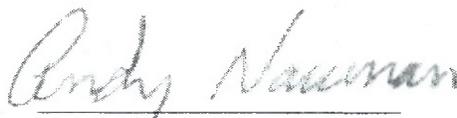
Appeal of Civil Penalty Assessment for Delinquently Filing
The 2010 December Semi-Annual Report

The Report was received by the Board on January 21, 2010, 1 day late, resulting in a civil penalty assessment of \$50. In addition, this Committee had previously been assessed a \$150 civil penalty (not appealed, stayed) for delinquently filing the December 2008 Semi-Annual Report. The total assessment is \$200.

Francisco Rodriguez, the candidate and treasurer of the committee appeared for the May 4, 2011 appeal hearing.

In the hearing Francisco Rodriguez indicated that he had faxed the December 2010 Semi-Annual Report to the State Board of Elections on January 20, 2011 at around 2:30 PM. He said he had at the time of the filing a fax communication sheet showing that the fax was sent but he can no longer find this communication. Mr. Rodriguez checked the State Boards website the following day and noticed that the report was not reported as filed. He called the Chicago office and was informed that we did not receive the fax communication and that the statute does not provide for the Semi-Annual Report to be faxed. Mr. Rodriguez then drove to the Chicago office and filed the report in person 1 day late. Mr. Rodriguez was not aware that the Semi-Annual report was not supposed to be faxed.

Mr. Rodriguez indicated that he had faxed the December 2010 Semi-Annual Report to the State Board of Elections, Chicago office, on January 20, 2011. However, the State Board of Election's fax communication journal for that day around that time does not show a record for the receipt of this document. Therefore, I recommend the Appeal be denied for a lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the \$150 civil penalty, and the total amount of \$200 will be due and owing. (As of 3/31/11, this committee reported a funds available balance of \$115.02.)



Andy Nauman – Hearing Officer

May 9, 2011

State of Illinois)
County of Coole)

CHICAGO

11 APR -7 PM 3:09

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Citizens for Francisco Rodriguez)
Respondent(s).)

Case No. 11 DS092

APPEAL AFFIDAVIT

I, Francisco Rodriguez, the Chairman of the
(Name) (Chairman/Treasurer)
Citizen for Francisco Rodriguez
(Name of the Committee)

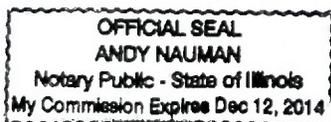
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

On January 20, 2011 I faxed over the Semiannual report. On January 21st, 2011 I noticed on the state board election website the document was not filed. I came in person to file the documents needed.

I was not aware the state board of elections does not accept faxes for filing semiannual reports.

Signed and Sworn to by:
Francisco Rodriguez
before me this 7 Day of
April, 2011
Andy Nauman
Notary Public

Francisco Rodriguez
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

Citizens for Francisco Rodriguez
Jesus Rodriguez
2850 N Western
Chicago, IL 60618

ID# 19234

Dear Citizens for Francisco Rodriguez;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 21, 2011, 1 day(s) late. As such, this committee has been assessed a fine of \$50.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1, 2008 to December 31, 2008	Semi-Annual Report	\$150
TOTAL AMOUNT NOW DUE		\$200

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division r

SS: jr
Enclosure(s) appeal documents

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 093

The Committee to Elect Donald G Wiseman Treasurer
Cmte ID: 19521
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received January 26, 2011, 4 days late, resulting in an assessment of \$100. The Committee was previously fined \$100 for delinquently filing the GE 2010 Pre-Election Report.

Donald Wiseman, the Candidate and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Wiseman stated the Report was mailed January 18, 2011, from the U.S. Postal Office in Paris, IL, using the Priority Mail service. The envelope was inadvertently diverted to Portland, OR, before being re-routed and finding its way to Springfield. As part of the affidavit, Mr. Wiseman included a letter from Postmaster Michael Reed of Paris, IL, placing responsibility for the delivery error with the Postal Service and confirming that the package should have been delivered to Springfield on January 19th.

I recommend the appeal be denied. The Committee has admirably supported its appeal and clearly was the victim of an unfortunate set of circumstances. However, there is nothing in the Act or Rules accompanying the Act that allows for a waiver of the assessment when the Report is not mailed at least 72 hours in advance of the deadline. If the Board accepts this recommendation, the stay on the previous assessment for the delinquent GE 2010 Pre-Election Report would be lifted, and a total of \$200 would be due and owing. As of March 31, 2011, the Committee's reported cash balance was \$0.00.



John Levin – Hearing Officer
May 12, 2011

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS

11 APR -6 PM 2:19

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)

Vs.)
)
The Committee to Elect)
Donald G. Wiseman Treasurer)
Respondent(s).)

Case No. 11DS093

APPEAL AFFIDAVIT

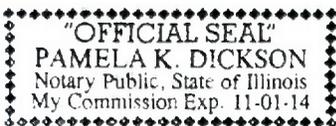
I, Donald G. Wiseman, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to Elect Donald G. Wiseman Treasurer
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Under both incidents that the Committee has been assessed a penalty, I diligently mailed the reports in such a manner to guarantee their prompt delivery. You have on file a letter from the Postmaster of Paris, IL stating that the most recent incident was because the report found its way to Portland, OR. I have provided copies of receipts issued to me at the time of both mailings. I have also included a copy of the Postmaster's letter.

Signed and Sworn to by:
Pamela K. Dickson
before me this 1 Day of
April, 2009
Pamela K. Dickson
Notary Public

Donald G. Wiseman
(Signature of Chairman/Treasurer)



U.S. Postal Service™ Delivery Confirmation™ Receipt

Postage and Delivery Confirmation fees must be paid before mailing.
 Article Sent To: (to be completed by mailer)

DELIVERY CONFIRMATION NUMBER: 0007 0020 0000 8791 4768

(Please Print Clearly)



POSTAL CUSTOMER:

Keep this receipt. For Inquiries:
 Access internet web site at
www.usps.com[®]
 or call 1-800-222-1811

CHECK ONE (POSTAL USE ONLY)

- Priority Mail™ Service
- First-Class Mail® parcel
- Package Services parcel

(See Reverse)

PS Form 152, May 2002

PARIS POSTOFFICE
 PARIS, Illinois
 619449998
 1615500127-0098
 01/18/2011 (217)466-5960 09:08:55 AM

Sales Receipt		
Product Description	Sale Unit Qty Price	Final Price

SPRINGFIELD IL 62708		\$5.10
Zone-2 Priority Mail		
0.80-oz.		

Expected Delivery: Wed 01/19/11

Delivery Confirmation	\$0.70
Label #:	03070020000087914768

Issue PVI:	\$5.80
------------	--------

Total:	\$5.80
--------	--------

Paid by:	
Cash	\$6.00
Change Due:	-\$0.20

Order stamps at USPS.com/shop or call 1-800-Stamp24. Go to USPS.com/clicknship to print shipping labels with postage. For other information call 1-800-ASK-USPS.

Track & Confirm

Search Results

Label/Receipt Number: **0307 0020 0000 8791 4768**

Expected Delivery Date: **January 19, 2011**

Class: **Priority Mail®**

Service(s): **Delivery Confirmation™**

Status: **Processed through Sort Facility**

Track & Confirm

Enter Label/Receipt Number.

[Go >](#)

Your item was processed through and left our PORTLAND, OR 97208 facility on January 20, 2011 at 10:50 pm. The item is currently in transit to the destination. Information, if available, is updated periodically throughout the day. Please check again later.

Detailed Results:

- **Processed through Sort Facility, January 20, 2011, 10:50 pm, PORTLAND, OR 97208**
- **Acceptance, January 18, 2011, 9:08 am, PARIS, IL 61944**

Notification Options

Track & Confirm by email

Get current event information or updates for your item sent to you or others by email.

[Go >](#)



U.S. Postal Service
CERTIFIED MAIL RECEIPT
 (Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com

OFFICIAL USE

Postage	\$	\$0.88
Certified Fee		\$2.50
Return Receipt Fee (Endorsement Required)		\$0.00
Restricted Delivery Fee (Endorsement Required)		\$0.00
Total Postage & Fees	\$	\$3.38



10/16/2010

Sent to
 State boards of elect
 Street, Apt. No.,
 or PO Box No.
 City, State, ZIP+4
 62708

PS Form 3800, August 2006

See Reverse for Instructions

7010 1670 0000 1690 1946

PARIS POSTOFFICE
 PARIS, Illinois
 619449998
 1615500127-0098
 10/16/2010 (217)466-5960 10:02:56 AM

Sales Receipt

Product Description	Sale Unit Qty	Price	Final Price
---------------------	---------------	-------	-------------

SPRINGFIELD IL 62708			\$0.88
----------------------	--	--	--------

Zone-2 First-Class
 Large Env

0.90-oz.

Expected Delivery: Mon 10/18/10

Certified			\$2.80
-----------	--	--	--------

Label #: 70101670000016901946

Issue PVI:			\$3.68
------------	--	--	--------

Dom. Money Order			\$126.90
------------------	--	--	----------

18200808033

Domestic Money Order Fee			\$1.10
--------------------------	--	--	--------

Subtotal:			\$128.00
-----------	--	--	----------

2009	1	\$8.80	\$8.80
------	---	--------	--------

Forever
 Stamp PSA
 Dbl-Sd Bklt

Total:			\$140.48
--------	--	--	----------

Paid by:

Cash			\$141.00
------	--	--	----------

Change Due:			-\$0.52
-------------	--	--	---------

Order stamps at USPS.com/shoo or



January 25, 2011

State Board of Elections
State of Illinois
1020 S Spring St
PO Box 4187
Springfield, IL 62708

To Whom It May Concern,

Mr. Don Wiseman, Edgar County Treasurer, contacted me concerning a Priority Package w/ Delivery Confirmation that has not been delivered to your office. He indicated that it had to be delivered by a certain date to avoid penalties. He mailed it on 1/18/2011 and as of today it has not been delivered to you. Mail sent from Paris, IL to Springfield, IL should be a next day delivery. As you can tell from the attached Track/Confirm Inquiry, the item was inadvertently sent to Portland and is making its way back to Springfield.

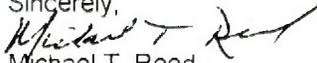
Experience has shown companies willingly review their customer's file and often waive any penalty or late fee when it is apparent a delay is not the fault of the customer. Since Mr. Wiseman was not responsible for the delay in question, we ask that no late fee or penalties be assessed to him.

On behalf of the U. S. Postal Service, please accept our sincere apology for any inconvenience caused by the service failure. We are constantly striving to improve service to our customers. Certainly, the service we provided on this package is not indicative of the level of service we wish to provide.

Let me assure you we constantly seek ways to improve service to our customers and to meet or exceed the standards that have been set. While we are generally very proud of the manner in which the mail is processed, it is disappointing to recognize and accept that sometimes, no matter how hard we try, errors and delays will occasionally occur.

Once again I apologize for the delay of Mr. Wiseman package and if there is anything I can do to assist in anyway, please call me at (217)466-5960. You may also e-mail me at michael.t.reed@usps.gov.

Sincerely,


Michael T. Reed
Postmaster
Paris, IL 61944-9998



cc: Edgar County Treasurer Don Wiseman

139 E. Wood St.
PARIS, IL 61944-9998
217-466-5960
FAX:

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Committee to Elect Donald Wiseman
Mary Liz Wright
4797 N 1175th St
Paris, IL 61944

ID# 19521

Dear Committee to Elect Donald Wiseman;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

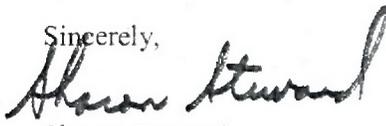
Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 26, 2011, 4 day(s) late. As such, this committee has been assessed a fine of \$100.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.*

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1, 2010 to October 3, 2010	Pre-Election Report	\$100
TOTAL AMOUNT NOW DUE		\$200

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division r

SS: jr
Enclosure(s) appeal documents

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 MA 007

Friends of Bud Sous ID 19856

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing the 2010 June Semi-Annual Report and the 2010 December Semi-Annual Report

The 2010 June Semi-Annual Report was received by the Board on February 18, 2011, 146 days late, resulting in a civil penalty assessment of \$5000. The 2010 December Semi-Annual Report was received by the Board on February 1, 2011, 8 days late, resulting in a civil penalty assessment of \$600. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, unpaid) for late filing of the June 2009 Semi-Annual Report. The total assessment is \$5625.

Bud Sous, the Chairman of the Committee, filed a Request for Hearing and submitted at the hearing held on May 4, 2011 the following:

At the hearing, Mr. Sous indicated that the late filings of his Committee's reports were essentially due to ignorance on his part. He said the filings were handled by a member of his campaign organization and he had assumed that person was making all necessary filings. Mr. Sous stated that generally, when mailings were received from the Board, he would hand them over to this other person without reading them. He said it was not until his re-election campaign was over and he was forced to take over the Committee's reporting duties that he learned the June Report had not been filed. At that time he contacted Board staff for assistance in completing the filings and to file a Final Report to close down the Committee (the Final Report was filed on 2/1/11.)

I recommend the Appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment is \$5625. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.



Tom Newman – Hearing Examiner
May 4, 2010

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 APR -4 AM 11:38

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIEND OF BUD SOUS)
Respondent(s).)

Case No. 11 MA007

APPEAL AFFIDAVIT

I, BUD SOUS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
FRIEND OF BUD SOUS
(Name of the Committee)

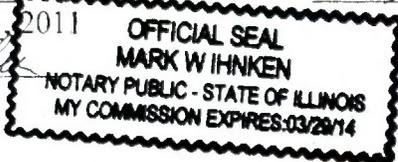
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I WAS UNDER THE ASSUMPTION THAT ALL REPORTS
WERE FILED ON TIME. ALL REPORTS WERE TO BE
FILED ON TIME AND ACCURATE BY SOMEONE OTHER
THEN MYSELF. I BELIEVED THAT I WAS IN COMPLIANCE
WITH ALL THE RULES UNTIL A FEW WEEK AGO.

Signed and Sworn to by:

before me this 1 Day of

April
Mark W. Ihnken
Notary Public



[Signature]
(Signature of Chairman/Treasurer)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Friends of Bud Sous
Bud Sous
3416 N Knoxville Ave
Peoria, IL 61603-1013

ID# 19856

Dear Friends of Bud Sous;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: January 1, 2010 through June 30, 2010
Filing Period: July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 18, 2011, 146 days late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: Semiannual Report of Campaign Contributions and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 1, 2011, 8 days late. As such, this committee has been assessed a fine of \$600.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1, 2009 to June 30, 2009	Semi-Annual	\$25
TOTAL AMOUNT NOW DUE		\$5625

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 162

Campaign to Elect Dave Bartley
Cmte ID: 21797
Respondent

REPORT OF HEARING OFFICER

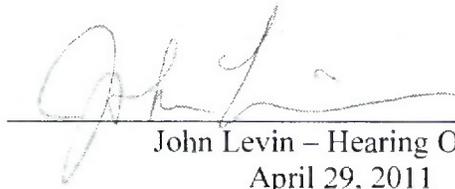
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received January 24, 2011, 2 days late, resulting in an assessment of \$100. The Committee was previously assessed \$25 for delinquently filing the June 2009 Semi-Annual Report.

Dave Bartley, the Candidate and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Bartley indicated the Report was sent in on the due date, January 20, 2011, and there had been no campaign activity during the reporting period. The Report was postmarked January 20th, as indicated. Mr. Bartley also erroneously stated that this was the Committee's first late filing.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a total of \$125 would be due and owing. As of December 31, 2010, the Committee's cash balance was reported as \$416.40.



John Levin – Hearing Officer
April 29, 2011

State of Illinois)
)
County of LaSalle)

STATE BOARD OF ELECTIONS

11 MAR 30 AM 11:47

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Campaign to Elect Dave Bartley)
Respondent(s).)

Case No. 11 DS 162

APPEAL AFFIDAVIT

I, Dave Bartley, the Chairman of the
(Name) (Chairman/Treasurer)
Campaign to Elect Dave Bartley
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1) This is a first offence

2) The report was sent in on the due date. I thought the report needed to sent by the due date.

3) There has been no campaign activity.

Signed and Sworn to by:

before me this 29th Day of
March, ~~2009~~ 2011
Mary Kruswicki
Notary Public


(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

Campaign to Elect Dave Bartley
David Bartley Jr, Shannon Bartley Jr
212 Shooting Park Rd
Peru, IL 61354-1967

ID# 21797

Dear Campaign to Elect Dave Bartley;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

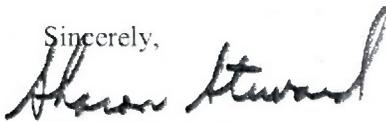
Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 24, 2011, 2 day(s) late. As such, this committee has been assessed a fine of \$100.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1, 2009 to June 30, 2009	Semi-Annual Report	\$25
TOTAL AMOUNT NOW DUE		\$125

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 168

Friends to Elect Patty Gustin
Cmte ID: 21909
Respondent

REPORT OF HEARING OFFICER

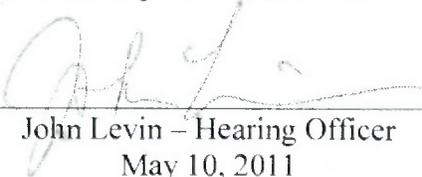
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received January 25, 2011, 3 days late, resulting in an assessment of \$75. The Committee has not been previously fined.

Patricia Gustin, the Candidate and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Gustin indicated she tried to file the Report electronically on January 14, 2011, six days prior to the deadline, but ran into technical problems. She said she tried diligently to submit the Report prior to the deadline. However, it wasn't until after the deadline, on January 25, 2011, that she was able to upload the Report with assistance from Board staff.

While I have no reason to question the timeline of events presented in the Affidavit, I recommend the appeal be denied. Section 100.150 of the Rules accompanying the Act states, in part, "If a committee is assessed a civil penalty for delinquently filing a report *required* to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." (Emphasis added). The Committee was not required to file the Report in question electronically. In fact, the Report in question featured no transactions during the Reporting period. Board staff will make every effort to ensure the Committee is able to report electronically, if it chooses or is required to do so in the future. If the Board accepts this recommendation, the fine would be stayed as the product of a first offense. As of March 31, 2011, the Committee's cash balance was reported as \$738.67.



John Levin – Hearing Officer
May 10, 2011

STATE BOARD OF ELECTIONS
11 APR -5 AM 11:11

State of Illinois)
County of DU PAGE)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS TO ELECT PATTY GUSTIN)
Respondent(s).)

Case No. 11 DS 168

APPEAL AFFIDAVIT

I, PATRICIA A. GUSTIN, the TREASURER of the
(Name) (Chairman/Treasurer)
FRIENDS TO ELECT PATTY GUSTIN (ID # 21909)
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED STATEMENT OF
PATRICIA A. GUSTIN, TREASURER FOR
THE COMMITTEE,

Signed and Sworn to by:
Patricia A Gustin
before me this 30th Day of
March, 2011
Kevin Duffels
Notary Public

Patricia A. Gustin
(Signature of Chairman/Treasurer)



Issue: [Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]



**STATEMENT OF PATRICIA A. GUSTIN, TREASURER
FRIENDS TO ELECT PATTY GUSTIN**

On or before January 14, 2011, I, Patricia A. Gustin, Treasurer for the Friends to Elect Patty Gustin (Committee), contacted the Board of Elections (Board) for help on filing the Committee's Semiannual Report of Campaign Contributions and Expenditures. Two year earlier I had run for elected office, but the Committee had been inactive since then and I wasn't sure what steps needed to be taken since I was running for office again.

The Board staff was very helpful and, although I had submitted paper submissions when I ran for office previously, Board staff suggested that I submit the information electronically, via IDIS. As the Board's notice states, the Report was due on or before January 20th.

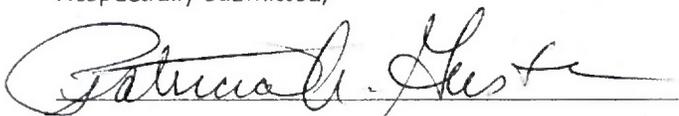
I tried to submit the Report through IDIS on January 14th, but when I tried to submit it the IDIS system reported that the update failed and that there was an update error. A copy of the January 14th screen print is attached.

I called the Board again for help that same day, before the Report was due on January 20th. On January 25th I received a call from Tom Newman of the Board. Mr. Newman was very helpful. He said that there had been some problems with the IDIS operating system and that he could fix the error on "his side" of the program. He did and I submitted the Report that same day.

On behalf of the Committee I ask that the Board grant this appeal and reverse its decision to assess a CMP because:

1. I attempted to file the Report before its due date;
2. I used all reasonable diligence in seeking the Board's assistance to resolve the IDIS program error (a matter that I understand was a problem with the IDIS operating system, not one that I caused);
3. when my request for assistance from the Board was responded to, the Report and submission were that-day completed;
4. in the future, if my IDIS submission fails I will submit the information in paper form; and,
5. this is the Committee's first and only delinquency in submitting its reports to the Board.

Respectfully submitted,



March 30, 2011

Patricia A. Gustin, Treasurer
Friends to Elect Patty Gustin

FRIENDS TO ELECT PATTY GUSTIN

1020 Erb Farm Court
Naperville, Illinois 60563
630.369.3142
(Cell) 630.220.0548

March 30, 2011

By Certified Mail, Return Receipt Requested

State Board of Elections
ATTN: Campaign Disclosure
1020 S. Spring Street
Springfield, IL 62704

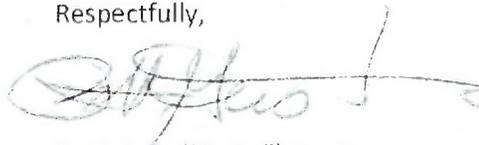
Re: Appeal Affidavit for CMP Assessment
Friends to Elect Patty Gustin – ID# 21909

Dear State Board of Elections:

With regard to appeal of the CMP assessment described by the Board's letter to me dated March 9, 2011 (copy of the letter enclosed), please find the Committee's Appeal Affidavit (with its referenced Statement of Patricia A. Gustin, Treasurer, and IDIS screen print) and the Committee's Waiver of Appearance.

We thank you for your consideration of our appeal. Please feel free to contact me with any questions at the address and phone numbers above.

Respectfully,



Patricia A. ("Patty") Gustin
Treasurer
Friends to Elect Patty Gustin

Enclosures

cc: Thomas Paul Beyer, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Friends to Elect Patty Gustin
Patty Gustin
1020 Erb Farm Ct
Naperville, IL 60563-2595

ID# 21909

Dear Friends to Elect Patty Gustin;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 25, 2011, 3 day(s) late. As such, this committee has been assessed a fine of \$75.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 DS 170

People for Schroeder
Cmte ID: 22047
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2010 Semi-Annual Report

The Report was received on January 31, 2011, 7 days late, resulting in an assessment of \$350. The Committee has not been previously assessed.

David Schroeder, the Candidate and Chairman of the Committee, filed a waiver of appearance and appeal affidavit in this matter.

On the Affidavit, Mr. Schroeder stated notification from the Board originally was delivered to the wrong post office box, a mistake later rectified. He said he had work-related distractions and did not remember the Report was due until notified of its delinquency by Board staff. Mr. Schroeder also asserted the assessment should have been \$25 per day, based on the Committee's ending balance on the Report in question. That balance was reported as -\$9,726.99. The Committee has been instructed to amend its disclosure Reports to eliminate the negative reported balance.

I recommend the appeal be denied for lack of an adequate defense. The Board fulfilled its statutory requirement with respect to advance notification, and there is no documentation included in the appeal to establish a timeline regarding when notice was available to the Committee. I agree with Mr. Schroeder's calculation of the assessment. If the Board accepts this recommendation, the assessment would be reduced to \$175 (\$25 per day) and stayed as the product of a first offense. As of March 31, 2011, the Committee's reported balance was \$408.47.



John Levin – Hearing Officer
May 5, 2011

State of Illinois)
)
County of Cook)

STATE BOARD OF ELECTIONS

11 APR -4 AM 9:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
vs.)
)
People for Schroeder)
Respondent(s).)

No. 11 DS 170

APPEAL AFFIDAVIT

I, David Schroeder, the Chairman of the
(Name) (Chairman/Treasurer)
People for Schroeder

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The notification from the Board was delivered to the wrong PO Box at the Post Office (it subsequently was placed in the committee's box). I was busy with a large case going to trial in January of 2011 and without the notification, did not remember the report was due. I received a letter from the Board addressed to my home address notifying me that the semiannual report had not been filed and I immediately filed the report. I also believe the fine was incorrectly calculated. There was no activity to report and my committee has a negative balance. Therefore, the fine should have been \$25/day not \$50/day.

Subscribed and Sworn to Before me
This 1st Day Of April 2011

David Schroeder
(Signature of Chairman/Treasurer)

Veronica Lopez
NOTARY PUBLIC
OFFICIAL SEAL
STATE OF ILLINOIS
VERONICA LOPEZ
MY C
137

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

People for Schroeder
David Schroeder
26 E 14th Place, #6W
Chicago, IL 60605

ID# 22047

Dear People for Schroeder;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 31, 2011, 7 day(s) late. As such, this committee has been assessed a fine of \$350.00.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward". The signature is written in a cursive style.

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 D1 003

Citizens for Jill Bush

Cmte ID: 23274

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a D-1 Statement of Organization

The Statement was received on January 20, 2011, 57 days late, resulting in an assessment of \$1,425. This is the Committee's first assessment.

Vivian Funches, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Funches stated the Committee's originally filed D-1 identified the Committee as Citizens to Elect Jill Bush. An amended D-1, received on the same date, identified the Committee as Citizens for Jill Bush. A review of the Committee's Semi-Annual Report for the period ending December 31, 2010, identified October 8, 2010, as the date on which it can be confirmed the Committee crossed the \$3,000 threshold for filing, and the assessment was calculated using that date. Ms. Funches said the Committee is intent on fully complying with the Act.

I recommend the appeal be denied for lack of an adequate defense. The minor name modification has no impact on the violation, and the Committee will have additional incentive now to fulfill its stated intent to file all Reports in a timely manner. If the Board accepts this recommendation, the fine would be stayed as the product of a first offense. As of March 31, 2011, the Committee's cash balance was reported as \$764.12.



John Levin – Hearing Officer
May 4, 2011

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS

11 APR -4 AM 9:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
CITIZENS FOR JILL BUSH)
Respondent(s).)

Case No. 11 01-03

APPEAL AFFIDAVIT

I, Vivian Funches, the Treasurer of the
(Name) (Chairman/Treasurer)
CITIZENS FOR JILL BUSH
(Name of the Committee)

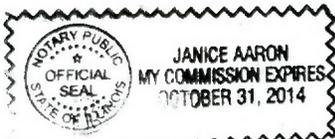
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The D-1 was originally filed by the Committee's Attorney
UNDER THE INCORRECT NAME "CITIZENS TO ELECT JILL BUSH".
WE ASK THAT YOU WAIVE THE PENALTY AS THE COMMITTEE
INTENT IS TO BE IN FULL COMPLIANCE WITH THE STATE BOARD

OF ELECTIONS & AGREE TO ENSURE THAT ALL REPORTING WILL
BE DONE TIMELY. WE UNDERSTAND THAT THE PENALTY IS NOT DUE BECAUSE
OF A FIRST TIME VIOLATION, HOWEVER, WE ASKED THAT IT NOT
BE CONSIDERED A VIOLATION AT ALL. SINCERELY)

Signed and Sworn to by: Vivian Funches
before me this 30th Day of
March, 2011
Janice Aaron
Notary Public

Vivian Funches
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



11 01-03

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Citizens for Jill Bush
Barry Axler
333 Hubbard, #222
Chicago, IL 60659

ID# 23274

Dear Committee:

This letter is to inform you that this committee failed to file its' D-1 Statement of Organization during the requisite 10-day filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 20, 2011, 57 day(s) late. As such, this committee has been assessed a fine of \$1425.

Enclosed please find Section 10 ILCS 5/9-3 of the Election Code and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Please be advised that subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections. Therefore, you need not pay this assessed civil penalty unless another violation occurs. If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads 'Sharon Steward'.

Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AP 092

Citizens for Deborah Sims

ID# 11768

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

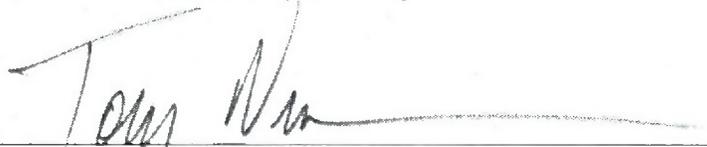
For Failure to File Schedule A-1s for the 2010 General Primary Election

The Committee received a \$1000 contribution from Pullian & Burge on 1/21/10, a \$1000 contribution from Painters District Council #14 on 1/23/10, a \$2500 contribution from Teamsters Local Union PAC 700 on 1/23/10 and a \$1000 contribution from Dr. Larry Kroll on 1/24/10 but failed to report any of these on a Schedule A-1. The total assessment is \$5500.

Lela Charles, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Charles states the receipt dates for the contributions were incorrectly reported on the Committee's Semi-Annual Report. She says the dates on the checks were used, rather than the date those checks were actually received by the Committee – which she says was 2/4/10. Ms. Charles adds that the Committee has since filed an amended Semi-Annual Report to correct the error.

I contacted the Committee to see if they could provide some documentation or evidence to support their claim. In response, Ms. Charles faxed to me copies of the four checks in question, along with a note that the contributions were not picked up from the Committee's post office box until after the election. The checks show the following dates: Pullian & Burge - 1/21/10, Painters District Council #14 – 1/12/10, Teamsters Local Union PAC 700 – 1/12/10, and Dr. Larry Kroll - 1/6/10. So the evidence shows the first contribution was in fact reported using the date on the check (1/21/10), but the remaining three contributions were not. Therefore, I recommend the appeal be granted in regards to the \$1000 contribution from Pullian & Burge, but denied in regards to the other three contributions. This would make the total assessment \$4500. However, since there is no indication the violations were anything other than inadvertent and unintentional, I further recommend the penalty be reduced to 10% of the original assessment, or \$450. If these recommendations are accepted by the Board, the \$450 civil penalty will be due and owing. (As of 3/31/11, this Committee reported a funds available balance of \$68.13.)



Tom Newman – Hearing Officer

May 12, 2011

State of Illinois)
County of COOK)

STATE BOARD OF ELECTIONS

11 FEB 18 AM 9:27

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR DEBORAH SIMS)
Respondent(s).)

Case N 10AP 092

APPEAL AFFIDAVIT

I, LELA CHARLES, the TREASURER of the
(Name) (Chairman/Treasurer)
CITIZENS FOR DEBORAH SIMS
(Name of the Committee)

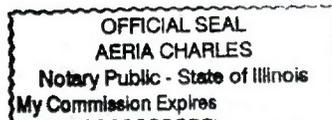
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE COMMITTEE CITIZENS FOR DEBORAH SIMS PLACED INCORRECT DATES FOR
NOTED VIOLATION CONTRIBUTIONS, THE DATE OF RECEIPT WAS 2-4-10, THE
DATE THE CHECKS WAS ORIGINATED BY CONTRIBUTOR WAS GIVEN. AN AMENDED
UPLOAD HAS BEEN RESUBMITTED, SORRY FOR THE DISCREPANCY AND THE HELP
IN CORRECTING THIS MATTER. THE COMMITTEE SINCE ITS FORMATION IN 1994

SEE ATTACHED:

Signed and Sworn to by:
LELA CHARLES
before me this 15TH Day of
FEBRUARY, 2011
[Signature]
Notary Public

Lela Charles
(Signature of Chairman/Treasurer)



ATTACHED :

HAS AND WILL ALWAYS TRY TO UPHOLD THE ILLINOIS STATE
BOARD OF ELECTIONS RULES & REGULATIONS.

May 6, 2011

Mr. Tom Newman
Campaign Disclosure Specialist
1020 South Spring Street
Springfield, IL 62708

Dear Mr. Newman:

I am forwarding the information you requested as I indicated the Committee
Received the contributions after the election thru PO Box pick up again the committee
apologizes for the mix up. Appeal Affidavit (ID # 11768)

Lela Charles, Treasurer
Citizens For Deborah Sims

PULLIAM & BIRGE
OPERATING ACCOUNT
70 E. LAKE ST., SUITE 1120
CHICAGO, IL 60601-5915

2-104/710

3384

0001962800

DATE 1/21/10

PAY TO THE
ORDER OF

Citizens For Debra Sims

\$ 1,000.00

One Thousand

DOLLARS



 **PARK NATIONAL**
CHICAGO, IL **BANK**

MEMO

Campaign Cont

[Signature]



3384

TEAMSTERS LOCAL UNION NO. 700
POLITICAL ACTION COMMITTEE ACCOUNT
INTERNATIONAL UNION OF TEAMSTERS
190 S. MICHIGAN STREET ROOM
CHICAGO, IL 60604

AMERICAN BANK OF CHICAGO
CHICAGO, ILLINOIS
6840716

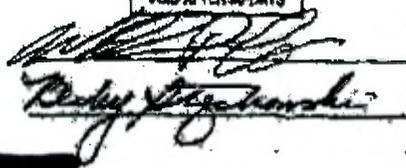
030003

1/12/2010

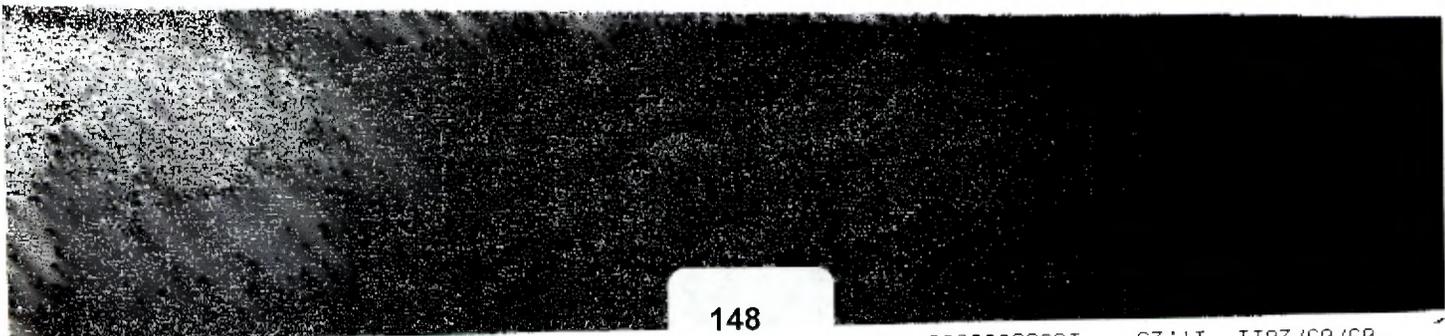
**2,500.00

PAY TO THE ORDER OF Deborah Sims
Two Thousand Five Hundred and 00/100
OF Deborah Sims

VOID AFTER 90 DAYS



Debby J. Gaborich



DR. LARRY I. KROLL 03-08
SANDRA KROLL
885 HOFFMAN LN.
RIVERWOODS, IL 60015-3621

1000
710
3601A0270

7121

2/16/10

Citizen for Douglas Sims \$1000 =

CHASE

JPMorgan Chase Bank, N.A.
Chicago, Illinois 60601
www.chase.com

[Handwritten signature]



www.chase.com

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 20, 2011

Citizens for Deborah Sims
1549 W 122nd St.
Chicago, IL 60643-5457

ID# 11768

Dear Citizens for Deborah Sims;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500.00, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Dr. Larry Kroll*	1/24/2010	\$1000	\$1000		5
Pullian & Burge*	1/21/2010	\$1000	\$1000		6
Painters District Council #14*	1/23/2010	\$1000	\$1000		5
Teamsters Local Union PAC 700*	1/23/2010	\$2500	\$2500		5

The committee is fined a **total** of \$5500.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$550.00, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the***

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
Complainant(s),)
Vs.) 10 AP 071
Citizens for James Michael Bailey)
Respondent(s).)

FINAL ORDER

TO: Citizens for James Michael Bailey
20 N ClarkSt, ste 3600
Chicago, IL 60602

ID# 22332

This matter coming to be heard this 21st day of March, 2011 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. In case number 10 AP 071, a \$1443.99 civil penalty was initially assessed against the Respondent for failing to file a Schedule A-1 report in conjunction with the February 2010 election; appeal was taken from this assessment, and
2. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be granted.

IT IS ORDERED:

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is GRANTED; and
2. The committee file an amended June 2010 Semi-annual report to correctly reflect the date of the contribution no later than April 29, 2011, and
3. The effective date of this Order is March 22, 2011, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 3/22/2011


Bryan A. Schneider, Chairman

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Judy Brown Marino,)
 Complainant(s),)
 vs.) 11 CD 012
)
Friends of Sherman Jones,)
 Respondent(s).)

FINAL ORDER

TO: Judy Brown Marino Friends of Sherman Jones
2814 S 10th Ave PO Box 6362
Broadview, IL 60155 Broadview, IL 60155

This matter coming to be heard this 31st day of March, 2011 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-2, 5/9-9, 5/9-9.5(a), 5/9-10 and 5/9-13(b) in that the Respondent committee failed to designate their committee type by the 12/31/2010 deadline and failed to list the required attribution of source on political literature; and the State Board of Elections having read the report of the Hearing Officer and hearing the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. The complaint was filed on justifiable grounds in regards to Count 1, part 1 of the complaint (failure to designate a committee type by the 12/31/2010 deadline); and
2. The complaint was not filed on justifiable grounds in regards to Count 1, part 2 of the complaint (the committee's current designation is correct), and
3. The complaint was not filed on justifiable grounds in regards to Counts 2 and 3 of the complaint (failure to identify proper attribution of source on Exhibits 1 & 2), and
4. The complaint was filed on justifiable grounds in regards to Count 4 of the complaint (failure to file the December 2010 Semi-annual report of Campaign Contributions and Expenditures).

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. The audit request made by the complainant is denied, and
3. The committee file an amended June 2010 Semi-annual report no later than April 15, 2011 to correctly report contributions and expenditures and correct discrepancies alleged by the complainant, and
4. The committee file the December 2010 Semi-annual report no later than April 15, 2011, and
5. Failure to comply with this Order may subject the committee to a penalty not to exceed \$5000.00, and
6. The effective date of this Order is April 1, 2011, and
7. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: April 1, 2011


Bryan A. Schneider, Chairman

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: May 13, 2011

Listed below are committees that have been assessed a civil penalty for delinquently filing the December 2010 Semi-annual report, the D-1 Statement of Organization, Schedule A-1's in conjunction with the November 2010 elections, the November 2010 Pre-election report, and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

December 2010 Semi-annual Report			
Cmte Name	Cmte No	Amt of Fine	Previous Violations
DeKalb County Republican Central Cmte	105	\$100	1 semi, 1 pre
New Trier Democratic Org	231	\$50	None
Adams County Democratic Central Cmte	321	\$25	None
Pulaski County Republican Central Cmte	332	\$25	None
Massac County Democratic Central Cmte	348	\$25	None
Northwest Republican Women	402	\$50	None
IL School Psychologists PAC	409	\$200	None
Morgan County Democratic Women's Club	478	\$25	None
Riverside Township Regular Republican Org	523	\$50	1 semi
Adams County Democratic Women	595	\$25	None
Boone County Republican Central Cmte	749	\$25	None
Cook County Democratic Women	945	\$225	2 semi
Cmte to Elect Micelli	959	\$25	None
Balanoff Political Fund	1041	\$100	2 semi (pd)
Citz for Skoien State Cmte	1681	\$25	None
Cmte for Good Government **	4307	\$25	None
Lockport Twp Republican Org	4725	\$100	None
Family Taxpayers Network	4942	\$200	None
Wauconda Twp Republican Club	5180	\$50	None
Scott County Republican Central Cmte	5480	\$25	None
Spirit-PAC	6828	\$350	None
Conservation Police Lodge #146 FOP	7370	\$25	None
Citz for Tom Bennington	7703	\$175	None
Cmte to Elect Bill Boyer **	8003	\$50	None
Citz Organized for Public Safety	8377	\$700	2 semi (pd)
Il Athletic Trainers Assn	10045	\$550	None
Nunda Twp Republican Central Cmte	11417	\$325	None

Cmte Name	Cmte No	Amt of Fine	Previous Violations
Mary Katherine Rochford for Appellate Ct Cmte	11693	\$50	None
Cmte to Elect John Hoscheit	11937	\$50	None
Citz for Randall Rodewald	12346	\$25	None
Democrats United	12579	\$25	None
Citz for Bob Burden	12764	\$25	None
Village Party	12780	\$750	None
League of Democratic Women of Fulton Co	12987	\$350	None
Citz for Steve Kim	13184	\$200	2 semi (pd)
Caucus Candidates Cmte	13606	\$50	None
Taxpayers for Ray Ivancic **	13796	\$200	None
IL Staffing Assn	13984	\$300	1 semi
Chicago Progressive Pol Action Coalition	14457	\$200	None
Vote Sam Cahnman	15077	\$25	None
Citz to Elect Linda Jackson	15569	\$150	None
Citz for Emma Mitts	15622	\$500	None
Mike Kreke for Sheriff	15642	\$50	None
Volunteers for Vinnedge	15668	\$25	None
Neighbors Active Party of Stone Park	15686	\$1000	None
Warren Twp Republican Org	15765	\$100	None
Citz for Doug Mark	15844	\$25	None
W Aurora Volunteers for Education	16063	\$50	None
Cmte to Elect Sheldon Sobol	16232	\$50	None
Friends of Ed Schmidt	16350	\$100	1 semi
Cmte to Elect James Tatooles	16527	\$50	None
Citz to Elect Sheryl Tilman **	16672	\$425	None
Cmte to Elect Pete Merkel	16990	\$25	None
Cmte to Elect Frank Bergman	17175	\$500	None
Friends to Elect Tom Din **	17711	\$50	None
Friends of Julia Rietz	17738	\$100	None
Plainfield Twp Democratic Org	17761	\$275	None
MC PAC	17797	\$200	None
Friends of Jennifer Gomric Minton	17850	\$25	None
John Harmon for County Board	17953	\$25	None
Citz to Elect Michael Kenyon	18071	\$50	None
Citizens United	18233	\$75	None
Friends for Deborah Woodruff	18310	\$250	None
1 st Ward Young Democrats	18580	\$50	1 semi
Fraternal Order of Police	18588	\$25	None
Mark J Gernigin Campaign	18746	\$25	None
Make Tomorrow **	18789	\$1800	2 semi (pd)
Citz to Elect Charles Laskonis	18887	\$450	None
Sue Yoder for ICC Trustee **	18907	\$125	None
Friends of Steven Carlson	19908	\$50	None
Citz for Marci Dodds	19911	\$25	None

Cmte Name	Cmte No	Amt of Fine	Previous Violations
Citz for McConnell	19919	\$250	None
Reform Party **	20081	\$50	None
Citz for Petrilli	20095	\$25	None
Citz to Elect Phil Seuss	20110	\$25	None
Cmte to Elect John "Jack" Mooney	20283	\$325	None
Taxpayers for O'Sullivan	20292	\$75	None
Friends of Art Moore **	20293	\$75	2 semi (pd)
Friends of Morraine	20318	\$100	None
Citz to Elect Suzanne Hart	20364	\$25	none
Citz for Tom Kataras **	20433	\$400	None
Proviso First Party	20492	\$225	None
Citz for Tom Klein	20531	\$150	None
32 nd Ward Regular Republican Org	20557	\$25	None
Go Ziri	20681	\$25	None
Friends of Dave Tomlinson	20692	\$75	2 semi
Friends of Stanley Moore **	20715	\$350	None
Charles Eastwood Campaign Cmte	20747	\$50	None
Re-elect Phillip A Moss Clinton Co Coroner	20798	\$125	None
Jesse Partington Lee County Coroner	20830	\$25	None
Cmte to Elect Thomas Mahoney	20867	\$75	2 semi (pd)
Citz for Travis	20879	\$50	None
32 nd Ward Republican Org	20958	\$150	3 semi
Cmte for John Curry	21011	\$25	None
Republican Renaissance PAC	21016	\$250	AI
WATCH Clinton Landfill	21080	\$25	None
Friends for Ron Ellis	21098	\$675	2 semi (pd)
Citz for Kelly Tracy	21119	\$25	None
Citz for Boises **	21153	\$100	1 semi
Friends of Paula Yensen	21238	\$50	None
Move Illinois Forward	21253	\$75	None
Hanover Park Progress	21267	\$50	None
Campaign to Re-elect Jim Devine	21284	\$50	None
Citz for Good Government **	21240	\$75	None
Friends for Cesar Santoy	21482	\$50	None
Calumet Township Regular Rep Org	21531	\$50	None
P Craig Ochoa	21536	\$25	None
Friends of John Thodos	21549	\$100	None
Citz to Elect Diane Seiler **	21566	\$175	None
Citz to Elect Bill Lamb	21568	\$50	None
McElroy for Council	21588	\$25	None
Future Progress Party **	21604	\$300	None
Citz for Peter Poulos	21609	\$150	2 semi (pd)
Its Your Township Election Cmte **	21617	\$100	None
Cmte to Elect John Robert Krzos	21619	\$50	None

Cmte Name	Cmte No	Amt of Fine	Previous Violations
Citz for Beth AKeson	21626	\$500	None
Cmte to Elect Karen Elyea **	21627	\$150	None
Citz for Schaumburg Twp **	21631	\$25	1 pre
Friends of Bridget Dancy	21640	\$250	None
12 th Ward Ad Book Cmte	21647	\$50	1 semi
Citz for Brant Walker	21751	\$50	None
Wilson for Assessor	21800	\$75	None
Concerned Citz of Orland Park **	21842	\$25	1 pre
Change Party **	21878	\$550	1 semi
Friends of Robin Kelly	21890	\$25	None
Downers Grove Elementary Education Assn	22069	\$50	None
Proft for Governor	22072	\$125	None
Lawless 2010 **	22075	\$150	None
Friends of John Bracamontes	22106	\$300	None
Friends of Sean McDermott *8	22130	\$75	None
Citz for Stefani	22167	\$300	1 semi
Booker for Sheriff	22182	\$25	None
Cmte to Elect Michelle Huggis Flagg	22202	\$125	None
Friends of Elgie Sims	22210	\$300	None
Friends of Judge Geary Kull **	22225	\$100	1 semi, 1pre
Friends of Bob Pilmer	22241	\$100	1 pre
Walls for Governor	22243	\$50	None
Citz for Gwendolyn Drake	22257	\$250	None
Friends of Rafael Rivandeira	22308	\$50	1 semi, A1
Citz for Mike Reed	22314	\$250	None
Citz to Elect Nicholas Karas **	22362	\$175	A1
Friends of Mark Doyle	22375	\$350	None
Voters for Sean Morrison	22433	\$275	None
Citz for Brad Webb **	22458	\$25	None
Citz for Michael Carroll	22479	\$50	None
Citz for Ubi O'Neal	22504	\$400	None
Citz for Adelizzi	22565	\$300	1 semi
Friends of Melisa Taylor	22573	\$250	1 semi, 1 pre
Citz for Smart Governance	22614	\$325	None
Southland Success PAC	22624	\$150	D1
Friends of Gay Johnson **	22651	\$325	None
Peoples Cmte to Elect Jason Rice **	22658	\$275	None
Citz to Elect Michelle Coady	22659	\$625	None
Friends of Brain Duewel	22661	\$125	None
Friends of August Griffin for Edgar Co Clerk	22674	\$75	None
Friends to Elect Roger Zamparo Judge **	22689	\$150	None
The Civil Rights Agenda Fund	22712	\$250	1 semi
Maine Twp Regular GOP	22720	\$25	None
Scott Tucker for State Rep	22723	\$50	None

Cmte Name	Cmte No	Amt of Fine	Previous Violations
Citz for Blair	22735	\$50	None
Jackson for Cmte man Ind. Dem Pol Org	22751	\$850	None
Citz for Cedra Crenshaw	22760	\$75	None
Citz to Elect Rutledge	22773	\$25	None
Friends of Molly Phelan	22778	\$50	None
Vargas for 43	22786	\$50	None
St Clair Co Constitution Party	22791	\$375	None
Smith for Sheriff **	22797	\$25	None
Greg Brewer for Alderman	22803	\$25	None
Friends of John Guevara	22818	\$350	None
Southland Democrats	22819	\$50	None
The November Fund	22823	\$125	None
Robert Lea for Kendall Co Sheriff **	22825	\$300	None
Citz for Rhonda Williams	22829	\$275	None
Friends of Joe Glorisio	22834	\$600	None
Kane County Young Republicans	22837	\$25	None
Friends for Avellone **	22840	\$25	None
Friends of Roderick Sawyer	22875	\$50	None
Friends of Brian Sleet	22880	\$50	None
Cmte to Elect Michael Finney 15 th Ward Alderman	22888	\$25	None
Part Time Faculty Assn at Columbia College PAC	22916	\$950	None
Citz for Luke Parr **	22937	\$50	A1
Citz to Elect Chauncey Stroud	22940	\$25	None
Friends of Wendell Mosby	22994	\$75	None
Citz & Friends to Elect Carol H Chalmers **	22946	\$125	None
Citz for Lori Flores Weisskopf	22950	\$300	None
Citz to Elect Devon Reid	22964	\$325	None
Citz to elect Barbara Wheeler **	22969	\$25	None
Del Valle for Mayor	22981	\$50	None
Friends to Elect Strathman	22995	\$50	None
Citz for Patricia VanPelt Watkins	23012	\$50	None
Friends for Talley	23017	\$350	None
Roth for County Board	23023	\$50	None
Welter for County Board	23025	\$325	None
Friends of Dave Boggs **	23029	\$50	None
Citz for Sam Perks	23034	\$75	A1
Il Family Action Cmte **	23070	\$550	None
Friends of James Quisenberry	23072	\$25	none
Heidi Hodges **	23075	\$300	None
Cmte for John Davis	23084	\$500	None
Butler for Alderman 29 th Ward	23096	\$200	None
Davis for Mayor	23097	\$50	None
Citz for Austin Hopkins **	23109	\$125	None
Citz for Ervin	23112	\$50	None
Real Chicago Party **	23116	\$500	None

Cmte Name	Cmte No	Amt of Fine	Previous Violations
Cmte to Elect James Mullen	23121	\$50	None
Friends of Rymefest	23124	\$50	None
Citz for Robertson **	23126	\$100	None
United Party of Elmwood Park	23129	\$100	None
Friends of Enrique	23130	\$275	None
People's Choice PAC	23157	\$425	None
Citz for Ed Quartello	23159	\$25	None
MAC	23160	\$25	None
Cmte to Elect Allan Hertzberger **	23168	\$250	None
Go Griswold Cmte	23173	\$25	None
Citz for the 2011 Mokena School Referendum	23180	\$150	None
Citz to Elect Joseph Chiarelli	23183	\$175	None
Friends of Terrell Barnes	23184	\$100	None
Citz for Ed Lavin **	23187	\$25	None
Citz for Bob Berlin	23201	\$50	None
For a Better Chicago PAC	23216	\$50	None
Citz to Elect Shirley White 34 th Ward **	23279	\$25	None

D-1 Statement of Organization

Cmte Name	Cmte No	Amt of Fine	Previous Violations
SCSVDC	23120	\$525	None

Schedule A-1

Cmte Name	Cmte No	Amt of Fine	Percentage Reduction
Il Machinists Non-Partisan Pol League	758	\$10700	\$1070
Citz for William Beavers	4347	\$750	\$75
Woodford County Dem Central Cmte	5610	\$1000	\$100
Sangamon County Board Rep Education Cmte	10535	\$5000	\$500
PCI Political Account	12467	\$1000	\$100
Citz for Ed Schock	12797	\$1750	\$175
Citz for Greg Stumpf	13416	\$630.43	\$63
Friends of Montelongo	16029	\$12154.14	\$1216
Todd Smith Campaign Cmte	19187	\$630.43	\$63
Mike Sullivan Sangamon County Board Cmte	19815	\$630.43	\$63
Citz for Weger	22677	\$129	\$13
Friends of Charlie Yancey	22796	\$547.57	\$55
Citz for Victor LaPorte	22828	\$1005.82	\$101
Friends to Elect Dan Knox	23010	\$700	\$70
Cmte for Judicial Retention	23040	\$800	\$80
Dem Lieutenant Governors Assn – IL	23052	\$2000	\$200

November 2010 Pre-election Report

Cmte Name	Cmte No	Amt of Fine	Previous Violations
Geneva Twp Republican Central Cmte	18602	\$1100	None

Multi-assessment (MA)			
Cmte Name	Cmte No	Amt of Fine	Previous Violations
22 nd Ward Independent Political Org	917	\$15000	Viol of Bd Order
Treasurers & Ticketsellers Union **	17772	\$15000	
Citz to Elect Alvin Parks Jr	19743	\$16275	Viol of Bd Order
Il Affordable Housing Institute PAC	21145	\$4000	A1
Citz for Sangamon County Schools **	23002	\$20500	None

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

STATE BOARD OF ELECTIONS

**1020 South Spring Street
Springfield, Illinois 62704
217/782-4141**

Sharon Steward

Director, Campaign Disclosure Division

To: Rupert T. Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalties - Informational

Date: May 11, 2011

The following committees have made payment of outstanding civil penalties for the period of 4/6/11 – 5/11/11

Ed Bradley -- \$650
Citizens for Sangamon County Schools -- \$1345.63
National Unity PAC at Polish American Congress -- \$125
Committee to Elect Rich Morthland -- \$30
Committee to Elect Joseph Berrios Assessor -- \$70
Citizens for Mark Walker -- \$2500
Taxpayers for Quinn -- \$6,200
Citizens to Elect Brian McKillip Circuit Court Judge -- \$50
Friends of Stella Black -- \$350
Citizens for Judge Jim Epstein -- \$50
Citizens for Dupar -- \$1350
Cook County Democratic Women -- \$550
Brady PAC – Illinois -- \$3950
Citizens for Sig -- \$3525
Friends for State Rep Anthony DeLuca -- \$750
Friends of Dan Hynes -- \$1011

Total Amount Paid for this Period- \$22,506.63

Year to Date Totals:

1/8/2011 – 2/10/11: \$8511
2/11/2011 – 3/10/2011: \$7,592
3/10/11 – 4/6/11: \$3,100
4/7/11 – 5/11/11: \$22,506.63

Payment Plan update

No committees are currently under payment plans.

TITLE 26: ELECTIONS
CHAPTER 1: STATE BOARD OF ELECTIONS

PART 218
PROCEDURES FOR INTERNET VOTERS' GUIDE

Section

218.10 Definitions

218.20 Candidate Statement Deadlines

AUTHORITY: Implementing Section 12A of the Election Code [10 ILCS 5/12A]

SOURCE:

Section 218.10

Definitions

a) Contact

- 1) Reference: This Part interprets or applies Section 12A-35 of the Election Code
- 2) "Contact" as that term is defined in Section 12A-35 of the Election Code shall include, but not be limited to the following means of communication: telephone, electronic mail, facsimile machine and/or United States Postal Service.

Section 218.20

Candidate Statement Deadlines

- a) The Board shall publish, no later than the 45th day before a General Election in which a statewide candidate appears on the ballot, an Internet Voters' Guide.
- b) Notification shall go out to all candidates, certified by the State Board of Elections, to appear on the General Election ballot, that an Internet Voters' Guide will be published.
- c) Notification shall be by telephone, electronic mail, facsimile machine and/or United States Postal Service.
- d) Notification shall be given (or sent) not later than five business days after certification.
- e) The Board must receive all statements and photographs no later than the 50th day before the General Election.
- f) No statements or photographs will be received or accepted for inclusion in the Voters' Guide after 5:00 pm on the 50th day before the General Election

STATE BOARD OF ELECTIONS

MEMORANDUM

*From the desk of:
Rupert T. Borgsmiller, Executive Director*

TO: Members of the SBE Advisory Committee

SUBJECT: Meeting

DATE: May 4, 2011

Attached you will find an agenda for the meeting of the SBE Advisory Committee on Monday, May 16, 2011 scheduled to begin at 9:30 a.m. in our Springfield office. We will have a video connection with our Chicago office if anyone would like to attend in Chicago.

In addition to the agenda items listed feel free to bring anything else to the table, and hopefully we will have time to discuss any and all items of concern to you during the course of the meeting.

FYI - The regular monthly Board meeting has been rescheduled for Monday, May 23, 2011

We look forward to seeing you on the 16th.

Attachments

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY:217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

**ADVISORY COMMITTEE
AGENDA**

Monday, May 16, 2011

**1020 S. Spring Street
Springfield, Illinois**

9:30 a.m.

- 1. Post Election surveys – Pilot Program.**
- 2. Legislation.**
- 3. Statewide database update.**
- 4. Census data – registered voters vs voting age population.**
- 5. Advisory Committee reformation – July 2011.**
- 6. Other business.**
- 7. Next meeting of newly constituted Advisory Committee – Tuesday, September 20, 2011.**

INTEROFFICE MEMORANDUM

To: Rupert Borgsmiller, Executive Director
From: Dianne Felts, Director of Voting Systems and Standards (VOSS)
Subject: Survey results of 2 a.m. late reporting precincts (Consolidated Election)
Date: April 20, 2011

Only one election jurisdiction, the Chicago Board of Election Commissioners, had precincts that were not reporting by 2 a.m. the following morning of the election. Of its 730 precincts that were open for this election, only three did not report election night. They were Precinct 58 of Ward 17, Precinct 5 of Ward 20 and Precinct 18 of Ward 43. All three of the precincts could not be read because of a check-sum error in the Insight's (optical scan) memory pack. This can occur when the pack is removed prior to the scanner being turned off. These three precincts were not late in reporting for the previous February election.

Attached is Chicago BEC's survey.

INTEROFFICE MEMORANDUM

To: Election Authorities

From: Dianne Felts, Director of Voting Systems and Standards (VOSS), Illinois State Board of Elections

Subject: Consolidated Election Night Results

Date: April 7, 2011 (With April 20 response below)

My Board has directed me to request information from you regarding election night returns. They would like to know how many precincts were not showing results for your jurisdiction by 2 a.m. the morning after the election and they would also like to know what the reasons were for the late reporting of each precinct. The Board's goal is to find a remedy for this situation. Please complete the following short survey. If you had all precincts in and reporting by 2 a.m. put a "0" on the line. If your jurisdiction had a precinct(s) not reporting by 2 a.m., indicate the number that did not report by that hour. Also, specify the reasons for each precinct's delay. You may use extra sheets if necessary to explain the reasons for each precinct's lateness. Please return to me by April 13, 2011. As always thank you for your cooperation.

Jurisdiction

Board of Election Commissioners for the City of Chicago

Number of Precincts in your jurisdiction not reported by 2 a.m.

Of 730 precincts in the April 5 election, 3 precincts did not report on Election Night.

Reason(s) for the delay of any precinct not reported by 2 a.m.

A check-sum error in the Insight (paper ballot scanner) memory pack can occur if the memory pack is removed before the Insight is powered down. In each of the three precincts (Precinct 58 of Ward 17; Precinct 5 of Ward 20; and, Precinct 18 of Ward 43), there was a check-sum error in the memory pack. This error made the memory pack unreadable.

The Board maintained a clear chain of custody of the paper ballots in each of the three precincts. After alerting the campaigns in each of these three wards, the Board invited the three affected campaigns and the news media to the Board warehouse, where the Board broke the seals and ran the ballots to create new and functional memory packs at 1 p.m. on April 6. The results were reported immediately to the campaigns which had representatives on hand, as well as reported on the Board's web site.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Rupert T. Borgsmiller, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Delegate selection for the 2012 Democratic National Convention

DATE: May 13, 2011

Attached please find an excerpt from State Journal Register regarding the approval of the selection plan for delegates to the 2012 Democratic National Convention in Charlotte, North Carolina. Also attached is a memo from Ken Menzel outlining potential issues that could impact all election authorities in the upcoming 2012 General Primary Election.

Attachment

SJR - Bernard Schoenburg

Posted May 12, 2011 @ 12:07 AM

Dems looking to 2012

Just to remind us that the election cycle never stops, the Democratic State Central Committee met Monday at the State House Inn in Springfield to approve a selection plan for delegates to the 2012 Democratic National Convention in Charlotte, N.C.

Helping to make things complicated, party legal counsel MIKE KASPER told the group, is that the Democratic National Committee has said that elected delegates will be chosen in the spring primary by voters in Illinois' 19 existing congressional districts, even though candidates will be running for nominations to the U.S. House in what will be 18 newly drawn congressional districts following the 2010 Census. Kasper said the national committee wanted to avoid any problem with some states redistricting too late for the delegate selection process to go smoothly.

Illinois will send 214 delegates, up from 185 at the 2008 convention. That will include 123 elected at the district level.

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY:217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

MEMORANDUM

Confidential/Attorney-Client Privilege

To: Rupert T. Borgsmiller, Executive Director
Steve Sandvoss, General Counsel
Mark Mossman, Director of Election Information

From: Kenneth R. Menzel, Legal Counsel to Election Information

Re: Use of current Illinois Congressional District Map for the Purpose of Electing Delegates and Alternate Delegates to the 2012 Democratic National Convention

Date: May 9, 2011

I have recently been advised by our contact person with the Illinois Democratic Party that the Democratic National Committee's staff¹ overseeing delegate selection plans has directed the state party to use the current 19 District Congressional Map for purposes of electing delegates² to the 2012 Democratic National Convention. The new 18 District Congressional Map will, of course, be used for the purposes of nominating and electing the new US Representatives in 2012.

The use of two different maps for electing delegates and nominating congressional candidates is a departure from past practices in Illinois.³

This direction from DNC staff, if ultimately implemented, will give rise to several somewhat problematic issues (as outlined herein below). In order to avoid those issues to the extent possible, I would recommend as a first step that the SBE contact the DNC directly to seek confirmation as to whether this is indeed the policy of the DNC for the 2012 elections (or whether the direction to use the current 19 Congressional District map might be for the purpose

¹ This direction comes from a young, front line DNC staffer, with no indication that it was generated by DNC legal counsel.

² This direction also applies to alternate delegates. For simplicity, discussion and references to election of delegates throughout this memo also includes the election of alternate delegates.

³ 1992 was the most recent Presidential election year which followed so closely after a Census, but the same map was used for both US Representatives and delegates that year.

of permitting state parties to make a timely submissions of their delegate selection plans,⁴ with the intention of later amending the delegate selection plans upon approval of the new Congressional Maps).⁵

If the DNC confirms the intension to use a different Congressional Map for electing delegates than that which is used for nominating and electing US Representative candidates, some significant problems will need to be dealt with.

First and foremost, there is the issue of whether such a scenario is compliant with current Illinois election law. Some sections of the Election Code dealing with the election of US Representatives and political party convention delegates are phrased in such a way as to at least imply that the two offices would be nominated/elected so as to represent the same congressional district territories (see e.g. Section 7-10(b) and 7-14.1).⁶ It would be prudent to seek an Illinois Attorney General opinion as to whether the two map scenario complies with current Illinois law, or whether we would need to raise the issue with our Illinois legislative contacts with an eye toward undertaking any needed amendments to the Illinois Election Code so as to effectuate the two map scenario. Given where we are in the legislative cycle, this would be best done as soon as practicable.

In addition, it would entail a significant amount of work and cost to the various Illinois election authorities (and their vendors) for voter coding and programming an office having its own unique boundaries (as opposed to sharing the boundaries from which U.S Representatives will be nominated), as well as extra printing costs and burdens for additional ballots styles where the boundaries of the new and old maps do not coincide. Depending on how the new map turns out, this might not impact some of the very low population counties in Illinois. However, it would have a significant and expensive impact on those counties representing the greater majority of the state's population. We could expect some of the election authorities to have difficulty properly implementing a two map scenario for these two nominally congressional district based offices (on top of scrambling to implement the new maps for state senate, state house and county board districts). If the General Primary is going to be conducted using the two map scenario, the election authorities (and their vendors) should be notified as early as practicable that they will have to retain the old Congressional Map coding as well as implementing all of the new maps for Congress, the General Assembly and county boards.⁷

Another potential problem arises from the party's rule that delegate candidates must file their nomination papers in the same calendar year as the election. This forces their filing period into

⁴ The DNC rules required submission of a state delegate selection plan, for the DNC's review and approval, by May 1, 2011. The new 18 District Congressional Map was not ready prior to that submission deadline. SBE staff provided the Illinois Democratic Party with the requested delegate allocation data, based upon the current 19 District Congressional Map, so as to permit the party to make a timely submission of the state's draft delegate selection plan.

⁵ 18 states will have a change in the number of US Representatives for 2012 (10 decreases and 8 increases) and many states not have yet approved their new Congressional Maps, so this will be a somewhat widespread national issue for the DNC.

⁶ Section 1A-8(14) of the Election Code gives the SBE the authority to take such action as may be necessary to give effect to directions of the national or state party committees under Sections 7-8, 7-11 or 7-14.1 relating to delegate selection and certification of presidential candidates, but those sections do not clearly give the party's the power to specify the use of different Congressional Maps for purposes of electing delegates.

⁷ With the budget problems that the counties are facing, it is conceivable that one or more counties might challenge the validity of electing delegates under the old map, and/or might lobby against any legislative amendment to provide for same.

January, and absentee ballots for military personnel have to be mailed by February 4, 2012. This gives very little time for the election authorities to prepare, proof and print ballots following the filing period.⁸ With the filing period at the beginning of January, objections would not be due until mid-January, leaving only a couple of weeks to get through the electoral board process and any judicial review (at the circuit, appellate and potentially supreme court levels) before the absentee process commences. Traditionally, there have not been objections to delegate filings, but this may not hold true for this election.⁹ A delegate plan using the old map might offer a basis for objection that would not be easy to summarily handle at either the electoral board or court stages, and given the nearness to the General Primary that this would all be taking place, the negative impacts of any delay would be magnified.

As a final matter, a two map scenario would engender some degree of voter confusion. By way of example, a particular Democratic primary voter might get a ballot that has him or her voting for a congressional candidate to represent the new map's 5th Congressional District but would be voting for delegates from the old map's 9th Congressional District. The SBE would have to be prepared to expend some effort in voter education. However, we would have to anticipate that only the more sophisticated of voters will end up appropriately informed in this regard, while a substantial portion of the voters would find this confusing even with a well crafted voter education effort.

In sum, I would recommend that the SBE contact the DNC directly to seek confirmation as to whether it is indeed their intention to elect delegates under a different Congressional Map than will be used for nominating US Representatives. If it should turn out that this is indeed the case, then I would further recommend that the SBE request an Attorney General opinion as to whether such a scenario is compliant with current Illinois election law.



Kenneth R. Menzel
Legal Counsel

⁸ The federal court consent decree resulting from last year's problems with military ballot mailings extends through the 2012 elections, such that the DOJ will still be actively scrutinizing the timely mailing of military ballots for this election.

⁹ Given our experiences in 2008 and 2010, one could certainly conceive of objections coming from one or more of the so-called "Birther" or "Tea Party" groups in the 2012 elections.

STATE BOARD OF ELECTIONS



From the desk of... Mark Mossman, Director of Election Information
Phone: 217-557-0855
Email: mmossman@elections.il.gov

To: Rupert T. Borgsmiller, Executive Director
Re: Electronic Canvass (eCanvass) Project
Date: May 12, 2011

We have completed the analysis of the Data Collection Grant to determine what parts of that project can be leveraged over as a foundation for developing the electronic canvass (eCanvass). This assessment revealed that there are numerous gaps between the grant project data and the data that will be needed to satisfy the needs of the eCanvass.

We are continuing our analysis with the various vendors to ascertain the data elements that are currently stored by the individual tabulation systems and software applications (and how they are stored) so as to determine the extent to which changes and modifications by vendors will be necessary to enable a viable eCanvass system.

We are in the process of identifying those jurisdictions which we would like to participate in the initial phase of the eCanvass project. Jurisdictions willing to take part in this project will be selected based upon several criteria including (but not limited to) the type of tabulating equipment used, vendor support, availability of technical resources, and geographical location. Similar factors went into selection of the seven jurisdictions that were pilot sites for the Data Collection Grant, and we will be asking most of them to participate in the initial phase of the eCanvass project as well.

Catalyst Consulting Group, Inc. will be assisting with the technical requirements and the architectural design of the eCanvass system, which will be integrated into the existing Illinois Voter Registration System.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Rupert T. Borgsmiller, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: General Accounting Office Weekend Voting Proposal

DATE: May 13, 2011

On May 10th several members of our staff met with the GAO to answer questions regarding the idea of moving the November General Election to a weekend. The GAO has been tasked by Congress to prepare this report. For your convenience I have included the email from the GAO outlining what would be discussed and the general outline that the GAO would be following during the interview. While preparing for the discussion several staff members prepared material that we submitted to the GAO in advance of the discussion. The interview lasted over two hours and I feel that we answered all of their questions.

In summary staff felt that three main issues would have to be resolved before weekend elections could take place:

- Security and integrity for the entire process
- The cost for the additional day and additional staff that would be needed to conduct the election over a weekend
- Availability of polling places

The SBE was represented by Mark Mossman, Jane Gasperin, Eric Donnewald, Kyle Thomas, Mike Roate, Becky Glazier, Cris Cray and myself. The GAO had four employees that participated as well.

I have included for your review various materials that were prepared by staff for this discussion.

Attachments

Borgsmiller, Rupert

From: Diosomito, Josh A [DiosomitoJ@gao.gov]
Sent: Thursday, April 28, 2011 5:39 PM
To: Borgsmiller, Rupert
Cc: Weiss, Lori A; Paquette, Hugh; Tessin, Jeff M
Subject: GAO Review of Weekend Voting Implementation Issues
Attachments: GAO Discussion Topics and Information Requests - IL Elections Division.doc

Good afternoon Rupert,

Thanks again for your time yesterday and for agreeing to participate in an interview regarding GAO's study of weekend voting implementation issues. Per our discussion, we're confirmed to meet **Tuesday afternoon, May 10, at 1:00-3:00 pm (CST)**.

As we discussed, the U.S. Government Accountability Office (GAO) is conducting a study of weekend voting in response to House Report 111-202, which directed GAO to study and report on the costs and benefits of implementing weekend voting, including the anticipated costs, effects on polling places, and estimated increase in voter turnout. A key component of our study will be identifying issues that state and local elections officials would need to consider in conducting federal elections if they were to be moved to a Saturday and Sunday. To this end, we plan to conduct in-depth telephone interviews with selected states and selected local jurisdictions within those states. Illinois is one of those states.

During the state-level interview with Illinois, we plan to ask some limited questions on how elections and early voting are conducted. We will also ask a series of questions to solicit your views on how, if at all, each stage of the election process in your state would be affected if general elections (i.e., Congressional and Presidential general elections) were moved to the weekend—specifically, from the first Tuesday after the first Monday in November to the first Saturday and Sunday after the first Friday in November. Or, in other words, what issues would you expect you would need to consider if Election Day were changed to the weekend? For the sake of our discussion, please assume that over the course of those 2 days, polling places would be required to be open for a total of at least 24 hours. To help facilitate the interview, we are providing for your review a summary of the issues we plan to ask about as well as list of our information requests. We recognize that you might not be able to address every issue or respond to every request for information. (See attachment.)

To help us prepare for the interview, it would be helpful in advance to (1) know some information about the extent of the voter turnout data that the state maintains, or can access, for early voting periods in Illinois and (2) obtain some election cost information for the Nov. 2010 general election.

With respect to early voter turnout data, can you or your staff tell us:

- (1) For how many federal election cycles has Illinois conducted early voting where a Saturday or Sunday was included in the early voting period?
- (2) For which election years do you have voter turnout data, by day.
- (3) Are the daily turnout data linked to individual voters, or are they aggregated--and at what level (e.g., precinct, county, or state)?

With respect to the election cost information you maintain, prior to the interview, can you send us cost data for the 2010 general election in some type of summary format (e.g., summarized by categories such as poll workers, election staff, polling place rental fees, voting equipment, security, etc.)? I understand that the data might also include special election costs. Nevertheless, any election cost information you can send us up front will help serve as a starting point for the cost-related questions we plan to pose during our interview.

We have arranged for a toll-free conference line (877-952-9037, passcode 8476464). In the meantime, if you have any questions on the discussion topics or our information requests, please don't hesitate to contact me (at the information below) or my colleague, Hugh Paquette (202-512-6102, PaquetteH@gao.gov).

Thanks in advance and I look forward to hearing from you soon.

JD

Josh A. Diosomito

Senior Policy Analyst

Homeland Security & Justice Team

U.S. Government Accountability Office (GAO)

Los Angeles Field Office

213.830.1046 | DiosomitoJ@gao.gov



Borgsmiller, Rupert

From: Diosomito, Josh A [DiosomitoJ@gao.gov]
Sent: Tuesday, May 10, 2011 3:49 PM
To: Borgsmiller, Rupert
Subject: GAO follow-up: List of participants

Rupert,

Thank you (and your colleagues) again for taking time to meet earlier today to discuss issues associated with implementing weekend voting. As I mentioned before, should we have any specific follow-up questions (e.g., regarding early voter turnout, election cost information, etc.), we'll let you and your colleagues know.

Below is a list of GAO staff who participated during the teleconference meeting:

Lori Weiss, Analyst-in-Charge, GAO Los Angeles, 213.830.1066, WeissL@gao.gov
Josh Diosomito, Senior Analyst, GAO Los Angeles, 213.830.1046, DiosomitoJ@gao.gov
Hugh Paquette, Senior Analyst, GAO Washington, D.C., 202.512.6102, PaquetteH@gao.gov
Jeff Tessin, Statistician, GAO Washington, D.C., 202.512.4232, TessinJ@gao.gov

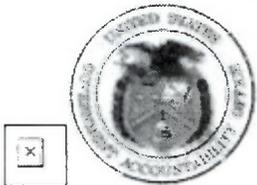
At your convenience, please do pass along the appropriate contact information of IL State Board of Elections staff who participated as well. Thanks again for your assistance.

Regards,

JD

Josh A. Diosomito
Senior Policy Analyst

Homeland Security & Justice Team
U.S. Government Accountability Office (GAO)
Los Angeles Field Office
213.830.1046 | DiosomitoJ@gao.gov



GAO Review of Weekend Voting Implementation Issues

Discussion Topics for Illinois State Board of Elections

- Instances that you're aware of in your state (other than early voting) where elections have been held on a weekend, such as the 14th Congressional District's special election held on Saturday, March 8, 2008, to replace Dennis Hastert
- Illinois' experience with in-person early voting, including:
 - When early voting was first implemented in your state
 - Whether Saturdays or Sundays were included for the 2010 and previous general elections
 - Whether early voting days and hours are consistent across the state
 - Challenges, if any, associated with including Saturday(s) or Sunday(s)
 - Early voter turnout, including how weekends compared to weekdays
 - Views on whether changing Election Day to a weekend would affect how you implement early voting in your state and whether this would affect early voting costs.
- Whether your state constitution, laws, regulations, or policy guidance would need to be changed for weekend elections to take place.
- How, if at all, you think changing Election Day to a weekend would affect your state and, in some cases, your office with respect to the each of following stages or aspects of an election. Also, please consider whether, and how, you think costs would be affected in each area:
 - Voter registration
 - Absentee voting
 - Early voting
 - Voter information campaigns/efforts
 - Election Day activities:
 - Polling hours
 - Poll workers
 - State election staff
 - Temporary workers (in state office)
 - Polling locations

- Polling place security (e.g., securing ballots or voting equipment over night)
 - Provisional voting
 - Counting or reconciling votes
 - Technical support for computers or voting equipment
- Costs or benefits of your state having Election Day as a state holiday that might be impacted by moving elections to a weekend.
 - Views on the effect that moving Election Day from Tuesday to a Saturday and Sunday would have on overall voter turnout in your state.

Information Requests (to the extent your office maintains or can provide information)

- Number of poll workers worked on Election Day throughout the state for the 2010 general election.
- Number of state election staff.
- Number of temporary workers hired at the state level for last November's general election, if any.
- Number of polling places in your state on the last Election Day (Nov. 2, 2010), and the type of buildings or facilities most commonly used.
- Statewide (and by county, if available) cost summary information for the 2010 general election, including information on cost categories, what is included, and how the information was obtained. **(If you maintain such data, please send it prior to the interview.)**
- Expenditures, if available, for (1) poll workers throughout your state; (2) state election staff; and (3) temporary staff, if your office employs them. Also, for each of these three groups, consider the following questions:
 - What was spent for the November 2010 general election and what did those costs include?

- What do you estimate would be spent if elections were held on Saturday and Sunday and polling places were open a total of 24 hours over those 2 days?
 - What specific costs does your estimate include (e.g., additional poll worker hours, overtime pay, or additional training costs)?
 - How did you arrive at your estimate?
- State expenditures for the 2010 general election for voter information efforts (regarding polling locations and hours, etc.), if available, and what those costs included.
 - What do you estimate you would spend on such efforts if general elections were held on Saturday and Sunday instead of Tuesday, and how did you arrive at your estimate?
- **If you maintain statewide daily voter turnout data for general election early voting period(s), it would be helpful to have someone present at the interview who can address questions such as:**
 - For which general election years do you have daily early voting turnout data?
 - Does your state collect electronic turnout data on individual voters or at more aggregate levels, such as precincts or voting districts?
 - How do you collect and/or receive turnout data from local jurisdictions, and what efforts, if any, do you take to check the quality of the data entered?
 - Do you maintain voter registration files at the state level and, if so, what supplemental demographic data does it contain on voters (e.g., birth date, voting history)?

State Board of Elections

Estimated Current Election Costs and Added Costs of Weekend Voting

Estimates based on November 2010 General Election

State – Current Costs:	Amount
Election Day Judge subsidy (11,600 precincts x 5 judges/precinct x \$45 per judge)	\$2,610,000
Early Voting Assistance (formula-based assistance for Early Voting costs)	1,300,000
County Clerk Stipends (for additional duties related to elections administration)	806,000
Estimated Staff/Administrative Costs <u>directly related to November 10 election</u>	<u>325,000</u>
Estimated Current Costs – State	\$5,041,000
State – Added Costs of Weekend Voting:	
Election Day Judge subsidy – additional full day of voting @ \$45 per judge	\$2,610,000
2 days of full staff overtime to keep offices open during election days (w/fringes)	75,000
Estimated additional SBE overhead (utilities, commodities, IT support phone, extra travel)	<u>30,000</u>
Estimated Added Costs – State	\$2,715,000
Total Estimated State Costs for Weekend Elections	\$7,756,000

Local Jurisdictions – Current Costs:	Amount
Estimated Cost per Precinct – specific data not available, but unofficial information from local jurisdictions indicates that average cost per precinct runs approximately \$3,000 per precinct:	
11,600 precincts x \$3,000 estimated cost per precinct	<u>\$34,800,000</u>
Estimated Current Costs – Local Jurisdictions	\$34,800,000
Local Jurisdictions – Added Costs of Weekend Voting:	
Additional -Election Day Judge compensation – additional full day of voting at 11,600 precincts @ average of \$135 per judge plus \$60 training pay less State reimbursement of \$45 per day (@ 5 judges per precinct)	\$8,700,000
2 days of full staff overtime to keep local elections offices open during election days – all counties in Illinois (w/fringes – assume \$155 per add'l staff member per day x 110 election jurisdictions x 10 staff per county)	341,000
Overnight security for precincts, ballots & voting equipment (11,600 precincts x 2 security guards per precinct x 12 hours for each guard @ \$25 per hour billed (includes fringes)	6,960,000
Estimated additional local overhead (utilities, commodities, phone, extra travel & mileage reimbursements) – assume \$75 per precinct	<u>870,000</u>
Estimated Added Costs – Local Jurisdictions	\$16,871,000
Total Estimated Local Jurisdiction Costs for Weekend Elections	\$51,671,000

Estimated Current Election Costs	\$39,841,000
Estimated Additional Election Costs – Weekend Voting	19,586,000
Total Estimated Election Costs with Weekend Voting	\$59,427,000

No significant added costs (over current election spending) anticipated for the State in the following areas:

- Voter registration
- Absentee voting
- Early Voting
- Voter information campaigns/efforts

Local government cost effects not known in this area, but are not expected to be significant compared with the other cost increases noted above.

County/Local Election Jurisdiction	Total # of Early Voting Ballots Cast	Total Early Voting Ballots Counted	Total # of Early Voting Locations	Total # of Early Voting Ballots Cast	Total Early Voting Ballots Counted	Total # of Early Voting Locations	Total # of Early Voting Ballots Cast	Total Early Voting Ballots Counted	Total # of Early Voting Locations
	2006			2008			2010		
Adams	1265	1265	1	3478	3478	1	2,143	2,143	1
Alexander			1	205	205	1	219	219	1
Bond	280	280	1	997	997	1	475	475	1
Boone	81	81	1	1831	1831	1	842	842	1
Brown	138	138	0	272	272	1	161	161	1
Bureau	331	331	1	1252	1251	1	719	719	1
Calhoun	22	22	1	174	174	1	182	182	1
Carroll	234	234	1	781	781	1	273	273	1
Cass	340	340	2	464	464	1	331	331	1
Champaign	2322	2322	1	6968	6968	1	6393	6393	1
Christian	361	361	1	942	939	1	760	760	1
Clark	141	141	1	2208	2208	1	621	621	1
Clay	113	113	1	304	304	1	140	140	1
Clinton	215	215	1	883	883	1	339	339	1
Coles	526	526	2	2494	2493	1	1707	1707	1
Cook	32908	32905	32	226036	226036	6	86086	86067	43
Crawford	283	283	1	849	849	1	400	400	1
Cumberland	62	62	1	186	186	1	147	147	1
DeKalb	634	634	1	6869	6702	1	1995	1994	1
Dewitt	218	218	1	433	433	1	229	229	1
Douglas	68	68	1	304	304	1	328	328	1
DuPage	22407	22407	18	96369	96369	1	32285	32285	1
Edgar	386	386	1	883	883	1	817	817	1
Edwards	73	73	1	215	215	1	122	122	1
Effingham	413	413	1	908	908	1	585	585	1
Fayette	469	469	1	1773	1773	1	415	415	1
Ford	88	88	1	179	179	1	116	116	1
Franklin	305	305	1	2164	2143	1	558	557	1
Fulton	324	324	1	831	831	1	325	325	1
Gallatin	120	120	1	217	217	1	159	159	1
Greene	253	253	1	462	462	1	309	309	1
Grundy	681	681	3	2672	2672	1	1574	1574	1
Hamilton	didn't	track	1	504	504	1	415	415	1
Hancock	157	157	1	802	802	1	186	186	1
Hardin	127	127	1	228	228	1	438	434	1
Henderson	100	100	1	205	205	1	209	209	1
Henry	291	291	1	1092	1092	1	576	576	1
Iroquois	56	56	1	333	333	1	266	266	1
Jackson	568	568	2	2440	2440	1	2025	2025	1
Jasper	217	217	1	497	497	1	335	335	1
Jefferson	453	453	1	1298	1298	1	593	593	1

County/Local Election Jurisdiction	Total # of Early Voting Ballots Cast	Total Early Voting Ballots Counted	Total # of Early Voting Locations	Total # of Early Voting Ballots Cast	Total Early Voting Ballots Counted	Total # of Early Voting Locations	Total # of Early Voting Ballots Cast	Total Early Voting Ballots Counted	Total # of Early Voting Locations
	2006			2008			2010		
Jersey	518	518	1	1406	1406	1	766	766	1
JoDaviess	645	645	1	1091	1091	1	450	450	1
Johnson	184	184	1	776	776	1	838	838	1
Kane	7157	7157	43	47867	47867	1	19314	19314	1
Kankakee	876	876	1	4263	4263	1	1941	1941	1
Kendall	949	949	1	4569	4569	1	2383	2383	1
Knox	116	116	1	715	715	1	399	399	1
Lake	18438	18438	23	83828	83828	1	34871	34871	0
LaSalle	804	804	1	2865	2865	1	1132	1132	1
Lawrence	143	142	1	148	148	1	158	158	1
Lee	503	503	1	2139	2139	1	781	781	1
Livingston	249	249	1	956	956	1	631	631	1
Logan	262	262	1	1674	1674	1	390	390	1
Macon	1337	1337	1	4920	4920	1	2504	2504	1
Macoupin	376	376	3	121	120	1	679	679	1
Madison	5727	5727	15	37207	37207	1	11186	11186	1
Marion	345	345	1	941	941	1	342	342	2
Marshall	81	81	1	340	340	1	216	216	1
Mason	351	351	1	401	401	1	260	260	1
Massac	446	446	1	577	577	1	268	268	1
McDonough	665	665	1	2348	2346	1	804	803	1
McHenry	3677	3674	5	23430	23422	1	8862	8862	1
McLean	781	781	4	5371	5371	1	1550	1550	1
Menard	228	228	1	540	540	1	512	512	1
Mercer	71	71	1	334	334	1	231	231	1
Monroe	339	339	1	2125	2125	1	752	752	1
Montgomery	650	648	1	1218	1218	1	606	606	1
Morgan	621	621	1	1104	1104	1	617	617	1
Moultrie	166	166	1	422	422	1	284	284	1
Ogle	325	325	1	2071	2071	1	817	817	1
Peoria (Count	1725	1725	11	11454	11454	1	2928	2923	1
Perry	389	389	2	943	943	1	540	540	1
Piatt	75	75	1	566	566	1	632	632	1
Pike	195	195	1	489	488	1	452	452	1
Pope	132	132	1	282	282	1	245	245	1
Pulaski	50	50	1	138	138	1	14	14	1
Putnam	17	17	1	92	92	1	50	50	1
Randolph	315	315	1	907	907	1	375	375	1
Richland	58	58	1	469	469	1	582	568	1
Rock Island	4697	4697	1	12047	12047	1	5664	5664	1

County/Local Election Jurisdiction	Total # of Early Voting Ballots Cast	Total Early Voting Ballots Counted	Total # of Early Voting Locations	Total # of Early Voting Ballots Cast	Total Early Voting Ballots Counted	Total # of Early Voting Locations	Total # of Early Voting Ballots Cast	Total Early Voting Ballots Counted	Total # of Early Voting Locations
	2006			2008			2010		
Saline	632	632	1	1386	1386	1	718	718	1
Sangamon	3378	3378	1	7007	7007	1	3537	3537	1
Schuyler	1106	1106	1	655	655	1	437	437	1
Scott	58	58	1	125	125	1	89	89	1
Shelby	201	201	1	541	541	1	257	257	1
Stark	not	supplied							
St. Clair	670	670	1	12748	12748	1	3693	3693	1
Stephenson	350	350	1	2328	2328	1	803	803	1
Tazewell	566	566	1	3502	3502	1	775	775	1
Union	146	146	1	598	598	1	342	342	1
Vermilion	373	373	1	887	887	1	539	539	1
Wabash	89	89	1	392	392	1	110	110	1
Warren	199	199	1	733	733	1	431	431	1
Washington	46	46	1	326	326	1	202	202	1
Wayne	620	620	1	487	487	1	478	478	1
White	270	270	1	673	673	1	264	264	1
Whiteside	532	532	2	2110	2110	1	858	858	1
Will	4675	4675	24	35311	35309	31	12798	12798	30
Williamson	610	610	1	2944	2939	1	1505	1505	1
Winnebago	931	931	1	3608	3608	1	1370	1370	1
Woodford	97	97	1	1150	1150	1	559	559	1
Aurora	664	664	1	6132	6132	1	1883	1883	1
Bloomington	717	717	1	5731	5730	1	1587	1587	1
Chicago	24860	24860	26	260735	260735	1	85607	85607	1
Danville	624	624	1	1233	1233	1	467	467	1
East St. Louis	didn't	track	1	1473	1473	1	167	167	1
Galesburg	820	820	1	3323	3323	1	1220	1220	1
Peoria (City of	2787	2787	3	12963	12963	1	4385	4385	1
Rockford	2052	2052	1	9085	9085	1	2978	2978	1
TOTAL	170786	170777	310	1013342	1013129	144	380,883	380,838	180

In 2008 and 2010 a section was added to the survey that tracked early voting locations that were not at election offices.	2008	2010
Bureau	1	1
Carroll	4	
Cass	1	1
Champaign		1
Coles	1	2
Cook	38	37
DeKalb	1	1
DuPage	15	11
Franklin	2	2
Fulton	1	
Grundy	2	2
Jackson	1	2
Johnson		4
Kane	41	29
Kankakee		1
Kendall	1	1
Lake	18	20
Macoupin		4
Madison	15	10
McDonough	1	
McHenry	9	9
McLean	5	9
Ogle	1	1
Peoria (County)	2	11
Perry	1	1
Rock Island	2	1
Schuyler	2	2
St. Clair	6	7
Whiteside	1	1
Will		1
Winnebago	3	
Aurora	1	2
Bloomington	1	1
Chicago	50	53
Danville		4
Peoria (City of)	5	2
Rockford	3	2
Totals	235	236

Election Particulars	Voted Date	Total Early Ballots	Total Abs Early Ballots	Total Abs In Person Ballots	Total of all Ballots
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	9/23/2010	7	0	172	179
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	9/24/2010	0	0	211	211
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	9/25/2010	0	0	5	5
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	9/27/2010	3	0	223	226
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	9/28/2010	12	0	229	241
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	9/29/2010	22	0	276	298
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	9/30/2010	16	0	324	340
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/1/2010	9	1	414	424
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/2/2010	5	0	55	60
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/3/2010	5	0	0	5
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/4/2010	21	15	482	518
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/5/2010	35	15	538	588
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/6/2010	31	3	530	564
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/7/2010	10	5	624	639
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/8/2010	27	9	674	710
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/9/2010	9	0	55	64
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/10/2010	9	0	0	9
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/11/2010	4299	0	67	4366
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/12/2010	13728	363	274	14365
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/13/2010	11938	308	208	12454
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/14/2010	13821	322	165	14308
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/15/2010	16228	339	211	16778
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/16/2010	11067	60	40	11167
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/17/2010	1398	0	14	1412
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/18/2010	20076	420	204	20700
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/19/2010	20121	439	235	20795
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/20/2010	19899	441	186	20526
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/21/2010	20907	397	203	21507

Election Particulars	Voted Date	Total Early Ballots	Total Abs Early Ballots	Total Abs In Person Ballots	Total of all Ballots
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/22/2010	24504	567	265	25336
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/23/2010	19758	201	131	20090
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/24/2010	3436	0	25	3461
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/25/2010	32873	635	400	33908
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/26/2010	31984	733	352	33069
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/27/2010	41963	825	255	43043
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/28/2010	51572	865	304	52741
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/29/2010	2308	78	3387	5773
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/30/2010	1254	38	1804	3096
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	10/31/2010	121	0	18	139
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	11/1/2010	1306	41	2758	4105
Counts for the election of Nov 02, 2010 with ballots cast between 09/23/2010 and 11/02/2010	11/2/2010	5	0	5	10
Totals		364787	7120	16323	388230

Election Particulars	Voted Date	Total Early Ballots	Total Abs Early Ballots	Total Abs In Person Ballots	Total of all Ballots
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	9/25/2008	2	0	231	233
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	9/26/2008	2	0	247	249
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	9/27/2008	0	0	18	18
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	9/28/2008	0	0	1	1
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	9/29/2008	1	0	291	292
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	9/30/2008	2	0	318	320
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/1/2008	1	0	325	326
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/2/2008	1	0	359	360
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/3/2008	0	0	510	510
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/4/2008	0	0	139	139
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/6/2008	5	2	741	748
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/7/2008	5	0	746	751
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/8/2008	8	0	770	778
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/9/2008	8	0	640	648
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/10/2008	11	1	825	837
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/11/2008	1	0	176	177
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/12/2008	0	0	1	1
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/13/2008	15130	0	224	15354
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/14/2008	27613	173	390	28176
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/15/2008	25141	171	366	25678
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/16/2008	26796	193	367	27356
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/17/2008	29629	227	420	30276
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/18/2008	25976	29	215	26220
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/19/2008	4840	0	30	4870
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/20/2008	32974	251	482	33707
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/21/2008	39331	313	648	40292
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/22/2008	37819	278	219	38316
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/23/2008	38452	258	616	39326

Election Particulars	Voted Date	Total Early Ballots	Total Abs Early Ballots	Total Abs In Person Ballots	Total of all Ballots
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/24/2008	49195	487	600	50282
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/25/2008	42049	176	97	42322
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/26/2008	10654	0	20	10674
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/27/2008	56971	559	356	57886
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/28/2008	69647	590	251	70488
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/29/2008	80882	655	336	81873
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/30/2008	95421	745	773	96939
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	10/31/2008	3239	1	4639	7879
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	11/1/2008	1162	0	3432	4594
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	11/2/2008	367	0	8	375
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	11/3/2008	685	1	3037	3723
Counts for the election of Nov 04, 2008 with ballots cast between 09/25/2008 and 11/04/2008	11/4/2008	38	0	13	51
Totals		714058	5110	23877	743045

Election Particulars	Voted Date	Total Early Ballots	Total Abs Early Ballots	Total Abs In Person Ballots	Total of all Ballots
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	9/28/2006	2	0	14	16
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	9/29/2006	8	0	15	23
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/2/2006	13	0	22	35
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/3/2006	5	0	11	16
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/4/2006	18	0	32	50
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/5/2006	15	0	38	53
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/6/2006	21	0	69	90
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/7/2006	0	0	6	6
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/9/2006	0	0	3	3
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/10/2006	30	0	132	162
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/11/2006	33	0	112	145
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/12/2006	27	0	93	120
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/13/2006	29	1	170	200
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/14/2006	10	0	15	25
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/16/2006	2031	37	64	2132
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/17/2006	2193	39	112	2344
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/18/2006	2122	28	108	2258
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/19/2006	2096	28	45	2169
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/20/2006	2422	35	76	2533
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/21/2006	1615	3	5	1623
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/22/2006	129	0	0	129
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/23/2006	3069	47	59	3175
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/24/2006	3235	39	48	3322
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/25/2006	3371	31	129	3531
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/26/2006	3252	68	46	3366
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/27/2006	4150	63	76	4289
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/28/2006	3187	11	15	3213
Counts for the election of Nov 07, 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/29/2006	399	0	0	399

Election Particulars	Voted Date	Total Early Ballots	Total Abs Early Ballots	Total Abs In Person Ballots	Total of all Ballots
Counts for the election of Nov 07. 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/30/2006	4786	64	113	4963
Counts for the election of Nov 07. 2006 with ballots cast between 09/28/2006 and 11/07/2006	10/31/2006	5394	70	73	5537
Counts for the election of Nov 07. 2006 with ballots cast between 09/28/2006 and 11/07/2006	11/1/2006	5835	77	51	5963
Counts for the election of Nov 07. 2006 with ballots cast between 09/28/2006 and 11/07/2006	11/2/2006	7915	113	165	8193
Counts for the election of Nov 07. 2006 with ballots cast between 09/28/2006 and 11/07/2006	11/3/2006	281	17	1451	1749
Counts for the election of Nov 07. 2006 with ballots cast between 09/28/2006 and 11/07/2006	11/4/2006	175	33	941	1149
Counts for the election of Nov 07. 2006 with ballots cast between 09/28/2006 and 11/07/2006	11/6/2006	74	12	1232	1318
Counts for the election of Nov 07. 2006 with ballots cast between 09/28/2006 and 11/07/2006	11/7/2006	2	0	2	4
Totals		57944	816	5543	64303

STATE BOARD OF ELECTIONS



From the desk of.... Mark Mossman, Director of Election Information
Phone: 217-557-0855
Email: mmossman@elections.il.gov

To: Rupert T. Borgsmiller
Re: Trustee Election, State Employees' Retirement System (SERS)
Date: May 12, 2012

As in years past, The State Board of Elections will tabulate ballots for the State Employees' Retirement System (SERS) board member election. One active and one annuitant trustee will be elected this year.

On May 2, 2011, SERS mailed ballots to approximately 61,000 active state employees and 45,000 retired state employees. SERS rented a caller service at the U.S. Post Office for daily mail pick up by SBE staff.

The last day to accept ballots is May 31. A ballot log is updated daily reflecting the number of ballots received and is transmitted by e-mail to Dave O'Brien, Manager of Administrative Services for SERS. To date, 18,654 ballots have been received.

Ballot tabulation will commence at 8:30 A.M. June 6.

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY:217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

MEMORANDUM

TO: Chairman Bryan A. Schneider, Vice Chairman Wanda L. Rednour
Members of the Board and Executive Director Rupert Borgsmiller

From: Steve Sandvoss, General Counsel

Re: Required Review of the Minutes of Executive Sessions

Date: May 12, 2011

Pursuant to 5 ILCS 120/2.06 (d) of the Open Meetings Act, every public body is required to review the minutes of their closed meetings to determine whether or not there is a need for continuing confidentiality of such minutes. In accordance with this requirement, the Executive Director and I reviewed the minutes of the Executive Sessions of the State Board of Elections since October 19, 2010, and have concluded that the necessity of confidentiality does not exist as to such minutes, with the following exceptions:

January 18, 2011 - Shepherdson v. Citizens for Tom Morrison – Discussion of terms of the settlement agreement between the parties while the matter remained in the closed hearing stage.

March 21, 2011 – Lifsics v. Concerned citizens of the 50th Ward – Description of the allegation found to have not been filed upon justifiable grounds. Zurek v. Your Village Your Voice and Friends of Barrett Pedersen - Description of the allegation found to have not been filed upon justifiable grounds.

March 21 and March 31, 2011 - Discussion of issues related to the ongoing effort to organize the SBE staff into a bargaining unit represented by AFSCME.

Please note that the release of the minutes of the Executive Sessions for public inspection does not automatically release the verbatim recording of such Sessions. A specific authorization of the Board would be required to release such recordings.

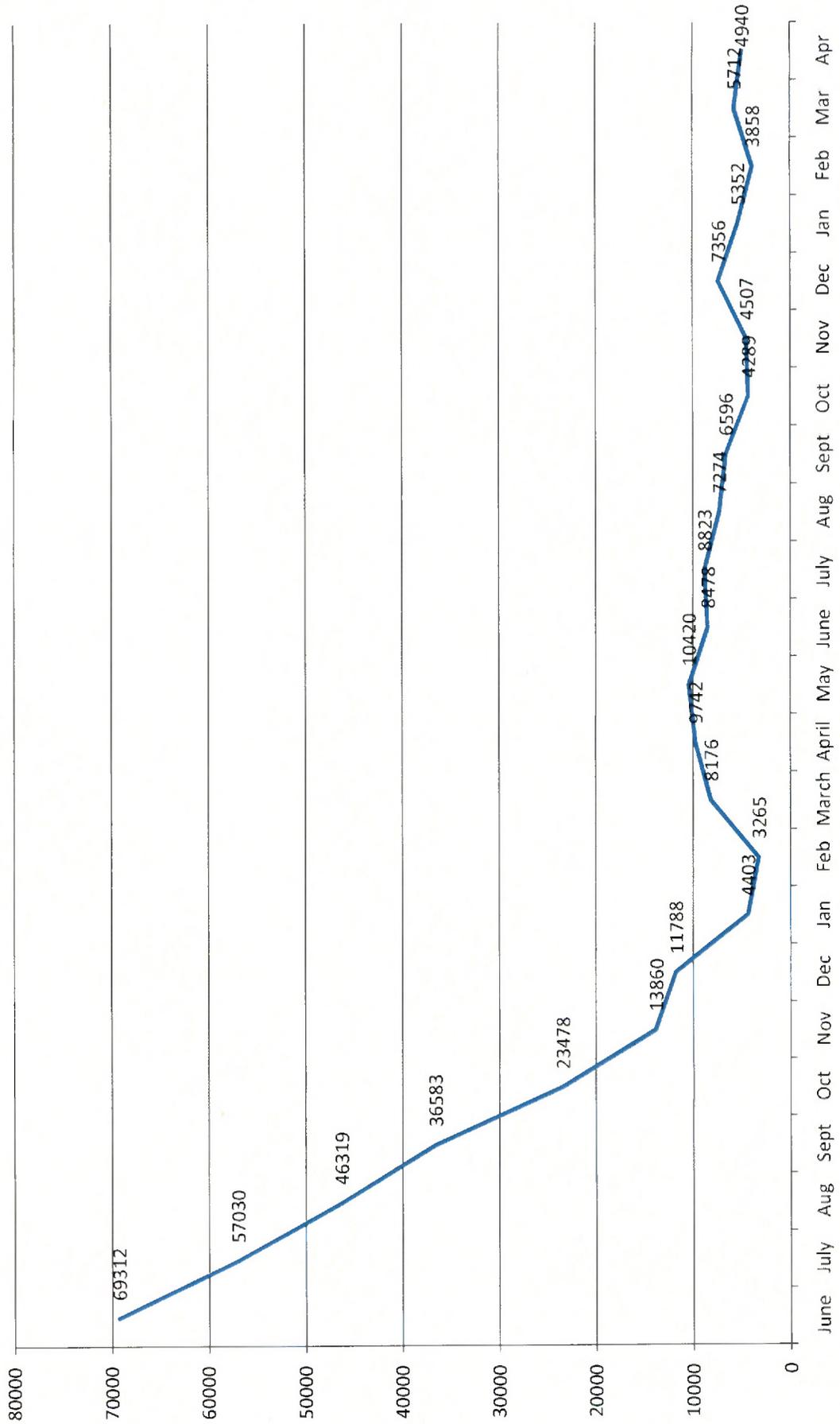
Illinois Duplicate Counts

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	Oldest Match Date
Adams County	0	0	5	4	7	7	8	5	8	7	8/9/2010
Alexander County	41	41	40	41	5	3	3	4	7	5	2/6/2009
Bond County	3	3	5	1	5	6	1	1	2	8	8/3/2009
Boone County	12	11	14	5	6	5	9	4	5	3	2/6/2009
Brown County	0	1	1	0	0	0	0	0	0	0	n/a
Bureau County	11	5	3	4	0	2	6	0	0	5	4/8/2011
Calhoun County	0	0	0	1	0	1	1	1	0	0	n/a
Carroll County	2	3	2	0	3	1	0	0	5	1	4/18/2011
Cass County	16	16	19	0	0	5	8	0	3	1	4/29/2011
Champaign County	39	24	42	13	43	129	12	10	88	110	8/3/2009
Christian County	0	0	3	13	7	13	3	3	3	2	2/6/2009
Clark County	6	6	6	6	7	8	5	5	6	6	2/6/2009
Clay County	69	79	58	60	60	62	52	52	58	65	2/6/2009
Clinton County	50	50	30	28	16	20	15	16	17	16	2/6/2009
Coles County	38	34	29	31	18	16	14	14	14	5	2/6/2009
Cook County	3,231	2,477	2,277	1,271	1,446	2,357	1,770	1,393	1,988	1,773	2/6/2009
Crawford County	48	49	43	47	17	22	19	18	11	11	2/6/2009
Cumberland County	4	4	5	8	4	1	0	0	1	0	n/a
DeKalb County	66	43	35	31	22	29	20	19	23	4	2/6/2009
DeWitt County	66	61	2	4	2	4	3	2	2	2	2/6/2009
Douglas County	1	1	1	0	1	2	404	0	1	1	3/18/2011
DuPage County	328	135	69	41	183	368	0	34	51	25	2/6/2009
Edgar County	12	12	1	1	0	0	0	0	0	0	n/a
Edwards County	3	4	2	5	2	2	2	2	2	4	2/6/2009
Effingham County	6	6	6	2	0	1	1	1	0	0	n/a
Fayette County	18	15	15	13	12	11	11	10	11	11	2/6/2009
Ford County	2	4	8	3	4	22	1	1	1	2	10/29/2010
Franklin County	69	68	73	82	65	70	60	59	62	60	2/6/2009
Fulton County	11	11	15	7	5	9	7	4	7	7	2/6/2009
Gallatin County	0	0	0	1	2	2	1	1	1	1	9/24/2010
Greene County	7	2	1	1	4	4	3	4	1	1	3/18/2011
Grundy County	19	15	19	9	30	42	25	27	52	0	n/a
Hamilton County	0	1	1	0	0	0	0	0	0	0	n/a
Hancock County	1	1	2	1	3	3	1	1	1	2	2/6/2009
Hardin County	6	4	0	0	1	1	0	0	0	1	4/8/2011
Henderson County	0	0	0	0	0	0	0	0	1	0	n/a
Henry County	27	25	22	17	17	20	17	17	19	17	2/6/2009
Iroquois County	14	20	24	28	7	15	5	5	1	2	2/6/2009
Jackson County	26	24	24	28	16	28	15	14	20	22	2/6/2009
Jasper County	5	6	7	10	5	3	4	0	0	0	n/a
Jefferson County	6	6	1	0	0	0	0	0	1	0	n/a
Jersey County	6	6	6	8	11	9	13	12	15	15	2/4/2010
JoDaviess County	0	0	0	0	1	0	0	0	0	0	n/a
Johnson County	13	11	8	7	1	2	1	1	1	0	n/a
Kane County	117	50	37	51	44	92	32	19	35	17	2/4/2010
Kankakee County	41	44	45	55	67	93	9	5	21	8	2/4/2010
Kendall County	50	23	18	9	15	12	6	4	5	0	10/8/2010
Knox County	13	15	16	18	1	5	7	7	0	1	4/29/2011
Lake County	157	118	152	47	44	214	193	18	51	23	2/6/2009
LaSalle County	106	82	17	10	10	21	14	6	22	11	9/3/2010
Lawrence County	2	2	2	2	1	2	1	0	1	0	n/a
Lee County	4	3	2	1	4	3	0	0	1	0	n/a
Livingston County	4	8	9	6	8	12	1	1	3	5	2/18/2011
Logan County	13	13	4	2	6	5	4	5	12	5	2/6/2009
Macon County	4	5	8	21	7	25	8	4	8	3	12/17/2010
Macoupin County	4	6	5	11	4	12	5	6	8	3	12/10/2010
Madison County	30	26	46	27	29	35	18	17	34	16	2/6/2009
Marion County	53	64	35	39	26	27	18	18	29	24	2/6/2009
Marshall County	2	2	1	1	1	0	3	2	0	1	4/22/2011
Mason County	1	0	0	0	1	1	0	3	1	0	n/a
Massac County	6	6	6	9	4	4	3	3	5	3	2/6/2009

Illinois Duplicate Counts

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	Oldest Match Date
McDonough County	3	3	6	6	9	9	6	2	5	2	2/6/2009
McHenry County	31	15	50	92	35	125	50	4	7	34	10/8/2010
McLean County	39	30	3	3	6	44	7	4	20	21	2/6/2009
Menard County	38	5	5	8	2	3	3	2	2	1	2/6/2009
Mercer County	139	141	142	142	146	148	150	148	149	131	2/6/2009
Monroe County	1	5	5	6	8	6	2	2	2	2	9/3/2010
Montgomery County	0	4	2	2	4	13	4	3	9	10	9/24/2010
Morgan County	19	16	16	12	3	11	4	3	6	3	12/10/2010
Moultrie County	0	0	1	0	3	0	0	0	0	0	n/a
Ogle County	1	3	0	1	2	7	10	0	1	0	n/a
Peoria County	7	4	4	0	4	19	1	2	2	2	12/30/2010
Perry County	0	3	6	5	1	4	0	0	1	0	n/a
Piatt County	6	2	2	6	1	4	2	2	1	2	2/6/2009
Pike County	7	7	7	9	9	12	10	10	9	11	3/12/2010
Pope County	5	1	1	1	1	2	0	0	1	2	3/18/2011
Pulaski County	20	20	16	20	4	4	0	0	2	2	3/18/2011
Putnam County	2	2	1	2	0	2	0	0	2	0	n/a
Randolph County	2	4	3	3	2	3	0	0	3	0	3/18/2011
Richland County	20	22	15	20	11	13	7	6	5	6	2/6/2009
Rock Island County	135	138	151	154	163	178	180	188	124	182	2/6/2009
Saline County	1	0	1	1	1	2	4	4	2	1	10/1/2010
Sangamon County	60	29	37	42	21	63	15	20	42	16	2/6/2009
Schuyler County	2	1	4	2	4	9	9	0	4	1	3/18/2011
Scott County	3	2	6	1	0	5	2	2	3	3	12/10/2010
Shelby County	1	1	1	3	1	2	0	0	0	1	4/18/2011
Stark County	0	0	0	1	0	0	0	0	0	0	n/a
St. Clair County	47	41	46	43	50	77	26	28	43	29	2/6/2009
Stephenson County	0	1	3	2	1	2	2	2	12	2	9/3/2010
Tazewell County	17	14	37	19	17	81	19	24	16	29	2/6/2009
Union County	32	35	30	33	24	33	17	17	28	29	2/6/2009
Vermilion County	6	6	6	3	3	3	3	3	4	3	8/27/2009
Wabash County	0	1	1	0	0	2	1	0	0	0	n/a
Warren County	8	9	9	3	5	7	2	0	2	1	3/18/2011
Washington County	11	13	14	16	7	7	5	4	4	4	2/6/2009
Wayne County	34	41	30	35	34	31	28	28	31	33	2/6/2009
White County	1	2	0	0	1	3	2	2	1	3	11/8/2010
Whiteside County	6	9	9	8	7	11	7	6	9	5	6/18/2010
Will County	380	265	357	340	420	578	437	412	596	586	2/6/2009
Williamson County	93	95	94	102	57	65	43	42	45	43	2/6/2009
Winnebago County	28	19	14	46	35	39	25	8	10	7	2/6/2009
Woodford County	1	1	1	0	0	4	0	0	0	0	n/a
City of Aurora	27	14	19	7	17	6	12	14	3	14	2/6/2009
City of Bloomington	9	9	9	14	11	15	5	6	6	4	2/6/2009
City of Chicago	2,620	2,449	2,056	899	1,037	1,755	1,382	985	1,714	1,346	2/6/2009
City of Danville	2	2	3	2	3	7	3	2	6	3	2/6/2009
City of East St. Louis	45	18	9	5	3	12	5	5	10	8	2/6/2009
City of Galesburg	5	8	8	7	2	6	10	4	3	3	3/29/2010
City of Peoria	6	2	13	2	4	39	2	2	23	35	2/6/2009
City of Rockford	14	11	16	20	18	17	8	4	19	5	2/6/2009
	8,823	7,274	6,596	4,289	4,507	7,356	5,352	3,858	5,712	4,940	

Statewide Duplicate Voter Registrations June 2009 - April 2011



Red indicates Increase

County	Total Voters Registered as of 5/11/2011	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	Prior % Reg. vs 18 and over	% Reg. vs. 18 and Over as of 5/11/2011
ALEXANDER	7944	8238	96.43%	6353	122.87%	125.04%
CLARK	12901	16335	78.98%	12617	102.35%	102.25%
CLINTON	26229	37762	69.46%	29180	110.22%	89.89%
HARDIN	3271	4320	75.72%	3444	111.30%	94.98%
JASPER	7450	9698	76.82%	7494	101.23%	99.41%
MASSAC	12496	15429	80.99%	11911	103.69%	104.91%
MENARD	9743	12705	76.69%	9704	100.41%	100.40%
MERCER	14210	16434	86.47%	12714	112.44%	111.77%
OGLE	41041	53497	76.72%	40253	101.79%	101.96%
PULASKI	5784	6161	93.88%	4756	125.34%	121.61%
RICHLAND	13499	16233	83.16%	12625	109.51%	106.92%
ROCK ISLAND	103392	147546	70.07%	114359	110.07%	90.41%
STARK	4750	5994	79.25%	4583	103.69%	103.64%
UNION	15060	17808	84.57%	13980	108.41%	107.73%
City of East St. Louis	22413	27006	82.99%	19098	112.21%	117.36%

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Rupert T. Borgsmiller, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Final HAVA Audit Report for period January 1, 2006 through August 31, 2010

DATE: May 12, 2011

Attached please find the final audit report issued by the U. S. Election Assistance Commission, Office of the Inspector General, for payments received under the Help America Vote Act. The auditors were in the office for approximately three weeks beginning their work on September 3, 2010. They visited seven election jurisdictions during that time as well. The audit resulted in one minor finding that some jurisdictions failed to maintain property records to conform with 41 C.F.R. 105-71.132 (d)(1). Overall I am very pleased with the outcome. Now that the final report has been issued, staff will be contacting all jurisdictions to ensure they are meeting the property records requirement.

Attachments



**U.S. ELECTION ASSISTANCE
COMMISSION
OFFICE OF INSPECTOR GENERAL**

FINAL REPORT:

**Administration of Payments Received
Under the Help America Vote Act by the
Illinois State Board of Elections**

JANUARY 1, 2006 THROUGH AUGUST 31, 2010

**Report No.
E-HP-IL-12-10
May 2011**



U.S. ELECTION ASSISTANCE COMMISSION
OFFICE OF INSPECTOR GENERAL
1201 New York Ave. NW - Suite 300
Washington, DC 20005

May 6, 2011

Memorandum

To: Thomas Wilkey
Executive Director

From: Curtis W. Crider *Curtis W. Crider*
Inspector General

Subject: Final Audit Report - Administration of Payments Received Under the Help America
Vote Act by the Illinois State Board of Elections
(Assignment Number E-HP-IL-12-10)

We contracted with the independent certified public accounting firm of Clifton Gunderson LLP (Clifton Gunderson) to audit the administration of payments received under the Help America Vote Act (HAVA) by the Illinois State Board of Elections (SBOE). The contract required that the audit be done in accordance with U.S. generally accepted government auditing standards. Clifton Gunderson is responsible for the attached auditor's report and the conclusions expressed therein.

In its audit of the SBOE, Clifton Gunderson concluded that, except for the maintenance of comprehensive property records, the SBOE generally accounted for and expended HAVA funds in accordance with the HAVA requirements and complied with the financial management requirements established by the U.S. Election Assistance Commission. The SBOE also complied with section 251 requirements.

In its February 22, 2011 response to the draft report (Appendix A-1), the SBOE agreed with the report's finding and recommendation, and provided corrective action.

On April 7, 2011, the EAC response (Appendix A-2) indicated general agreement with the report finding and recommendation, and stated that they would work with the state to ensure corrective action. We would appreciate being kept informed of the actions taken on our recommendations as we will track the status of their implementation. Please respond in writing to the finding and recommendation included in this report by July 6, 2011. Your response should include information on actions taken or planned, targeted completion dates, and titles of officials responsible for implementation.

The legislation, as amended, creating the Office of Inspector General (5 U.S.C. § App.3) requires semiannual reporting to Congress on all audit reports issued, actions taken to implement audit recommendations, and recommendations that have not been implemented. Therefore, this report will be included in our next semiannual report to Congress.

If you have any questions regarding this report, please call me at (202) 566-3125.

**PERFORMANCE AUDIT REPORT
ADMINISTRATION OF PAYMENTS RECEIVED
UNDER THE
HELP AMERICA VOTE ACT
BY THE
STATE OF ILLINOIS**

January 1, 2006 Through August 31, 2010

**UNITED STATES ELECTION
ASSISTANCE COMMISSION**

TABLE OF CONTENTS

	PAGE
EXECUTIVE SUMMARY	1
BACKGROUND	2
AUDIT OBJECTIVES	3
SCOPE AND METHODOLOGY	4
AUDIT RESULTS	4
APPENDICES	
Appendix A-1: Illinois State Board of Elections Response to Audit Results	6
Appendix A-2: U. S. Election Assistance Commission Response to Audit Results	7
Appendix B: Audit Methodology	8

**U.S. Election Assistance Commission
Performance Audit of the Administration of Payments Received Under the
Help America Vote Act by the State of Illinois**

EXECUTIVE SUMMARY

Clifton Gunderson LLP was engaged by the U.S. Election Assistance Commission (EAC or the Commission) Office of Inspector General (OIG) to conduct a performance audit of the Illinois State Board of Elections (SBOE) for the period January 1, 2006 through August 31, 2010 to determine whether the SBOE used payments authorized by Sections 101, 102, and 251 of the Help America Vote Act of 2002 (HAVA or the Act) in accordance with HAVA and applicable requirements; accurately and properly accounted for property purchased with HAVA payments and for program income, and met HAVA requirements for Section 251 funds for an election fund and for a matching contribution. In addition, we were engaged to conduct a performance audit of the election fund receipts from August 27, 2003 to December 31, 2005.

EAC OIG conducted a performance audit of SBOE's expenditures, but not the total receipts, of the HAVA program from inception through December 31, 2005, and issued a report dated October 18, 2006. We have reviewed SBOE's corrective actions with respect to the findings and recommendations included in the EAC OIG report. We found that the recommendations have been implemented.

Our audit did not include a determination that the SBOE met the requirements for maintenance of a base level of state outlays, commonly referred to as Maintenance of Expenditures (MOE). On June 28, 2010, the Commission issued a revised definitive policy on the requirements for the MOE. The policy included a provision that the states will have 12 months from the date of the revised policy to voluntarily submit a revised MOE plan to the EAC. Accordingly, our scope of audit did not include a determination of whether the SBOE and its subgrantees met the requirements for MOE.

In addition, the Commission requires states to comply with certain financial management requirements, specifically:

- Comply with the *Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments* (also known as the "Common Rule") as published in the Code of Federal Regulations 41 CFR 105-71.
- Expend payments in accordance with cost principles for establishing the allowance or disallowance of certain items of cost for federal participation issued by the Office of Management and Budget (OMB) in Circular A-87, *Cost Principles for State, Local, and Indian Tribal Governments*.
- Submit detailed annual financial reports on the use of Title I and Title II payments.

We conducted this performance audit in accordance with Generally Accepted Government Auditing Standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on the audit objectives. Because of inherent

limitations, a study and evaluation made for the limited purposes of our review would not necessarily disclose all weaknesses in administering HAVA payments.

Except for the maintenance of adequate property records over HAVA funded equipment, as discussed below, our audit concluded that the SBOE generally accounted for and expended HAVA funds in accordance with the requirements mentioned above for the period from January 1, 2006 through August 31, 2010. The exception needing SBOE's management attention is as follows:

- Inventory listings of voting equipment did not conform to the requirements of 41 C.F.R. 105-71.132 (d) (1), (the Common Rule) at five of the seven local election jurisdictions we visited. The listings did not include required elements such as the use and condition of the property, or the federal, state, or county percentage of ownership.

We have included in this report as Appendix A-1 the SBOE management's formal response to the draft report dated February 22, 2011. Although we have included management's written responses to our notices of findings and recommendations, such responses have not been subjected to the audit procedures and, accordingly, we do not provide any form of assurance on the appropriateness of the responses or the effectiveness of the corrective actions described therein. SBOE officials agreed with our recommendations.

The draft report, including the SOS responses, was provided to the Executive Director of the EAC for review and comment. The EAC responded on April 7, 2011, and generally agreed with the report's finding and recommendation. The EAC stated that they would work with the state to ensure appropriate corrective action. The EAC's complete response is included as Appendix A-2.

BACKGROUND

HAVA created the Commission to assist states and insular areas with the improvement of the administration of Federal elections and to provide funds to states to help implement these improvements. HAVA authorizes payments to states under Titles I and II, as follows:

- Title I, Section 101 payments are for activities such as complying with Title III of HAVA for uniform and nondiscriminatory election technology and administration requirements, improving the administration of elections for Federal office, educating voters, training election officials and poll workers, and developing a state plan for requirements payments.
- Title I, Section 102 payments are available only for the replacement of punch card and lever action voting systems.
- Title II, Section 251 requirements payments are for complying with Title III requirements for voting system equipment; and for addressing provisional voting, voting information, statewide voter registration lists, and voters who register by mail.

Title II also requires that states must:

- Have appropriated funds “equal to 5 percent of the total amount to be spent for such activities [activities for which requirements payments are made].” [Section 253(b) (5)].
- “Maintain the expenditures of the state for activities funded by the [requirements] payment at a level that is not less than the level of such expenditures maintained by the state for the fiscal year ending prior to November 2000.” [Section 254 (a) (7)].
- Establish an election fund for amounts appropriated by the state “for carrying out the activities for which the requirements payment is made,” for the Federal requirements payments received, for “such other amounts as may be appropriated under law,” and for “interest earned on deposits of the fund.” [Section 254)(b)(1)].

AUDIT OBJECTIVES

The objectives of our audit were to determine whether the Illinois SBOE:

1. Used payments authorized by Sections 101, 102, and 251 of HAVA in accordance with HAVA and applicable requirements;
2. Accurately and properly accounted for property purchased with HAVA payments and for program income;
3. Met HAVA requirements for Section 251 funds for an election fund and for a matching contribution except for the requirements for maintenance of a base level of state outlays, commonly referred to as Maintenance of Expenditures (MOE). On June 28, 2010, the Commission issued a revised definitive policy on the requirements for the MOE. The policy included a provision that the states will have 12 months from the date of the revised policy to voluntarily submit a revised MOE plan to the EAC. Accordingly, our scope of audit did not include a determination of whether the SBOE and its subgrantees met the requirements for MOE.

In addition, to accounting for HAVA payments, the Act requires states to maintain records that are consistent with sound accounting principles that fully disclose the amount and disposition of the payments, that identify the project costs financed with the payments and other sources, and that will facilitate an effective audit. The Commission requires states receiving HAVA funds to comply with certain financial management requirements, specifically:

1. Comply with the *Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments* (also known as the “Common Rule”) as published in the Code of Federal Regulations at 41 CFR 105-71.
2. Expend payments in accordance with cost principles for establishing the allowance or disallowance of certain items of cost for federal participation issued by the OMB.
3. Submit detailed annual financial reports on the use of Title I and Title II payments.¹

¹ EAC requires states to submit annual reports on the expenditure of HAVA Sections 101, 102, and 251 funds. Through December 31, 2008, for Sections 101 and 102, reports were due on February 28 for the activities of the previous calendar year, and, for Section 251, reports were due by March 30 for the activities of the previous fiscal year ending on September 30. Beginning in calendar year 2009, all reports will be effective as of September 30, 20XX for the fiscal year ended that date and will be due by December 31, 20XX.

SCOPE AND METHODOLOGY

We audited the HAVA funds received from August 27, 2003 through August 31, 2010, and disbursed from January 1, 2006 through August 31, 2010.

Funds received and disbursed by the HAVA program from inception, August 27, 2003, through August 31, 2010 (85-month period) are shown in the following table:

TYPE OF PAYMENT	FUNDS RECEIVED					FUNDS DISBURSED	DATA AS OF
	EAC PAYMENT	PROGRAM INCOME	STATE MATCH	INTEREST EARNED	TOTAL AVAILABLE		
Section 101	\$ 11,129,030	\$ 0	\$ 0	\$ 1,134,816	\$12,263,846	\$10,840,978	8/31/2010
Section 102	33,805,617	0	0	1,232,393	35,038,010	33,669,668	8/31/2010
Section 251	110,542,880	0	5,816,250	7,633,287	123,992,417	103,582,838	8/31/2010
Total	<u>\$ 155,477,527</u>	<u>\$ 0</u>	<u>\$5,816,250</u>	<u>\$10,000,496</u>	<u>\$ 171,294,273</u>	<u>\$148,093,384</u>	8/31/2010

Our audit methodology is set forth in Appendix B.

AUDIT RESULTS

We conducted this performance audit in accordance with Generally Accepted Government Auditing Standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on the audit objectives. Because of inherent limitations, a study and evaluation made for the limited purposes of our review would not necessarily disclose all weaknesses in administering HAVA payments.

Except for the maintenance of adequate property records, our audit concluded that the SBOE generally accounted for and expended HAVA funds in accordance with the requirements mentioned above. The SBOE has taken action on or is working to resolve the exceptions described below as set forth in Appendix A-1:

I. Property Records for HAVA Funded Equipment

The equipment listings provided to us by the five of the seven local jurisdictions we visited, did not conform to the requirements of 41 C.F.R. 105-71.132 (d)(1), (the Common Rule). We noted that the listings included the serial number and the location, but it did not always include a description of the property and use and condition, source, or federal or local jurisdiction percentage of ownership

The Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments, 41 CFR § 105-71.132(d)(1), referred to as the Common Rule, states that property records must be maintained that include a description of the property, a serial

number or other identification number, the source of property, who holds the title, the acquisition date, cost of the property, percentage of Federal participation in the cost of the property, the location, use and condition of the property, and any ultimate disposition data including the date of disposal and sale price of the property. The Common Rule, 41 CFR § 105-71.132(d)(3), also requires that a control system must be developed to ensure adequate safeguards to prevent loss, damages or theft of the property.

SBOE election officials had advised local jurisdictions of the requirements of the Common Rule but not all of these jurisdictions had prepared inventory records that were in compliance with the Common Rule.

Recommendation:

We recommend that the SBOE continue to work with local jurisdictions to ensure that the property records include the minimum information required by the Common Rule.

SBOE's Response:

The SBOE concurred with the finding and recommendation, and stated that, although they had notified the jurisdictions of the requirements on multiple occasions and provided them with a worksheet to comply with the rules, a number of jurisdictions have not complied. The SBOE stated that they would continue to work with the sub-recipients to achieve compliance.

We provided a draft of our report to the appropriate individuals of the Illinois SBOE and the EAC. We considered any comments received prior to finalizing this report.

The EAC's response dated April 7, 2011, generally agreed with the report's finding and recommendation. The EAC stated that they would work with the state to ensure appropriate corrective action. The EAC's complete response is included as Appendix A-2.

CG performed its work between September 13, 2010 and October 1, 2010.

Clifton Henderson LLP

Calverton, Maryland
October 15, 2010

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

February 22, 2011

Mr. Curtis Crider
Inspector General
U.S. Election Assistance Commission
1201 New York Avenue NW – Suite 300
Washington, DC 20005

Dear Mr. Crider:

The Illinois State Board of Elections (SBE) has received the draft report entitled "*Performance Audit Report – Administration of Payments Received Under the Help America Vote Act by the State of Illinois*" for the audit period January 1, 2006 through August 31, 2010.

We concur with your observation that specific election jurisdictions have not fully complied with maintaining property management records as required by the Common Rule. Although we have notified the jurisdictions on multiple occasions of their responsibility to maintain such records and have supplied them with an Excel spreadsheet to include all of the necessary information, a number of jurisdictions have not complied. Upon the final report being issued to the EAC, we will once again communicate with all sub-recipient counties, to remind them of their obligation to comply with Federal property control requirements.

If you need further information do not hesitate to contact our office.

Sincerely,

Rupert T. Borgsmiller
Executive Director

cc: Becky Glazier, HAVA Operations
Mike Roate, Chief Fiscal Officer



EAC RESPONSE TO THE DRAFT AUDIT:
*OIG Performance Audit Report on the Administration of
Payments Received Under the Help America Vote Act by the
State of Illinois, for the Period January 1, 2006 Through
August 31, 2010.*

April 7, 2011

MEMORANDUM

To: Curtis Crider
Inspector General

From:  Thomas R. Wilkey
Executive Director

Subject: Draft Performance Audit Report – “Administration of Payments
Received Under the Help America Vote Act by the State of Illinois”.

Thank you for this opportunity to review and respond to the draft audit report for Illinois.

The Election Assistance Commission (EAC) generally concurs with the results of the review and recommendation. The EAC will work with the Illinois State Board of Elections (SBOE) to ensure appropriate corrective action.

AUDIT METHODOLOGY

Our audit methodology included:

- Assessing audit risk and significance within the context of the audit objectives.
- Obtaining an understanding of internal control that is significant to the administration of the HAVA funds.
- Understanding relevant information systems controls as applicable.
- Identifying sources of evidence and the amount and type of evidence required.
- Determining whether other auditors have conducted, or are conducting, audits of the program that could be relevant to the audit objectives.

To implement our audit methodology, below are some of the audit procedures we performed:

- Interviewed appropriate SBOE employees about the organization and operations of the HAVA program.
- Reviewed prior single audit report and other reviews related to the state's financial management systems and the HAVA program for the last 2 years.
- Reviewed policies, procedures and regulations for the SBOE's management and accounting systems as they relate to the administration of HAVA programs.
- Analyzed the inventory lists of equipment purchased with HAVA funds.
- Tested major purchases and supporting documentation.
- Tested randomly sampled payments made with the HAVA funds.
- Verified support for reimbursements to local governments (counties, cities, and municipalities).
- Reviewed certain state laws that impacted the election fund.
- Examined appropriations and expenditure reports for state funds used to meet the five percent matching requirement for section 251 requirements payments.
- Evaluated compliance with the requirements for accumulating financial information reported to the Commission on the Financial Status Reports, Forms SF-269 and 425, accounting for property, purchasing HAVA related goods and services, and accounting for salaries.
- Verified the establishment and maintenance of an election fund.
- Conducted site visits of selected counties/towns to perform the following:
 - Observe equipment purchased with HAVA funds for proper accounting and safeguarding
 - Ensure compliance with HAVA Act.

OIG's Mission

The OIG audit mission is to provide timely, high-quality professional products and services that are useful to OIG's clients. OIG seeks to provide value through its work, which is designed to enhance the economy, efficiency, and effectiveness in EAC operations so they work better and cost less in the context of today's declining resources. OIG also seeks to detect and prevent fraud, waste, abuse, and mismanagement in these programs and operations. Products and services include traditional financial and performance audits, contract and grant audits, information systems audits, and evaluations.

Obtaining Copies of OIG Reports

Copies of OIG reports can be requested by e-mail.
(eacoig@eac.gov).

Mail orders should be sent to:

U.S. Election Assistance Commission
Office of Inspector General
1201 New York Ave. NW - Suite 300
Washington, DC 20005

To order by phone: Voice: (202) 566-3100
Fax: (202) 566-0957

To Report Fraud, Waste and Abuse Involving the U.S. Election Assistance Commission or Help America Vote Act Funds

By Mail: U.S. Election Assistance Commission
Office of Inspector General
1201 New York Ave. NW - Suite 300
Washington, DC 20005

E-mail: eacoig@eac.gov

OIG Hotline: 866-552-0004 (toll free)

FAX: 202-566-0957



STATE BOARD OF ELECTIONS

1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss, General Counsel

Re: Amnesty Proposal for First Quarterly Report Delinquent Filers

Date: May 12, 2011

I would like to propose an amnesty for late filers of the Quarterly Report that was due April 15, 2011. The SBE staff noted an unusually large volume of committees which failed to timely file a Quarterly Report. As of April 15th, the filing deadline, 768 committees had not filed. For purposes of comparison, the number of committees that failed to file the December 2010 Semi-Annual Report by the January 20th deadline was 446. The number of committees that had not filed the Semi-Annual Report for the first half of 2010 by the filing deadline was 320. These numbers demonstrate a sizable increase in delinquent committees for this Quarterly filing period.

April 15th – 768 committees delinquent as of filing deadline

April 20th – 561 committees remain unfiled

April 26th – mailed citing letters to delinquent committees

April 30th – 373 committees remain unfiled

May 5th – 306 committees remain unfiled

May 11th – 282 committees remain unfiled

I believe the cause of this increase is two-fold. First, this is the first report due under the new reporting schedule – the first filing of a Quarterly Report. Secondly, this was the first time that all committees filing electronically were required to use the new IDIS 2.0 program. Committees were notified of the filing deadline and new electronic filing program in several ways. They received various types of paper notifications that were sent out over the last several months, and, as always, information was available on the SBE website. In fact, the Division of Campaign Disclosure had sole possession of the left side of the SBE Homepage under the ‘Spotlight’ section, which provided one-click access to all the new disclosure information, brochures, and informational videos. This section also included a large warning that IDIS 1.2 could no longer be used (appearing with a large red X through it) and a large link to the new IDIS 2.0. When notification went to the political committees on February 23rd regarding this first quarterly filing, a colored sheet was included in the packet advising committees of the same thing. In addition, a citing letter was sent to all delinquent committees on April 26th advising them that they had missed the Quarterly Report filing deadline and that penalties were accruing. This is the normal letter we send after each filing period. Despite these notifications, it is likely that some committees were unknowingly operating under the previous filing deadlines.

Based on the likelihood of a certain level of unawareness on the part of many of these delinquent committees, I propose that a one-time amnesty be granted to all committees that file by a date certain, (to be determined by the Board), perhaps April 30th or May 15th. Any committee which files by that date would receive a letter explaining that the Board has decided for the reasons set forth above, that it will not be assessed a penalty. Any committee that files after that date would be assessed a penalty

based on the number of days beyond the deadline date chosen by the Board, and complaints will be filed against those committees that do not file at all, as is the normal practice.

Finally, I would note that there is precedent for the granting of such an amnesty. When the filing deadline for the June 2008 Semi-Annual Report was changed to the 20th from the 31st of July, we granted a one-time amnesty for all committees that filed after the 20th, (actually the 21st because the 20th fell on Sunday) but by the 31st of July.

STATE BOARD OF ELECTIONS

**1020 South Spring Street
Springfield, Illinois 62704
217/782-4141**

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss, General Counsel

Re: Assessment of civil penalties for exceeding contribution limits

Date: May 12, 2011

Below are three applicable sections of the Act and the Rules in regards to Penalties for the Violation of Contribution Limits.

Section 5/9-8.5(j) of the Election Code reads:

A political committee that receives a contribution or transfer in violation of this Section shall dispose of the contribution or transfer by returning the contribution or transfer, or an amount equal to the contribution or transfer, to the contributor or transferor or donating the contribution or transfer, or an amount equal to the contribution or transfer, to a charity. A contribution or transfer received in violation of this Section that is not disposed of as provided in this subsection within 15 days after its receipt shall escheat to the General Revenue Fund and the political committee shall be deemed in violation of this Section and subject to a civil penalty not to exceed 150% of the total amount of the contribution.

Section 100.75(f) of Board Rules and Regulations reads:

When considering the amount of the civil penalty to be imposed, the Board will consider all relevant factors, including, but not limited to, the following: (1) Whether in the Board's opinion the violation was committed inadvertently, negligently, knowingly or intentionally; (2) Whether any attempt was made by the committee to return the contribution or transfer; and (3) Past violations of Article 9 of the Election Code. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.

Section 100.75(g) of Board Rules and Regulations outlines procedures the Board shall follow when a contribution that exceeds contribution limits is determined to have been received by a committee:

When a contribution is determined to have been received by a political committee in violation of Section 9-8.5(a) through (d), the Board will send notice of violation to the chairman and the treasurer of each political committee, together with an order assessing a civil penalty calculated in accord with this subsection (g). The notice of violation and order shall also be sent to any candidate listed by name on that committee's Statement of Organization. The notice of violation shall state that the Board has assessed a civil penalty that will be final unless the committee shows cause as to why the penalty should not be assessed. The provisions of 26 Ill. Adm. Code 125.425 related to procedures to appeal civil penalty assessments shall apply to penalties assessed under this Section.

Following is what I am proposing be done in regards to the assessment of civil penalties when there is a violation of the contribution limits:

Staff proposes that we assess initially at the 150% of the contribution as indicated in the statute. (We are interpreting that to mean the amount that exceeded the contribution limits.) However, the amounts would then be reduced in a fashion similar to A-1 violations. For a **first violation**, the amount will be reduced to **10% of the 150%**, the **second violation** would be **50% of the 150%**, and any **third and subsequent violation** would be assessed at the **maximum, or 150%**. All violations occurring within a quarter would be assessed at the same level. The language of the Act says that the Board shall assess a civil penalty not to exceed 150%; this appears to provide **discretion** to the Board as to the amount, and **does not appear to prohibit waiving the entire fine** if the Board deems that is the appropriate action. (1) Establishing these percentages takes into the consideration past violations, which is one of the considerations. (2) Another consideration is whether the violation was done inadvertently, unintentionally, knowingly, or negligently. This is similar to the 'willful and wanton' category, and I would assume that we will consider violations unintentional and inadvertent unless evidence exists to suggest otherwise. (3) That leaves the third consideration, which is whether or not the committee tried to return the contribution. When recommending a percentage, I am unsure how that can be considered by staff. As is clear from the language of the Act, these considerations are to be used only in determining the amount of the fine, not in determining whether or not there was, in fact, a violation.

A committee assessed a penalty for accepting a contribution in excess of the legal limits shall be afforded the opportunity to appeal in the same manner as used for delinquently filed reports. The first violation shall be stayed; the second violation within 2 years will trigger both penalty amounts. If a committee pays all fines and has no further violations within 2 years from the date of the final board order, it shall be treated, for assessment purposes, as though it had never violated the law.

If a committee chooses not to appeal, or if a committee files an appeal and the appeal is denied, in addition to the penalty that shall be owed to the Board of Elections, it shall be required to escheat to the General Revenue the amount of the contribution received in violation. I propose that the Board's Final Board Order also reflect a finding that the amount of the contribution in violation is owed to the Department of Revenue and that the Board would provide notification to the Department of Revenue (or whomever we determine is the correct party for collection) of that fact. After such notification, the Board would have no further contact with the committee regarding that matter.

With the tools that staff currently has available to them, making determinations as to whether contribution limits have been exceeded will be a difficult and time-consuming task, especially for large committees. It is anticipated that, in some cases, staff may miss a violation, and an outside complaint may be filed, or an outside complaint may be filed simply because we have not as yet found the violation. If an outside complaint is filed, and it is determined that a violation has occurred, I believe that we shall assess penalties as outlined above.

I further propose that if a committee returns the contribution, but after the 15 day period had run, that shall be taken into consideration by the Board when determining final Board ruling. Department of Revenue may take that into consideration, but that is not under the jurisdiction of the Board.

I propose that if a committee does not appeal the Board's decision, the only consideration will be the number of previous violations of Section 5/9-8.5, as that is the only information available to the Board. By rule we indicated that past violations of Article 9 should be considered, but feel that we should attempt a rule change to include only past violations of contribution limits when considering the amount of the civil penalty assessed. The Act itself is silent in regards to this.

In conclusion, I propose that we base our initial assessments on the percentages above that take into account previous violations. If, upon appeal, the hearing officer has determined that a violation occurred and other considerations which may affect the assessment, are brought up at a hearing or, if a hearing is waived, on the affidavit submitted by the committee, they shall be noted in the report of the hearing officer, and brought to the Board for final determination as to amount, if any, of penalty.

MEMORANDUM

*From the desk of... Jim Withers, Director of Administrative Services
State Board of Elections
Phone: 217-782-1595
Email address: jwithers@elections.il.gov*

TO: Members of the Board

RE: New Facility

Date: May 6, 2011

The following is a summary of the progress of the new facility request:

The original RFI from CMS Property Management was received on April 25th with the proposed leased facility specifications. Although the requested space was originally 46,082 square feet to provide for our agency's growing needs and a 12,500 square foot Voting System Integrity Center, based on a CMS space inspection and current number of employees, under property management guidelines the total space allowed for rentable area was 23,000 square feet.

Mary Roach from CMS Property Management was contacted for more information and we were informed on Friday, April 29th that effective July 1, 2010 all property leases under the jurisdiction of CMS Property Management, which includes our current lease, is subject to the bid process. We currently have a 10 year lease due to expire on January 31, 2012 and the option to renew was stricken from the contract when it was written in 2001. Prior to that conversation there had been no notice from CMS that the policy had changed concerning the lease renewal process.

Our agency will have no role in the bidding process and will be handled entirely by CMS Property Management. The bids are reviewed and selected on the ability of the bidder to prove that the programmatic needs of the bid specifications can be met. Once a possible facility is selected, CMS will contact us for a site visit and we'll have the option to accept or refuse it. However, the reason for our objection would have to meet the criteria under Property Management guidelines in order for them to select another site for our inspection.

After speaking with Kathy Britton at CMS and voicing concern over the reduction in space, she advised that SBE submit any proposed revisions to the RFI that would be reviewed by the CMS Property Management for approval or denial of those requested revisions.

Among the revisions we requested was the addition of one more 900 square foot conference room and personnel space to provide for funded vacancies. Two conference rooms of that size had already been approved and can be configured to be opened up into one room of 1,800 square feet to accommodate Board Meetings. We felt the additional square footage would be necessary to accommodate petition filing and voting systems testing. We submitted the revision request on May 2nd and on May 5th the final Agency Programmed Requirements for the proposed lease facility was sent to us and the revisions had been approved adding an additional 4,000 square feet for a total of 27,000 to the new facility.

The concern over the January 31, 2012 lease expiration was voiced in regard to the upcoming petition filing and objection period and the March 2012 election. We asked CMS if an emergency extension until April 30, 2012 of our current lease could be negotiated and they indicated that we would have to request that and give justifiable reasons as to why we would be unable to move prior to February 1, 2012. The revised proposal provides for a three month extension.

Once a building is selected, schematic drawings of the configuration of the space will be done and sent to SBE for input. When the configuration is finalized there will be up to 120 days allowed for "build-out" of the space until we're ready to move in.

On May 5th the RFI package was ready for publication using the RFI-Offer/Acceptance solicitation process. The open bidding process is 4-5 weeks and then the procurement board will review and approve the bids within 1-2 weeks after the bidding closes.

**CMS – 6391 - REQUEST FOR INFORMATION FOR LEASED SPACE –
SPRINGFIELD, ILLINOIS**

1. PURCHASING AGENCY

a)	Department of Central Management Services	
b)	Address:	623 Stratton Office Building Springfield, Illinois 62706
c)	Email:	Vicki.Moffett@illinois.gov
	Phone:	217 – 524-1046
	Fax:	217 – 557-1036
d)	Agency Contact Person:	Jeff Miller
e)	Project Lease Number:	6391

2. DESCRIPTION OF PROPERTY TO BE LEASED

a)	General Use:	Office Space
b)	Square Feet Required:	27,000 sq. ft.
c)	Number of Parking Spaces:	75 (including 3 accessible space(s) contiguous with the building or a parking structure or surface lot(s) in close proximity to the leased property. Parking areas shall be lighted and striped. Proposer shall identify the location of proposed parking in the quantity noted.
d)	Duration of Lease:	The maximum term for a lease including renewals may not exceed ten (10) years. You must submit an Offer for a lease with a term of five (5) years including a renewal option of up to five (5) years. All leases are subject to termination and cancellation in any year for which the General Assembly fails to make an appropriation to make payments under the terms of the lease.
e)	Location:	Springfield, Illinois
	Boundaries:	
	North: Sangamon Avenue	East: Interstate 55
	South: Toronto Road	West: Archer Elevator Road

I. PROPOSAL CRITERIA

Request for Information details, solicitation documents, submission deadline and contact information may be found at www.purchase.state.il.us. Click on the Illinois BID link and sort by Category, Facilities. Refer to CMS 06391 Request for Information. Or call (217) 782-9117 for assistance.

Proposals must be submitted in accordance with the solicitation documents. Any proposal must be accompanied by evidence of ownership or authorization to represent ownership. Proposers are encouraged to participate in pre-offer teleconferences held twice per month (refer to solicitation document for details). The State reserves the right to reject any and all proposals.

Proposals must be received by the CMS Bureau of Property Management, Transactions & Property Administration by 3:30 P.M., Thursday, June 16th, 2011 to the attention of Vicki J. Moffett, 401 S. Spring Room 623, Springfield, IL 62706.

Rentable area shall be available for occupancy on or before April 30, 2012.

State Board of Elections
Proposed Allocation of FY2011 Reduced Lump-Sum Appropriations
To Reflect 50% Cut in Total Lump-Sum Amount
As of 4/30/2011

Total Lump-Sum Amount Requested in Original FY2011 Budget = \$14,108,500
Actual Total Lump-Sum Amount Received in Final FY2011 Budget = \$8,130,700 (\$6,130,700 + \$2,000,000 Gov. release)

Lump-Sum Appropriation Item	Initial FY2011 Lump-Sum Budget Request	Current Allocation Of Actual Lump-Sum Approp. (4/30/11)	FY11 YTD Spending At 4/30/2011	Expected Add'l Spending Thru 6/30/2011*	Total Expected Spending Thru 6/30/2011	Proposed Allocation Of Actual Lump-Sum To Actual Costs (end FY11)
County Clerk/Recorder Stipends	\$806,000	\$403,000	\$337,858	\$468,142	\$806,000	\$806,000
Election Day Judge Reimbursements	5,850,000	4,700,000	2,854,000	1,635,080	\$4,489,080	4,514,200
Early Voting Assistance to Local Gov.	2,875,000	1,300,000	1,082,730		\$1,082,730	1,082,800
V/SIC (Voting Systems Integrity Center)	1,194,500	250,800	0		\$0	250,800
Electronic Canvassing Implementation	429,800	125,000	1,286	42,000	\$43,286	125,000
IVRS Lump-Sum Grants to Locals	2,501,900	1,251,900	369,319	882,581	\$1,251,900	1,251,900
IDIS System Implementation Costs	451,500	100,000	0		\$0	100,000

restore full County Clerk stipend payment
 inc. Governor \$2mil & excess Early Voting
 covers Nov. 2010 general only
 approx. 50% of local requests

Totals	\$14,108,500	\$8,130,700	\$4,645,193	\$3,027,803	\$7,672,996	\$8,130,700
---------------	---------------------	--------------------	--------------------	--------------------	--------------------	--------------------

* - Election Judge Reimbursement cost for April Consolidated election much smaller than estimate due to lack of a runoff in Chicago mayoral race. Expected additional spending also includes restoration of full funding of county clerk/recorder stipends with the savings from the April Consolidated election judge reimbursements.

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: April 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,314,100.00	\$3,065,447.24	\$0.00	\$1,248,652.76	71.06%
STATE PAID RETIREMENT	\$172,800.00	\$119,709.76	\$0.00	\$53,090.24	69.28%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$330,200.00	\$225,277.20	\$0.00	\$104,922.80	68.22%
CONTRACTUAL SERVICE	\$988,000.00	\$529,379.66	\$234,848.20	\$223,772.14	53.58%
TRAVEL	\$128,500.00	\$64,075.63	\$0.00	\$64,424.37	49.86%
PRINTING	\$53,200.00	\$20,239.30	\$0.00	\$32,960.70	38.04%
COMMODITIES	\$36,900.00	\$27,168.46	\$0.00	\$9,731.54	73.63%
EQUIPMENT	\$239,350.00	\$188,314.09	\$35,583.88	\$15,452.03	78.68%
TELECOMMUNICATIONS	\$139,600.00	\$84,373.66	\$0.00	\$55,226.34	60.44%
OPERATION OF AUTO EQUIPMENT	\$4,400.00	\$3,272.96	\$0.00	\$1,127.04	74.39%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$499,324.77	\$50,675.23	\$0.00	90.79%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$328,500.00	\$158,985.72	\$0.00	\$169,514.28	48.40%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$7,300,550.00	\$4,985,568.45	\$321,107.31	\$1,993,874.24	68.29%
CO CLERK & RECORDER STIPENDS	\$403,000.00	\$337,857.84	\$0.00	\$65,142.16	83.84%
ELECTION JUDGE REIMBURSEMENT	\$2,700,000.00	\$2,223,420.00	\$0.00	\$476,580.00	82.35%
ELECTION JUDGE - GOV. MONEY	\$2,000,000.00	\$630,580.00	\$0.00	\$1,369,420.00	31.53%
ELECTION JUDGES/EARLY VOTING	\$1,300,000.00	\$1,082,730.00	\$0.00	\$217,270.00	83.29%
IVRS LUMP SUM - GRANTS	\$1,251,900.00	\$369,318.75	\$0.00	\$882,581.25	29.50%
REDISTRICTING	\$90,000.00	\$32,500.00	\$57,500.00	\$0.00	36.11%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$250,800.00	\$0.00	\$0.00	\$250,800.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$125,000.00	\$1,285.89	\$0.00	\$123,714.11	1.03%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$0.00	\$0.00	\$100,000.00	0.00%
SUB-TOTAL (GRANTS)	\$8,220,700.00	\$4,677,692.48	\$57,500.00	\$3,485,507.52	56.90%
TOTAL APPROPRIATION	\$15,521,250.00	\$9,663,260.93	\$378,607.31	\$5,479,381.76	62.26%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD	FY11	YEAR TO DATE	% OF	
MONTH ENDING: April 30, 2011	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$2,500.00	\$1,919.33	\$580.67	76.77%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00	\$1,000.00	\$0.00	100.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$16,900.00	\$12,830.50	\$4,069.50	75.92%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$600.00	\$530.00	\$70.00	88.33%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues	\$100.00	\$0.00	\$100.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$0.00	\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$500.00	\$406.12	\$93.88	81.22%
TRAVEL	\$19,000.00	\$9,793.78	\$9,206.22	51.55%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00	\$0.00	\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

BOARD GRAND TOTAL	FY11	YEAR TO DATE	OBLIGATED	BALANCE
	APPROPRIATION	EXPENDITURE	MONEY	
CONTRACTUAL SERVICE	\$22,200.00	\$16,685.95		\$5,514.05
TRAVEL	\$19,000.00	\$9,793.78		\$9,206.22
EQUIPMENT	\$700.00	\$0.00		\$700.00
TOTAL	\$41,900.00	\$26,479.73	\$0.00	\$15,420.27

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

<u>ADMINISTRATION</u> <u>MONTH ENDING: April 30, 2011</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$727,800.00	\$569,124.40	\$158,675.60	78.20%
1129 State Paid Retirement	\$29,200.00	\$22,824.33	\$6,375.67	78.17%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$55,700.00	\$40,758.91	\$14,941.09	73.18%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$500.00	\$136.97	\$363.03	27.39%
1205 Freight Express & Drayage	\$700.00	\$196.36	\$503.64	28.05%
1221 Repair/Maint. Furn./Office Equipment	\$7,500.00	\$7,184.60	\$315.40	95.79%
1223 Repair/Maint. Real Property	\$3,700.00	\$0.00	\$3,700.00	0.00%
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$34,100.00	\$1,792.79	\$32,307.21	5.26%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,000.00	\$3,600.00	21.74%
1233 Rental, Real Property	\$210,400.00	\$174,878.01	\$35,521.99	83.12%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,400.00	\$410.00	\$990.00	29.29%
1240 Statistical & Tabulation Services	\$10,000.00	\$9,909.56	\$90.44	99.10%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$9,800.00	\$2,834.81	\$6,965.19	28.93%
1251 Gas	\$9,500.00	\$8,636.18	\$863.82	90.91%
1252 Electricity	\$56,000.00	\$38,800.31	\$17,199.69	69.29%
1253 Water	\$1,000.00	\$744.04	\$255.96	74.40%
1255 Utilities, NEC	\$800.00	\$461.04	\$338.96	57.63%
1261 Postage	\$64,000.00	\$45,647.04	\$18,352.96	71.32%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$0.00	\$800.00	0.00%
1275 Subscription & Information Services	\$2,500.00	\$1,951.25	\$548.75	78.05%
1276 Reg Fees & Conf. Expenses (Employee)	\$1,000.00	\$0.00	\$1,000.00	0.00%
1277 Association Dues	\$1,800.00	\$1,645.00	\$155.00	91.39%
1279 Tuition - Employee				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00	\$0.00	\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$11,426.22	\$73.78	99.36%
TRAVEL				
1291 In-State	\$18,000.00	\$6,080.14	\$11,919.86	33.78%
1292 Out-of-State	\$1,000.00	\$0.00	\$1,000.00	0.00%
PRINTING	\$9,800.00	\$2,348.00	\$7,452.00	23.96%
COMMODITIES				
1304 Office/Library Supplies	\$12,000.00	\$9,113.07	\$2,886.93	75.94%
1391 Household & Cleaning Supplies	\$3,500.00	\$1,306.31	\$2,193.69	37.32%
1394 Office/Library Equip., Not exc. \$100				
1398 Equipment, NEC	\$500.00	\$88.27	\$411.73	17.65%
1399 Commodities, NEC	\$400.00	\$15.87	\$384.13	3.97%
EQUIPMENT				
1510 Office Furniture & Equipment	\$2,000.00	\$221.76	\$1,778.24	11.09%
1599 Equipment NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$48,000.00	\$39,590.85	\$8,409.15	82.48%
1722 Rental, Data Comm. Serv. & Equip.	\$81,200.00	\$38,782.16	\$42,417.84	47.76%
1728 Videoconferencing	\$6,400.00	\$5,038.80	\$1,361.20	78.73%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00	\$0.00	\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,000.00	\$961.85	\$38.15	96.19%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$1,751.01	\$48.99	97.28%
1894 Parts & Fittings, Auto Equipment	\$200.00	\$0.00	\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,400.00	\$1,521.95	\$878.05	63.41%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%
ADMINISTRATION GRAND TOTAL	\$1,436,600.00	\$1,047,181.86	\$39,685.99	72.89%
PERSONAL SERVICE	\$727,800.00	\$569,124.40	\$158,675.60	78.20%
STATE PAID RETIREMENT	\$29,200.00	\$22,824.33	\$6,375.67	78.17%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$55,700.00	\$40,758.91	\$14,941.09	73.18%
CONTRACTUAL SERVICE	\$431,700.00	\$307,654.18	\$39,685.99	71.27%
TRAVEL	\$19,000.00	\$6,080.14	\$12,919.86	32.00%
PRINTING	\$9,800.00	\$2,348.00	\$7,452.00	23.96%
COMMODITIES	\$16,400.00	\$10,523.52	\$5,876.48	64.17%
EQUIPMENT	\$3,000.00	\$221.76	\$2,778.24	7.39%
TELECOMMUNICATIONS	\$139,600.00	\$84,373.66	\$55,226.34	60.44%
OPERATION OF AUTO EQUIPMENT	\$4,400.00	\$3,272.96	\$1,127.04	74.39%
TOTAL	\$1,436,600.00	\$1,047,181.86	\$39,685.99	72.89%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: April 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE	
PERSONAL SERVICE	\$1,604,100.00	\$1,228,775.36	\$375,324.64	76.60%	
1129 State Paid Retirement	\$64,200.00	\$48,713.48	\$15,486.52	75.88%	
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1170 Social Security	\$122,800.00	\$90,717.14	\$32,082.86	73.87%	
CONTRACTUAL SERVICE					
1145 Contractual Payroll					
1202 Contractual reimbursement To Employee					
1205 Freight Express & Drayage	\$2,300.00	\$0.00	\$2,300.00	0.00%	
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$0.00	\$200.00	0.00%	
1231 Rental, Office Equipment					
1232 Rental, Motor Vehicles					
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$0.00	\$100.00	0.00%	
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%	
1242 Auditing & Management Services					
1245 Professional & Artistic Services, NEC					
1266 Court Reporting & Filing Services					
1273 Advertising					
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$0.00	\$4,000.00	0.00%	
1275 Subscription & Information Services	\$2,600.00	\$350.00	\$2,250.00	13.46%	
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,600.00	\$75.00	\$3,525.00	2.08%	
1277 Association Dues	\$2,400.00	\$439.00	\$1,961.00	18.29%	
1279 Employee Tuition & Fees	\$2,500.00	\$0.00	\$2,500.00	0.00%	
1280 Copying, Photographic & Printing Services	\$1,000.00	\$0.00	\$1,000.00	0.00%	
1285 Operating Taxes, Licenses & Fees					
1289 Contractual Services, NEC	\$12,000.00	\$166.00	\$11,834.00	1.38%	
TRAVEL	\$57,600.00	\$34,441.62	\$23,158.38	59.79%	
PRINTING	\$20,700.00	\$3,283.05	\$17,416.95	15.86%	
EQUIPMENT					
1510 Office Furniture & Equipment	\$4,600.00	\$0.00	\$4,600.00	0.00%	
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%	
HAVA - Maintenance of Effort	\$550,000.00	\$499,324.77	\$50,675.23	90.79%	
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$15,000.00	0.00%	
Redistricting	\$90,000.00	\$32,500.00	\$57,500.00	36.11%	
Election Judge Reimbursements	\$2,700,000.00	\$2,223,420.00	\$476,580.00	82.35%	
Election Judge - Gov. Money	\$2,000,000.00	\$630,580.00	\$1,369,420.00	31.53%	
Stipends	\$403,000.00	\$337,857.84	\$65,142.16	83.84%	
Early Voting Election Judges	\$1,300,000.00	\$1,082,730.00	\$217,270.00	83.29%	
State HAVA Match	\$0.00	\$0.00	\$0.00	0.00%	
Voting System Integrity Center	\$250,800.00	\$0.00	\$250,800.00	0.00%	
Electronic Canvassing Implementation	\$125,000.00	\$1,285.89	\$123,714.11	1.03%	
IDIS Replacement System	\$100,000.00	\$0.00	\$100,000.00	0.00%	
ELECTIONS GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE	
PERSONAL SERVICE	\$1,604,100.00	\$1,228,775.36	\$375,324.64	76.60%	
STATE PAID RETIREMENT	\$64,200.00	\$48,713.48	\$15,486.52	75.88%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$122,800.00	\$90,717.14	\$32,082.86	73.87%	
CONTRACTUAL SERVICE	\$30,900.00	\$1,130.00	\$29,770.00	3.66%	
TRAVEL	\$57,600.00	\$34,441.62	\$23,158.38	59.79%	
PRINTING	\$20,700.00	\$3,283.05	\$17,416.95	15.86%	
EQUIPMENT	\$4,600.00	\$0.00	\$4,600.00	0.00%	
HAVA - Maintenance of Effort	\$550,000.00	\$499,324.77	\$50,675.23	90.79%	
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$15,000.00	0.00%	
Redistricting	\$90,000.00	\$32,500.00	\$57,500.00	36.11%	
Election Judge Reimbursements	\$2,700,000.00	\$2,223,420.00	\$476,580.00	82.35%	
Election Judge - Gov. Money	\$2,000,000.00	\$630,580.00	\$1,369,420.00	31.53%	
Stipends	\$403,000.00	\$337,857.84	\$65,142.16	83.84%	
Early Voting Election Judges	\$1,300,000.00	\$1,082,730.00	\$217,270.00	83.29%	
State HAVA Match	\$0.00	\$0.00	\$0.00	0.00%	
Voting System Integrity Center	\$250,800.00	\$0.00	\$250,800.00	0.00%	
Electronic Canvassing Implementation	\$125,000.00	\$1,285.89	\$123,714.11	1.03%	
IDIS Replacement System	\$100,000.00	\$0.00	\$100,000.00	0.00%	
TOTAL	\$9,438,700.00	\$6,214,759.15	\$108,175.23	\$3,115,765.62	65.84%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: April 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$272,000.00	\$203,696.19	\$68,303.81	74.89%
1129 State Paid Retirement	\$10,900.00	\$8,150.64	\$2,749.36	74.78%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$20,800.00	\$15,075.60	\$5,724.40	72.48%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee	\$500.00	\$430.00	\$70.00	86.00%
1205 Freight Express & Drayage	\$200.00	\$0.00	\$200.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$44,300.00	\$33,028.35	\$11,271.65	74.56%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$14,500.00	\$11,058.55	\$3,441.45	76.27%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,500.00	\$0.00	\$1,500.00	0.00%
1275 Subscription & Information Services	\$1,700.00	\$50.35	\$1,649.65	2.96%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,500.00	\$0.00	\$1,500.00	0.00%
1277 Association Dues	\$1,500.00	\$983.00	\$517.00	65.53%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$7,100.00	\$7,066.60	\$33.40	99.53%
1284 Computer Software				
1289 Contractual Services, NEC	\$4,100.00	\$4,098.62	\$1.38	99.97%
TRAVEL	\$10,700.00	\$6,048.56	\$4,651.44	56.53%
EQUIPMENT				
1510 Office Furniture & Equipment	\$7,300.00	\$7,263.19	\$36.81	99.50%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

GENERAL COUNSEL GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$272,000.00	\$203,696.19		\$68,303.81	74.89%
STATE PAID RETIREMENT	\$10,900.00	\$8,150.64		\$2,749.36	74.78%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$20,800.00	\$15,075.60		\$5,724.40	72.48%
CONTRACTUAL SERVICE	\$76,900.00	\$56,715.47	39,971.65	-\$19,787.12	73.75%
TRAVEL	\$10,700.00	\$6,048.56		\$4,651.44	56.53%
EQUIPMENT	\$7,300.00	\$7,263.19		\$36.81	99.50%
TOTAL	\$398,600.00	\$296,949.65	\$39,971.65	\$61,678.70	74.50%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: April 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$877,500.00	\$535,502.83	\$341,997.17	61.03%
1129 State Paid Retirement	\$35,100.00	\$20,962.72	\$14,137.28	59.72%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$67,200.00	\$39,291.04	\$27,908.96	58.47%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement	\$100.00	\$49.85	\$50.15	49.85%
1205 Freight Express & Drayage	\$100.00	\$0.00	\$100.00	0.00%
1221 Repair & Maint. Furn & Office Equipment	\$300.00	\$208.00	\$92.00	69.33%
1225 Repair & Maint. EDP				
1229 Repair & Maint. NEC				
1232 Rental. Motor Vehicles				
1239 Rental. NEC	\$3,400.00	\$0.00	\$3,400.00	0.00%
1245 Professional & Artistic Services. NEC	\$5,500.00	\$0.00	\$5,500.00	0.00%
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00	\$1,060.00	\$40.00	96.36%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00	\$0.00	\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel. Non-State Employee				
1289 Contractual Services. NEC				
TRAVEL				
1291 In-State	\$8,000.00	\$2,102.40	\$5,897.60	26.28%
1292 Out-of-State	\$3,300.00	\$1,437.80	\$1,862.20	43.57%
PRINTING	\$22,000.00	\$14,608.25	\$7,391.75	66.40%
EQUIPMENT				
1510 Office Furniture & Equipment	\$9,300.00	\$1,975.84	\$7,324.16	21.25%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00		\$0.00	0.00%

CAMPAIGN DISCLOSURE GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$877,500.00	\$535,502.83		\$341,997.17	61.03%
STATE PAID RETIREMENT	\$35,100.00	\$20,962.72		\$14,137.28	59.72%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$67,200.00	\$39,291.04		\$27,908.96	58.47%
CONTRACTUAL SERVICE	\$11,100.00	\$1,317.85		\$9,782.15	11.87%
TRAVEL	\$11,300.00	\$3,540.20		\$7,759.80	31.33%
PRINTING	\$22,000.00	\$14,608.25		\$7,391.75	66.40%
EQUIPMENT	\$9,300.00	\$1,975.84		\$7,324.16	21.25%
TOTAL	\$1,033,500.00	\$617,198.73	\$0.00	\$416,301.27	59.72%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: April 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$832,700.00	\$528,348.46	\$304,351.54	63.45%
1129 State Paid Retirement	\$33,400.00	\$19,058.59	\$14,341.41	57.06%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$63,700.00	\$39,434.51	\$24,265.49	61.91%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage	\$13,000.00	\$0.00	\$13,000.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$1,500.00	\$1,482.31	\$17.69	98.82%
1223 Repair/Maint. Real Property	\$1,600.00	\$1,524.94	\$75.06	95.31%
1225 Repair/Maint. EDP Equipment	\$59,800.00	\$8,445.03	\$51,354.97	14.12%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC				
1242 Auditing & Management Services	\$123,300.00	\$123,260.28	\$39.72	99.97%
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$80,900.00	\$0.00	\$80,900.00	0.00%
1271 Surety Bond & Ins. Prem.	\$700.00	\$498.00	\$202.00	71.14%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$0.00	\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$0.00	\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$5,000.00	\$790.00	\$4,210.00	15.80%
1284 Computer Software	\$115,500.00	\$9,875.65	\$105,624.35	8.55%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$5,100.00	\$0.00	\$5,100.00	0.00%
TRAVEL				
1291 In-State	\$5,500.00	\$4,171.33	\$1,328.67	75.84%
1292 Out-of-State	\$5,400.00	\$0.00	\$5,400.00	0.00%
PRINTING	\$700.00	\$0.00	\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$12,800.00	\$9,067.33	\$3,732.67	70.84%
1332 Industrial & Shop Materials				
1394 Office/Library Equip. under \$100	\$400.00	\$343.06	\$56.94	85.77%
1398 Equipment, NEC	\$7,300.00	\$7,234.55	\$65.45	99.10%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment	\$600.00	\$567.38	\$32.62	94.56%
1515 EDP Equipment	\$213,850.00	\$178,285.92	\$35,564.08	83.37%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00		\$0.00	0.00%

INFORMATION TECHNOLOGY GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$832,700.00	\$528,348.46		\$304,351.54	63.45%
STATE PAID RETIREMENT	\$33,400.00	\$19,058.59		\$14,341.41	57.06%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$63,700.00	\$39,434.51		\$24,265.49	61.91%
CONTRACTUAL SERVICE	\$415,200.00	\$145,876.21	\$155,190.56	\$114,133.23	35.13%
TRAVEL	\$10,900.00	\$4,171.33		\$6,728.67	38.27%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$20,500.00	\$16,644.94		\$3,855.06	81.19%
EQUIPMENT	\$214,450.00	\$178,853.30	\$35,583.88	\$12.82	83.40%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,591,550.00	\$932,387.34	\$190,774.44	\$468,388.22	58.58%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

<u>IVRS LUMP SUM</u>	<u>YEAR TO DATE</u>
<u>MONTH ENDING: April 30, 2011</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$142,716.25
1129 State Paid Retirement	\$5,470.79
1161 Retirement	
1170 Social Security	\$10,092.53
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	\$79.98
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$206.57
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	\$419.60
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	\$369,318.75
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$328,500.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$158,985.72
REMAINING LUMP SUM APPROPRIATION	\$169,514.28
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,251,900.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$369,318.75
REMAINING LUMP SUM APPROPRIATION	\$882,581.25
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$528,304.47
REMAINING LUMP SUM APPROPRIATION	\$1,052,095.53

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> Funds	SECTION 102 <u>Entitlement</u> Payments	SECTION 261 <u>E A I D</u> Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> Requirements	TOTAL <u>Fund Activity</u>
MONTH ENDING: April 30, 2011						
CASH BALANCE AT BEGINNING OF YR	\$2,694,896.84	\$136,049.00	-\$25,054.00	\$8,771,772.99	\$5,090,669.45	\$16,668,334.28
Program Revenues from Federal Govt			\$518,825.14		\$7,128,540.00	\$7,647,365.14
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$7,118.53			\$20,622.95	\$28,935.52	\$56,677.00
Interest Penalties Received	\$782.04					\$782.04
State Match Receipts					\$375,188.00	\$375,188.00
TOTAL CASH REVENUES	\$7,900.57	\$0.00	\$518,825.14	\$20,622.95	\$7,532,663.52	\$8,080,012.18
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$82,635.42	\$0.00	\$0.00	\$0.00	\$0.00	\$82,635.42
STATE-PAID RETIREMENT	\$3,306.08	\$0.00	\$0.00	\$0.00	\$0.00	\$3,306.08
RETIREMENT	\$24,663.64	\$0.00	\$0.00	\$0.00	\$0.00	\$24,663.64
SOCIAL SECURITY	\$6,056.38	\$0.00	\$0.00	\$0.00	\$0.00	\$6,056.38
GROUP INSURANCE	\$24,091.76	\$0.00	\$0.00	\$0.00	\$0.00	\$24,091.76
CONTRACTUAL SERVICE	\$14,943.44	\$0.00	\$0.00	\$439,611.58	\$0.00	\$454,555.02
TRAVEL	\$16,059.61	\$0.00	\$0.00	\$0.00	\$0.00	\$16,059.61
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$317.51	\$0.00	\$0.00	\$0.00	\$0.00	\$317.51
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$125,735.98	\$0.00	\$0.00	\$492,496.86	\$0.00	\$618,232.84
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$297,809.82	\$0.00	\$0.00	\$932,108.44	\$0.00	\$1,229,918.26
AWARDS & GRANTS	-\$35,564.61	\$136,049.00	\$500,971.14	\$1,866,485.05	\$677,528.10	\$3,145,468.68
TOTAL CASH EXPENDITURES	\$262,245.21	\$136,049.00	\$500,971.14	\$2,798,593.49	\$677,528.10	\$4,375,386.94
CASH BALANCE AT END OF MONTH	\$2,440,552.20	\$0.00	-\$7,200.00	\$5,993,802.45	\$11,945,804.87	\$20,372,959.52

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

<u>SECTION 101 - DISCRETIONARY FUNDS</u> <u>MONTH ENDING: April 30, 2011</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	
PERSONAL SERVICE	\$82,635.42	\$82,635.42	
1129 State Paid Retirement	\$3,306.08	\$3,306.08	
1161 Retirement	\$24,663.64	\$24,663.64	
1170 Social Security	\$6,056.38	\$6,056.38	
1180 Group Insurance	\$24,091.76	\$24,091.76	
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$53.44	\$53.44	
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$11,375.00	\$11,375.00	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$2,950.00	\$2,950.00	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)	\$490.00	\$490.00	
1276 Registration Fees & Conf. Exp. (Employee)	\$75.00	\$75.00	
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State	\$5,013.18	\$5,013.18	
1292 Out-of-State	\$11,046.43	\$11,046.43	
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$317.51	\$317.51	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$125,735.98	\$125,735.98	
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)	-\$35,564.61	-\$35,564.61	
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
SECTION 101 GRAND TOTAL			
	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>
PERSONAL SERVICE	\$82,635.42	\$82,635.42	
STATE PAID RETIREMENT	\$3,306.08	\$3,306.08	
RETIREMENT	\$24,663.64	\$24,663.64	
SOCIAL SECURITY	\$6,056.38	\$6,056.38	
GROUP INSURANCE	\$24,091.76	\$24,091.76	
CONTRACTUAL SERVICE	\$14,943.44	\$14,943.44	\$2,050.00
TRAVEL	\$16,059.61	\$16,059.61	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$317.51	\$317.51	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$125,735.98	\$125,735.98	
GRANTS	-\$35,564.61	-\$35,564.61	
TOTAL	\$262,245.21	\$262,245.21	\$2,050.00

**STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT**

Help Illinois Vote Fund

**SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: April 30, 2011**

**FY11
APPROPRIATION**

**YEAR TO DATE
EXPENDITURE**

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

	\$136,049.00	\$136,049.00	
	FY11	YEAR TO DATE	
SECTION 102 GRAND TOTAL	APPROPRIATION	EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$136,049.00	\$136,049.00	
TOTAL	\$136,049.00	\$136,049.00	\$0.00

**STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT**

Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: April 30, 2011

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not exc. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4470 Grants to Local Governments (VAID II)
- 4470 Grants to Local Governments (VAID III)

\$500,971.14

\$500,971.14

SECTION 261 GRAND TOTAL

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	\$15,313.75
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$500,971.14	\$500,971.14	
TOTAL	\$500,971.14	\$500,971.14	\$15,313.75

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS	FY11	YEAR TO DATE	
MONTH ENDING: April 30, 2011	APPROPRIATION	EXPENDITURE	
PERSONAL SERVICE			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$831.64	\$831.64	
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$421,453.80	\$421,453.80	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$8,624.04	\$8,624.04	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$8,702.10	\$8,702.10	
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State			
1292 Out-of-State			
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$492,496.86	\$492,496.86	
GRANTS			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)			
4453 Reimbursement to Govt Units (ALA)	\$475,261.54	\$475,261.54	
4453 Reimbursement to Govt Units (EDG)	\$1,391,223.51	\$1,391,223.51	
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			
SECTION 251 (OLD REQ) GRAND TOTAL	FY11	YEAR TO DATE	OBLIGATED
	APPROPRIATION	EXPENDITURE	MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$439,611.58	\$439,611.58	\$384,138.59
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	\$13,822.22
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$492,496.86	\$492,496.86	
GRANTS	\$1,866,485.05	\$1,866,485.05	
TOTAL	\$2,798,593.49	\$2,798,593.49	\$397,960.81

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: April 30, 2011

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$677,528.10

\$677,528.10

SECTION 251 (NEW REQ) GRAND TOTAL

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$677,528.10	\$677,528.10

TOTAL	\$677,528.10	\$677,528.10	\$0.00
--------------	---------------------	---------------------	---------------

Start Date	End Date Activity	Division
4 /19/2011	Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight April 5, 2011. 10 ILCS 5/19-8© & 20-8[c]	LEG
4 /19/2011	BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
4 /21/2011	(date subject to change) 3rd Reading Deadline	LEG
	Final day on which SENATE bills may be called for final action on 3rd reading in the SENATE. (Senate Rule) Final day on which HOUSE bills may be called for a final vote on 3rd reading in the HOUSE.	
4 /24/2011	Last day for election authority to notify public of time, date, and place of re-tabulation for General Consolidated. 10 ILCS 5/24B-15	VOSS
4 /26/2011	Link report due from the LEO's to the SBE. 10 ILCS5/19-20, 20-20.	LEG
4 /26/2011	Notify election officials who failed to submit their computer operator's log or analogous information 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations).	VOSS
4 /27/2011	Send to each election authority a current computerized voter registration error report, from the Consolidated General 2011	VRS
4 /27/2011	Send notice to election authorities who failed to submit a computerized voter registration file, for the Consolidated General 2011 submission. Rules and Regulations	VRS
4 /29/2011	Notify election authorities of requirement to submit computerized voter registration information for the May 15, 2011 submission in a format prescribed by the SBE	VRS
5 /1 /2011	Send draft of the 2012 CANDIDATE'S GUIDE to legal for review. (Complete by June 30, 2011.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
5 /2 /2011	Prepare dates for the Appointment of Election Judges 2010/2011 document. Send to all election authorities by May 21, 2011. 10ILCS 5/13-1(1.1,2,3,5 & 7)	ET&RD
5 /6 /2011	Canvass results of Fox Waterway Agency trustees election.	EL INFO

Start Date	End Date Activity	Division
5 /12/2011	The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the Consolidated General 2011 submission	VRS
5 /15/2011	First day for election authority to submit computerized voter registration file for the May 15, 2011 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /17/2011	Complete analysis of Referenda Profile for the Consolidated Election. 10 ILCS 5/1A-8 (1, 2,	VOSS
5 /19/2011	(date subject to change) Final day for which HOUSE committees may take action on SENATE bills. (House Rule) Final day for which SENATE committees may take action on HOUSE bills. (Senate Rule)	LEG
5 /16/2011	BOARD MEETING 10 ILCS 5/1A7	EXEC DIR
5 /25/2011	Last day for election authorities to submit request for extension to file computerized voter registration information for the May 15, 2011 submission. Rules and Regulations	VRS
5 /25/2011	Last day for election authority to submit computerized voter registration file for the May 15, 2011 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /27/2011	(date subject to change) Final day on which all HOUSE bills may be called for a final SENATE vote on 3rd reading. (Senate Rule) Final day on which all SENATE bills may be called for a final HOUSE vote on 3rd reading. (House Rule)	LEG
5 /31/2011	Complete draft of the 2012 ELECTION CALENDAR AND CAMPAIGN FINANCE CALENDAR. Prepare for printing. 10 ILCS 5/1A-8 (1,13)	EL INFO, ET&RD
5 /31/2011	General Assembly Adjournment (House/Senate Rule)	LEG
6 /1 /2011	Last day to notify political committees that have filed a Statement of Organization of their obligation to file a SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-16(7)	CAMP DISC

Start Date	End Date	Activity	Division
6 /1 /2011		Submit the 2012 CANDIDATE'S GUIDE for printing. (Printing to be completed by June 30, 2011.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
6 /13/2011		Begin work on post session BILL RECOMMENDATIONS to the Board and Governor's office. 10 ILCS 5/1A-8(8)	LEG
6 /14/2011		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
6 /24/2011		Send to each election authority a current computerized voter registration error report, from the May 15, 2011 submission	VRS
6 /24/2011		Send notice to election authorities who failed to submit a computerized voter registration file, for the May 15, 2011 submission. Rules and Regulations	VRS
6 /30/2011		Distribute 2012 CANDIDATE'S GUIDE to State Library Documents Section and to those on our mailing list. (Project began January 3, 2011.) 10 ILCS 5/1A-8 (1, 2, 4, 7, 11)	ET&RD
7 /1 /2011		First day that any political committee shall file its SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10	CAMP DISC
7 /1 /2011		STATUTORY BOARD MEETING. Swearing-in ceremony, election of chairman and vice chairman, monthly meeting. 10 ILCS 5/1A-8 (6)	EXEC DIR
7 /1 /2011		Begin compilation of petition filing packets for 2012 General Primary Election. 10 ILCS 5/1A-8(4)	EL INFO
7 /1 /2011		Begin plans to update all agency BROCHURES. (Complete by September 19, 2011.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
7 /1 /2011		Update ELECTION AUTHORITY GUIDELINES Book for 2012. ILCS 5/1A-8 (1, 2, 3, 4, 11)	ET&RD
7 /1 /2011		Start review and update MILITARY/OVERSEAS booklet. Submit to printer by Nov 30, 2011 10 ILCS 5/1A-8 (1,2,3,7,11)	ET&RD
7 /1 /2011		Begin review and update of DEPUTY REGISTRAR GUIDELINES booklet. Complete by September 1, 2011. 10 ILCS 5/1A-8 (1, 2,	ET&RD

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Rupert T. Borgsmiller, Executive Director

TO: Chairman Schneider
Vice Chairman Rednour
Members of the Board

SUBJECT: Request for Information/VOSS

DATE: May 12, 2011

The attached Request for Information (RFI) is a follow up matter from the April meeting. No additional comments were received from any Board Member or staff and no revisions have been made to the RFI. We are seeking approval to submit the attached RFI for publication.

Attachment



REQUEST FOR INFORMATION

VOTING SYSTEM APPROVAL PROCESS



RFI – Voting System Approval Process

1.0 Introduction

The State Board of Elections ('Agency' or 'SBE') has initiated an operational review of the voting system testing resources and activities of the Agency's Voting Systems and Standards Division (VOSS). This review is being performed with the purpose of developing a more comprehensive testing, review and approval process for voting system or system modifications for future installation in Illinois' election jurisdictions as well as ongoing testing of currently approved systems. The SBE has been responsible for the approval of voting systems since its creation in 1973.

As part of this review, the SBE is seeking input from vendors experienced in testing of voting systems to determine the feasibility of an ongoing agency testing system review and operation supported by a contractual vendor (as opposed to continuation of an in-house operation), and provide feedback and ideas regarding the development and execution. Cost estimates (for both development and annual operations) are encouraged, although not required at this time. All information received from respondents will be reviewed and weighed in SBE's decision for either a vendor-based or in-house testing operation.

Selected information received from vendor responses may be used in the eventual development of a Request for Proposal (RFP) document, although the specific response documents and schedules will not be shared with other responding vendors.

2.0 Project Objectives

The primary objective of the Voting System Approval Process project is to research, develop and implement an agency testing operation for voting system hardware and software used in Illinois' election jurisdictions. To that end, the Voting System Testing Vendor will be primarily responsible for:

- Testing of new voting system hardware and software proposed for sale in Illinois by voting system manufacturers;
- Testing of modifications to existing approved systems currently in use in Illinois' election jurisdictions;
- On site pre-election testing of selected election jurisdiction's voting systems and equipment prior to each election;
- Possible post-election testing of voting systems of selected election jurisdictions.

Such testing shall include, but is not limited to, activities and controls necessary to meet the following objectives:

- Accuracy of equipment in recording and tabulating votes (by test ballots and other functional means);
- Compliance voting system operation with all State and Federal laws and rules and other mandates supporting the election process (partial list of relevant mandates is available in Section 4.0, following);
- Competency and accuracy of the system in identifying and reporting errors commonly encountered in the voting process;



RFI – Voting System Approval Process

- Compliance and sufficiency of voting system hardware and software with reporting rules and requirements necessary by State and Federal laws and rules.

Testing activities may be conducted on-site at selected election jurisdictions as well as at testing vendor selected locations and/or test laboratories.

3.0 Functional Requirements

The Agency has utilized its own staff to perform voting system approval testing to ensure systems presented meet all State and Federal election law and rule requirements. This is accomplished through functional testing of voting systems by developing pre-determined input parameters and output parameters to identify potential faults in the system’s hardware and software. The voting system hardware and software utilized during testing must satisfactory meet all standards and requirements so as to provide reasonable assurance as to the integrity of the voting system.

FUNCTIONAL AREA	OBJECTIVES	DESIRED OUTCOMES
1) In depth functional testing & analysis of new voting system hardware and software	Review system vendor’s application (Including but not limited to: technical data package, ITA/EAC reports & other tests/certifications issued by other states)	Ensure overall completeness of application in order to proceed to system testing.
	Develop testing parameters for system hardware and software within state guidelines.	To develop procedures to ensure voting system hardware and software are in compliance with IL Election Law and/or other applicable laws (See Section 4.0 below).
	Schedule and test voting system at selected locations.	Actually test the voting system hardware and software using previously determined parameters and ensure all necessary reports are produced.
	Evaluate test results based on original test parameters.	Ensure the outcome of the testing provides accurate results and assurance that the voting system hardware and software are operating within the required guidelines.
	Reporting to SBE management regarding problems discovered, and follow-up with voting system vendors.	Any problem encountered in testing are reported to SBE and corrected by the system vendor prior to continued testing.



RFI – Voting System Approval Process

<p>2) In depth functional testing and analysis of modification(s) to approved voting systems</p>	<p>Review system vendor's application (including but not limited to TDP, ITA/EAC reports & other tests/certifications issued by other states) and clearly identify the reason for the modification(s).</p>	<p>To ensure completeness of the modification(s) application and that changes are appropriate / necessary to the existing voting system hardware and software.</p>
	<p>Develop testing parameters for the modification(s) to the existing voting system hardware and software within State guidelines.</p>	<p>To develop procedures to ensure voting system(s) and equipment are in compliance with the new requirements (or reason) for the modification(s).</p>
	<p>Schedule and test the modification(s) to existing voting system at selected locations.</p>	<p>Specifically test the modification(s) to existing voting system hardware and software using previously determined parameters and ensure all necessary reports are produced.</p>
	<p>Evaluate test results based on original test parameters.</p>	<p>Ensure the outcome of the testing provides accurate results and assurance that the modification(s) to existing the voting system are operating within the required guidelines.</p>
	<p>Reporting to SBE management regarding problems discovered, and follow-up with voting equipment vendors.</p>	<p>Any problems encountered in modification testing are reported to SBE and corrected by the system vendor prior to continued testing.</p>
<p>3) Functional pre-election testing of approved of voting systems between Certification and Early Voting</p>	<p>Tests of selected election jurisdictions will be conducted prior to each General Election, General Primary, Consolidated Primary and Consolidated Election.</p>	<p>Determine that the voting system(s) are properly configured, accurately accounting for votes, and voter notifications and defects are in good working order prior to scheduled elections</p>
	<p>Develop test parameters on voting system(s) within the jurisdiction being tested.</p>	<p>To develop procedures to ensure voting system(s) and equipment are in compliance with IL Election Law and/or other applicable laws (See Section 4.0 below for requirements).</p>
	<p>Schedule and test voting systems at selected jurisdictions.</p>	<p>Actually test the voting system hardware and software using the previously determined parameters and ensure that all</p>



RFI – Voting System Approval Process

		software and firmware versions are correct.
	Evaluate test results based on test parameters.	Ensure the outcome of the testing provides accurate results and assurance that the voting system(s) are operating within the required guidelines.
	Reporting to SBE management regarding problems discovered, and follow-up with voting equipment vendors and/or local jurisdictions to correct any problems discovered.	Any problems discovered in pre-election testing are reported to SBE and corrected by the system vendor prior to the scheduled election.
4) Functional post election testing of voting system hardware and software	Tests of selected jurisdictions.	To ensure that the voting system(s) and equipment operated as approved.
	Develop testing parameters for system hardware and software within state guidelines.	To develop procedures to ensure voting system hardware and software are in compliance with IL Election Law and/or other applicable laws (See Section 4.0 below).
	Schedule and test voting system at selected locations.	Actually test the voting system hardware and software using previously determined parameters and ensure that all software and firmware versions are correct.
	Evaluate test results based on original test parameters.	Ensure the outcome of the testing provides accurate results and assurance that the voting system hardware and software are operating within the required guidelines.
	Reporting to SBE management regarding problems discovered as well as system vendors and/or local jurisdictions.	Any problems discovered in post-election testing are reported to SBE, system vendor and/ or local jurisdiction.

4.0 Supporting Requirements, Statutory References & Additional Information



RFI – Voting System Approval Process

While the Agency’s current method for ensuring that the integrity of voting systems are adequate, the technology upon which the voting systems are utilizing allows for the potential for improvement. The Agency recognizes that technology is the driving force behind the integrity of Illinois’ voting systems and is interested in technologies or processes that will improve and/or stream line our current method.

Requirement	Description
Election Code	10 ILCS 5/
Illinois Voting Right Act of 2011	10 ILCS 120/
Help America Vote Act of 2002 (Federal law)	Federal Public Act 110-161
Voting Equipment Vendor List (partial)	Hart Dominion Election Systems & Software (ES&S) Governmental Business Systems
Administrative Code	Title 26: Elections
Election Assistance Commission	Federal Voting System Standards

5.0 Response

The Board requests that responses to the RFI include the following information:

1. An in depth analysis of any and all hardware or software the firm anticipates utilizing to meet the Board’s needs. (i.e. programs it supports, architectural and nonfunctional frameworks, and the names and numbers of any other entities or states using the hardware or software)
2. A general description or chart outlining proposed operational needs, organizational unit structure and staffing resources necessary to provide the services needed in a competent, professional and timely manner. Any professional resources needed (statisticians, actuaries or other professionals) should be individually identified and operational purpose described.
3. A brief description of the firm’s experience and capacity related to implementing items noted within the RFI. An affirmation that the firm or any of its employees do not have any interest in any voting system manufacturer.

Please send responses to the request by DATE to the:

Illinois State Board of Elections
 Attn: Jeremy Kirk
 1020 South Spring Street
 Springfield, IL 62704

If there are any questions, please feel free to contact, Jeremy Kirk at 217-524-7907 or email at jkirk@elections.il.gov.

5. **Comments from the general public.**
6. **Next Board meeting, Tuesday, June 14, 2011 in Chicago.**
7. **Executive Session.**